

A many-fermion generalization of the Caldeira-Leggett model

Florian Marquardt

Department of Physics, Yale University, New Haven, CT 06520, USA*

D.S. Golubev

*Institut für Theoretische Festkörperphysik, Universität Karlsruhe, 76128 Karlsruhe, Germany and
I. E. Tamm Department of Theoretical Physics, P. N. Lebedev Physics Institute, 119991 Moscow, Russia*

(Dated: 15.11.2004)

We analyze a model system of fermions in a harmonic oscillator potential under the influence of a dissipative environment: The fermions are subject to a fluctuating force deriving from a bath of harmonic oscillators. This represents an extension of the well-known Caldeira-Leggett model to the case of many fermions. Using the method of bosonization, we calculate one- and two-particle Green's functions of the fermions. We discuss the relaxation of a single extra particle added above the Fermi sea, considering also dephasing of a particle added in a coherent superposition of states. The consequences of the separation of center-of-mass and relative motion, the Pauli principle, and the bath-induced effective interaction are discussed. Finally, we extend our analysis to a more generic coupling between system and bath, that results in complete thermalization of the system.

PACS numbers: 03.65.Yz, 05.30.Fk, 71.10.Pm

I. INTRODUCTION

The study of quantum systems subject to dissipative environments is a topic which is both of fundamental importance in quantum mechanics and relevant for many applications requiring quantum-coherent dynamics. Friction, energy relaxation, thermalization, destruction of quantum interference effects (decoherence) and the irreversibility of the measurement process are all examples of features that arise due to the system-environment coupling. The most important exactly solvable model in the theory of quantum-dissipative systems¹ is the Caldeira-Leggett (CL) model^{2,3}. It is the simplest quantum-mechanical model describing friction and fluctuations, and it has been used to analyze the quantum analogue of Brownian motion. The model consists of a single particle whose coordinate is coupled bilinearly to the coordinates of a bath of harmonic oscillators. Thus, it can be solved exactly as long as the particle either moves freely (apart from the system-bath coupling) or inside a harmonic oscillator potential.

However, in several important applications of these concepts we are not dealing with a single particle but rather with a many-particle problem from the outset. This applies in particular to solid state physics, where the dephasing of electronic motion often determines the temperature-dependence of quantum-mechanical interference effects such as Aharonov-Bohm interference, universal conductance fluctuations, or weak localization. Usually, the fermionic nature of the electrons makes it difficult to apply the insights gained from single-particle calculations, since the Pauli principle may play an important role in relaxation processes.

In this paper, we present our results for a natural extension of the CL model to a case with many fermions. We consider a number of non-interacting fermions moving inside a harmonic oscillator potential, subject to a

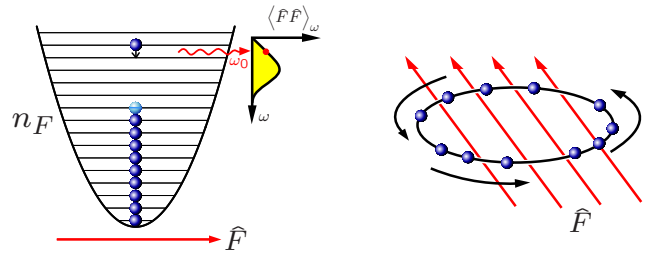


Figure 1: Left: Coupling the fermions in the oscillator to the fluctuating quantum force \hat{F} leads both to relaxation of excited fermions (decay rates depending on the bath spectrum $\langle \hat{F}\hat{F} \rangle_\omega$), as well as some smearing of the level occupation even at $T = 0$. Right: The bosonized version is equivalent to chiral fermions moving on a ring, subject to a transverse fluctuating force.

fluctuating quantum force deriving from an oscillator bath (Fig. 1). In the single-particle case, this is just the CL model, describing a quantum damped harmonic oscillator. Thus, we are studying a “Fermi sea in a damped harmonic oscillator”. Our main motivation is to analyze an exactly solvable model problem featuring dephasing and relaxation in presence of the Pauli principle. Nevertheless, the model may also become helpful for the study of cold fermionic atom clouds in quasi one-dimensional harmonic atom traps, where fluctuations of the external trapping potential might be described by a homogeneous fluctuating force acting on the cloud. Alternatively, it could be an approximate description of a parabolic (quasi one-dimensional) quantum dot subject to the Nyquist noise of a fluctuating electric field from nearby gates.

There are only a few previous theoretical works studying dephasing and relaxation of fermions in the context of quantum-dissipative system (excluding Boltzmann-type

kinetic equations or simple perturbation theory). To the best of our knowledge, a many-fermion generalization of the CL model was first suggested in Ref. 4, where free fermions coupled to *independent* oscillator baths were studied. As this breaks the indistinguishability of the fermions, it is not clear to which extent this model may be realized physically, and the influence of Pauli-blocking on relaxation processes could not be studied. A discussion of Luttinger liquids coupled to dissipative environments has been provided in Ref. 5, where the emphasis was on general features rather than the actual evaluation of Green's functions. Some aspects of our model are similar to the features found in a study⁶ of interacting fermions in a parabolic trap without coupling to a bath. Another extension of the CL model to many fermions⁷ involved particles on a ring subject to a quantum force independent of the position on the circumference. However, this did not reveal any influence of the Pauli principle, as such a coupling does not lead to transitions between different momentum states (Pauli blocking becomes relevant only for tunneling from external Fermi systems). Coupling a heat bath to indistinguishable fermions has also been employed as a tool for quantum molecular dynamics⁸. For a recent detailed perturbative study of Nyquist noise leading to decoherence of electrons in quantum dots and wires, for realistic gate geometries, we refer the reader to Refs. 9. The Feynman-Vernon influence functional, widely used in the analysis of a single dissipative particle, cannot be applied directly to the many-fermion situation. In the theory of weak-localization, there have been phenomenological prescriptions to incorporate the effects of the Pauli principle in an influence functional approach¹⁰. Recently, a formally exact generalization to the many-fermion case^{11,12} has been derived, although the evaluation of the resulting path-integral remains difficult.

The work is organized as follows: After introducing the Hamiltonian in Sec. II, we apply a certain approximation in order to rewrite and solve the Hamiltonian using the method of bosonization, in Sec. III. This forms the basis for our evaluation of the fermions' single-particle Green's functions, described in Secs. IV and V, leading to the exact general expression in Eqs. (38), (39). In Section VI, we analyze in more detail our approximation of 'large' particle numbers needed for bosonization. In Section VII, we evaluate the two-particle Green's function (exact result in Eqs. (B7)-(B9)), which enables us to discuss the decay of populations after adding an extra single particle above the Fermi sea, as well as dephasing of a coherent superposition of states. Finally, we extend our model to a more generic type of coupling between fermions and bath (Sec. VIII). Technical details are relegated to the appendices.

Some of these results have already been presented in a brief version¹³.

II. THE MODEL

We consider a system of N identical fermions (non-interacting and spinless) confined in a one-dimensional harmonic oscillator potential. They are subject to a fluctuating force \hat{F} that by necessity acts on each fermion with equal strength, i.e. it couples to the center-of-mass coordinate of the system of fermions (see Fig. 1), like $\propto \hat{F} \sum_j \hat{x}_j$. This force derives from a bath of oscillators. In second quantization, the Hamiltonian reads:

$$\hat{H} = \omega_0 \sum_{n=0}^{\infty} n \hat{c}_n^\dagger \hat{c}_n + \hat{H}_B + \frac{\hat{F}}{\sqrt{2m\omega_0}} \sum_{n=0}^{\infty} \sqrt{n+1} (\hat{c}_{n+1}^\dagger \hat{c}_n + h.c.) \quad (1)$$

The \hat{c}_n are fermion annihilation operators, and the oscillation frequency of a fermion of mass m in the parabolic potential is ω_0 (we have set $\hbar = 1$). Note that ω_0 already contains a counterterm that depends on the coupling to the bath, see Eq. (8) below. The bath Hamiltonian is given by:

$$\hat{H}_B = \sum_{j=1}^{N_B} \frac{\hat{P}_j^2}{2} + \frac{m\Omega_j^2}{2} \hat{Q}_j^2. \quad (2)$$

Here the bath oscillator masses have been chosen to be equal to that of the fermions, without any loss of generality, in order to streamline a few expressions derived in the next section. The force \hat{F} is given as a sum over the bath normal coordinates \hat{Q}_j (with a prefactor g of dimensions energy over length squared):

$$\hat{F} = \frac{g}{\sqrt{N_B}} \sum_{j=1}^{N_B} \hat{Q}_j. \quad (3)$$

Its spectrum

$$\langle \hat{F} \hat{F} \rangle_{\omega} = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \langle \hat{F}(t) \hat{F} \rangle e^{+i\omega t} dt \quad (4)$$

is still arbitrary and depends on the distribution of bath oscillator frequencies. At $T = 0$, it is given by:

$$\langle \hat{F} \hat{F} \rangle_{\omega}^{T=0} = \frac{g^2}{N_B} \sum_j \frac{1}{2m\Omega_j} \delta(\omega - \Omega_j). \quad (5)$$

The special case of an Ohmic bath, as it is used in the theory of Quantum Brownian motion², is defined by

$$\langle \hat{F} \hat{F} \rangle_{\omega}^{T=0} = \frac{\eta}{\pi} \omega \theta(\omega_c - \omega) \theta(\omega), \quad (6)$$

where $\eta = m\gamma$ is the coefficient entering the friction force $-\eta v$ acting on a single particle of mass m , with γ the corresponding damping rate.

The Hamiltonian (1) can be derived from the following form, where the fermions are treated without second quantization and the translational invariance of the coupling between fermions and bath particles is apparent:

$$\sum_{l=1}^N \frac{\hat{p}_l^2}{2m} + \frac{m\omega_{00}^2}{2} \hat{x}_l^2 + \frac{1}{N} \sum_{l=1}^N \sum_{j=1}^{N_B} g_j (x_l - \hat{Q}_j)^2 + \sum_{j=1}^{N_B} \frac{\hat{P}_j^2}{2m} \quad (7)$$

Here the couplings g_j are given in terms of the parameter g as $g_j = g^2 N^2 / (2m\Omega_j^2 N_B)$, and the rescaled bath coordinates are $\hat{Q}_j = \hat{Q}_j (m\Omega_j^2 \sqrt{N_B} / (gN))$. Note that the frequency ω_0 introduced above already contains the counterterm that arises from the x_l^2 -terms in (7) and which is essential to prevent the effective oscillator potential from becoming unstable for larger coupling strengths g . In terms of the bare oscillator frequency ω_{00} , it is given by:

$$\omega_0^2 = \omega_{00}^2 + 2 \frac{N}{m} \int_0^\infty d\omega \frac{\langle \hat{F} \hat{F} \rangle_\omega^{T=0}}{\omega}. \quad (8)$$

III. SOLUTION BY BOSONIZATION AND DIAGONALISATION

Since the fluctuating force acts only on the center-of-mass (c.m.) coordinate of the particles and, in the case of the harmonic oscillator potential, the c.m. motion is independent of the relative motion, the model defined above is, in principle, exactly solvable in a straightforward manner. The solution can be carried out by finding the classical eigenfrequencies and eigenvectors of the total system of $N + N_B$ coupled oscillators, setting up the quantum-mechanical wave functions in the total Hilbert space and performing the antisymmetrization with respect to the fermion coordinates. Afterwards, any desired observable (reduced density matrix, occupation numbers, fermion Green's functions etc.) may be calculated in principle. However, due to the antisymmetrization and the appearance of the oscillator eigenfunctions in the intermediate steps of the calculation, this procedure gets extremely cumbersome, so we prefer a different route which is approximately valid for large fermion numbers N . In Section VI, we will analyze some aspects of the small N case and compare with the bosonization results.

We assume the number N of fermions in the oscillator potential to be so large that the lowest-lying oscillator states are always occupied, for any given many-particle state that becomes relevant in the calculation (at the given interaction strength and temperatures). In other

words, the excitations in the fermion system, induced by the bath (and temperature), are confined to the region near the Fermi surface. Then we may employ the method of bosonization where the energy of the fermions is rewritten as a sum over boson modes (i.e. sound waves in the fermion system). This is possible since the energies of the oscillator levels increase linearly with quantum number, just as the kinetic energy of electrons in the Luttinger model of interacting electrons in one dimension. The same procedure has been applied to describe interacting fermionic atoms in a parabolic one-dimensional trap, for certain exactly solvable model interactions⁶. For recent pedagogical reviews on Luttinger liquids and bosonization, see Ref. 14. We note that this equal spacing of energy levels is approximately present for any potential at high excitation energies, where a semiclassical description of the single-particle dynamics becomes valid. However, the applicability of the following description to such a situation also depends on the structure of matrix elements of the fluctuating potential in the single-particle eigenbasis. For the harmonic oscillator considered here, only adjacent levels are connected by the position operator \hat{x} (describing the potential of the homogeneous force).

We introduce (approximate) boson operators, which destroy particle-hole excitations ($q \geq 1$):

$$\hat{b}_q = \frac{1}{\sqrt{q}} \sum_{n=0}^{\infty} \hat{c}_n^\dagger \hat{c}_{n+q}. \quad (9)$$

One may check that they fulfill the usual boson commutation relations, up to terms involving levels near $n = 0$ that vanish when acting on the many-particle states occurring under our assumptions. Alternatively, one may make these relations hold exactly by redefining the original model to incorporate an infinite number of artificial single-particle levels of negative energy, as is done in the Luttinger model.

Then the central result of bosonization may be applied, i.e. the fermion energy (bilinear in $\hat{c}_n^{(\dagger)}$) may be written as a bilinear expression in $\hat{b}_q^{(\dagger)}$. This is possible only due to the linear dependence of energies on the quantum number n :

$$\omega_0 \sum_{n=0}^{\infty} n \hat{c}_n^\dagger \hat{c}_n = \omega_0 \sum_{q=1}^{\infty} q \hat{b}_q^\dagger \hat{b}_q + E_{\hat{N}}. \quad (10)$$

Here $E_{\hat{N}} = \omega_0 \hat{N} (\hat{N} - 1) / 2$ is the total energy of the N -fermion noninteracting ground state. We keep \hat{N} as an operator at this point since we will be interested in calculating Green's functions where the particle number changes.

Under the same assumption of large $N \gg 1$ we get:

$$\sum_{n=0}^{\infty} \sqrt{n+1} (\hat{c}_{n+1}^\dagger \hat{c}_n + h.c.) \approx \sqrt{N} (\hat{b}_1 + \hat{b}_1^\dagger). \quad (11)$$

Again, this has to be understood as an approximate operator identity which is valid when applied to the many-particle states we are interested in, where $n \approx N$. We approximate N to be a number instead of an operator in this formula. This expression shows that the fluctuating force only couples to the lowest boson mode (with $q = 1$), corresponding to the c.m. motion. Therefore, the Hamiltonian now has become (within the approximations described above):

$$\hat{H} \approx \omega_0 \sum_{q=1}^{\infty} q \hat{b}_q^\dagger \hat{b}_q + \sqrt{\frac{N}{2m\omega_0}} \hat{F}(\hat{b}_1 + \hat{b}_1^\dagger) + \hat{H}_B + E_{\hat{N}}. \quad (12)$$

This Hamiltonian constitutes the starting point for our subsequent analysis. It can be solved by diagonalization of the (classical) problem of the boson oscillator $q = 1$ coupled to the bath oscillators (see Appendix A and below, also Ref. 15). Note that coupling of a Luttinger liquid to a linear bath has been considered in Ref. 5, although the physics discussed there (as well as the calculation) is quite distinct from our model.

At the end we are interested in quantities relating to the fermions themselves, e.g. the occupation numbers $\langle \hat{c}_n^\dagger \hat{c}_n \rangle$ or the Green's functions, like $\langle \hat{c}_n(t) \hat{c}_n^\dagger(0) \rangle$. This means we have to go back from the boson operators \hat{b}_q to the fermion operators, by employing the relations which are also used in the Luttinger liquid. In order to do that, we have to introduce auxiliary fermion operators $\hat{\psi}(x)$:

$$\hat{\psi}(x) = \frac{1}{\sqrt{2\pi}} \sum_n e^{inx} \hat{c}_n \quad (13)$$

$$\hat{c}_n = \frac{1}{\sqrt{2\pi}} \int_0^{2\pi} e^{-inx} \hat{\psi}(x) dx \quad (14)$$

Note that the $\hat{\psi}(x)$ are *not* directly related to the fermion operators of the particles in the oscillator (which would involve the oscillator eigenfunctions). We have effectively mapped our problem to a chiral Luttinger liquid on a ring with a coupling $\propto \hat{F} \cos(x)$ ($x \in [0, 2\pi[$), see Fig. 1 (right). The following results also describe relaxation of momentum states in that model. The $\hat{\psi}$ operators are useful because they fulfill

$$[\hat{b}_q, \hat{\psi}(x)] = -\frac{1}{\sqrt{q}} e^{-iqx} \hat{\psi}(x). \quad (15)$$

This means the application of $\hat{\psi}(x)$ on the noninteracting N -particle ground state creates an $N - 1$ -particle state that is a coherent state with respect to the boson modes, i.e. an eigenstate of \hat{b}_q for every q . As a consequence, the fermion operators $\hat{\psi}(x)$ may be expressed as¹⁴:

$$\hat{\psi}(x) = \hat{K} \lambda(x) e^{i\hat{\varphi}^\dagger(x)} e^{i\hat{\varphi}(x)} = \hat{K} \lambda e^{i\hat{\phi} r}, \quad (16)$$

with

$$\hat{\phi}(x) = -i \sum_{q=1}^{\infty} \frac{1}{\sqrt{q}} e^{iqx} \hat{b}_q \quad (17)$$

$$\hat{\phi} = \hat{\varphi} + \hat{\varphi}^\dagger \quad (18)$$

$$r \equiv e^{-[\hat{\varphi}^\dagger, \hat{\varphi}]/2}. \quad (19)$$

The exponential $\exp(i\hat{\varphi}^\dagger(x))$ in (16) may be recognized as creating a coherent state with the eigenvalues of \hat{b}_q prescribed by Eq. (15). The other terms are necessary to give the correct normalization and phase-factor, and to deal with states other than the N -particle ground state.

The second equality in (16) follows from the Baker-Hausdorff identity. The ‘‘Klein factor’’ \hat{K} is defined to commute with the boson operators $\hat{b}_q^{(\dagger)}$ and to produce the noninteracting $(N - 1)$ -particle ground state out of the noninteracting N -particle ground state. Its time-evolution follows from $[\hat{K}, \hat{H}] = [\hat{K}, E_{\hat{N}}] = \hat{K} \omega_0 (\hat{N} - 1)$ as $\hat{K}(t) = \hat{K} \exp(-i\omega_0 (\hat{N} - 1)t)$. The factor $\lambda(x)$ is given by $\exp(i(\hat{N} - 1)x)/\sqrt{2\pi}$. Actually, $[\hat{\varphi}^\dagger, \hat{\varphi}] = -\sum_{q=1}^{\infty} 1/q$ diverges, so we would have to introduce an artificial cut-off e^{-aq} ($a \rightarrow 0$) into the sum. However, this drops out in the end result, because r from Eq. (16) is canceled by the contributions from the equal-time $\hat{\phi}$ -correlators in the exponent of Eq. (21).

Using the relation (14), we have, for example:

$$\langle \hat{c}_n^\dagger(t) \hat{c}_n \rangle = \frac{1}{2\pi} \int_0^{2\pi} e^{in(x'-x)} \langle \hat{\psi}^\dagger(x', t) \hat{\psi}(x, 0) \rangle dx dx'. \quad (20)$$

The Green's function involving $\hat{\psi}$ may be expressed directly in terms of the correlator of the boson operator $\hat{\phi}$, using Eq. (16):

$$\begin{aligned} \langle \hat{\psi}^\dagger(x', t) \hat{\psi}(x, 0) \rangle &= \frac{1}{2\pi} e^{in_F((x-x')+\omega_0 t)} \times \\ \langle e^{-i\hat{\phi}(x', t)} e^{i\hat{\phi}(x, 0)} \rangle r^2. \end{aligned} \quad (21)$$

We have used the abbreviation $n_F = N - 1$ for the quantum number of the highest occupied state (in the noninteracting system). The expectation value on the right-hand side is evaluated using the Baker-Hausdorff identity and the well-known Gaussian property of the bosonic variables (which is unchanged by the coupling to the linear bath). This yields:

$$\exp \left[-\frac{1}{2} \left(\langle \hat{\phi}(x', t)^2 \rangle + \langle \hat{\phi}(x, 0)^2 \rangle \right) + \langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle \right] \quad (22)$$

These results permit us to calculate the hole propagator $\langle \hat{c}_n^\dagger(t) \hat{c}_n \rangle$, from which we obtain the equilibrium density matrix by setting $t = 0$. Note that we have particle-hole

symmetry in our problem, such that the particle propagator gives no additional information. Writing down the expressions analogous to (20) and (21), and using the properties of $\lambda(x)$ and $\hat{K}(t)$ defined above, we find:

$$\begin{aligned} & \langle \hat{c}_{n_F+\delta n}(t) \hat{c}_{n_F+\delta n}^\dagger \rangle = \\ & \langle \hat{c}_{n_F+1-\delta n}^\dagger(t) \hat{c}_{n_F+1-\delta n} \rangle e^{-i\omega_0(2n_F+1)t}. \end{aligned} \quad (23)$$

It remains to calculate the correlator of $\hat{\phi}$ in the interacting equilibrium: This is where the coupling to the bath enters, since the original $q = 1$ mode will get mixed with the bath modes. All the other boson modes are unaffected. The different boson modes remain independent. We obtain (using Eqs. (17) and (18)):

$$\begin{aligned} & \langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle = \\ & - \sum_{q=1}^{\infty} \frac{1}{q} \langle (e^{iqx'} \hat{b}_q(t) - h.c.) (e^{iqx} \hat{b}_q - h.c.) \rangle. \end{aligned} \quad (24)$$

The expectation values for $q > 1$ are the original ones, for example:

$$\langle \hat{b}_q(t) \hat{b}_q^\dagger \rangle = e^{-i\omega_0 q t} (n(\omega_0 q) + 1), \quad (25)$$

with $n(\epsilon) = (e^{\beta\epsilon} - 1)^{-1}$ the Bose distribution function.

In order to obtain the time-evolution and equilibrium expectation values relating to the $q = 1$ mode (c.m. mode), we have to diagonalize a quadratic Hamiltonian describing the coupling between this mode and the bath oscillators, which is described in Appendix A.

IV. EVALUATION OF THE GREEN'S FUNCTION FOR $T = 0$

At $T = 0$, some simplifications apply to the evaluation of the $\hat{\phi}$ -correlator and, consequently, the hole propagator $\langle \hat{c}_n^\dagger(t) \hat{c}_n \rangle$.

In Appendix A, we express the desired c.m. correlator in terms of the uncoupled normal mode operators. The result can be written in terms of the eigenvalues $\tilde{\Omega}_j$ and corresponding eigenvectors $C_{.j}$:

$$\langle \hat{b}_1(t) \hat{b}_1 \rangle = \frac{1}{4} \sum_{j=0}^{N_B} C_{0j}^2 \left(\frac{\omega_0}{\tilde{\Omega}_j} - \frac{\tilde{\Omega}_j}{\omega_0} \right) e^{-i\tilde{\Omega}_j t}. \quad (26)$$

By defining the spectral weight $W(\omega)$ of the c.m. mode (entry 0 in this notation, see App. A) in the new eigenbasis,

$$W(\omega) = \sum_{j=0}^{N_B} C_{0j}^2 \delta(\omega - \tilde{\Omega}_j), \quad (27)$$

which is normalized to 1, we can rewrite (26) as:

$$\langle \hat{b}_1(t) \hat{b}_1 \rangle = \frac{1}{4} \int_0^\infty W(\omega) \left(\frac{\omega_0}{\omega} - \frac{\omega}{\omega_0} \right) e^{-i\omega t} d\omega \equiv \alpha(t). \quad (28)$$

In a completely analogous fashion, we find:

$$\langle \hat{b}_1(t) \hat{b}_1^\dagger \rangle \equiv \beta_+(t) = \quad (29)$$

$$\begin{aligned} & \frac{1}{4} \int_0^\infty W(\omega) \left(\sqrt{\frac{\omega_0}{\omega}} + \sqrt{\frac{\omega}{\omega_0}} \right)^2 e^{-i\omega t} d\omega \\ & \langle \hat{b}_1^\dagger(t) \hat{b}_1 \rangle \equiv \beta_-(t) = \end{aligned} \quad (30)$$

$$\frac{1}{4} \int_0^\infty W(\omega) \left(\sqrt{\frac{\omega_0}{\omega}} - \sqrt{\frac{\omega}{\omega_0}} \right)^2 e^{-i\omega t} d\omega,$$

and $\langle \hat{b}_1^\dagger(t) \hat{b}_1^\dagger \rangle = \langle \hat{b}_1(t) \hat{b}_1 \rangle = \alpha(t)$.

The function $W(\omega)$ is derived in Appendix A, in terms of the bath spectrum. The result is

$$W(\omega) = \frac{\omega}{\pi} \theta(\omega) \frac{\Gamma(\omega^2)}{(\omega^2 - \omega_0^2 - \Delta(\omega^2))^2 + (\Gamma(\omega^2)/2)^2}, \quad (31)$$

with:

$$\Gamma(\epsilon) = 2\pi \frac{N}{m} \langle \hat{F} \hat{F} \rangle_{\sqrt{\epsilon}}^{T=0} \theta(\epsilon) \quad (32)$$

$$\Delta(\epsilon) = \frac{1}{2\pi} \int \frac{\Gamma(\nu)}{\epsilon - \nu} d\nu. \quad (33)$$

(a principal value integral is implied in the last line).

For weak coupling, $W(\omega)$ is a Lorentz peak centered around ω_0 . That is why $\alpha(t)$ and $\beta_-(t)$ are small, since the spectrum $W(\omega)$ is multiplied by a function that vanishes at the resonance near ω_0 . Both α and β_- vanish exactly at zero coupling. In contrast, $\beta_+(t)$ describes damped oscillations around ω_0 (see below for a discussion of the damping rate), starting from $\beta_+(0) \approx 1$.

Evaluation of the c.m. mode contribution to the correlator of $\hat{\phi}$ (given in Eq. (24)) then leads to the following expression:

$$\begin{aligned} & \langle (e^{ix'} \hat{b}_1(t) - h.c.) (e^{ix} \hat{b}_1 - h.c.) \rangle = \\ & (e^{i(x'+x)} + c.c.) \alpha(t) - e^{i(x'-x)} \beta_+(t) - e^{i(x-x')} \beta_-(t). \end{aligned} \quad (34)$$

Now we are prepared to evaluate the Green's functions of the fermions in the damped oscillator. It is convenient to introduce the abbreviations:

$$X \equiv e^{ix}, X' \equiv e^{ix'}. \quad (35)$$

Then Eq. (34) leads to:

$$\begin{aligned} \langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle &= \langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle_{(0)} + \\ &\frac{X'}{X} (\beta_+(t) - e^{-i\omega_0 t}) + \frac{X}{X'} \beta_-(t) - \\ &\alpha(t) (X X' + (X X')^{-1}), \end{aligned} \quad (36)$$

where the subscript (0) refers to the case without coupling to a bath:

$$\langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle_{(0)} = \sum_{q=1}^{\infty} \frac{(e^{-i\omega_0 t} X'/X)^q}{q}. \quad (37)$$

We insert this expression for the $\hat{\phi}$ -correlator into the exponent (22) that appears in the $\hat{\psi}$ Green's function, Eq. (21), and perform the Fourier transform (20) with respect to x, x' to go back to the original oscillator fermion operators \hat{c}_n .

Then we find that the hole propagator $\langle \hat{c}_{n_F - \delta n}^\dagger(t) \hat{c}_{n_F - \delta n} \rangle$ is given by the prefactor of $X'^{\delta n'} / X^{\delta n}$ in the power series generated from

$$\sum_{k=0}^{\infty} (X'/X)^k e^{i\omega_0(n_F - k)t} e^{\delta E(X, X', t)}, \quad (38)$$

with

$$\begin{aligned} \delta E(X, X', t) &\equiv 1 - \beta_+(0) - \beta_-(0) + \\ &\frac{\alpha(0)}{2} (X'^2 + X^2 + X'^{-2} + X^{-2}) - \\ &(X'X + 1/(X'X))\alpha(t) + \\ &(X'/X)(\beta_+(t) - e^{-i\omega_0 t}) + (X/X')\beta_-(t). \end{aligned} \quad (39)$$

Equation (38) constitutes our central exact result for the single-particle Green's function of the bosonized model.

The exponent δE describes the influence of the bath on the Green's function. It follows by inserting (36) into the exponential Eq. (22). The non-interacting value of the exponent has been split off and is accounted for by the prefactor in Eq. (38), which reproduces the correct result for the non-interacting case, namely $\langle \hat{c}_{n_F - \delta n}^\dagger(t) \hat{c}_{n_F - \delta n} \rangle = e^{i(n_F - \delta n)\omega_0 t}$ for $\delta n \geq 0$.

The weak-coupling approximation consists in setting $\alpha(t) = \beta_-(t) = 0$, $\beta_+(0) = 1$ and keeping only the (slowly decaying) $\beta_+(t)$, which is approximated by $\beta_+(t) \approx e^{-i\omega'_0 t - N\gamma t/2}$. Here ω'_0 is shifted with respect to the frequency ω_0 , being equal to ω_{00} in the limit $\omega_c \gg \omega_0$ (see Eqs. (49) and (50) for the special case of the Ohmic bath). In this approximation, we obtain:

$$\langle \hat{c}_n^\dagger(t) \hat{c}_n \rangle \approx e^{i\omega_0 n t} \sum_{m=0}^{n_F - n} \frac{\nu(t)^m}{m!}, \quad (40)$$

where

$$\nu(t) \equiv \exp(-i(\omega'_0 - \omega_0)t - N\gamma t/2) - 1, \quad (41)$$

with the decay rate $N\gamma$ evaluated for the Ohmic bath.

It is even possible to derive a closed integral expression for the exact single-particle Green's function. We only state the result:

$$\begin{aligned} \langle \hat{c}_{n'}^\dagger(t) \hat{c}_n \rangle &= \frac{1 + (-1)^{n' - n}}{4\pi} e^{i(n+n')\omega_0 t/2 - 2\beta_-(0)} \times \\ &\int_{-\pi}^{\pi} dx \frac{e^{i(n_F - (n' + n)/2)x}}{1 + 0^+ - e^{-ix}} \times \\ &e^{[e^{i\omega_0 t} \beta_+(t) - 1]e^{-ix} + e^{-i\omega_0 t} \beta_-(t)e^{ix}} \times \\ &I_{\frac{n' - n}{2}} [2\alpha(0) \cos(x - \omega_0 t) - 2\alpha(t)]. \end{aligned} \quad (42)$$

Here I_m is the modified Bessel function of the first kind. A useful approximation becomes possible in the limit $n \ll n_F$, i.e. for a high excitation energy, even at strong coupling:

$$\begin{aligned} \langle \hat{c}_{n_F - \delta n}^\dagger(t) \hat{c}_{n_F - \delta n} \rangle &\approx \\ \exp(e^{i\omega_0 t} \beta_+(t) - \beta_+(0) + e^{-i\omega_0 t} \beta_-(t) - \beta_-(0)) &\times \\ e^{i\omega_0(n_F - \delta n)t} I_0[2\alpha(0) \cos(\omega_0 t) - 2\alpha(t)]. \end{aligned} \quad (43)$$

Note the trivial dependence on δn in this limit (a shift in frequency). As $I_0(x=0) = 1$, we can easily recover the weak-coupling form from above.

V. DISCUSSION OF EQUILIBRIUM DENSITY MATRIX AND GREEN'S FUNCTION

Setting $t = 0$ in Eq. (42) yields the equilibrium density matrix $\rho_{nn'}$, for which only $\alpha(0)$, $\beta_-(0)$ and $\beta_+(0) = 1 + \beta_-(0)$ are needed. For the Ohmic bath, and in the limit $\omega_c \gg \max\{N\gamma, \omega_{00}\}$, we have found (with $\delta \equiv N\gamma/\omega_{00}$)

$$\alpha(0) = -L_1 + \left(\frac{\omega_0}{\omega_{00}} + \frac{\omega_{00}}{\omega_0} \left(\frac{\delta^2}{2} - 1 \right) \right) L_2 \quad (44)$$

$$\beta_-(0) = L_1 + \left(\frac{\omega_0}{\omega_{00}} - \frac{\omega_{00}}{\omega_0} \left(\frac{\delta^2}{2} - 1 \right) \right) L_2 - \frac{1}{2} \quad (45)$$

where $L_1 = \frac{N\gamma}{2\pi\omega_0} \ln \frac{\omega_c}{\omega_0}$ and

$$L_2 = \frac{\ln \left[\delta^2/2 - 1 + \delta \sqrt{\delta^2/4 - 1} \right]}{2\pi\sqrt{\delta^2 - 4}}. \quad (46)$$

The behaviour of the density matrix is shown in Fig. 2. Interestingly, the same form of the equilibrium density matrix has been obtained in a model of interacting fermions inside a harmonic oscillator⁶, with a special interaction, and without bath. In particular, Eq. (43) of

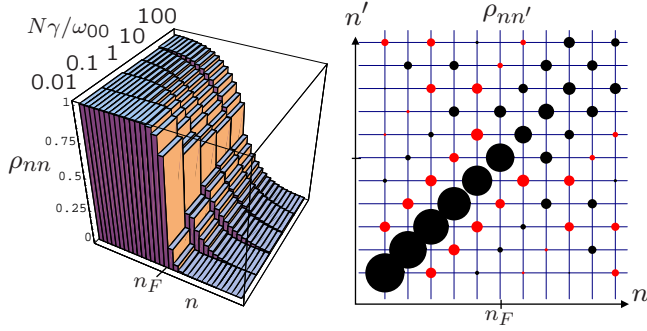


Figure 2: Equilibrium density matrix. Left: Populations ρ_{nn} as a function of coupling strength. Right: Full density matrix $\rho_{nn'}$ for fixed $N\gamma/\omega_{00} = 10$, magnitude indicated by radius, negative values indicated by different color/gray level. Note: Off-diagonal elements have been enlarged by a factor of 3 for clarity. We have chosen $\omega_c/\omega_{00} = 10^4$ in both plots.

Ref. 6 may be compared¹⁶ directly to our Eq. (42) for the Green's function, evaluated at $t = 0$. Naturally the dynamics of the two models is different.

As evident in the plot, there is a 'jump' in the distribution at $n = n_F$, which is given by:

$$\delta\rho = \int_{-\pi}^{\pi} \frac{dx}{2\pi} e^{-2\beta_-(0)(1-\cos(x))} I_0[2\alpha(0)(\cos(x) - 1)]. \quad (47)$$

In the strong-coupling limit, the shape of the distribution is approximated by a 'discrete error function',

$$\rho_{nn} \approx \frac{1}{2\sqrt{\pi\beta_-(0)}} \sum_{k=n-n_F}^{\infty} e^{-k^2/(4\beta_-(0))}. \quad (48)$$

Regarding the Green's function, the series expansion of Eq. (38) has been carried out using a symbolic computer algebra system. In order to obtain the Green's function in frequency space, the resulting terms of the form $\alpha(t)^{n_\alpha} \beta_+(t)^{n_+} \beta_-(t)^{n_-}$ have then been Fourier-transformed (which leads to repeated convolutions of their Fourier spectra, i.e. essentially $W(\omega)$, see Eqs. (28),(29),(30)). This is done numerically (using a fast Fourier transform). The results obtained for the Green's function in the case of coupling to an Ohmic bath are depicted in Figs. 3 and 4. (In the expansion of the exponential, the maximum combined power of α, β_{\pm} that was kept has been 6 for Fig. 3 and 10 for Fig. 4)

The shape of the broadened peaks in the incoherent background reflects the Fourier-transforms of $\alpha(t), \beta_{\pm}(t)$. All of them are related to the spectral function $W(\omega)$ describing the damped c.m. motion (see Eqs. 28, 29 and 30). In particular, at weak coupling, the Fourier transform of the dominant contribution $\beta_+(t)$ is essentially given by $W(\omega)$, see Eq. (29).

For the Ohmic bath, whose power spectrum is given in Eq. (6), we have (see (8)):

$$\omega_0^2 = \omega_{00}^2 + \frac{2}{\pi} N\gamma\omega_c. \quad (49)$$

The resulting spectral function $W(\omega)$ associated to the damped c.m. motion, see Eqs. (27) and (A17), is found to be

$$W(\omega) = \frac{2\gamma N}{\pi} \omega^2 \times \left[\left(\omega^2 - \omega_{00}^2 - \frac{\gamma N}{\pi} \omega \ln \frac{\omega_c + \omega}{|\omega_c - \omega|} \right)^2 + (\gamma N \omega)^2 \right]^{-1}. \quad (50)$$

This expression holds for $0 \leq \omega < \omega_c$. (The denominator becomes 0 at some $\omega > \omega_c$, which yields a δ peak whose weight vanishes for $\gamma N \rightarrow 0$. In order to obtain this peak, one has to replace $2\gamma N \omega$ by an infinitesimal $+0$ for $\omega > \omega_c$. This would be different for smooth cutoffs, and it does not affect any of our results). The function $W(\omega)$ is displayed in the inset of Fig. 3 (where $\omega_c/\omega_{00} = 10$). At low frequencies $\omega \ll \omega_c$ and damping rate $\gamma N \ll \omega_c$, $W(\omega)$ is proportional to the magnitude squared of the susceptibility derived for the linear response of the velocity of the damped c.m. oscillator, with renormalized frequency ω_{00} and damping rate γN :

$$|\chi_{vx}(\omega)|^2 \propto \omega^2 [(\omega^2 - \omega_{00}^2)^2 + (\gamma N \omega)^2]^{-1}. \quad (51)$$

In the overdamped case, $\gamma N > 2\omega_{00}$, the zeroes of the denominator occur at purely imaginary frequencies. However, the shape of the function (51) shows a qualitative change already at $\gamma N = \sqrt{2}\omega_{00}$, when the curvature at $\omega = 0$ changes sign (in the susceptibility the maximum vanishes). This may be observed by comparing the lowest curve in Fig. 4, corresponding to $\gamma N/\omega_{00} = 1.6$, to the other curves.

Apart from the generic exponential-type decay at intermediate times, we can also evaluate the behaviour of $\alpha(t), \beta_+(t)$ and $\beta_-(t)$ at long times $t \gg 1/\min(\omega_{00}, N\gamma)$, for the special case of the Ohmic bath. All three functions then decay like t^{-2} , namely as $\alpha(t) \approx \beta_{\pm}(t) \approx -[N\gamma\omega_0/(2\pi\omega_{00}^4)]/t^2$, which corresponds to the decay of the incoherent part of the Green's function.

The *general* long-time behaviour of the Green's function (independently of the bath spectrum) can be read off directly from the exact expression given above in Eq. (38): Since the coupling to the bath damps away the c.m. motion, the functions $\alpha(t), \beta_+(t)$ and $\beta_-(t)$ all decay to zero at $t \rightarrow \infty$ (excluding cases such as a gapped bath). The exponent δE in Eq. (39) thus approaches a finite value. This leads to the conclusion that the Green's function $\langle \hat{c}_{n_F - \delta n}^\dagger(t) \hat{c}_{n_F - \delta n} \rangle$ does not decay to zero in the limit $t \rightarrow \infty$, for any $\delta n > 1$, since contributions from $(X'/X)^{\delta n}$ with $\delta n > 1$ remain. In frequency space, it consists of a number of delta peaks superimposed onto an incoherent background related to the damped c.m.

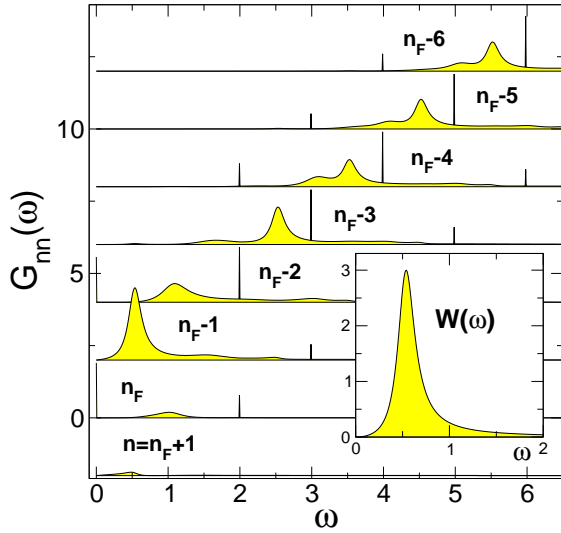


Figure 3: Green's function of fermions in a damped harmonic oscillator (with an Ohmic bath): Fourier transform $G_{nn}(\omega)$ of $\langle \hat{c}_n^\dagger(t)\hat{c}_n \rangle$ plotted vs. ω , for different values of n (curves displaced vertically for clarity). Note that ω is measured with respect to $-n_F\omega_0$ and we have set $\omega_0 = 1$. The strength of the coupling is given by $N\gamma/\omega_0 = 0.4$. Inset: Weight function $W(\omega)$ vs. ω . The height of the smaller δ peaks is an indication of their weight.

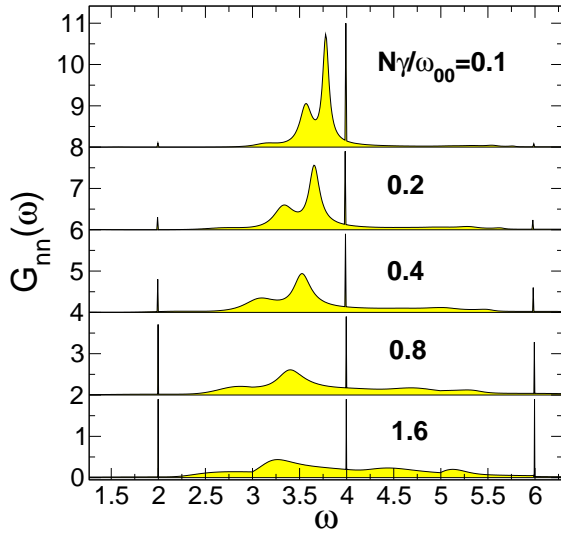


Figure 4: Dependence of Green's function on coupling strength: Fourier transform $G_{nn}(\omega)$ of $\langle \hat{c}_n^\dagger(t)\hat{c}_n \rangle$ vs. ω , for different values of the coupling strength, indicated in the plot (curves displaced vertically for clarity). Note that ω is measured with respect to $-n_F\omega_0$ and plotted in units of ω_0 , and that ω_0 changes with γ , for fixed ω_{00} , see Eq. (49). This plot is produced for a fixed excitation number, $n = n_F - 4$.

mode (see plots). These facts can also be seen from the simple weak-coupling expression, Eq. (40).

This result may be unexpected, since according to a *naive* application of the Golden Rule to the Hamiltonian (1), any electron inserted into the system at $n > n_F + 1$ (and any hole inserted at $n < n_F$) should decay towards lower (higher) single-particle energy levels by spontaneous emission of energy into the bath (for $T = 0$). The rate is expected to be:

$$\Gamma_{GR} = 2\pi \langle \hat{F}\hat{F} \rangle_{\omega_0} \frac{n_F}{2m\omega_0}. \quad (52)$$

(with $n \approx n_F \approx N$). For an electron inserted just above the Fermi surface ($n = n_F + 1$), or a hole inserted at $n = n_F$, the Pauli principle blocks relaxation and the corresponding Golden Rule rate vanishes. The decay rate of Green's functions is expected to be $\Gamma_{GR}/2$. In the following, we discuss the deviations from this naive expectation.

For weak coupling, the decay of the Green's function $\langle \hat{c}_n^\dagger(t)\hat{c}_n \rangle$ calculated above is determined by the decay of $\beta_+(t)$, while α and β_- are approximately zero. For example, at $n = n_F - 1$ the hole-propagator is directly proportional to $\beta_+(t)$:

$$\langle \hat{c}_{n_F-1}^\dagger(t)\hat{c}_{n_F-1} \rangle \approx \beta_+(t)e^{in_F\omega_0 t}. \quad (53)$$

The decay of this function is determined by the width of the Lorentz peak appearing at $\omega \approx \omega_0$ in the “spectral weight” $W(\omega)$ defined above (see Eqs. (27) and (29)) and calculated in Appendix A. According to Eqs. (A17) and (A15), at weak coupling this width is given by $\Delta\omega = \Gamma_{GR}/2$, as expected. Therefore, there is quantitative agreement between the exact calculation and the Golden Rule result at $n = n_F - 1$. This is true also at $n = n_F$, where the Green's function evaluated in the preceding section only has a delta peak, related to Pauli blocking (apart from corrections that vanish in the limit of weak coupling), see Fig. 3. However, at $n < n_F - 1$, there is always an additional δ peak that is incompatible with the Golden Rule expectation, as explained above. Essentially, this discrepancy stems from the fact that the many-particle states in the harmonic oscillator are in general strongly degenerate. Therefore, the preconditions for the usual derivation of a master equation¹⁷ are violated. We will trace back this behaviour to the fact that the exact wave function splits into c.m. and relative motion (see the remainder of this section and the following section). Readers not interested in this detailed analysis may wish to skip directly to Section VII, for the time-evolution of the density matrix.

The excited many-particle state $|\Psi\rangle$, which is created by adding an extra hole (or extra particle) and whose time-evolution determines the Green's function, can be written as a superposition of states, each of which distributes the given number of excitation quanta δn differ-

ently onto center-of-mass (“cm”) and relative (“r”) motion. Therefore, in the weak-coupling limit, where one starts out of the factorized ground state $|0\rangle_{cm}|0\rangle_r|0\rangle_B$, it is of the form:

$$|\Psi\rangle = |0\rangle_B (a_0 |0\rangle_{cm} |\delta n\rangle_r + a_1 |1\rangle_{cm} |\delta n - 1\rangle_r + \dots). \quad (54)$$

The first state does not contain any extra excitation of the c.m. mode. Therefore, it is unaffected by the c.m.-bath coupling and does not decay. This leads to the main δ -peak contribution at a frequency $\delta n \omega_0$, which is determined by the number of excitation quanta δn .

The other states will decay. The resulting broadened peaks are shifted towards lower frequencies, due to the renormalization of the c.m. mode frequency to the value ω_{00} . Thus these peaks occur at frequencies of the form $(\delta n - n_{cm})\omega_0 + n_{cm}\omega_{00}$, where n_{cm} counts the number of quanta in the c.m. mode. This is visible in Figs. 3 and 4, where the Fourier transform of the Green’s function is displayed. In the limit of weak coupling ($\alpha = \beta_- = 0$ and $\beta_+(0) = 1$), the expansion yields the following weights of the different peaks:

$$|a_{n_{cm}}|^2 = \frac{1}{n_{cm}!} \sum_{j=0}^{\delta n - n_{cm}} \frac{(-1)^j}{j!}, \quad (55)$$

for $0 \leq n_{cm} \leq \delta n$. These weights are normalized ($\sum_{n_{cm}=0}^{\delta n} |a_{n_{cm}}|^2 = 1$), since the application of a particle annihilation operator onto the non-interacting ground state creates a normalized state $|\Psi\rangle$ (for $\delta n \geq 0$). In particular, the weight of the $n_{cm} = 0$ -component, which leads to the δ peak, goes to the constant value of $1/e$ in the limit $\delta n \rightarrow \infty$. Thus, there is a non-decaying contribution even at arbitrarily high excitation energies. This fact is connected to the assumption of large N under which the present results have been obtained (see the following section). The weight of the contribution from $n_{cm} = \delta n - 1$ always vanishes, as may be observed in the plots as well.

For stronger coupling (Fig. 4), other δ peaks start to grow both above and below the frequency of the main peak. These arise because the interacting ground state contains contributions from excited c.m. states and bath states. We can write it down in the following schematic form, where $|j\rangle_B$ denotes a bath state for which the sum of all harmonic oscillator excitations is equal to j :

$$|GS\rangle_{cm+B} = c_{00} |0\rangle_{cm} |0\rangle_B + c_{11} |1\rangle_{cm} |1\rangle_B + c_{20} |2\rangle_{cm} |0\rangle_B + \dots \quad (56)$$

Any of the excited states discussed above, containing n_{cm} c.m. excitations (see Eq. (54)), may therefore have a finite overlap with this ground state of the coupled system c.m.-bath. Thus, a non-decaying contribution at the frequency $(\delta n - n_{cm})\omega_0$ of the remaining excitation in the

relative motion survives. This explains the δ peaks at frequencies equal to integer multiples of ω_0 , situated below the unperturbed excitation energy of $\delta n \omega_0$. There are also peaks at still larger frequencies, because the additional particle (or hole) is introduced into the many-particle ground state that already contains excitations of the c.m. mode, see Eq. (56). Therefore, the resulting excited many-particle state $|\Psi\rangle$ will also contain contributions with more than δn excitations of c.m. and relative motion combined, in contrast to the weak-coupling form, Eq. (54). Since adding δn excitations to one of the states $|j\rangle_{cm}|0\rangle_r$ that appear in the many-particle ground state may lead to states containing up to $\delta n + j$ excitations in the relative motion alone, this explains the appearance of δ peaks at $(\delta n + j)\omega_0$ in the Green’s function. For the same reason, the “incoherent background” due to the c.m. mode extends beyond the main frequency of $\delta n \omega_0$.

Finally, it may be noted from the figures that the additional δ peaks appear only at frequencies of the form $(\delta n + 2j)\omega_0$, i.e. they are removed by an even multiple of ω_0 from the main peak. Physically, the reason is the following: The coupled ground state of c.m. and bath remains symmetric under inversion of the c.m. and oscillator coordinates. Therefore, the sum of c.m. and bath excitations is always even, as indicated in (56). When adding δn extra excitations to the many-particle ground state (which is (56), multiplied by the ground state of the relative motion), a part of those excitations may go into the c.m. motion, leading to peaks below and above the main frequency. Only if the number of added or subtracted c.m. excitations is even, a nonvanishing overlap with the many-particle ground state may develop, leading to a non-decaying component in the Green’s function.

The considerations of the current section apply to the approximate Hamiltonian (12) that is good in the limit $N \rightarrow \infty$ of large particle number, and which has been amenable to bosonization. However, the qualitative arguments concerning the contributions of c.m. and relative motion remain valid for finite N as well. This is the topic of section VI.

VI. FINITE PARTICLE NUMBER: CENTER-OF-MASS MOTION IN EXCITED FOCK STATES

In this section we analyze in more detail the splitting into c.m. and relative motion for arbitrary finite N . We will explain that the weight of the coherent δ peak in the Green’s function decays when moving towards higher excitation energies, on a scale set by the number N of particles. This is why, in the limit $N \rightarrow \infty$ considered for the bosonized model, this weight even saturates at a constant value for excited states arbitrarily far above the Fermi surface. We will not actually evaluate Green’s functions for the finite N case, which is still much more difficult.

For brevity, we set $m = \omega_0 = 1$ throughout this section. The coordinates and momenta of the individual particles are related to the harmonic oscillator raising and lowering operators by

$$\hat{x}_j = \frac{1}{\sqrt{2}}(\hat{a}_j + \hat{a}_j^\dagger), \quad \hat{p}_j = \frac{-i}{\sqrt{2}}(\hat{a}_j - \hat{a}_j^\dagger), \quad (57)$$

where it is understood that \hat{a}_j acts only on the coordinate of particle j . The center-of-mass motion is described by

$$\hat{X} = \frac{1}{N} \sum_{j=1}^N \hat{x}_j, \quad \hat{P} = \sum_{j=1}^N \hat{p}_j, \quad (58)$$

such that we obtain the following operator that lowers the excitation of the c.m. harmonic oscillator by one:

$$\hat{A} = \sqrt{\frac{N}{2}}(\hat{X} + i\frac{\hat{P}}{N}) = \frac{1}{\sqrt{N}} \sum_{j=1}^N \hat{a}_j. \quad (59)$$

Here we have used that the total mass is $M = Nm = N$.

Let us consider an N -particle Fock state $|\Psi_{N,\delta n}\rangle$ consisting of the Fermi sea filled up to (and including) level $n_F - 1 = N - 2$ and an additional single particle that has been placed into the excited level at $n_F + \delta n$. It is our goal to find the probability P_n of having n excitations in the c.m. mode, given this many-particle state. In particular, the probability of having 0 excitations will be the weight of the ‘‘coherent component’’ that does not decay in spite of coupling to the bath. This holds quantitatively in the weak-coupling limit, where we may neglect the change in the eigenstates of the c.m. mode, see the previous discussion. For arbitrary coupling, it is still the correct qualitative picture.

First, we rewrite \hat{A} in second quantization:

$$\hat{A} = \frac{1}{\sqrt{N}} \sum_{k=0}^{\infty} \sqrt{k+1} \hat{c}_k^\dagger \hat{c}_{k+1}. \quad (60)$$

Using this, it is straightforward to check that

$$\langle \Psi_{N,\delta n} | \hat{A}^{\dagger j} \hat{A}^j | \Psi_{N,\delta n} \rangle = \frac{\delta n + n_F}{N} \dots \frac{\delta n + n_F - j + 1}{N}, \quad (61)$$

for $j \leq \delta n$, otherwise this is 0. On the other hand, if we think of $|\Psi_{N,\delta n}\rangle$ as being written in a basis that splits relative and c.m. motion (compare Eq. (54)) and take into account the usual matrix elements of a harmonic oscillator lowering operator, we obtain for the same expectation value (with P_n the occupation probabilities for the different c.m. states):

$$\sum_{n=j}^{\infty} P_n n(n-1) \dots (n-j+1). \quad (62)$$

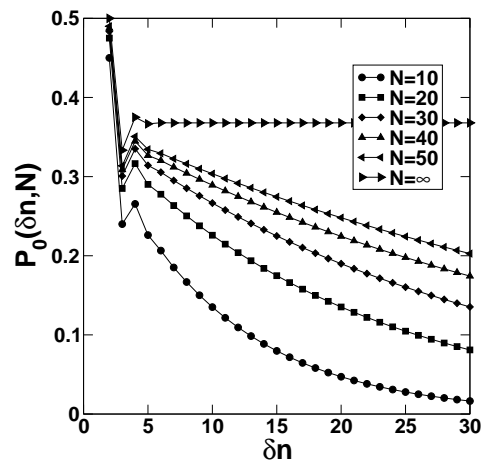


Figure 5: Weight P_0 of the center-of-mass ground state (Eq. (65)) as a function of excitation δn , for different particle numbers N , including the bosonization result from Eq. (55) (“ $N = \infty$ ”).

Now we make use of the fact that the total number of excitations in the c.m. mode is limited by δn , i.e. $P_n = 0$ for $n > \delta n$. Therefore, it is possible to start at $j = \delta n$, equate (61) to (62), solve for $P_{\delta n}$, and then proceed iteratively all the way down to P_0 . In each step, only the probabilities that have been calculated before appear in addition to the unknown P_j . We can write down the set of equations very transparently by introducing the abbreviation

$$p_l = (\delta n - l)! \frac{(N-1)!}{(\delta n + N - 1)!} N^{\delta n} P_{\delta n - l}. \quad (63)$$

Then we have (from equating (61) to (62)) the recursive relation:

$$p_l = \frac{N^{l-1}}{(N+l-1) \dots (N+1)} - \sum_{k=1}^l \frac{p_{l-k}}{k!}. \quad (64)$$

The solution starts with $p_0 = 1$. Note that we always get $p_l = 0$ (for any N), corresponding to $P_{\delta n - 1} = 0$: This has been observed already in the context of the bosonization solution (see previous section). It is also remarkable that the equation for p_l does not depend on δn . Therefore, we can solve the problem at once for arbitrary δn (which then only enters in P_n via Eq. (63)). After solving these equations, it is found that the weight of the c.m. ground state,

$$P_0 = \frac{(\delta n + N - 1)!}{(N-1)!} N^{-\delta n} p_{\delta n}, \quad (65)$$

decays as a function of excitation energy δn (for large δn), on a scale set by N , see Fig. 5.

Finally, we remark that the general structure of the exact eigenfunctions of our problem (defined by the original Hamiltonian, Eq. (1)) remains identical to that found in the limit $N \rightarrow \infty$, using bosonization. For arbitrary system-bath coupling, the eigenstates of the full system will be of the form

$$|\Psi^{SB}\rangle = |\Phi^{CM-B}\rangle \otimes |\psi^{rel}\rangle, \quad (66)$$

where ‘‘SB’’ refers to the full Hilbert space of fermions coupled to a bath, while $|\Phi^{CM-B}\rangle$ is a new eigenstate of the coupled system c.m./bath and $|\psi^{rel}\rangle$ is an *unaltered*, antisymmetric eigenstate of the relative motion. However, the evaluation of quantities like Green’s functions or the reduced density matrix, starting from this expression, becomes very cumbersome, which is the reason why we have restricted most of the discussion to the bosonization solution.

VII. TWO-PARTICLE GREEN’S FUNCTION: DECAY OF POPULATIONS AND DEPHASING

While the single-particle Green’s function reveals how fast an electron is scattered out of an initial state, we have to turn to the two-particle Green’s function in order to learn about the time-evolution of the reduced single particle density matrix. This describes relaxation and dephasing. For our purposes, we will be particularly interested in the following two-particle Green’s function of fermions in the damped oscillator (the evaluation of other four-point correlators proceeds analogously):

$$\langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle. \quad (67)$$

Without coupling to the bath, we could apply Wick’s theorem to obtain:

$$\begin{aligned} & \langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle = \\ & \delta_{nl} \delta_{ln'} (1 - \langle \hat{n}_n \rangle) (1 - \langle \hat{n}_{n'} \rangle) e^{i\omega_0(n-n')t} + \\ & \delta_{nn'} \delta_{ll'} (1 - \langle \hat{n}_n \rangle) \langle \hat{n}_l \rangle, \end{aligned} \quad (68)$$

If the bath damps the motion of the fermions, we may rewrite (67) in terms of the auxiliary fermion operators $\hat{\psi}(x)$ defined in (14):

$$\begin{aligned} & \langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle = \\ & \frac{1}{(2\pi)^2} \int_0^{2\pi} dx dx' dy dy' e^{i(l'x' + n'y' - lx - ny)} \times \\ & \langle \hat{\psi}(y, 0) \hat{\psi}^\dagger(x', t) \hat{\psi}(x, t) \hat{\psi}^\dagger(y', 0) \rangle \end{aligned} \quad (69)$$

Starting from the representation of $\hat{\psi}$ in terms of boson operators $\hat{\phi}$, Eq. (16), this may be evaluated using the

same methods as in Sections III and IV. In Appendix B, we show how the two-particle Green’s function is obtained via a series expansion, similar to the single-particle Green’s function. The general exact result is given in Eqs. (B7)-(B9). Although in principle this expression permits evaluation of the two-particle Green’s function at arbitrary coupling strength, this task has proven to be computationally much more difficult than the analogous calculation for the single-particle Green’s function, due to the larger number of terms generated in the power series. Nevertheless, the weak-coupling results already contain many nontrivial features.

We may now answer questions like the following: If we introduce an extra single particle in some level \tilde{n} above the Fermi sea, how does it decay towards lower-lying levels, by emitting some of its energy into the bath? We first look at the time-evolution of the populations

$$\rho_{nn}(t) = \langle \hat{c}_{\tilde{n}} \hat{c}_n^\dagger(t) \hat{c}_n(t) \hat{c}_{\tilde{n}}^\dagger \rangle, \quad (70)$$

where the two outermost operators create the desired state at time 0, while the two operators in the middle test for the population. We note that, in principle, the newly created state may have norm less than 1, if the level \tilde{n} happens to be partially occupied already in the ground state on which the creation operator $\hat{c}_{\tilde{n}}^\dagger$ acts. In that case, it would be necessary to divide (70) by the norm, $\langle \hat{c}_{\tilde{n}} \hat{c}_{\tilde{n}}^\dagger \rangle$. However, since we will restrict the evaluation to the weak-coupling case, the states above n_F are initially empty (at $T = 0$).

In particular, we have been able to derive simplified expressions in the limit of weak-coupling and high initial excitation energy (see Appendix C). We obtain:

$$\begin{aligned} & \langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle \approx e^{i\omega_0(n-n')t} \delta_{n'-l, n-n'} \times (71) \\ & \{ \rho_{\text{decay}}(n' - l, t) + \rho_{\text{heat}}(l - n_F - 1, l' - n_F - 1, t) \} \end{aligned}$$

with the first part describing the decay of the excitation,

$$\rho_{\text{decay}}(m, t) = \frac{(-1)^m}{m!} (\nu(t) + \nu^*(t))^m e^{\nu(t) + \nu^*(t)}, \quad (72)$$

where $m = n_0 - n = n'_0 - n'$ may be interpreted as the net number of quanta transferred to the bath ($\nu(t)$ is defined in Eq. (41)). The other part describes ‘‘heating’’ around the Fermi surface (see below):

$$\rho_{\text{heat}}(n, n', t) = \nu(t)^{n-n'} \sum \frac{|\nu(t)|^{2(\tilde{m}_1 + \tilde{m}_2)}}{m_1! m_2! \tilde{m}_1! \tilde{m}_2!} (-1)^{m_2 + \tilde{m}_2}, \quad (73)$$

where the triple sum runs over $\tilde{m}_1 = \max(0, n' + 1) \dots \infty$, $\tilde{m}_2 = 0 \dots \infty$, $m_1 = \max(0, \tilde{m}_2 + n + 1) \dots n - n' + \tilde{m}_1 + \tilde{m}_2$, and we have $m_2 = \tilde{m}_1 + \tilde{m}_2 - m_1 + n - n'$. We note that the limiting case (71) appears to be a very good

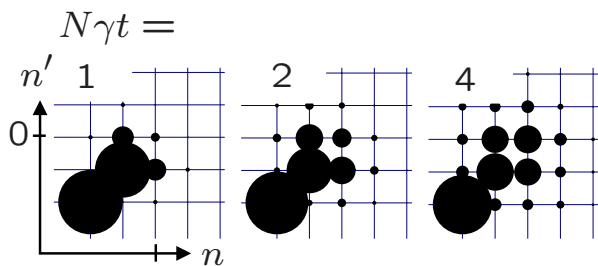


Figure 6: Time-evolution of $\rho_{\text{heat}}(n, n', t)$, describing heating around the Fermi surface due to an extra particle placed in a highly excited state above the Fermi sea (Eq. (73)). See text for connection to two-particle Green's function, Eq. (71). In this plot $\omega_0 = \omega'_0$. The radius of each dot gives $|\rho_{nn'}(t)|$ (being 1 for the two occupied states in the lower left corner).

approximation to the full result, even for small excitation energies. The behaviour of $\rho_{nn}(t)$ shown in Fig. 7 gives an impression of $\rho_{\text{decay}}(m, t)$ for the levels not directly near the Fermi surface (with m being the distance from the initial excited state). A plot of ρ_{decay} can be found in Ref. 13. In Fig. 6, we have shown the time-evolution of ρ_{heat} , which results in a good approximation to the full evolution displayed in Fig. 8, taking into account Eq. (71).

The time-evolution of the populations, $\rho_{nn}(t)$, is shown in Fig. 7, which has been calculated in the weak-coupling limit, but without the approximation of a high initial excitation. Note that, in general, the difference between ω_0 and ω'_0 can be of any sign, depending on the details of the bath spectrum. This frequency shift between the c.m. mode and all the other boson modes may lead to beating oscillations that may be visible in the time-evolution of the populations or the density matrix, which is shown in Fig. 7, right. In Figs. 7 (left) and 8, however, we have assumed $\omega'_0 = \omega_0$ (e.g. the bath spectrum is symmetric around the transition frequency, for a fixed decay rate of $N\gamma$). We note that, contrary to naive expectation, the particle does not decay all the way down to the lowest unoccupied state $n_F + 1$. Rather, in the long-time limit (which is already reached at $N\gamma t \approx 5$ to a good approximation), the extra particle is distributed over the range of excited levels above the Fermi surface, up to the initial level \tilde{n} . Again, this is because only the c.m. mode couples to the bath, such that, even at $T = 0$, a fraction of the initial excitation energy remains in the system. For the limiting case of high excitation energy, discussed above, this may be seen from $\rho_{\text{decay}}(m, t) \rightarrow (2^m/m!)e^{-2}$. Moreover, we see that the population of the highest occupied states in the Fermi sea decreases. The fermions in these states become partly excited by absorbing energy from the extra particle, due to the effective interaction mediated by the bath. This is described by ρ_{heat} of Eq. (73).

Another application of the two-particle Green's func-

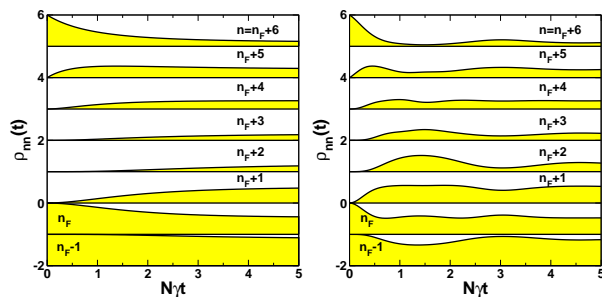


Figure 7: Time-evolution of the populations $\rho_{nn}(t)$ (Eq. (70)) for an electron placed in an initial state $\tilde{n} = n_F + 6$ above the Fermi sea (weak-coupling result) with $\omega_0 = \omega'_0$ (left) or $\omega_0 = \omega'_0 + 2N\gamma$ (right), see text. The initial and final states are the same in both cases. Different curves have been displaced vertically for clarity. Note the incomplete decay and heating around the Fermi surface.

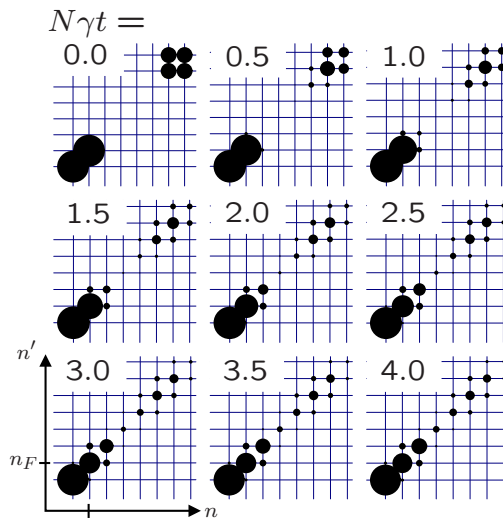


Figure 8: Time-evolution of the single-particle density matrix $\rho_{nn'}(t)$ (Eq. (74)) starting from an extra particle in a superposition of states $n_1 = 5, n_2 = 6$ above the Fermi sea ($\omega_0 = \omega'_0$). Levels $n, n' = n_F - 1, n_F, \dots, n_F + 6$ are indicated by grid lines.

tion (B1) consists in looking at the decay of a superposition of states. We imagine that, at time 0, a particle is placed in an equally-weighted superposition of two levels n_1 and n_2 above the Fermi surface. This will introduce off-diagonal contributions in the single-particle density matrix of the fermions. The subsequent relaxation will suppress the coherence (i.e. the off-diagonal elements) and transfer population to the lower levels. In Fig. 8, we have plotted the time-evolution of the density matrix defined by

$$\rho_{nn'}(t) = \frac{1}{2} \left\langle (\hat{c}_{n_1} + \hat{c}_{n_2}) \hat{c}_{n'}^\dagger(t) \hat{c}_n(t) (\hat{c}_{n_1}^\dagger + \hat{c}_{n_2}^\dagger) \right\rangle. \quad (74)$$

Again, we have evaluated only the weak-coupling case, such that no additional normalization factor is necessary (for $n_1, n_2 > n_F$).

Apart from the features already mentioned above, we observe the decay of the off-diagonal elements (i.e. dephasing) to be incomplete. A part of the coherence survives in the relative motion which is unaffected by the bath. This is also evident from the limit $\rho_{\text{decay}}(m, t \rightarrow \infty)$ discussed above. If a slight anharmonicity were introduced in the potential, such that c.m. and relative motion are no longer independent, we would expect to see the same behaviour at short to intermediate times. Only in the long-time limit, the fermion system would relax fully to the $N + 1$ -particle Fermi sea ground state.

VIII. RELAXATION WITH ARBITRARY ENERGY TRANSFER

Up to now, we have considered a coupling between system and bath where the particle coordinates enter linearly (since this corresponds to a direct many-particle generalization of the well-known Caldeira-Leggett model). The coordinate operator only couples adjacent oscillator levels. Therefore, a particle introduced somewhere above the Fermi sea will have to relax in a series of spontaneous emissions, each of them leading down exactly one level. For this reason, the influence of Pauli blocking becomes apparent only immediately above the Fermi level. In addition, this type of coupling also leads to damping of the center-of-mass motion only, such that the system is non-ergodic.

In this section, we are going to discuss a more general model, where the bath may induce transitions between oscillator levels that are farther apart. The most important consequence will be that the presence of the Fermi sea leads to a strong dependence of decay rates on the distance to the Fermi level. As one moves towards the Fermi level, more and more of the transitions will be blocked due to the Pauli principle. It is interesting to observe the consequences of this in an exactly solvable model, where we are able to go beyond a Golden Rule description. Furthermore, this coupling will (in general) lead to ergodic behaviour, i.e. full relaxation of the excitation that has been added to the system.

We start from the bosonized Hamiltonian, Eq. (12), and replace the coupling term by a more general form:

$$\begin{aligned} \sqrt{\frac{N}{2m\omega_0}} \hat{F} \sum_{q=1}^{\infty} f_q \sum_n (\hat{c}_n^\dagger \hat{c}_{n+q} + h.c.) = \\ \sqrt{\frac{N}{2m\omega_0}} \hat{F} \sum_{q=1}^{\infty} f_q \sqrt{q} (\hat{b}_q + \hat{b}_q^\dagger). \end{aligned} \quad (75)$$

Now the bath may induce transitions between levels $n + q$ and n , with an arbitrary amplitude $\propto f_q$ (which, however, must not depend on n). For $f_1 = 1$, $f_q =$

0 ($q > 1$) we would recover the original model. In order to simplify the following expressions somewhat, we have assumed f_q to be real-valued (nothing essential would change for complex amplitudes). For $f_q = \text{const}$, the amplitude of transitions between two levels does not depend on their distance. The overall prefactor has been kept the same only in order to retain similarity with the previous results (it may always be compensated by appropriate choice of the amplitudes f_q). Note that, in terms of the original model (before bosonization), the interaction given here only approximately corresponds to a nonlinear coupling that adds higher powers of the particle coordinates, since such a coupling would lead to additional terms in the bosonized version.

We will take the interaction term Eq. (75) as the starting point of our analysis, which employs the methods introduced before. In the weak-coupling limit, we expect each of the boson modes to be damped with a Golden Rule decay rate that follows directly from Eq. (75):

$$\Gamma_q = 2\pi \frac{N}{2m\omega_0} f_q^2 q \left\langle \hat{F} \hat{F} \right\rangle_{q\omega_0}^{T=0}. \quad (76)$$

Adding a particle above the Fermi sea, in state $n_F + \delta n + 1$, will introduce bosonic excitations of up to $q = \delta n$ (in the weak-coupling limit, starting from the unperturbed system), and its decay will be governed by corresponding rates Γ_q . As δn grows, the typical decay rate will grow as well, as expected. This will be analyzed in more detail further below.

Now all the boson modes couple to all the bath oscillators. We retain the definition of oscillator annihilation operators \hat{d}_j given in Eq. (A1) for the bath modes $j \geq 1$, while the boson modes are now defined to have negative indices, $j = -q \leq -1$, with $\hat{d}_{-q} \equiv \hat{b}_q$ and $\Omega_{-q} \equiv q\omega_0$ for $q \geq 1$ (there is no $j = 0$ mode in this notation). Using Eq. (A1), this definition leads to $\sqrt{q}(\hat{b}_q + \hat{b}_q^\dagger) = q\sqrt{2m\omega_0} \hat{Q}_{-q}$. The resulting problem of coupled oscillators may again be written in the form of Eq. (A2). However, now the ‘‘perturbation’’ matrix V that couples boson modes and bath oscillators has the following nonvanishing entries ($j, q \geq 1$):

$$V_{-q,j} = V_{j,-q} = f_q q \cdot \frac{g}{m} \sqrt{\frac{N}{N_B}}. \quad (77)$$

After diagonalizing the problem with an orthogonal matrix C (compare Appendix B, Eqs. (A3)-(A6)), the required correlators of boson modes, of the type $\langle \hat{b}_{q'}(t) \hat{b}_q^\dagger \rangle$, can be calculated exactly as before. Now the interaction with the bath also mixes different boson modes. Therefore, we may have $q' \neq q$ in general. The appropriate generalization of the definition for the spectral weight W (Eq. (27)) is

$$W_{q'q}(\omega) \equiv \sum_{j \neq 0} C_{-q',j} C_{-q,j} \delta(\omega - \tilde{\Omega}_j). \quad (78)$$

At $T = 0$, we obtain, using Eq. (A7) (in analogy to (26) or (29)):

$$\begin{aligned} \langle \hat{b}_{q'}(t) \hat{b}_q^\dagger \rangle &= \frac{1}{4} \int_0^\infty W_{q'q}(\omega) e^{-i\omega t} \times \\ &\left(\sqrt{\frac{q'\omega_0}{\omega}} + \sqrt{\frac{\omega}{q'\omega_0}} \right) \left(\sqrt{\frac{q\omega_0}{\omega}} + \sqrt{\frac{\omega}{q\omega_0}} \right) d\omega. \end{aligned} \quad (79)$$

Analogous expressions hold for the other correlators: The sign in the first bracket on the r.h.s., involving q' , is positive (negative) if the corresponding operator on the l.h.s. annihilates (creates) a particle, the rule for the sign in the second bracket is the opposite (compare previous Eqs. (28), (29), and (30)). The actual calculation of $W_{q'q}(\omega)$ with the help of the resolvent is described in Appendix D.

The general expressions for the Green's functions still look like before (see Eqs. (20), (21), (22), (24) for the single-particle Green's function). However, now the evaluation of the correlator (24) of $\hat{\phi}$ will yield contributions for all combinations of q, q' :

$$\begin{aligned} &\langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle \\ &= - \sum_{q, q'=1}^{\infty} \frac{1}{\sqrt{q'q}} \langle (e^{iq'x'} \hat{b}_{q'}(t) - h.c.)(e^{iqx} \hat{b}_q - h.c.) \rangle \end{aligned} \quad (80)$$

Together with the results for the \hat{b}_q -correlators, Eq. (81), this can be used to evaluate the Green's function, which would work exactly as before in principle (expanding the exponential in terms of $\exp(ix)$, $\exp(ix')$). However, now one would have to deal with far more terms. We have not carried through this calculation in the general case so far.

Nevertheless, interesting behaviour of the fermions is already found in the weak-coupling limit. In this limit, we neglect the effective coupling between boson modes that has been induced by the bath (see Appendix D) and describe the correlator of each boson mode separately as a damped oscillation, with:

$$\langle \hat{b}_q(t) \hat{b}_q^\dagger \rangle \approx e^{-i(q\omega_0 + \text{Re } \delta\Omega_{-q})t - \text{Im } \delta\Omega_{-q}|t|}. \quad (81)$$

Here the complex-valued frequency shift $\delta\Omega_{-q}$ is given by Eq. (D9) of Appendix D. In particular, the decay rate is

$$\text{Im } \delta\Omega_{-q} = qf_q^2 \frac{N}{m\omega_0} \frac{\pi}{2} \langle \hat{F}\hat{F} \rangle_{q\omega_0}. \quad (82)$$

As expected, this is equal to one-half the decay rate Γ_q given in Eq. (76), which describes decay of populations (rather than Green's functions).

For the special case of the Ohmic bath spectrum, Eq. (6), (and with constant $f_q = 1$), we obtain a decay rate rising quadratically with excitation q ,

$$\text{Im } \delta\Omega_{-q} = q^2 \frac{N\gamma}{2}, \quad (83)$$

while the frequency shift is linear in q , up to corrections on the order of $(q\omega_0/\omega_c)^2$:

$$\begin{aligned} \text{Re } \delta\Omega_{-q} &= \frac{qN\gamma}{\pi\omega_0} \left\{ -\omega_c + \frac{q\omega_0}{2} \ln \frac{1 + (q\omega_0)/\omega_c}{1 - (q\omega_0)/\omega_c} \right\} \\ &\approx -q \frac{\omega_c}{\omega_0} \frac{N\gamma}{\pi}. \end{aligned} \quad (84)$$

These expressions hold for $q\omega_0$ in the ‘‘scaling’’ region, where the bath spectrum rises linearly with frequency (in our case, for the sharp cutoff, $q\omega_0 < \omega_c$). The last approximation requires $q\omega_0 \ll \omega_c$.

The linear rise of the frequency shift with q will prove convenient in the following calculation, since it implies that the original frequency ω_0 is just shifted by $-(\omega_c/\omega_0)N\gamma/\pi$, for all boson modes with sufficiently small q . Concerning the decay rate, the quadratic increase is expected, since in a naive Golden Rule picture the decay of a particle from state $n_F + \delta n + 1$ should be produced by all the transitions from $q = 1$ up to $q = \delta n$. Adding up their rates (which grow like $\langle \hat{F}\hat{F} \rangle_{q\omega_0} \propto q$ in the case of the Ohmic bath) leads to a total rate $\propto \delta n^2$, which corresponds to the decay rate of the highest boson mode that is excited by adding this particle.

We will now confirm this qualitative picture by analyzing the weak-coupling form of the single-particle Green's function for the Ohmic bath, at $T = 0$ (with $f_q \equiv 1$ up to some cutoff). We must assume the weak-coupling result to be a good approximation for all q . The approximations introduced above yield:

$$\langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle \approx \sum_{q=1}^{\infty} \frac{1}{q} e^{iq(x'-x)} e^{-i\omega'_0 q t} e^{-N\gamma q^2 |t|/2}. \quad (85)$$

Here the shifted frequency is given by $\omega'_0 \equiv \omega_0 - (\omega_c/\omega_0)N\gamma/\pi$. Note that, according to the discussion above, the simple form of the correlator used here will be valid only for sufficiently small q , where the conditions $q\omega_0 \ll \omega_c$, $f_q = 1$ hold. In spite of this, we have extended the sum in (85) over all q . We have been able to do so because only q -values up to the excitation level δn will enter the end-result to be derived below. The condition about q being small is therefore a condition on the excitation considered.

By inserting (85) into the exponential (22) and expanding it in the usual way, we obtain for the particle propagator in this approximation:

$$\begin{aligned} &\langle \hat{c}_{n_F+1+\delta n}(t) \hat{c}_{n_F+1+\delta n}^\dagger \rangle = e^{-i\omega_0(n_F+1)t} \times \\ &e^{-i\omega'_0 \delta n t} \sum_{m=1}^{\delta n} \frac{1}{m!} \sum_{q_1, \dots, q_m} \frac{1}{q_1 \dots q_m} e^{-N\gamma(q_1^2 + \dots + q_m^2)|t|/2} \end{aligned} \quad (86)$$

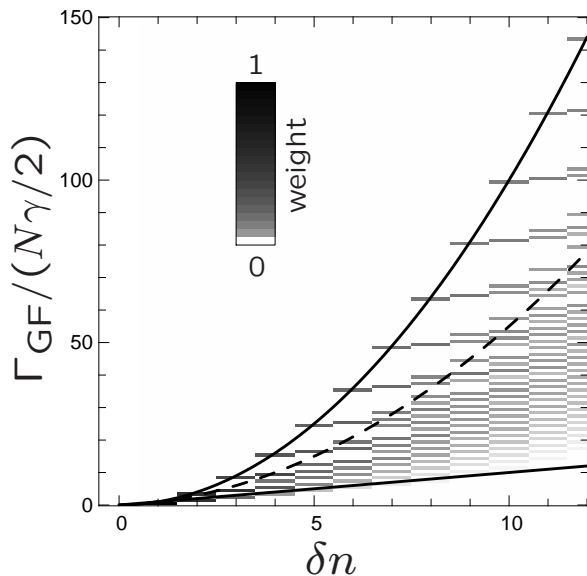


Figure 9: Distribution of decay rates Γ_{GF} entering the Green's function in the weak-coupling approximation given by Eq. (86), for the Ohmic bath at $T = 0$, where the bath connects arbitrary oscillator levels. Decay rates are plotted as a function of excitation level δn , and the grey level visualizes the weight in Eq. (86). The full lines represent lower and upper bounds $N\gamma\delta n/2$ and $N\gamma\delta n^2/2$, while the dashed line is $N\gamma\delta n(\delta n + 1)/4$.

Here the sum over q_1, \dots, q_m is restricted by $\sum_{j=1}^m q_j = \delta n$ and $q_j \geq 1$. Therefore, we obtain contributions from decay rates between $(N\gamma/2)\delta n$ and $(N\gamma/2)\delta n^2$. The naive calculation (applying Golden Rule to Eq. (75) and adding up all the transitions that are not blocked by the Pauli principle) would give the following decay rate for the population of level $n_F + 1 + \delta n$:

$$2\pi \frac{N}{2m\omega_0} \sum_{q=1}^{\delta n} \langle \hat{F}\hat{F} \rangle_{q\omega_0}^{T=0} = N\gamma \delta n(\delta n + 1)/2. \quad (87)$$

In order to compare with the decay rate of the Green's function, we divide by 2 and obtain $(N\gamma/2)\delta n(\delta n + 1)/2$, which is between the lower and upper bounds derived in Eq. (86). The distribution of decay rates, involving the proper weights from (86), is plotted in Fig. 9.

The same approximation can be used to obtain a weak-coupling version of the two-particle Green's function (and, thus, the time-evolution of the reduced single-particle density matrix, compare Sec. VII). The detailed steps of the evaluation are explained in Appendix E. An example of the resulting time-evolution of the populations is shown in Fig. 10: The relaxation towards the $N + 1$ -particle ground state is complete, the system is ergodic. At intermediate times, heating of the Fermi surface is also observed, although it is much less pronounced than in the example of Fig. 7.

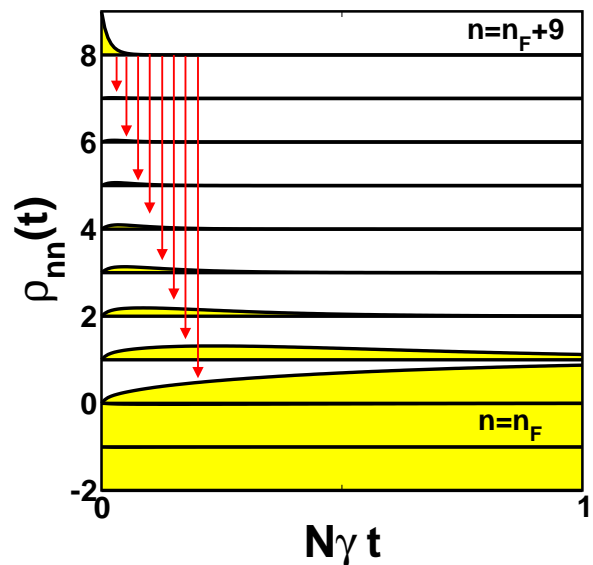


Figure 10: Decay of populations for a bath that induces transitions between levels that are arbitrarily far apart (indicated by arrows); calculated in the weak-coupling approximation explained in the text, for the Ohmic bath at $T = 0$ (see Section VIII and Appendix E).

IX. CONCLUSIONS

We have analyzed a model system of fermions in a harmonic oscillator, that are subject to a fluctuating force deriving from a linear bath of oscillators. The force couples to the center-of-mass motion of the fermions. This is a generalization of the Caldeira-Leggett model of a single particle in a damped harmonic oscillator, and it is, in principle, exactly solvable. However, here we have analyzed the limit of large particle numbers, using the method of bosonization, i.e. we have considered particle-hole excitations around the Fermi surface. One of the boson modes corresponds to the center-of-mass motion, experiencing dephasing and damping, while the others belong to the relative motion which forms a kind of “decoherence-free subspace”. We have derived exact (for $N \rightarrow \infty$) analytic expressions for Green's functions, that can be obtained from the coefficients of a power series expansion. These have been evaluated with the help of a symbolic computer algebra program. The single-particle Green's function has been discussed in detail, showing the smearing of the Fermi surface, the effect of Pauli blocking, the dependence of level shapes on the bath spectrum and the coupling strength, as well as the appearance of coherent peaks, due to the relative motion. Based on the expression for the two-particle Green's function, we have analyzed the decay of an excited state created by adding one particle above the Fermi surface, where one can observe the “heating” around the Fermi surface (due to the effective interaction between particles), as well as the incomplete decay of the excited particle. We have

provided simplified expressions for the limiting case of high initial excitation energy. In addition, we have discussed the time-evolution of the single-particle density matrix for an extra particle that has been added in a coherent superposition of excited states. Finally, we have extended our analysis to a more general coupling, where the bath induces transitions between oscillator levels that are not adjacent. In that case, the influence of the Pauli principle becomes even more pronounced.

Acknowledgments

We thank C. Bruder, W. Belzig, H. Grabert, D. Loss and A. Zaikin for comments and discussions. The work of F.M. has been supported by the Swiss NSF and a DFG grant.

Appendix A: COUPLED OSCILLATOR PROBLEM

We define the annihilation operators of the bath modes (for $j \geq 1$) as

$$\hat{d}_j = \sqrt{\frac{m\Omega_j}{2}} \left(\hat{Q}_j + i \frac{\hat{P}_j}{m\Omega_j} \right) \Rightarrow \hat{Q}_j = (\hat{d}_j + \hat{d}_j^\dagger) / \sqrt{2m\Omega_j}, \quad (\text{A1})$$

and $\hat{d}_0 \equiv \hat{b}_1$, which makes \hat{Q}_0 and \hat{P}_0 the canonical variables of the c.m. mode and the coupling in Eq. (12) equal to $\sqrt{N}\hat{F}\hat{Q}_0$.

We now have to diagonalize the following quadratic Hamiltonian, according to Eqs. (12) and (5):

$$\hat{H}' = \frac{1}{2m} P^t P + \frac{m}{2} Q^t (\Omega^2 + V) Q. \quad (\text{A2})$$

Here P and Q are (column) vectors, with operator entries \hat{P}_j, \hat{Q}_j ($j = 0 \dots N_B$), where $j > 0$ refers to the bath oscillators, and $j = 0$ refers to the $q = 1$ mode. The diagonal matrix Ω^2 contains the eigenvalues Ω_j^2 of the original problem (with $\Omega_0 \equiv \omega_0$) and V is the coupling matrix, with the nonvanishing entries $V_{j0} = V_{0j} = (g/m)\sqrt{N/N_B}$ ($j > 0$).

We denote by C the orthogonal matrix that diagonalizes the problem of coupled oscillators defined in (A2), such that

$$C^t C = 1, C^t (\Omega^2 + V) C = \tilde{\Omega}^2, \quad (\text{A3})$$

with a diagonal matrix $\tilde{\Omega}^2$ containing the new eigenfrequencies. Then we can express the old coordinates, momenta and boson operators in terms of the new normal modes:

$$Q = C\tilde{Q} \quad (\text{A4})$$

$$P = C\tilde{P} \quad (\text{A5})$$

$$\tilde{d} = \sqrt{\frac{m\tilde{\Omega}}{2}} \left(\tilde{Q} + i \frac{\tilde{P}}{m\tilde{\Omega}} \right), \quad (\text{A6})$$

where \tilde{d} denotes a column vector whose entries are the operators \tilde{d}_j . In order to evaluate the correlator of the c.m. mode, we have to relate the old annihilation operators \hat{d}_j to the new ones. This is accomplished by inserting the relations (A4), (A5) into (A1) and expressing \tilde{Q}, \tilde{P} by \tilde{d} according to (A6). In matrix notation, the result reads:

$$\begin{aligned} d &= \sqrt{\frac{m\Omega}{2}} \left(Q + i \frac{P}{m\Omega} \right) = \\ &= \frac{1}{2} \left(\sqrt{\Omega} C \frac{1}{\sqrt{\tilde{\Omega}}} - \frac{1}{\sqrt{\tilde{\Omega}}} C \sqrt{\tilde{\Omega}} \right) \tilde{d}^\dagger + \\ &= \frac{1}{2} \left(\sqrt{\Omega} C \frac{1}{\sqrt{\tilde{\Omega}}} + \frac{1}{\sqrt{\tilde{\Omega}}} C \sqrt{\tilde{\Omega}} \right) \tilde{d}. \end{aligned} \quad (\text{A7})$$

Here \tilde{d}^\dagger is to be understood as the column-vector containing the hermitian conjugate operators as entries. Correlators of the form $\langle \hat{b}_1(t) \hat{b}_1^\dagger \rangle$ are then obtained by inserting the result for $\hat{d}_0 = \hat{b}_1$ and using the time-evolution of the ‘‘good’’ boson operators $\tilde{d}(t) = e^{-i\tilde{\Omega}t} \tilde{d}$, as well as their thermal equilibrium expectation values:

$$\begin{aligned} \langle \hat{b}_1(t) \hat{b}_1 \rangle &= \langle \hat{d}_0(t) \hat{d}_0 \rangle = \\ &= \frac{1}{4} \left\langle \left[\left(\sqrt{\Omega} C \frac{1}{\sqrt{\tilde{\Omega}}} + \frac{1}{\sqrt{\tilde{\Omega}}} C \sqrt{\tilde{\Omega}} \right) \tilde{d}(t) \right]_0 \times \right. \\ &\quad \left. \left[\left(\sqrt{\Omega} C \frac{1}{\sqrt{\tilde{\Omega}}} - \frac{1}{\sqrt{\tilde{\Omega}}} C \sqrt{\tilde{\Omega}} \right) \tilde{d}^\dagger \right]_0 \right\rangle = \\ &= \frac{1}{4} \sum_{j=0}^{N_B} C_{0j}^2 \left(\frac{\omega_0}{\tilde{\Omega}_j} - \frac{\tilde{\Omega}_j}{\omega_0} \right) e^{-i\tilde{\Omega}_j t}. \end{aligned} \quad (\text{A8})$$

At $T > 0$ we would have to consider $\langle \tilde{d}_j^\dagger(t) \tilde{d}_j \rangle \neq 0$ as well.

We now turn to the actual diagonalization of the problem defined in Eq. (A2), i.e. of $\Omega^2 + V$ with $V_{0j} = V_{j0} = (g/m)\sqrt{N/N_B}$ for $j > 0$ and all other entries of V equal to zero. In fact, for our purposes we only need the spectral weight of the mode $j = 0$ of the original problem in terms of the new eigenmodes and eigenfrequencies:

$$W(\omega) = \sum_{j=0}^{N_B} C_{0j}^2 \delta(\omega - \tilde{\Omega}_j). \quad (\text{A9})$$

This is related directly to the resolvent

Appendix B: TWO-PARTICLE GREEN'S FUNCTION

$$R(\epsilon) = \left[\frac{1}{\epsilon - (\Omega^2 + V)} \right]_{00}, \quad (\text{A10})$$

since

$$R(\epsilon - i0) - R(\epsilon + i0) = 2\pi i \sum_{j=0}^{N_B} C_{0j}^2 \delta(\epsilon - \tilde{\Omega}_j^2), \quad (\text{A11})$$

where $\tilde{\Omega}_j^2$ is the j -th eigenvalue of the matrix $\Omega^2 + V$. Therefore, we have

$$W(\omega) = \frac{\omega}{\pi i} (R(\omega^2 - i0) - R(\omega^2 + i0)) \theta(\omega), \quad (\text{A12})$$

where we used $\delta(\omega - \tilde{\Omega}_j) = 2\omega\theta(\omega)\delta(\omega^2 - \tilde{\Omega}_j^2)$.

The evaluation of the resolvent $R(\epsilon)$ is straightforward, because the ‘‘perturbation’’ V only connects 0 and $j > 0$. Using $G^{-1} = \epsilon - \Omega^2$, we get

$$\begin{aligned} R(\epsilon) &= [G + GVG + GVGVG + \dots]_{00} \\ &= G_{00} \sum_{n=0}^{\infty} \left(\sum_{j=1}^{N_B} V_{j0}^2 G_{jj} G_{00} \right)^n \\ &= \left[\epsilon - \Omega_0^2 - \sum_{j>0} \frac{V_{j0}^2}{\epsilon - \Omega_j^2} \right]^{-1}. \end{aligned} \quad (\text{A13})$$

In the continuum limit, we may use Eq. (5) to evaluate

$$\begin{aligned} \sum_{j>0} \frac{V_{j0}^2}{\epsilon + i\delta - \Omega_j^2} &= 2 \frac{N}{m} \int_0^\infty \frac{\langle \hat{F} \hat{F} \rangle_\omega^{T=0}}{\epsilon + i\delta - \omega^2} \omega d\omega \\ &\equiv \Delta(\epsilon) - \frac{i}{2} \Gamma(\epsilon) \text{sgn}(\delta). \end{aligned} \quad (\text{A14})$$

Here the definitions

$$\Gamma(\epsilon) = 2\pi \frac{N}{m} \langle \hat{F} \hat{F} \rangle_{\sqrt{\epsilon}}^{T=0} \theta(\epsilon) \quad (\text{A15})$$

$$\Delta(\epsilon) = \frac{1}{2\pi} \int \frac{\Gamma(\nu)}{\epsilon - \nu} d\nu. \quad (\text{A16})$$

have been introduced. (where a principal value integral is implied in the last line). Using this, we finally obtain (with (A12)-(A14)):

$$W(\omega) = \frac{\omega}{\pi} \theta(\omega) \frac{\Gamma(\omega^2)}{(\omega^2 - \omega_0^2 - \Delta(\omega^2))^2 + (\Gamma(\omega^2)/2)^2}. \quad (\text{A17})$$

The fermion operators \hat{c}_n in the oscillator are related to the auxiliary fermion operators $\hat{\psi}(x)$ via Eq. (14). Therefore, the special two-particle Green's function we need is given by:

$$\begin{aligned} &\langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_n^\dagger(0) \rangle = \\ &\frac{1}{(2\pi)^2} \int dx dx' dy dy' e^{i(l'x' + n'y' - lx - ny)} \times \\ &\langle \hat{\psi}(y, 0) \hat{\psi}^\dagger(x', t) \hat{\psi}(x, t) \hat{\psi}^\dagger(y', 0) \rangle \end{aligned} \quad (\text{B1})$$

We use the expression of $\hat{\psi}$ in terms of the boson field $\hat{\phi}$, Eq. (16), to obtain (compare the single-particle expressions Eqs. (21), (22)):

$$\begin{aligned} &\langle \hat{\psi}(y, 0) \hat{\psi}^\dagger(x', t) \hat{\psi}(x, t) \hat{\psi}^\dagger(y', 0) \rangle = \\ &\frac{1}{(2\pi)^2} e^{i(n_F+1)(x+y-x'-y')} e^{E_{(2)}}, \end{aligned} \quad (\text{B2})$$

with the exponent

$$\begin{aligned} E_{(2)} &\equiv \langle \hat{\phi}(y, 0) \hat{\phi}(x', t) \rangle - \langle \hat{\phi}(y, 0) \hat{\phi}(x, t) \rangle + \\ &\langle \hat{\phi}(y, 0) \hat{\phi}(y', 0) \rangle + \langle \hat{\phi}(x', t) \hat{\phi}(x, t) \rangle - \\ &\langle \hat{\phi}(x', t) \hat{\phi}(y', 0) \rangle + \langle \hat{\phi}(x, t) \hat{\phi}(y', 0) \rangle - \\ &\frac{1}{2} (C_x + C_y + C_{x'} + C_{y'}). \end{aligned} \quad (\text{B3})$$

Here we have introduced $C_x \equiv \langle \hat{\phi}(x, 0)^2 \rangle - \langle \hat{\phi}(x, 0)^2 \rangle_{(0)}$, where the second correlator refers to the non-interacting case (without bath) and stems from the factor r (see Eq. (16)).

Once again, we restrict the further evaluation to the special case of $T = 0$, where some of the expressions become slightly simpler. We express the nontrivial c.m. mode contribution to the correlator of $\hat{\phi}$ in terms of the $\hat{b}_1^{(\dagger)}$ -correlators $\alpha(t)$, $\beta_\pm(t)$ introduced above (see Eqs. (29), (30) and (34)). Since the two-particle Green's function involving the oscillator fermion operators \hat{c}_n is related to that of the auxiliary fermion operators $\hat{\psi}$ by means of a Fourier integral over the coordinates x, y, x', y' (cf. Eq. (B1)), we find it convenient to introduce the following abbreviations (similar to our approach for the single-particle Green's function):

$$X^{(\prime)} \equiv e^{ix^{(\prime)}}, \quad Y^{(\prime)} \equiv e^{iy^{(\prime)}} \quad (\text{B4})$$

Then we obtain

$$C_x = \beta_+(0) + \beta_-(0) - 1 - \alpha(0)(X^2 + X^{-2}), \quad (\text{B5})$$

as well as the form of the $\hat{\phi}$ -correlator given already in Eq. (36).

Therefore, the exponent $E_{(2)}$ (B3) is given as a sum of terms containing α, β_{\pm} and binomials constructed out of X, X', Y and Y' , as well as non-interacting contributions $\langle \hat{\phi}(x', t) \hat{\phi}(x, 0) \rangle_{(0)}$, that also contain higher powers of these variables (see Eq. (37)). According to Eq. (B1), the two-particle Green's function

$$\langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle \quad (\text{B6})$$

is obtained by expanding $\exp(E_{(2)})$ in a power series with respect to X, X', Y and Y' , and reading off the coefficient in front of the component $X^{\delta l} X'^{-\delta l'} Y^{\delta n} Y'^{-\delta n'}$, where $\delta l \equiv l - (n_F + 1)$ etc. Using (B1), (B2) and (B3), as well as splitting off non-interacting terms, we find that the expression to be expanded can be written in the following form:

$$A_0 e^{\tilde{E}}. \quad (\text{B7})$$

Here A_0 yields the correct result for the non-interacting case (cf. the application of Wick's theorem, Eq. (68)),

$$A_0 = \sum_{\tilde{l} < 0} \binom{X}{X'}^{\tilde{l}} \sum_{\tilde{n} \geq 0} \binom{Y}{Y'}^{\tilde{n}} + \sum_{\tilde{n}' \geq 0} \binom{X}{Y'}^{\tilde{n}'} \sum_{\tilde{n} \geq 0} \binom{Y}{X'}^{\tilde{n}} e^{i\omega_0(\tilde{n} - \tilde{n}')t}, \quad (\text{B8})$$

and the exponent \tilde{E} is a rather lengthy expression involving $\alpha(t), \alpha(-t) = \alpha^*(t), \beta_{\pm}(t), \beta_{\pm}(-t) = \beta_{\pm}^*(t)$ and binomials made out of X, X', Y and Y' :

$$\begin{aligned} \tilde{E} \equiv & \alpha(t) \left(X'Y' + \frac{1}{X'Y'} - XY' - \frac{1}{XY'} \right) + \\ & \alpha^*(t) \left(XY + \frac{1}{XY} - X'Y - \frac{1}{X'Y'} \right) - \\ & \alpha(0) \left(XX' + \frac{1}{XX'} + YY' + \frac{1}{YY'} \right) + \\ & \tilde{\beta}_+(t) \left(\frac{X}{Y'} - \frac{X'}{Y'} \right) + \tilde{\beta}_+^*(t) \left(\frac{Y}{X'} - \frac{Y}{X} \right) + \\ & \tilde{\beta}_+(0) \left(\frac{Y}{Y'} + \frac{X'}{X} \right) + \\ & \beta_-(t) \left(\frac{Y'}{X} - \frac{Y'}{X'} \right) + \beta_-^*(t) \left(\frac{X'}{Y} - \frac{X}{Y} \right) + \\ & \beta_-(0) \left(\frac{Y'}{Y} + \frac{X}{X'} \right) - \\ & \frac{1}{2} (C_x + C_y + C_{x'} + C_{y'}). \quad (\text{B9}) \end{aligned}$$

In this equation, we have employed the abbreviation $\tilde{\beta}_+(t) \equiv \beta_+(t) - e^{-i\omega_0 t}$. Note that \tilde{E} vanishes if the coupling to the bath is switched off.

Appendix C: LIMITING EXPRESSIONS FOR TWO-PARTICLE GREEN'S FUNCTION

Here we will show how to derive simplified expressions for the two-particle Green's function of the previous section, in the limit of weak coupling and for high initial excitation. We rewrite Eq. (B9) for the weak coupling case, setting $\alpha(t) = \beta_-(t) = 0, \beta_+(0) = 1$ and keeping only the (slowly decaying) $\beta_+(t)$ (compare the main text, Sec. VII, and the corresponding discussion for the single-particle case in Sec. IV):

$$\tilde{E} = \nu(t) \left(\frac{X}{Y'} - \frac{X'}{Y'} \right) + \nu^*(t) \left(\frac{Y}{X'} - \frac{Y}{X} \right). \quad (\text{C1})$$

Here we have set $\omega_0 = 0$, defining $\nu(t) = \tilde{\beta}_+(t) e^{i\omega_0 t} = e^{-i\delta\omega t - N\gamma t/2} - 1$. We will also set $\omega_0 = 0$ inside A_0 , because it can be checked that ω_0 enters the final density matrix only in the trivial form $\exp(i\omega_0(n - n')t)$. In the following, we will shift indices, denoting $\delta l \equiv l - (n_F + 1)$ by l , for brevity. Our task then is to obtain the coefficient of $X^l X'^{-l'} Y^n Y'^{-n'}$ in the expansion of $A_0 \exp \tilde{E}$.

Let us turn first to the product of the second part of A_0 (see Eq. (B8)) with the exponential $\exp(\tilde{E})$. As we will see, this describes the decay of the excited state, while the first part will be connected to heating around the Fermi surface. Performing the expansion leads to a coefficient

$$\sum \frac{\nu^{m_1+m_2} \nu^{*\tilde{m}_1+\tilde{m}_2}}{m_1! m_2! \tilde{m}_1! \tilde{m}_2!} (-1)^{m_2+\tilde{m}_2}, \quad (\text{C2})$$

where the summation is over all $m_{1,2}, \tilde{m}_{1,2}, \tilde{n}, \tilde{n}' \geq 0$, subject to the constraints:

$$l = \tilde{n}' + m_1 - \tilde{m}_2 \quad (\text{C3})$$

$$l' = \tilde{n} + \tilde{m}_1 - m_2 \quad (\text{C4})$$

$$n = \tilde{n} + \tilde{m}_1 + \tilde{m}_2 \quad (\text{C5})$$

$$n' = \tilde{n}' + m_1 + m_2. \quad (\text{C6})$$

This requires $n - l' = n' - l = m = m_2 + \tilde{m}_2 \geq 0$, i.e. the Green's function vanishes unless $(l, l') = (n', n) - m(1, 1)$ for some $m \geq 0$, corresponding to the total energy lost to the bath (in units of $\hbar\omega_0$). If the given l, l', n, n' obey this relation, then we are left with only three equations for six summation indices. The equations $0 \leq \tilde{n} = l' + m_2 - \tilde{m}_1$ and $0 \leq \tilde{n}' = l + \tilde{m}_2 - m_1$ can be fulfilled if $\tilde{m}_1 \leq l' + m_2$ and $m_1 \leq l + \tilde{m}_2$, respectively. The third equation is $m_2 + \tilde{m}_2 = m$. Thus, we have a sum running over $m_2 = 0 \dots m, m_1 = 0 \dots l + \tilde{m}_2$ and $\tilde{m}_1 = 0 \dots l' + m_2$, with $\tilde{m}_2 = m - m_2$:

$$(-1)^m \sum \frac{\nu^{m_1+m_2} \nu^{*\tilde{m}_1+\tilde{m}_2}}{m_1! m_2! \tilde{m}_1! \tilde{m}_2!}. \quad (\text{C7})$$

This coefficient depends on (m, l, l') . However, in the limit of a high initial excitation, $n, n', l, l' \gg 1$, we can extend the sums over m_1 and \tilde{m}_1 to infinity. This yields:

$$\rho_{\text{decay}}(m, t) \approx \frac{(-1)^m}{m!} (\nu(t) + \nu^*(t))^m e^{\nu(t) + \nu^*(t)}. \quad (\text{C8})$$

In this limit, the presently discussed part of the two-particle Green's function only depends on the net number of quanta m emitted into the bath, and its time-evolution is described by the simple expression (C8). For $t \rightarrow \infty$, we have $\nu(t) \rightarrow -1$, and thus $\rho_{\text{decay}}(m, t) \rightarrow \frac{2^m}{m!} e^{-2}$.

The product of the first part of A_0 with $\exp(\tilde{E})$ describes how some excitation above the Fermi surface is able to influence the states near the surface, by creating some ‘‘heating’’ (via the bath-induced interaction between particles). Again, expanding leads to a coefficient of the same form as Eq. (C2), but with different constraints:

$$l = \tilde{l} + m_1 - \tilde{m}_2 \quad (\text{C9})$$

$$l' = \tilde{l} + \tilde{m}_1 - m_2 \quad (\text{C10})$$

$$n = \tilde{n} + \tilde{m}_1 + \tilde{m}_2 \quad (\text{C11})$$

$$n' = \tilde{n} + m_1 + m_2, \quad (\text{C12})$$

where $\tilde{l} < 0$ and $\tilde{n} \geq 0$. We find $n - l' = n' - l = \tilde{n} - \tilde{l} + \tilde{m}_2 + m_2 > 0$. The conditions $\tilde{l} = l + \tilde{m}_2 - m_1 < 0$ and $\tilde{n} = n - (\tilde{m}_1 + \tilde{m}_2) \geq 0$ can be fulfilled if $m_1 > \tilde{m}_2 + l$ and $\tilde{m}_1 + \tilde{m}_2 \leq n$. Thus, the following sum runs over all $\tilde{m}_2 = 0 \dots n$, $\tilde{m}_1 = \max(0, l' + 1) \dots n - \tilde{m}_2$, $m_1 = \max(0, \tilde{m}_2 + l + 1) \dots l - l' + \tilde{m}_1 + \tilde{m}_2$, with $m_2 = \tilde{m}_1 + \tilde{m}_2 - m_1 + l - l'$:

$$\rho_{\text{heat}} = \nu^{l-l'} \sum \frac{(\nu \nu^*)^{\tilde{m}_1 + \tilde{m}_2}}{m_1! m_2! \tilde{m}_1! \tilde{m}_2!} (-1)^{m_2 + \tilde{m}_2}. \quad (\text{C13})$$

In the limit $n, n' \rightarrow \infty$, the sums over \tilde{m}_2 and \tilde{m}_1 become unbounded. Unfortunately, no further simplification is possible. Note that we are interested in small l, l' , describing states near the Fermi surface, which are affected by the high excitation at (n, n') . In this limit, the dependence on n, n' drops out, and we have $\rho_{\text{heat}} = \rho_{\text{heat}}(l, l', t)$.

Appendix D: RESOLVENT FOR ARBITRARY COUPLING BETWEEN LEVELS

For the case of damping of all boson modes (transitions with arbitrary energy transfer, see Sec. VIII), we introduce the resolvent

$$R_{q'q}(\epsilon) = \left[\frac{1}{\epsilon - (\Omega^2 + V)} \right]_{-q', -q}, \quad (\text{D1})$$

in terms of which the ‘‘spectral weight’’ $W_{q'q}(\omega)$ reads (for $\omega \geq 0$)

$$W_{q'q}(\omega) = \frac{2\omega}{\pi} \text{Im} R_{q'q}(\omega^2 - i0^+). \quad (\text{D2})$$

According to Eq. (77), the perturbation in the problem of coupled oscillators is given by $V_{-q,j} = V_{j,-q} = v f_{jq}$ ($q \geq 1, j \geq 1, v \equiv g\sqrt{N/N_B}/m$), i.e. it always leads to transitions between bath modes and boson modes (note there are no nonvanishing terms $V_{j,j}$ or $V_{-q,-q'}$). This allows us to employ a procedure similar to the previous one (Eq. (A13)), and to sum the geometric series, in order to obtain the following exact result for the resolvent:

$$R_{q'q}(\epsilon) = \delta_{q',q} G_{-q} + \frac{G_{-q'} G_{-q} q' q f_{q'} f_q}{\left(v^2 \sum_{j \geq 1} G_j \right)^{-1} - \sum_{\tilde{q} \geq 1} \tilde{q}^2 f_{\tilde{q}}^2 G_{-\tilde{q}}}. \quad (\text{D3})$$

Here $G_k \equiv G_{kk} = (\epsilon - \Omega_k^2)^{-1}$ is the unperturbed resolvent. The sum over bath modes $j \geq 1$ may be expressed in terms of the bath spectrum (see Eq. (5) in main text). This yields, finally:

$$R_{q'q}(\epsilon) = \frac{\delta_{q',q}}{\epsilon - (q\omega_0)^2} + \frac{f_{q'} q'}{\epsilon - (q'\omega_0)^2} \frac{f_q q}{\epsilon - (q\omega_0)^2} \times \left[\lambda^{-1} - \sum_{\tilde{q} \geq 1} \frac{f_{\tilde{q}}^2 \tilde{q}^2}{\epsilon - (\tilde{q}\omega_0)^2} \right]^{-1}. \quad (\text{D4})$$

We have defined:

$$\lambda \equiv \frac{2N}{m} \int_0^\infty d\omega \frac{\omega \langle \hat{F} \hat{F} \rangle_\omega^{T=0}}{\epsilon - \omega^2}. \quad (\text{D5})$$

The steps up to this point correspond to integrating out the bath, which leads to a problem of coupled, damped boson modes (whose spectrum is given indirectly, via the poles of the resolvent).

In order to analyze the weak-coupling limit of this expression, we treat λ as small. Then we obtain the following result for the off-diagonal terms of the resolvent ($q' \neq q$), which describe the induced coupling between the boson modes:

$$R_{q'q}(\epsilon) \approx \lambda f_{q'} f_q q' q \frac{1}{\epsilon - (q'\omega_0)^2 - \lambda f_{q'}^2 q'^2} \times \frac{1}{\epsilon - (q\omega_0)^2 - \lambda f_q^2 q^2}. \quad (\text{D6})$$

This expression has been derived by keeping only the poles near $q\omega_0$ and $q'\omega_0$ and neglecting terms of order λ^2 in the denominator of Eq. (D4). The prefactor λ makes these off-diagonal contributions small for small system-bath coupling.

On the other hand, the diagonal contributions may be approximated as:

$$R_{qq}(\epsilon) \approx \frac{1}{\epsilon - (q\omega_0)^2 - \lambda f_q^2 q^2}. \quad (\text{D7})$$

Here the approximation consisted in dropping poles other than that at $q\omega_0$ in the sum over \tilde{q} in expression (D4).

Inserting this approximation (D7) into Eq. (D2) for W_{qq} , we obtain:

$$\begin{aligned} W_{qq}(\omega) &\approx \frac{2\omega}{\pi} \text{Im} (\omega^2 - i0^+ - (q\omega_0)^2 - \lambda f_q^2 q^2)^{-1} \\ &\approx \frac{1}{\pi} \frac{-\text{Im} \delta\Omega_{-q}}{(\omega - q\omega_0 - \text{Re} \delta\Omega_{-q})^2 + (\text{Im} \delta\Omega_{-q})^2}. \end{aligned} \quad (\text{D8})$$

Here, λ in the first line is to be evaluated at $\epsilon = \omega^2 - i0^+$. In the second line, we have furthermore approximated $W_{qq}(\omega)$ as a Lorentz peak of width $\text{Im} \delta\Omega_{-q}$, where the complex frequency shift $\delta\Omega_{-q}$ of boson mode q is given as:

$$\delta\Omega_{-q} \equiv qf_q^2 \frac{N}{m\omega_0} \int_0^\infty d\omega \frac{\omega \langle \hat{F}\hat{F} \rangle_\omega^{T=0}}{(q\omega_0)^2 - i0^+ - \omega^2}. \quad (\text{D9})$$

The Fourier transform of the weak-coupling result (D8) for W_{qq} is, therefore, an exponentially decaying oscillation, $\exp[-i(q\omega_0 + \text{Re} \delta\Omega_{-q})t - \text{Im} \delta\Omega_{-q}|t|]$.

Appendix E: EVALUATION OF TWO-PARTICLE GREEN'S FUNCTION

In this appendix, we show the detailed steps needed in the actual numerical evaluation of a two-particle Green's function. We do this for the case of coupling between arbitrary levels (see section VIII), for the Ohmic bath at $T = 0$, evaluated in the weak-coupling approximation given by Eq. (85). The resulting approximation for the two-particle Green's function can be obtained by inserting Eq. (85) of the main text into the expression for the exponent $E_{(2)}$ (Eq. (B3) of Appendix B, where $C_x = C_{x'} = C_y = C_{y'} = 0$ in the weak-coupling case). In writing down the result, we use the abbreviations $w \equiv \exp(-i\omega'_0 t)$, $u \equiv \exp(-\tilde{\gamma}|t|)$ (and $X \equiv \exp(ix)$ etc., as before), as well as:

$$A_q \equiv \frac{1}{q} \left(\frac{Y}{X'} \frac{1}{w} \right)^q u^{q^2} \quad (\text{E1})$$

$$B_q \equiv -\frac{1}{q} \left(\frac{Y}{X} \frac{1}{w} \right)^q u^{q^2} \quad (\text{E2})$$

$$C_q \equiv -\frac{1}{q} \left(\frac{X'}{Y'} w \right)^q u^{q^2} \quad (\text{E3})$$

$$D_q \equiv \frac{1}{q} \left(\frac{X}{Y'} w \right)^q u^{q^2}. \quad (\text{E4})$$

Then we have:

$$\begin{aligned} E_{(2)} &\approx \sum_{q=1}^{\infty} A_q + B_q + C_q + D_q \\ &+ \sum_{q=1}^{\infty} \frac{1}{q} \left\{ \left(\frac{Y}{Y'} \right)^q + \left(\frac{X'}{X} \right)^q \right\}. \end{aligned} \quad (\text{E5})$$

As explained in Appendix B, the two-particle Green's function

$$\langle \hat{c}_n(0) \hat{c}_l^\dagger(t) \hat{c}_l(t) \hat{c}_{n'}^\dagger(0) \rangle \quad (\text{E6})$$

is given by the coefficient of the term $X^{\delta l} X'^{-\delta l'} Y^{\delta n} Y'^{-\delta n'}$ in the series generated from $\exp(E_{(2)})$, where $\delta l \equiv l - (n_F + 1)$ etc.

First, the last sum in (E5) is split off from $E_{(2)}$ (as it does not depend on the time-dependent factors w and u). It yields:

$$\begin{aligned} &\exp \left[\sum_{q=1}^{\infty} \frac{1}{q} \left\{ \left(\frac{Y}{Y'} \right)^q + \left(\frac{X'}{X} \right)^q \right\} \right] = \\ &\left(1 - \frac{Y}{Y'} \right)^{-1} \left(1 - \frac{X'}{X} \right)^{-1} = \sum_{k_x, k_y=0}^{\infty} \left(\frac{Y}{Y'} \right)^{k_y} \left(\frac{X'}{X} \right)^{k_x} \end{aligned} \quad (\text{E7})$$

We denote by $K(\tilde{l}, \tilde{l}', \tilde{n}, \tilde{n}')$ the coefficient of $X^{\tilde{l}} X'^{-\tilde{l}'} Y^{\tilde{n}} Y'^{-\tilde{n}'}$ in the expansion of the remaining exponential $\exp(\tilde{E}_{(2)})$ (where that sum has been left out in the exponent). According to Eq. (E7), the desired coefficient of the *full* exponential $\exp(E_{(2)})$ is then given by summing the coefficients K over all $k_x, k_y \geq 0$ with

$$\tilde{l} = \delta l + k_x, \tilde{l}' = \delta l' + k_x, \tilde{n} = \delta n - k_y, \tilde{n}' = \delta n' - k_y, \quad (\text{E8})$$

for given $\delta l, \delta l', \delta n, \delta n'$.

Turning now to the evaluation of K itself, we have

$$e^{\sum_{q=1}^{\infty} A_q} = \prod_{q=1}^{\infty} \left(\sum_{\alpha_q=0}^{\infty} \frac{A_q^{\alpha_q}}{\alpha_q!} \right), \quad (\text{E9})$$

and analogous expressions for the other three contributions to $\exp(\tilde{E}_{(2)})$, with corresponding sets of exponents β_q, γ_q and δ_q .

We introduce $\alpha \equiv \sum_{q=1}^{\infty} q\alpha_q$, and analogous definitions for β, γ, δ . Then, by looking at Eqs. (E9) and the definitions (E1)-(E4), we find that the following relations have to be fulfilled in order to obtain the desired coefficient K of $X^{\tilde{l}}X'^{-\tilde{l}'}Y^{\tilde{n}}Y'^{-\tilde{n}'}$ in the expansion of $\exp(\tilde{E}_{(2)})$:

$$\tilde{l} = \delta - \beta, \tilde{l}' = \alpha - \gamma, \tilde{n} = \alpha + \beta, \tilde{n}' = \gamma + \delta \quad (\text{E10})$$

Thus, the coefficient K is calculated by adding up the following contribution for each set of exponents $\alpha_1, \alpha_2, \dots, \beta_1, \dots, \gamma_1, \dots, \delta_1, \dots \geq 0$ that fulfills Eq. (E10):

$$\frac{(-1)^{\sum_q \beta_q + \gamma_q}}{\left(\prod_q q^{\alpha_q} \alpha_q!\right) \left(\prod_q q^{\beta_q} \beta_q!\right) \left(\prod_q q^{\gamma_q} \gamma_q!\right) \left(\prod_q q^{\delta_q} \delta_q!\right)} u^{\sum_q q^2 (\alpha_q + \beta_q + \gamma_q + \delta_q)} w^{\gamma + \delta - \alpha - \beta}. \quad (\text{E11})$$

According to Eq. (E8), we have the upper bounds $\tilde{n} = \alpha + \beta \leq \delta n$ and $\tilde{n}' = \gamma + \delta \leq \delta n'$ (and, consequently from Eq. (E10), $|\tilde{l}|, |\tilde{l}'| \leq \max(\delta n, \delta n')$). Together with $\alpha_q, \beta_q, \gamma_q, \delta_q \geq 0$ and the definition of α , this means we have to consider only q -values up to $q = \delta n$ for α_q, β_q and $q = \delta n'$ for γ_q, δ_q ; all exponents for higher q must vanish. This justifies the use of the special Ohmic weak-coupling form (E7) of the exponent, as long as the initial excitation

(described by $\delta n, \delta n'$) is not too far from the Fermi level. For lower q , we have upper bounds of $q\alpha_q \leq \delta n$ (and so on).

In the actual numerical evaluation, the following procedure is used: Given a maximum excitation of δn_{\max} , a table of coefficients K for all possible values of $\alpha, \beta, \gamma, \delta = 0 \dots \delta n_{\max}$ is produced, by generating, for each set $(\alpha, \beta, \gamma, \delta)$ all possible $\alpha_1, \alpha_2, \dots, \beta_1, \dots, \gamma_1, \dots, \delta_1, \dots$ that fulfill $\alpha \equiv \sum_{q=1}^{\infty} q\alpha_q$ etc. and adding up the resulting contributions from (E11). Since w and u are actually functions of time, the contributions are not added up immediately but stored in a symbolic form, with the numerical prefactor, the u -exponent and the w -exponent as entries. The results may finally be used to calculate the two-particle Green's function, for any given $\delta l, \delta l', \delta n, \delta n' \leq \delta n_{\max}$ and any given time t . It is given by:

$$\sum_{k_x, k_y \geq 0} K(\tilde{l} = \delta l + k_x, \tilde{l}' = \delta l' + k_x, \tilde{n} = \delta n - k_y, \tilde{n}' = \delta n' - k_y), \quad (\text{E12})$$

where $\alpha, \beta, \gamma, \delta$ are obtained from \tilde{l} etc. by Eq. (E10). Note that, because of $\tilde{l}, \tilde{l}' \leq \max(\delta n, \delta n')$ and $\tilde{n}, \tilde{n}' \geq 0$, the summation variables k_x, k_y are also bounded from above.

* Electronic address: Florian.Marquardt@yale.edu
¹ U. Weiss: *Quantum Dissipative Systems*, World Scientific, Singapore (2000).
² A. O. Caldeira and A. J. Leggett, *Physica* **121A**, 587 (1983); *Phys. Rev. A* **31**, 1059 (1985).
³ A. O. Caldeira and A. J. Leggett, *Phys. Rev. A* **31**, 1059 (1985).
⁴ J. M. Wheatley, *Phys. Rev. Lett.* **67**, 1181 (1991). P. C. Howell and A. J. Schofield, *cond-mat/0103191*.
⁵ A. H. C. Neto, C. D. Chamon, C. Nayak, *Phys. Rev. Lett.* **79**, 4629 (1997).
⁶ W. Wonneberger, *Phys. Rev. A* **63**, 063607 (2001); G. Xianlong and W. Wonneberger, *Phys. Rev. A* **65**, 033610 (2002); G. Xianlong, F. Gleisberg, F. Lochmann, and W. Wonneberger, *Phys. Rev. A* **67**, 023610 (2003); G. Xianlong and W. Wonneberger, *J. Phys. B* **37**, 2363 (2004).
⁷ F. Marquardt and C. Bruder, *Phys. Rev. B* **65**, 125315 (2002).
⁸ D. Mentrup and J. Schnack, *Physica A* **326**, 370 (2003).
⁹ F. Guinea, R. A. Jalabert, and F. Sols, *cond-mat/0402277*; F. Guinea, *cond-mat/0406338*.
¹⁰ B. L. Altshuler, A. G. Aronov, and D. E. Khmelnitsky, *J. Phys. C Solid State* **15**, 7367 (1982); S. Chakravarty and A. Schmid, *Phys. Rep.* **140**, 195 (1986). A. Stern, Y.

Aharonov, and Y. Imry, *Phys. Rev. A* **41**, 3436 (1990); D. Cohen and Y. Imry, *Phys. Rev. B* **59**, 11143 (1999).
¹¹ D. S. Golubev and A. D. Zaikin, *Phys. Rev. B* **59**, 9195 (1999).
¹² J. v. Delft, in Proceedings of the EURESCO conference "Fundamental Problems of Mesoscopic Physics" (Granada/2003), *subm. to Annals of Physics* (2004).
¹³ F. Marquardt and D. S. Golubev, *quant-ph/0305098*, accepted in *Phys. Rev. Lett.* (2004).
¹⁴ J. v. Delft and H. Schoeller, *Annalen der Physik*, Vol. **4**, 225 (1998). H. Grabert, in "Exotic States in Quantum Nanostructures" ed. by S. Sarkar, Kluwer (2001).
¹⁵ V. Hakim and V. Ambegaokar, *Phys. Rev. A* **32**, 423 (1985).
¹⁶ Our Eq. (42), at $t = 0$, turns into Eq. (43) of the first of Ref. 6, if we set $\alpha_1^{\text{WW}} = -\alpha(0)$, $\gamma_1^{\text{WW}} = \beta_-(0)$, $N^{\text{WW}} = n_F$, and $M^{\text{WW}} = (n+n')/2$, $p^{\text{WW}} = n' - n$, where 'WW' refers to the notation used there. Note we used $1 + 0^+ - e^{-ix}$ in the denominator to regularize, and do not need the explicit $1/2$ of Ref.6.
¹⁷ K. Blum, *Density Matrix Theory and Applications*, Plenum, New York (1996).