

Silicon-organic hybrid (SOH) integration and photonic multi-chip systems: Technologies for high-speed optical interconnects

C. Koos^{1,2,*}, W. Freude¹, J. Leuthold³, L. R. Dalton⁴, S. Wolf¹, H. Zwickel^{1,2}, T. Hoose², M. R. Billah²,
M. Lauermann¹, C. Weimann¹, W. Hartmann^{1,2}, A. Melikyan², N. Lindenmann², S. Koeber^{1,2},
R. Palmer¹, D. Korn¹, L. Alloatti¹, A.-L. Giesecke⁶, T. Wahlbrink⁶

¹Institute of Photonics and Quantum Electronics (IPQ), Karlsruhe Institute of Technology (KIT), 76131 Karlsruhe, Germany

²Institute of Microstructure Technology (IMT), Karlsruhe Institute of Technology (KIT), 76131 Karlsruhe, Germany

³Now with: Institute of Electromagnetic Fields (IEF), Swiss Federal Institute of Technology (ETH), Zurich, Switzerland

⁴University of Washington, Department of Chemistry, Seattle, WA 98195-1700, United States

⁶AMO GmbH, 52074 Aachen, Germany

*email: christian.koos@kit.edu

Abstract— Limitations of silicon photonics can be overcome by hybrid integration of silicon photonic or plasmonic circuits with organic materials or by photonic multi-chip systems. We give an overview on our recent progress regarding both silicon-organic hybrid (SOH) integration and multi-chip integration enabled by photonic wire bonding.

Keywords — Silicon photonics, silicon-organic hybrid (SOH) integration, plasmonic-organic hybrid (POH) integration, multi-chip integration, photonic wire bonding, optical interconnects

SUMMARY

Silicon photonics shows tremendous potential for large-scale photonic-electronic integration by fabless fabrication of photonic and electronic circuits [1]. Silicon as an optical material, however, falls short of properties that are indispensable for high-performance devices: The indirect bandgap of crystalline silicon inhibits efficient light emission, and the inversion symmetry of the silicon crystal lattice prevents second-order optical nonlinearities, thereby making electro-optic modulators challenging. Our research focusses on hybrid integration concepts, which combine silicon photonic circuits with other material systems that provide complementary optical properties. In this paper, we give an overview on our recent progress in the fields of silicon-based hybrid integration and of photonic multi-chip integration.

To enable efficient electro-optic modulators on the silicon photonic platform, we exploit the concept of silicon-organic hybrid (SOH) integration that combines nanophotonic silicon waveguides with organic cladding materials [2] – [15]. This approach [2] leads to highly efficient devices, featuring energy consumptions of only a few fJ per bit [3], [4]. The response of the electro-optic materials is ultra-fast and enables small-signal modulation at 100 GHz [5], generation of 100 Gbit/s on-off-keying (OOK) signals [6], and symbol rates of 64 GBd

for multi-level signaling [7]. Moreover, we demonstrated generation of advanced modulation formats such as 16QAM using in-phase-quadrature (IQ-)modulators with record-low energy consumption and symbol rates (bit rates) of up to 40 GBd (160 Gbit/s) [8], [9]. We further show that the extraordinarily low operating voltage of SOH modulators allows operation of these devices directly from standard output ports of field-programmable gate arrays (FPGA), without the need for external amplifiers and digital-to-analog converters. Such schemes can be used even if higher-order modulation formats such as 16QAM are to be generated [10]. Moreover, we use SOH devices for generating broadband frequency combs, which are well suited as optical multi-wavelength sources for terabit/s transmission [11]. On the long run, organic electro-optic materials might be replaced by second-order nonlinear metamaterials that exploit highly stable inorganic ABC-type nanolaminates fabricated by atomic-layer deposition (ALD) [12].

The SOH approach is a versatile concept that goes far beyond electro-optic modulators. In particular, we have also shown that compact and power-efficient SOH phase shifters can be realized by using liquid crystals (LC) as cladding materials [13]. Moreover, optically pumped lasers have been demonstrated on the silicon photonic platform by using SOH waveguides that are based on dye-doped cladding materials [14]. These devices can only be operated in pulsed mode and lend themselves as sources in optical biosensors. We have also shown that the concept of SOH integration can be transferred to plasmonic waveguide structures, leading to plasmonic-organic hybrid (POH) devices [15]. We have demonstrated POH Mach-Zehnder modulators that feature a flat frequency response up to at least 65 GHz. Such devices will potentially open the route for modulation at THz frequencies [15].

The concepts of SOH and POH integration are complemented by photonic multi-chip integration that exploits photonic wire bonding [16] for assembling photonic systems from discrete dies fabricated on different material platforms [17], [18]. We have introduced photonic wire bonding and demonstrated its viability for chip-chip [16], [18] and fiber-chip [17] interfaces. The concept enables, e.g., hybrid multi-chip integration of silicon photonic circuitry along with InP-based light sources [18]. We believe that hybrid integration and photonic multi-chip systems are key concepts for highly scalable terabit/s photonic transceiver systems.

ACKNOWLEDGEMENTS

This work was supported by the European Research Council (ERC Starting Grant ‘EnTeraPIC’, number 280145), by the Alfried Krupp von Bohlen und Halbach Foundation, by the EU projects PhoxTroT and BigPipes, by the BMBF project PHOIBOS, by the Collaborative Research Centre “Wave-Phenomena” (CRC 1173) of Deutsche Forschungsgemeinschaft (DFG), by the Helmholtz International Research School for Tertronics (HIRST), by the Karlsruhe School of Optics and Photonics (KSOP), and by the Karlsruhe Nano-Micro Facility (KNMF).

REFERENCES

- [1] Sun, C.; Wade, M. T.; Lee, Y.; Orcutt, J. S.; Alloatti, L.; Georgas, M. S.; Waterman, A. S.; Shainline, M. S.; Avizienis, R. R.; Lin, S.; Moss, B. R.; Kumar, R.; Pavanello, F.; Atabaki, A. H.; Cook, H. M.; Ou, A. J.; Leu, J. C.; Chen, Y.-H.; Asanović, K.; Ram, R. J.; Popović, M. A.; Stojanović, V. M. ‘Single-chip microprocessor that communicates directly using light’ *Nature* **528**, 534–538 (2015)
- [2] Koos, C.; Leuthold, J.; Freude, W.; Kohl, M.; Dalton, L. R.; Bogaerts, W.; Giesecke, A.-L.; Lauermann, M.; Melikyan, A.; Koeber, S.; Wolf, S.; Weimann, C.; Muehlbrandt, S.; Koehnle, K.; Pfeifle, J.; Hartmann, W.; Kutuvantavida, Y.; Ummethala, S.; Palmer, R.; Korn, D.; Alloatti, L.; Schindler, P. C.; Elder, D. L.; Wahlbrink, T.; Bolten, J.: ‘Silicon-Organic Hybrid (SOH) and Plasmonic-Organic Hybrid (POH) Integration’, *J. Lightw. Technol.*, DOI: 10.1109/JLT.2015.2499763 (2016)
- [3] Palmer, R.; Koeber, S.; Elder, D. L.; Woessner, M.; Heni, W.; Korn, D.; Lauermann, D.; Bogaerts, W.; Dalton, L. R.; Freude, W.; Leuthold, J.; Koos, C.: ‘High-Speed, Low Drive-Voltage Silicon-Organic Hybrid Modulator based on a Binary-Chromophore Electro-Optic Material’, *J. Lightw. Technol.* **32**, 2726–2734 (2014)
- [4] Koeber, S.; Palmer, R.; Lauermann, M.; Heni, W.; Elder, D.; Korn, D.; Woessner, M.; Alloatti, L.; Koenig, S.; Schindler, P.-C.; Yu, H.; Bogaerts, W.; Dalton, L.-R.; Freude, W.; Leuthold, J.; Koos, C.: ‘Femtojoule electro-optic modulation using a silicon-organic hybrid device’, *Light: Science & Applications* **4**, e255 (2015)
- [5] Alloatti, L.; Palmer, R.; Diebold, S.; Pahl, K. P.; Chen, B.; Dinu, R.; Fournier, M.; Fedeli, J.-M.; Zwick, T.; Freude, W.; Koos, C.; Leuthold, J.: ‘100 GHz silicon-organic hybrid modulator’, *Light: Science & Applications* **3**, e173 (2014)
- [6] Hartmann, W.; Lauermann, M.; Wolf, S.; Zwickel, H.; Kutuvantavida, Y.; Luo, J.; Jen, A. K.-Y.; Freude, W.; Koos, C.: ‘100 Gbit/s OOK using a silicon-organic hybrid (SOH) modulator’, 41th European Conf. Opt. Commun. (ECOC’15), Valencia, Spain, Sept. 27 – Oct. 5, 2015. Paper PDP1.4
- [7] Lauermann, M.; Wolf, S.; Palmer, R.; Bielik, A.; Altenhain, L.; Lutz, J.; Schmid, R.; Wahlbrink, T.; Bolten, J.; Giesecke, A. L.; Freude, W.; Koos, C.: ‘64 GBd operation of a silicon-organic hybrid modulator at elevated temperature’, Optical Fiber Communication Conference (OFC’15), Los Angeles (CA), USA, 22.–26.03.2015. Paper Tu2A.5
- [8] Lauermann, M.; Palmer, R.; Koeber, S.; Schindler, P. C.; Korn, D.; Wahlbrink, T.; Bolten, J.; Waldow, M.; Elder, D. L.; Dalton, L. R.; Leuthold, J.; Freude, W.; Koos, C.: ‘Low-power silicon-organic hybrid (SOH) modulators for advanced modulation formats’, *Opt. Express* **22**, 29927–29936 (2014)
- [9] Lauermann, M.; Wolf, S.; Schindler, P.C.; Palmer, R.; Koeber, S.; Korn, D.; Alloatt, L.; Wahlbrink, T.; Bolten, J.; Waldow, M.; Koenigsmann, M.; Kohler, M.; Malsam, D.; Elder, D.L.; Johnston, P.V.; Phillips-Sylvain, N.; Sullivan, P.A.; Dalton, L. R.; Leuthold, J.; Freude, W.; Koos, C.: ‘40 GBd 16QAM Signaling at 160 Gbit/s in a Silicon-Organic Hybrid (SOH) Modulator’, *J. Lightw. Technol.* **33**, 1210–1216 (2015)
- [10] Wolf, S.; Lauermann, M.; Schindler, P.C.; Ronniger, G.; Geistert, K.; Palmer, R.; Koeber, S.; Bogaerts, W.; Leuthold, J.; Freude, W.; Koos, C.: ‘DAC-less Amplifier-less Generation and Transmission of QAM Signals Using Sub-Volt Silicon-organic Hybrid Modulators’, *J. Lightw. Technol.* **33**, 1425–1432 (2015)
- [11] Weimann, C.; Schindler, P.; Palmer, R.; Wolf, S.; Bekele, D.; Korn, D.; Pfeifle, J.; Koeber, S.; Schmogrow, R.; Alloatti, L.; Elder, D.; Yu, H.; Bogaerts, W.; Dalton, L.; Freude, W.; Leuthold, J.; and Koos, C.: ‘Silicon-organic hybrid (SOH) frequency comb sources for terabit/s data transmission’, *Opt. Express* **22**, 3629–3637 (2014).
- [12] Alloatti, L.; Kieninger, C.; Froelich, A.; Lauermann, M.; Frenzel, T.; Kohnle, K.; Freude, W.; Leuthold, J.; Wegener, M.; Koos, C.: ‘Second-order nonlinear optical metamaterials: ABC-type nanolaminates’, *Appl. Phys. Lett.* **107**, 121903-1–4 (2015)
- [13] Pfeifle, J.; Alloatti, L.; Freude, W.; Leuthold, J. and Koos, C.: ‘Silicon-organic hybrid phase shifter based on a slot waveguide with a liquid-crystal cladding’, *Opt. Express* **20** (2012), 15359–15376
- [14] Korn, D.; Lauermann, M.; Koeber, S.; Appel, P.; Alloatti, L.; Palmer, R.; Dumon, P.; Freude, W.; Leuthold, J.; Koos, C.: ‘Lasing in Silicon-Organic Hybrid (SOH) Waveguides’, *Nature Communications* (2016)
- [15] Melikyan, A.; Koehnle, K.; Lauermann, M.; Palmer, R.; Koeber, S.; Muehlbrandt, S.; Schindler, P. C.; Elder, D. L.; Wolf, S.; Heni, W.; Haffner, C.; Fedoryshyn, Y.; Hillerkuss, D.; Sommer, M.; Dalton, L. R.; Thourhout, D. V.; Freude, W.; Kohl, M.; Leuthold, J.; Koos, C.: ‘Plasmonic-organic hybrid (POH) modulators for OOK and BPSK signaling at 40 Gbit/s’, *Opt. Express* **23**, 9938–9946 (2015)
- [16] Lindenmann, N.; Balthasar, G.; Hillerkuss, D.; Schmogrow, R.; Jordan, M.; Leuthold, J.; Freude, W.; Koos, C.: ‘Photonic wire bonding: a novel concept for chip-scale interconnects’, *Opt. Express* **20**, 17667–17677 (2012)
- [17] Lindenmann, N.; Dottermusch, S.; Goedecke, M.-L.; Hoose, T.; Billah, M.-R.; Onanuga, T.-P.; Hofmann, A.; Freude, W.; Koos, C.: ‘Connecting silicon photonic circuits to multicore fibers by photonic wire bonding’, *J. Lightw. Technol.* **33**, 755–760 (2015)
- [18] Billah, M. R.; Hoose, T.; Onanuga, T.; Lindenmann, N.; Dietrich, P.; Wingert, T.; Goedecke, M. L.; Hoffmann, A.; Troppenz, U.; Sigmund, A.; Möhrle, M.; Freude, W.; Koos, C.: ‘Multi-chip integration of lasers and silicon photonics by photonic wire bonding’, Conf. on Lasers and Electro-Optics (CLEO’15), San Jose (CA), USA, May 10–15, 2015. Paper STu2F.2