Higgs pair production at NLO QCD for CP-violating Higgs sectors

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Abstract

Higgs pair production through gluon fusion is an important process at the LHC to test the dynamics underlying electroweak symmetry breaking. Higgs sectors beyond the Standard Model (SM) can substantially modify this cross section through novel couplings not present in the SM or the on-shell production of new heavy Higgs bosons that subsequently decay into Higgs pairs. CP violation in the Higgs sector is important for the explanation of the observed matter-antimatter asymmetry through electroweak baryogenesis. In this work we compute the next-to-leading order (NLO) QCD corrections in the heavy top quark limit, including the effects of CP violation in the Higgs sector. We choose the effective theory (EFT) approach, which provides a rather model-independent way to explore New Physics (NP) effects by adding dimension-6 operators, both CP-conserving and CP-violating ones, to the SM Lagrangian. Furthermore, we perform the computation within a specific UV-complete model and choose as benchmark model the general 2-Higgs-Doublet Model with CP violation, the C2HDM. Depending on the dimension-6 coefficients, the relative NLO QCD corrections are affected by several per cent through the new CP-violating operators. This is also the case for SM-like Higgs pair production in the C2HDM, while the relative QCD corrections in the production of heavier C2HDM Higgs boson pairs deviate more strongly from the SM case. The absolute cross sections both in the EFT and the C2HDM can be modified by more than an order of magnitude. In particular, in the C2HDM the resonant production of Higgs pairs can by far exceed the SM cross section.

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1. Introduction

With the discovery of the Higgs boson [1,2], the Standard Model (SM) is structurally complete. While the Higgs boson behaves very SM-like, the open questions that cannot be answered within the SM, call for New Physics (NP) extensions. In view of the lack of direct discoveries of particles predicted by extensions beyond the SM (BSM), the precise investigation of the Higgs sector plays an important role [3]. The Higgs self-couplings determine the shape of the Higgs potential. Although the Higgs couplings to the SM particles are very SM-like, the Higgs self-couplings can still deviate substantially from their SM values [4]. The trilinear Higgs self-coupling is directly accessible in Higgs pair production [5–8]. At the LHC gluon fusion into Higgs pairs provides the largest Higgs pair production cross section [9–11]. With a value of 32.91 fb at NLO QCD including the full top quark mass dependence for a Higgs mass of 125 GeV and a c.m. energy of $\sqrt{s} = 14$ TeV [12–14] this process is experimentally challenging. In BSM models, however, Higgs pair production can be significantly enhanced, see e.g. [7,15–39].

Higgs pair production through gluon fusion is mediated by top and bottom quark triangle and box diagrams already at leading order (LO). The next-to-leading order (NLO) QCD corrections are important and have first been obtained in the limit of large top quark masses [5]. Top quark mass effects are important and have been analysed in [40–43] with first results towards a fully differential NLO calculation presented in [42]. In Ref. [44] analytic results for the one-particle irreducible contributions to the virtual NLO QCD corrections were presented including finite top quark mass effects. Recently, the NLO QCD corrections have been calculated including the full mass dependence of the top quark in the loops [12–14]. The results confirm the relevance of the mass effects, in particular for the differential distributions (for former investigations, see [45,46]). The next-to-next-to-leading order (NNLO) QCD corrections in the heavy quark limit have been calculated in [47–49]. Results for differential Higgs pair production at NNLO QCD have been presented in [50]. Soft gluon resummation at next-to-leading logarithmic order within the SCET approach has been performed in [51] and extended to the next-to-next-to-leading logarithmic order in [52], including also the matching to the NNLO cross section. The NLO QCD corrections to Higgs pair production in the MSSM in the heavy top mass limit have first been evaluated in [5]. More recently, analytic results for the contributions from one- and two-loop box diagrams involving top and stop quarks have been obtained in the limit of large loop particle masses in [53]. The NLO QCD corrections to double Higgs production in the singlet-extended SM have been computed in [28] and those in the 2-Higgs Doublet Model (2HDM) in [54], both in the large top mass limit.

A model-independent way to parametrise NP effects realised at a scale well above the scale of electroweak symmetry breaking (EWSB), is given by the Effective Field Theory (EFT) approach where higher-dimensional operators are added to the SM Lagrangian and lead to modifications of the Higgs boson couplings. The impact on Higgs pair production through higher-dimensional operators was analysed in [27,32,55–64]. The higher-dimensional operators do not only modify the Higgs couplings to the SM particles but introduce novel couplings not present in the SM, with different effects on the triangle and box diagrams, too. In [32] we computed the NLO QCD corrections to gluon fusion into Higgs pairs including higher dimensional operators in the large top mass limit. While the new operators modify the cross section by up to an order of magnitude, their effect on the relative NLO QCD corrections is only of the order of several per cent. The NLO QCD corrections to composite Higgs pair production in models without and with new heavy fermions have been provided in [33]. Recently, the NNLO QCD corrections in the heavy loop particle limit have been given in [62] for the inclusive as well as the differential cross section.
including the relevant dimension-6 operators. Since the leading order cross section dominantly factorises, the relative QCD corrections are found to be almost insensitive to the composite character of the Higgs boson and to the details of the heavy fermion spectrum.

In this paper we extend the NLO QCD corrections in the heavy top quark limit to Higgs sectors including CP violation. In the SM CP violation is incorporated in the Cabibbo-Kobayashi-Maskawa matrix and rather small. Models beyond the SM provide additional sources of CP violation, that can be significant while still being compatible with the constraints from electric dipole moments (EDMs), see e.g. [65,66]. CP violation is one of the three Sakharov conditions [67] necessary for baryogenesis. Its discovery in the Higgs sector provides an immediate proof of physics beyond the SM. We investigate CP violation in a more model-independent EFT approach by adding additional CP-violating dimension-6 operators [68,69]. In this way we can cover a rather large class of new physics models that entail new heavy resonances. The discussion is complemented by the investigation in a UV complete model, the CP-violating 2-Higgs-Doublet Model (C2HDM) [70–79]. This allows us to capture the effects due to the presence of additional light Higgs bosons, namely the resonant enhancement of Higgs pair production through the production of a new heavy Higgs boson that subsequently decays into a lighter Higgs pair, as well as the production of a pair of different Higgs bosons. This cannot be accounted for in the EFT approach. Finally, the Higgs sector of the C2HDM can be mapped onto one of the supersymmetric (SUSY) models as the Minimal Supersymmetric Extension of the SM (MSSM) by applying the corresponding SUSY relations on the couplings. The EFT approach on the other hand accounts for the possible presence of additional heavy coloured particles as they appear also in SUSY models for example.

The organization of our paper is as follows. In section 2 we present the results for the NLO QCD corrections in the EFT approach including CP-violating dimension-6 operators. The subsequent section 3 contains our NLO results in the CP-violating 2HDM. The numerical analysis is presented in section 4. In section 5 we summarise and conclude.

2. Higgs pair production in the EFT including CP violation

Before presenting our analytic results for the NLO QCD corrections to Higgs pair production in the EFT approach including CP violation we introduce our notation.

2.1. The EFT including CP violation

By adding higher-dimensional operators to the SM, NP effects that appear at scales far above the EWSB scale, can be parametrised in a model-independent way. In case of a linearly realised $SU(2)_L \times U(1)_Y$ symmetry the Higgs boson is embedded in an $SU(2)_L$ doublet $H$. The leading BSM effects are then parametrised by dimension-6 operators. Note, that even though dimension-8 operators can become more important [59], the investigation of the involved kinematic regions is challenging so that we will neglect them in the following. Adopting the Strongly-Interacting-Light Higgs (SILH) basis the operators that are relevant for Higgs pair production are given by [80,81],

\[ \Delta \mathcal{L}_{6}^{\text{SILH}} \rightarrow \frac{\tilde{c}_H}{2v^2} \partial_\mu (H^\dagger H) \partial^\mu (H^\dagger H) + \frac{(\tilde{c}_u + i \tilde{c}_u)}{v^2} y_t H^\dagger H Q_L H^c t_R + h.c. \]

\[ - \frac{\tilde{c}_6}{6v^2} \frac{3M_h^2}{v^2} (H^\dagger H)^3 + \tilde{c}_8 \frac{g_s^2}{M_W^2} H^\dagger H G_{\mu\nu}^a G^a_{\mu\nu} + \tilde{c}_g \frac{g_s^2}{M_W^2} H^\dagger H G_{\mu\nu}^a G^a_{\mu\nu}, \]

(2.1)
where \( v \) is the vacuum expectation value (VEV) \( v \approx 246 \text{ GeV} \), \( M_W = 125.09 \text{ GeV} \) [82] the Higgs boson mass, \( M_W \) the W boson mass, \( y_t \) the top Yukawa coupling constant and \( g_s \) the strong coupling constant. The gluon field strength tensor \( G^a_{\mu\nu} \) in terms of the gluon fields \( g^a_\mu \) and the \( SU(3) \) structure constants \( f^{abc} \) is given by
\[
G^a_{\mu\nu} = \partial_\mu g^a_\nu - \partial_\nu g^a_\mu + g_s f^{abc} g^b_\mu g^c_\nu ,
\]
and its dual \( \tilde{G}^a_{\mu\nu} \) reads
\[
\tilde{G}^a_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\alpha\beta} G^{a\alpha\beta} ,
\]
where \( \epsilon_{\mu\nu\alpha\beta} \) is the totally antisymmetric tensor in four dimensions, normalized to \( \epsilon_{0123} = 1 \). The effect of the first three operators in Eq. (2.1) is the modification of the top Yukawa and the trilinear Higgs self-coupling compared to their SM values. The second operator also induces a novel two-Higgs two-fermion coupling [83]. The last two operators parametrise effective gluon couplings to one and two Higgs bosons not mediated by SM quark loops. CP violation is accounted for by the complex part \( i\tilde{c}_\mu \) of the Yukawa couplings and the last operator in Eq. (2.1) containing the dual gluon field strength tensor. An estimate of the size of the CP-conserving coefficients \( \tilde{c}_H \), \( \tilde{c}_u \), \( \tilde{c}_t \) and \( \tilde{c}_g \) and the most important experimental bounds can be found in [68].

In case of a non-linearly realised EW symmetry with the physical Higgs boson \( h \) being a singlet of the custodial symmetry and not necessarily being part of a weak doublet, the non-linear Lagrangian [84,85] with the contributions relevant for Higgs pair production reads
\[
\Delta \mathcal{L}_{\text{non-lin}} - m_t t^t \left( c_t h_v + c_{tt} \frac{h^2}{2v^2} \right) - m_t t^t \gamma s_t \left( \tilde{c}_t h_v + \tilde{c}_{tt} \frac{h^2}{2v^2} \right) - c_3 \frac{1}{6} \left( \frac{3M_h^2}{v} \right) h^3 + \frac{\alpha_s}{\pi} G^{a\mu\nu} G^{a\mu\nu} \left( c_g h_v + c_{gg} \frac{h^2}{2v^2} \right) + \frac{\alpha_s}{\pi} \tilde{G}^{a\mu\nu} \tilde{G}^{a\mu\nu} \left( \tilde{c}_g h_v + \tilde{c}_{gg} \frac{h^2}{2v^2} \right) ,
\]
with \( \alpha_s = g_s^2 / (4\pi) \). Here, the operators with the coefficients \( \tilde{c}_t \), \( \tilde{c}_{tt} \), \( \tilde{c}_g \) and \( \tilde{c}_{gg} \) account for CP violation. A bound on \( \tilde{c}_g \) has been given in [86]. While in the SILH parametrisation the coupling deviations from the SM are required to be small, the couplings \( c_i \) in the non-linear Lagrangian can take arbitrary values. The relations between the SILH coefficients and the non-linear ones can be derived from the SILH Lagrangian in the unitary gauge after canonical normalization. They read [59]
\[
c_1 = 1 - \tilde{c}_H \frac{2}{2} - \tilde{c}_u , \quad c_{tt} = - \frac{1}{2} (\tilde{c}_H + 3\tilde{c}_u) , \quad c_3 = 1 - \frac{3}{2} \tilde{c}_H + \tilde{c}_t ,
\]
\[
c_g = c_{gg} = \tilde{c}_g \left( \frac{4\pi}{\alpha_2} \right) ,
\]
\[
\tilde{c}_t = -\tilde{c}_u , \quad \tilde{c}_{tt} = -\frac{3}{2} \tilde{c}_u , \quad \tilde{c}_g = \tilde{c}_{gg} = \tilde{c}_g \left( \frac{4\pi}{\alpha_2} \right) ,
\]
where \( \alpha_2 = \sqrt{2} G_F M_W^2 / \pi \), with \( G_F \) denoting the Fermi constant. We will give results for the non-linear parametrisation in the following and summarise the SILH case in Appendix A.

2.2. The NLO QCD corrections in the EFT

Top and bottom quark loops provide the dominant contributions to gluon fusion into Higgs pairs [10]. In the computation of the NLO QCD corrections in the heavy top quark limit we con-
consistently neglect the bottom quark loops in the following. Their contribution in the SM amounts to less than 1% [5,11]. For the computation of the QCD corrections to Higgs pair production in the large top mass limit an effective Lagrangian can be used that is valid for light Higgs bosons. It contains the Higgs boson interactions derived in the low-energy limit of small Higgs four-momentum. In the case of SM single-Higgs production the $K$-factor derived in this limit approximates the result obtained with the full mass dependence to better than 5% [87–91]. In Higgs pair production, the low-energy approach works less well and induces an uncertainty of about 15% in the $K$-factor [12–14]. Note, that the top mass effects on the $K$-factor for models including higher-dimensional operators can also be expected to be of order 10–20%, as the NLO corrections are dominated by soft and collinear gluon effects. The Lagrangian with the required effective Higgs couplings to gluons and quarks can be derived from [5] as

\[
\mathcal{L}_{\text{eff}} = \frac{\alpha_s}{\pi} G_{a\mu
u}^a G_{\mu
u}^a \left\{ \frac{h}{v} \left[ \frac{c_t}{12} \left( 1 + \frac{11}{4} \frac{\alpha_s}{\pi} \right) + c_g \right] \right. \\
\left. + \frac{h^2}{v^2} \left[ -c_g^2 + c_{tt}^2 + c_t^2 \right] \left( 1 + \frac{11}{4} \frac{\alpha_s}{\pi} \right) + \frac{c_{gg}}{2} \right\} \\
+ \frac{\alpha_s}{\pi} G_{a\mu
u}^a \tilde{G}_{\mu
u}^a \left\{ \frac{h}{v} \left[ \frac{\tilde{c}_t}{8} + \tilde{c}_g \right] + \frac{h^2}{v^2} \left[ \frac{\tilde{c}_t}{8} + \frac{\tilde{c}_{tt}}{16} + \frac{\tilde{c}_{gg}}{2} \right] \right\}.
\]  

(2.6)

The factor $(1 + 11/4 \alpha_s/\pi)$ arises from the matching of the effective to the full theory at NLO QCD. Note, that neither the effective couplings to gluons nor the purely CP-odd contributions to the Lagrangian receive this factor. The Feynman rules for the effective couplings between one or two Higgs bosons and two gluons, obtained from this Lagrangian based on the low-energy theorems [92–94] are summarised in Fig. 1.

Fig. 2 shows the generic diagrams that contribute to Higgs pair production through gluon fusion. Applying the effective Feynman rules of Fig. 1 results in the LO partonic cross section, which can be written as,

\[
\hat{s}_{\text{LO}}(gg \to hh) = \int d\mu_R G_L^2 \frac{\alpha_s^2(\mu_R)}{512(2\pi)^2} \left[ |C_\Delta F_1 + F_2|^2 + |G_1|^2 + \left| C_\Delta \tilde{F}_1 + \tilde{F}_2 \right|^2 + |\tilde{G}_1|^2 \right],
\]

(2.7)
where $\mu_R$ denotes the renormalisation scale. The Mandelstam variables read

$$\hat{s} = Q^2, \quad \hat{t} = M_h^2 - \frac{Q^2(1 - \beta \cos \theta)}{2} \quad \text{and} \quad \hat{u} = M_h^2 - \frac{Q^2(1 + \beta \cos \theta)}{2}, \quad (2.8)$$

in terms of the scattering angle $\theta$ in the partonic center-of-mass (c.m.) system with the invariant Higgs pair mass $Q$ and the relative velocity

$$\beta = \sqrt{1 - \frac{4M_h^2}{Q^2}}. \quad (2.9)$$

The integration limits are given by $\cos \theta = \pm 1$, i.e.

$$\hat{t}_{\pm} = M_h^2 - \frac{Q^2(1 \mp \beta)}{2}. \quad (2.10)$$

The $F_{1,2}, \tilde{F}_{1,2}, G_1$ and $\tilde{G}_1$ summarise the various form factor contributions with their corresponding coupling coefficients. They can be cast into the form

$$F_1 = c_t F_\Delta^e + \frac{2}{3} c_\Delta$$

$$F_2 = c_t^2 F_\triangle^e + \tilde{c}_t^2 F_\triangle^o + c_{tt} F_\Delta^e - \frac{2}{3} c_\square$$

$$G_1 = c_t^2 G_\triangle^e + \tilde{c}_t^2 G_\triangle^o$$

$$\tilde{F}_1 = \tilde{c}_t F_\triangle^e + \tilde{c}_\Delta$$

$$\tilde{F}_2 = 2c_t \tilde{c}_t F_\triangle^m + \tilde{c}_{tt} F_\Delta^e - \tilde{c}_\square$$

$$\tilde{G}_1 = 2c_t \tilde{c}_t G_\triangle^m. \quad (2.11)$$

The form factors contain the full mass dependence and have been given in [10]. The triangle form factors for the projection on the CP-even and CP-odd Higgs component, $F_\Delta^e$ and $F_\Delta^o$, are given by $F_\Delta$ in appendix A1 and by $F_\Delta^A$ in A2 of [10], respectively. The box form factors corresponding to the spin-0 gluon-gluon couplings, $F_\square^e$, $F_\square^o$ and $F_\square^m$, projecting on a purely CP-even, purely CP-odd and a CP-mixed final state Higgs pair, respectively, are given by $F$ of appendix A1, A3 and A2. Finally, the CP-even, CP-odd and CP-mixed box form factors $G_\square^e$, $G_\square^o$ and $G_\square^m$...
corresponding to the spin-2 gluon-gluon couplings are the $G_\Box$ form factors of appendix A1, A3 and A2, respectively. In the heavy quark limit the form factors read

$$F^e_\Delta \rightarrow \frac{2}{3}, \quad F^o_\Delta \rightarrow 1, \quad -F^e_\Box, \quad F^o_\Box \rightarrow \frac{2}{3}, \quad F^m_\Box \rightarrow -1, \quad G^e_\Box, \quad G^o_\Box, \quad G^m_\Box \rightarrow 0.$$ (2.12)

The introduced abbreviations are

$$C_\Delta \equiv \frac{\lambda_{hhh} M^2_Z}{Q^2 - M^2_h + i M_h \Gamma_h}, \quad c_\Delta \equiv 12 c_g, \quad c_\Box \equiv -12 c_{gg}, \quad \tilde{c}_\Delta \equiv -8 \tilde{c}_g \quad \text{and} \quad \tilde{c}_\Box = 8 \tilde{c}_{gg}. \quad (2.13)$$

The trilinear self-coupling $\lambda_{hhh}$, corresponding to the SM value modified by $c_3$, is given by

$$\lambda_{hhh} = \frac{3 M^2_Z c_3}{M^2_Z}.$$ (2.14)

The first terms in $F_1, F_2$ and $G_1$, respectively, are the SM contributions modified by the rescaling $c_t$ of the Yukawa coupling and $c_3$ of the Higgs self-coupling (contained in $C_\Delta$). The contributions proportional to $c_\Delta, c_\Box, \tilde{c}_\Delta$ and $\tilde{c}_\Box$ originate from the effective two-gluon couplings to one and two Higgs bosons. The novel 2-Higgs-2-fermion couplings induce the terms coming with $c_{tt}$ and $\tilde{c}_{tt}$. The form factor contributions proportional to $\tilde{c}_t$, respectively $c^2_t, \tilde{c}_{tt}$ and the ones coming with $\tilde{c}_{\Delta}$ and $\tilde{c}_\Box$ are the new contributions due to the admission of CP violation. We recover the following limiting cases for the production of a Higgs pair

- SM-like: $c_t = c_3 = 1, \quad c_{tt} = c_\Delta = c_\Box = \tilde{c}_t = \tilde{c}_{tt} = \tilde{c}_\Delta = \tilde{c}_\Box = 0$
- purely CP-even: $\tilde{c}_t = \tilde{c}_{tt} = \tilde{c}_\Delta = \tilde{c}_\Box = 0$
- purely CP-odd: $c_t = c_{tt} = c_\Delta = c_\Box = 0$. (2.16)

The NLO QCD corrections to gluon fusion into Higgs pairs, composed of the virtual and the real corrections, are obtained with the help of the effective couplings defined in Fig. 1. Sample diagrams are depicted in Fig. 3. Applying dimensional regularization in $d = 4 - 2\epsilon$ dimensions, the involved ultraviolet and infrared divergences appear as poles in $\epsilon$. We renormalise the strong coupling constant in the $\overline{\text{MS}}$ scheme with five active flavours, i.e. with the top quark decoupled from the running of $\alpha_s$, in order to cancel the ultraviolet divergences. The sum of the virtual and
real corrections cancels the infrared divergences. The remaining collinear initial state singularities are absorbed into the NLO parton densities. These are defined in the \( \overline{\text{MS}} \) scheme with five light quark flavours. The finite hadronic NLO cross section can then be cast into the form

\[
\sigma_{\text{NLO}}(pp \to hh + X) = \sigma_{\text{LO}} + \Delta\sigma_{\text{virt}} + \Delta\sigma_{gg} + \Delta\sigma_{gq} + \Delta\sigma_{q\bar{q}}.
\]

The individual contributions of Eq. (2.17) read

\[
\sigma_{\text{LO}} = \int_{\tau_0}^{1} d\tau \frac{d\mathcal{L}^{gg}}{d\tau} \hat{\sigma}_{\text{LO}}(Q^2 = \tau s)
\]

\[
\Delta\sigma_{\text{virt}} = \frac{\alpha_s(\mu_R)}{\pi} \int_{\tau_0}^{1} d\tau \frac{d\mathcal{L}^{gg}}{d\tau} \frac{d\hat{\sigma}_{\text{LO}}(Q^2 = \tau s)}{d\tau} C
\]

\[
\Delta\sigma_{gg} = \frac{\alpha_s(\mu_R)}{\pi} \int_{\tau_0}^{1} d\tau \frac{d\mathcal{L}^{gg}}{d\tau} \int_{\tau_0/\tau}^{1} \frac{dz}{z} \frac{d\hat{\sigma}_{\text{LO}}(Q^2 = z\tau s)}{dz} \left\{ -z P_{gg}(z) \log \frac{\mu_F^2}{\tau s} - \frac{11}{2} (1 - z)^3 + 6[1 + z^4 + (1 - z)^4] \left( \frac{\log(1 - z)}{1 - z} \right) + \frac{2}{3} z^2 - (1 - z)^2 \right\}
\]

\[
\Delta\sigma_{gq} = \frac{\alpha_s(\mu_R)}{\pi} \int_{\tau_0}^{1} d\tau \sum_{q,\bar{q}} \frac{d\mathcal{L}^{gq}}{d\tau} \int_{\tau_0/\tau}^{1} \frac{dz}{z} \frac{d\hat{\sigma}_{\text{LO}}(Q^2 = z\tau s)}{dz} \left\{ -\frac{z}{2} P_{gq}(z) \log \frac{\mu_F^2}{\tau s(1 - z)^2} \right\}
\]

\[
\Delta\sigma_{q\bar{q}} = \frac{\alpha_s(\mu_R)}{\pi} \int_{\tau_0}^{1} d\tau \sum_{q} \frac{d\mathcal{L}^{q\bar{q}}}{d\tau} \int_{\tau_0/\tau}^{1} \frac{dz}{z} \frac{d\hat{\sigma}_{\text{LO}}(Q^2 = z\tau s)}{dz} \frac{32}{27} (1 - z)^3.
\]

Here \( s \) denotes the hadronic c.m. energy and

\[
\tau_0 = \frac{4M_h^2}{s},
\]

and the Altarelli–Parisi splitting functions are given by [95],

\[
P_{gg}(z) = 6 \left\{ \left( \frac{1}{1 - z} \right) + \frac{1}{z} - 2 + z(1 - z) \right\} + \frac{33}{6} - 2N_F \delta(1 - z)
\]

\[
P_{gq}(z) = \frac{4}{3} \left( \frac{1 + (1 - z)^2}{z} \right).
\]

with \( N_F = 5 \) in our case. The factorisation scale of the parton-parton luminosities \( d\mathcal{L}^{ij}/d\tau \) is denoted by \( \mu_F \). The relative real corrections are not affected by the higher-dimensional operators. The virtual corrections, however, are changed with respect to the SM case due to the overall coupling modifications of the top Yukawa and the trilinear Higgs self-coupling and because of the additional contributions from the novel effective vertices. The coefficient \( C \) appearing in the virtual corrections is given by
\[ C = \pi^2 + \frac{33 - 2N_F}{6} \log \frac{\mu_R^2}{Q^2} \]

\[ + \text{Re} \int_{\hat{t}^-}^{\hat{t}^+} d\hat{t} \left[ |C_\Delta F_1 + F_2|^2 + |G_1|^2 + |C_\Delta \tilde{F}_1 + \tilde{F}_2|^2 + |\tilde{G}_1|^2 \right] \]

\[ \times \int d\hat{t} \left\{ \left[ |C_\Delta F_1 + F_2|^2 + |G_1|^2 \right] \frac{11}{2} + \left[ |C_\Delta \tilde{F}_1 + \tilde{F}_2|^2 + |\tilde{G}_1|^2 \right] \right\} 6 \]

\[ + (C_\Delta F_1 + F_2) \left[ -C_\Delta \frac{11}{3} e_\Delta + \frac{11}{3} e_\Box \right] \]

\[ + (C_\Delta F_1 + F_2) [a_1(c_\Delta + e_\Delta)^2 + \bar{a}_1(\bar{c}_\Delta + \bar{e}_\Delta)^2] \]

\[ + (C_\Delta \tilde{F}_1 + \tilde{F}_2) 2a_2(c_\Delta + e_\Delta)(\bar{c}_\Delta + \bar{e}_\Delta) \]

\[ + [a_3(c_\Delta + e_\Delta)^2 + \bar{a}_3(\bar{c}_\Delta + \bar{e}_\Delta)^2] \frac{p_T^2}{2\hat{u} \hat{t}} (Q^2 - 2m_H^2) G_1 \]

\[ + 2a_4(c_\Delta + e_\Delta)(\bar{c}_\Delta + \bar{e}_\Delta) \frac{p_T^2}{2\hat{u} \hat{t}} (\hat{t} - \hat{u}) \tilde{G}_1 \]  \hspace{1cm} (2.21)

with

\[ a_1 = \frac{4}{9} = -a_3 \quad \bar{a}_1 = -1 = \bar{a}_3 \quad a_2 = \frac{2}{3} \quad a_4 = \frac{2}{3} \]  \hspace{1cm} (2.22)

and the transverse momentum squared

\[ p_T^2 = \frac{(\hat{t} - M_H^2)(\hat{u} - M_H^2)}{Q^2} - M_H^2 \]  \hspace{1cm} (2.23)

The last four lines in Eq. (2.21) arise from the third diagram in Fig. 3 (upper), containing the two effective Higgs-two-gluon couplings. The remaining terms originate from the diagrams with gluon loops in Fig. 3 (upper). In line 3, the factor 11/2 arises from the matching of the effective theory to the full theory. This induces the factor \((1 + 11\alpha_s/(2\pi))\) in the CP-even components of the effective couplings of Fig. 1 for the contributions arising from integrating out the top loops, while the effective couplings not mediated by SM quark loops and the CP-odd components of the couplings are not affected. The factor 6 arises in the virtual corrections to Higgs pair production for the final state projecting on the CP-mixed state, while the purely CP-even and CP-odd final state projections do not exhibit such factor, cf. [5]. Note that we have kept the full top quark mass dependence in the LO amplitude in the derivation of the coefficient \(C\) for the virtual corrections.

3. Higgs pair production in the C2HDM

In this section we present the NLO QCD corrections to Higgs pair production in a specific UV complete model. Investigations in well-defined UV complete models complement the EFT approach, as the latter cannot account for NP effects arising from light resonances. Here we resort to the CP-violating 2-Higgs-Doublet Model, the C2HDM. In the limit where the additional Higgs bosons of the C2HDM are heavy the EFT description in sect. 2 can be applied and (apart from CP-even contributions) non-zero coefficients for the operators with Wilson coefficients \(\tilde{c}_t\) and \(\tilde{c}_{tt}\) would arise.

The extension of the SM Higgs sector by a complex Higgs doublet naturally fulfills the constraints from the \(\rho\) parameter. In the type II 2HDM, furthermore, the two Higgs doublets couple in
the same way to the fermions as in the Minimal Supersymmetric Extensions of the SM (MSSM). The 2HDM Higgs couplings, however, are not constrained by supersymmetric relations and thus entail more substantial deviations from the SM that are still compatible with the data. In this sense, the 2HDM [96,97] is an important benchmark model for the experimental study of the effects of extended Higgs sectors. We briefly summarise the basics relevant for our process and refer to the literature for more details, cf. e.g. [66,79].

3.1. The C2HDM

The Higgs potential of a general 2HDM with two $SU(2)_L$ doublets $\Phi_1$ and $\Phi_2$ and a softly broken discrete $\mathbb{Z}_2$ symmetry reads

$$V = m_{11}^2 |\Phi_1|^2 + m_{22}^2 |\Phi_2|^2 - m_{12}^2 (\Phi_1^\dagger \Phi_2 + h.c.) + \frac{\lambda_1}{2} (\Phi_1^\dagger \Phi_1)^2 + \frac{\lambda_2}{2} (\Phi_2^\dagger \Phi_2)^2$$

$$+ \lambda_3 (\Phi_1^\dagger \Phi_1)(\Phi_2^\dagger \Phi_2) + \lambda_4 (\Phi_1^\dagger \Phi_2)(\Phi_2^\dagger \Phi_1) + \frac{\lambda_5}{2} [((\Phi_1^\dagger \Phi_2)^2 + h.c.)].$$

(3.24)

The absence of tree-level Flavor Changing Neutral Currents (FCNC) is ensured by the required invariance under the $\mathbb{Z}_2$ transformations $\Phi_1 \rightarrow -\Phi_1$ and $\Phi_2 \rightarrow \Phi_2$. By hermiticity all parameters in $V$ are real except for the soft $\mathbb{Z}_2$ breaking mass parameter $m_{12}^2$ and the quartic coupling $\lambda_5$. For $\text{arg}(m_{12}^2) = \text{arg}(\lambda_5)$, the complex phases of $m_{12}^2$ and $\lambda_5$ can be absorbed by a basis transformation leading to the real or CP-conserving 2HDM, in case the VEVs of both Higgs doublets are assumed to be real. Otherwise we are in the CP-violating 2HDM, which depends on 10 real parameters. In the following, we will adopt the conventions of [79] for the C2HDM. The VEVs of the neutral components of the Higgs doublets developed after EWSB can in principle be complex if CP violation is allowed. The relative phase between the VEVs can, however, be rotated away by a global phase transformation in the field $\Phi_2$ [70] so that without loss of generality it can be set to zero. After EWSB the two doublets $\Phi_i$ ($i = 1, 2$) are expanded about the real VEVs $v_1$ and $v_2$ and we have

$$\Phi_1 = \left( \begin{array}{c} \phi_1^+ \\ v_1 + \rho_1 + i\eta_1 \end{array} \right) \quad \text{and} \quad \Phi_2 = \left( \begin{array}{c} \phi_2^+ \\ v_2 + \rho_2 + i\eta_2 \end{array} \right) ,$$

(3.25)

where $\rho_i$ and $\eta_i$ denote the real neutral CP-even and CP-odd fields, respectively, and $\phi_i^+$ the charged complex fields. Requiring the minimum of the potential to be located at

$$\langle \Phi_i \rangle = \left( \begin{array}{c} 0 \\ v_i \end{array} \right)$$

(3.26)

induces the minimum conditions

$$m_{11}^2 v_1 + \frac{\lambda_1}{2} v_1^3 + \frac{\lambda_{345}}{2} v_1 v_2^2 = m_{12}^2 v_2$$

(3.27)

$$m_{22}^2 v_2 + \frac{\lambda_2}{2} v_2^3 + \frac{\lambda_{345}}{2} v_1^2 v_2 = m_{12}^2 v_1$$

(3.28)

$$2 \text{Im}(m_{12}^2) = v_1 v_2 \text{Im}(\lambda_5) .$$

(3.29)

where

$$\lambda_{345} \equiv \lambda_3 + \lambda_4 + \text{Re}(\lambda_5) .$$

(3.30)
Equations (3.27) and (3.28) can be used to trade the parameters $m_{11}^2$ and $m_{22}^2$ for $v_1$ and $v_2$, and Eq. (3.29) yields a relation between the two sources of CP violation in the scalar potential, thus fixing one of the ten C2HDM parameters. We introduce the mixing angle $\beta$ given by

$$\tan \beta = \frac{v_2}{v_1},$$  \hspace{1cm} (3.31)

which rotates the two Higgs doublets into the Higgs basis \[98,99\]. Defining the CP-odd field $\rho_3 \equiv -\eta_1 \sin \beta + \eta_2 \cos \beta$ (the orthogonal field corresponds to the massless Goldstone boson), the neutral mass eigenstates $H_i$ ($i = 1, 2, 3$) are obtained from the C2HDM basis $\rho_1, \rho_2$ and $\rho_3$ through the rotation

$$
\begin{pmatrix}
H_1 \\
H_2 \\
H_3
\end{pmatrix} = R
\begin{pmatrix}
\rho_1 \\
\rho_2 \\
\rho_3
\end{pmatrix},
$$  \hspace{1cm} (3.32)

The neutral mass matrix

$$(M^2)_{ij} = \left\{ \frac{\partial^2 V}{\partial \rho_i \partial \rho_j} \right\},$$  \hspace{1cm} (3.33)

is diagonalised by the orthogonal matrix $R$ through

$$R M^2 R^T = \text{diag}(m_{H_1}^2, m_{H_2}^2, m_{H_3}^2).$$  \hspace{1cm} (3.34)

We order the Higgs bosons by ascending mass as $m_{H_1} \leq m_{H_2} \leq m_{H_3}$. Introducing the abbreviations $s_i \equiv \sin \alpha_i$ and $c_i \equiv \cos \alpha_i$ with

$$\frac{\pi}{2} \leq \alpha_i < \frac{\pi}{2},$$  \hspace{1cm} (3.35)

the mixing matrix $R$ can be parametrised as

$$R =
\begin{pmatrix}
 c_1 c_2 & s_1 c_2 & s_2 \\
-(c_1 s_2 s_3 + s_1 c_3) & c_1 c_3 - s_1 s_2 s_3 & c_2 s_3 \\
-c_1 s_2 c_3 + s_1 s_3 & -(c_1 s_3 + s_1 s_2 c_3) & c_2 c_3
\end{pmatrix},$$  \hspace{1cm} (3.36)

For the 9 independent parameters of the C2HDM we then choose \[73\]

$$v \approx 246 \text{ GeV}, \hspace{0.2cm} t_\beta, \hspace{0.2cm} \alpha_{1,2,3}, \hspace{0.2cm} m_{H_1}, \hspace{0.2cm} m_{H_2}, \hspace{0.2cm} m_{H_3} \hspace{0.2cm} \text{and} \hspace{0.2cm} \text{Re}(m_{12}^2).$$  \hspace{1cm} (3.37)

The $m_{H_1}$ and $m_{H_2}$ denote any of the masses of two among the three neutral Higgs bosons. The mass of the third Higgs boson is obtained from the other parameters \[73\]. The analytic relations between the above parameter set and the coupling parameters $\lambda_i$ of the 2HDM Higgs potential can be found in \[79\]. For $\alpha_2 = \alpha_3 = 0$ and $\alpha_1 = \alpha + \pi/2$ the CP-conserving 2HDM is obtained \[71\]. The mass matrix Eq. (3.33) then becomes block diagonal, $\rho_3$ is identified with the pure pseudoscalar Higgs boson $A$ and the CP-even mass eigenstates $h$ and $H$ result from the gauge eigenstates through the rotation parametrised in terms of the angle $\alpha$, i.e.

$$
\begin{pmatrix}
H \\
h
\end{pmatrix} =
\begin{pmatrix}
c_\alpha & s_\alpha \\
-s_\alpha & c_\alpha
\end{pmatrix}
\begin{pmatrix}
\rho_1 \\
\rho_2
\end{pmatrix}.
$$  \hspace{1cm} (3.38)

For the computation of the Higgs pair production process we need the Higgs couplings to two fermions, the $Z$ couplings to two Higgs bosons and the self-couplings among three Higgs bosons. We allow one type of fermions to couple only to one Higgs doublet, in order to avoid tree-level FCNC. This is achieved by imposing a global $\mathbb{Z}_2$ symmetry under which $\Phi_{1,2} \rightarrow \pm \Phi_{1,2}$. There
are four phenomenologically different 2HDM types as shown in Table 1. The Yukawa Lagrangian from which the Higgs couplings to fermions are derived, reads

$$\mathcal{L}_Y = -\sum_{k=1}^{3} \frac{m_f}{v} \bar{\psi}_f \left[ c^e (H_k ff) + i c^o (H_k ff) \gamma_5 \right] \psi_f H_k ,$$

(3.39)

with $\Psi$ denoting the fermion fields of mass $m_f$. The CP-even and CP-odd Yukawa coupling coefficients $c^e (H_i ff)$ and $c^o (H_i ff)$ were derived in [79] and we summarise them in Table 2. In the CP-conserving 2HDM the $Z$ boson would only couple to the CP-mixed Higgs pair combination $hA$ or $HA$. In the case of CP violation it can couple to any pair of Higgs bosons $H_i H_j$. In terms of the $SU(2)_L$ gauge coupling $g$ and the cosine of the Weinberg angle $\theta_W$ the Feynman rule for the coupling $Z^\mu H_i H_j$ is given by

$$-\frac{g}{2 \cos \theta_W} (p_{H_i} - p_{H_j})^\mu c(Z H_i H_j) ,$$

(3.40)

where the four-momenta of both Higgs bosons, $p_{H_i,j}$, are taken as incoming. The coupling coefficients $c(Z H_i H_j)$, parametrised by the mixing matrix elements $R_{ij}$ and the ratio of the two VEVs, $\tan \beta$, read

$$c(Z H_i H_j) = (R_{j2} \cos \beta - R_{j1} \sin \beta) R_{i3} + (-R_{i2} \cos \beta + R_{i1} \sin \beta) R_{j3} .$$

(3.41)

Note, that the coupling coefficient $c(Z H_i H_j)$ becomes zero for $i = j$. The trilinear Higgs self-couplings $\lambda_{H_i H_j H_k}$ are quite lengthy and we will not list them here explicitly. In the CP-conserving limit for a SM-like Higgs boson $h$, the trilinear self-coupling approaches $3M_h^2/4M_Z^2$.

3.2. The NLO QCD corrections in the C2HDM

The diagrams contributing to the LO production of a C2HDM Higgs pair $H_i H_j$ are depicted in Fig. 4. In contrast to the EFT approach, the cross section does not receive contributions from
Fig. 4. Generic diagrams contributing to C2HDM Higgs pair production in gluon fusion at LO.

the effective couplings, obtained from integrating out heavy states. Furthermore, as we have now three CP-violating Higgs states $H_i$, we can have different combinations of Higgs pairs in the final state, and in the first diagram of Fig. 4 we have to sum over all three possible Higgs boson exchanges $H_k$ ($k = 1, 2, 3$). Finally, we have an additional diagram contributing to Higgs pair production where a virtual $Z$ boson couples to the triangle and subsequently decays into a Higgs pair, cf. second diagram in Fig. 4. This diagram does not contribute for equal Higgs bosons in the final state, as the coupling coefficient $c(Z H_i H_j)$ vanishes in this case. The LO partonic cross section for the production of the Higgs pair $H_i H_j$ ($i, j = 1, 2, 3$) can then be cast into the form

$$\hat{\sigma}_{LO}(gg \to H_i H_j) = \int d\hat{t} \frac{G_F^2\alpha_s^2(\mu_R)^3(1 + \delta_{ij})}{256(2\pi)^3} \left[ \left( \sum_{k=1}^3 C_{\Delta,ij}^k F_1^k + F_{2,ij} \right)^2 + |G_{1,ij}|^2 + \left( \sum_{k=1}^3 C_{\Delta,ij}^k \tilde{F}_1^k + C_{\Delta,ij}^Z \tilde{F}_1^Z + \tilde{F}_{2,ij} \right)^2 \right],$$

(3.42)

where

$$C_{\Delta,ij}^k = \lambda_{H_k H_i H_j} \frac{M_Z^2}{Q^2 - M_{H_k}^2 + i M_{H_k} \Gamma_{H_k}}$$

$$C_{\Delta,ij}^Z = -c(Z H_i H_j) \frac{M_Z^2}{Q^2 - M_Z^2 + i M_Z \Gamma_Z}$$

(3.43)

and

$$F_1^k = c_k^e F_\Delta^e$$

$$\tilde{F}_1^k = c_k^o F_\Delta^o$$

$$\tilde{F}_1^Z = a_1 F_Z^Z$$

$$F_{2,ij} = c_i^e c_j^e F_\square^e + c_i^o c_j^o F_\square^o$$

$$\tilde{F}_{2,ij} = (c_i^e c_j^o + c_i^o c_j^e) F^m_\square$$

$$G_{1,ij} = c_i^e c_j^e G_\square^e + c_i^o c_j^o G_\square^o$$

$$\tilde{G}_{1,ij} = (c_i^e c_j^o + c_i^o c_j^e) G^m_\square$$

(3.44)

where $a_1 = 1$ denotes the axial charge of the top quark in the loop, and where we have used the short-hand notation

$$c_i^e \equiv c^e(H_i tt) \quad \text{and} \quad c_i^o \equiv c^o(H_i tt).$$

(3.45)
In Eq. (3.43) the $\Gamma_{H_k}$ and $\Gamma_Z$ denote the total widths of the Higgs boson $H_k$ and the $Z$ boson, respectively. For a given set of input parameters we obtain the total Higgs width with a private version of HDECAY [101,102], adapted to the C2HDM, that will be published in a forthcoming paper. The form factors appearing in Eqs. (3.44) are the same as the ones given in section 2.2, apart from $F_{2Z}^Z$. They can all be found in the appendix of Ref. [10].

In the computation of the NLO QCD corrections in the heavy quark limit we again consistently neglect the bottom quark loops in the following, and we use the Feynman rules for the effective couplings between one and two Higgs bosons to two gluons in the heavy top quark limit. They are given in Fig. 5. For $H_i \equiv H_j \equiv h$, these rules can be obtained from the corresponding ones in the EFT approach, Fig. 1, by making the replacements

$$c_t \to c_t^e, \quad \tilde{c}_t \to \tilde{c}_t^o, \quad \{c_g, c_{gg}, c_{tt}, \tilde{c}_g, \tilde{c}_{gg}, \tilde{c}_{tt}\} \to 0.$$  

(3.46)

Analogously to the NLO corrections in the EFT, the NLO corrections can be cast into the form of Eq. (2.17). The individual contributions are given as in Eqs. (2.18) with the LO cross section replaced by the 2HDM result in Eq. (3.42) and the factor $C$ for the virtual corrections given by

$$C = \pi^2 + \frac{33}{2} - \frac{3N_F}{6} \log \frac{\mu_R^2}{Q^2}$$

(3.47)

$$+ \text{Re} \left[ \int_{i}^{i+} d\hat{t} \left\{ C_{\Delta,ij}^k F_1^k + F_2,ij \right\}^2 + |G_{1,ij}|^2 \right] \left| C_{\Delta,ij}^k \tilde{F}_1^k + C_{\Delta,ij}^Z \tilde{F}_1^Z + \tilde{F}_2,ij \right|^2 + |\tilde{G}_{1,ij}|^2 \right]

\times \int d\hat{t} \left[ \left| C_{\Delta,ij}^k F_1^k + F_2,ij \right|^2 + |G_{1,ij}|^2 \right] \frac{11}{2}

+ \left[ C_{\Delta,ij}^k \tilde{F}_1^k + C_{\Delta,ij}^Z \tilde{F}_1^Z + \tilde{F}_2,ij \right]^2 + |\tilde{G}_{1,ij}|^2 \right] 6

+ (C_{\Delta,ij}^k F_1^k + F_2,ij) \left[ a_1 (c_t^e D_j^e) + \tilde{a}_1 (c_t^o D_j^o) \right]$$

1 Higgs pair production at LO in the C2HDM has been investigated in Ref. [100], but only for SM-like Higgs pairs, i.e. equal final states, where the $Z$ exchange in the $s$-channel diagram does not contribute.
\[ + (C_{\Delta, ij}^{k} \bar{F}_{1}^{k} + C_{\Delta, ij}^{Z} \bar{F}_{1}^{Z} + \tilde{F}_{2, ij}) a_{2}(c_{i}^{e} c_{j}^{o} + c_{j}^{e} c_{i}^{o}) \]
\[ + [a_{3}(c_{i}^{e} c_{j}^{o}) + \tilde{a}_{3}(c_{i}^{e} c_{j}^{o})] \frac{p_{T}^{2}}{2\bar{u}t} (Q^{2} - M_{H_{i}}^{2} - M_{H_{j}}^{2}) G_{1, ij} \]
\[ + a_{4}(c_{i}^{e} c_{j}^{o} + c_{j}^{e} c_{i}^{o}) \frac{p_{T}^{2}}{2\bar{u}t} (\hat{t} - \hat{u}) \tilde{G}_{1, ij} \].

In Eq. (3.47) we have implicitly assumed summation over same indices. The factors \( a_{i} \) and \( \tilde{a}_{i} \) are given in Eq. (2.22) and
\[
p_{T}^{2} = \frac{(\hat{t} - M_{H_{i}}^{2})(\hat{u} - M_{H_{i}}^{2})}{Q^{2}} - M_{H_{i}}^{2} \tag{3.48}
\]

4. Numerical analysis

We have implemented the LO and NLO Higgs pair production cross sections both for the EFT approach including CP violation and for the C2HDM in the Fortran program \texttt{HPAIR} [103]. For our numerical analysis we have chosen the c.m. energy \( \sqrt{s} = 14 \) TeV. The Higgs boson mass has been set equal to \( M_{h} = 125 \) GeV [82] and the top quark mass has been chosen as \( m_{t} = 173.2 \) GeV. We have adopted the CT14 parton densities [104] for the LO and NLO cross sections with \( \alpha_{s}(M_{Z}) = 0.118 \) at LO and NLO. The renormalisation scale has been set equal to \( M_{HH}/2 \), where \( M_{HH} \) generically denotes the invariant mass of the final state Higgs pair. Consistent with the application of the heavy top quark limit in the NLO QCD corrections we neglect the bottom quark loops in the LO cross section.

4.1. Impact of CP violation on NLO QCD Higgs pair production in the EFT approach

The impact of the new CP-violating couplings in the EFT approach on the QCD corrections can be read off Figs. 6–8.\(^2\) They display the \( K \)-factor, which is defined as the ratio of the NLO and LO cross sections, \( K = \sigma_{\text{NLO}}/\sigma_{\text{LO}} \), where the parton densities and the strong couplings \( \alpha_{s} \) are taken at NLO and LO, respectively. Deviations from the SM \( K \)-factor arise both in the virtual and the real corrections. In the virtual corrections they emerge from the terms in the curly brackets of the coefficient \( C \), Eq. (2.21). In the real corrections the different weights in the \( \tau \) integration due to the modified LO cross section induce deviations from the SM. In Fig. 6 (upper) all couplings are set to their SM values, except for \( \tilde{c}_{g} \). The CP-violating component of the new contact interaction of the Higgs boson to two gluons is varied in the range \( -0.15 \leq \tilde{c}_{g} \leq 0.15 \). The chosen rather large range is due to illustrative purposes. Actually, CP-odd operators are already strongly constrained by the EDMs of the electron, neutron and Hg [105,106]. For example in Ref. [106] bounds of \( \tilde{c}_{g} < 0.029 \) and \( \tilde{c}_{t} < 0.011 \) varying one operator at the time have been obtained. These bounds are stronger than the ones obtained from Higgs production and decay. In the lower plot, we instead set \( \tilde{c}_{g} \) to zero and vary \( \tilde{c}_{gg} \) in the range \( -0.15 \leq \tilde{c}_{gg} \leq 0.15 \) while the remaining values are chosen as in the SM. The upper plot shows that the CP-violating new interaction \( \tilde{c}_{g} \) induces a variation of the \( K \)-factor between the SM-value 1.94 and 2.03 in

\(^{2}\) The impact of the effects from dimension-6 operators in the CP-conserving case has been studied in [32].
Fig. 6. $K$-factors of the QCD-corrected gluon fusion cross section $\sigma(p p \to hh + X)$ at the LHC with c.m. energy $\sqrt{s} = 14$ TeV. The dashed lines correspond to the individual contributions of the QCD corrections given in Eq. (2.17), i.e. $K_i = \Delta \sigma_i / \sigma_{LO}$ ($i = \text{virt}, gg, gq, q\bar{q}$). Upper: variation of $\tilde{c}_g$ and $\tilde{c}_{gg} = 0$; lower: variation of $\tilde{c}_{gg}$ and $\tilde{c}_g = 0$. The remaining couplings have been set to their SM values.

We define the maximal deviation of the $K$-factor away from the SM value $K^{SM} = 1.94$, induced by the coupling $c_x$, as

$$\delta K_{c_x}^{\text{max}} = \frac{\max |K^{c_x} - K^{SM}|}{K^{SM}}.$$  \tag{4.49}

The impact on the total cross section is measured by the quantity

$$\delta \sigma_{c_x}^{\text{max}} = \frac{\max |\sigma^{c_x} - \sigma^{SM}|}{\sigma^{SM}}.$$  \tag{4.50}

Note that we find a slightly higher SM $K$-factor than in [32] where $K^{tot} = 1.89$. This is due to the different renormalisation scale ($\mu_R = M_{HH}$) and a different pdf-set (MSTW08) used there. In [33], where we used the same renormalisation scale as here, but another pdf set (MSTW08), we found the SM $K$-factor $K^{tot} = 1.71$. 
With these definitions, we find for \( \tilde{c}_g = \pm 0.15 \),

\[
\delta_{\text{max}}^{K, \tilde{c}_g} = 0.048 .
\]  

While the effect on the \( K \)-factor is small, the impact on the total cross section is significantly more important, where we have for \( \tilde{c}_g = \pm 0.15 \)

\[
\delta_{\text{max}}^{\sigma, \tilde{c}_g} = 0.519 .
\]  

A non-zero \( \tilde{c}_{gg} \) has a smaller effect on the \( K \)-factor and induces for \( \tilde{c}_{gg} = \pm 0.15 \)

\[
\delta_{\text{max}}^{K, \tilde{c}_{gg}} = 0.033 ,
\]  

in the investigated range of variation. The impact on the cross section on the other hand is much more important, with

\[
\delta_{\text{max}}^{\sigma, \tilde{c}_{gg}} = 4.41 .
\]  

If in addition the CP-even contact interactions \( c_g \) and/or \( c_{gg} \) are set to non-zero values, this can lead to smaller or larger values of \( \delta_{\text{max}}^{K} \) and \( \delta_{\text{max}}^{\sigma} \), depending on the chosen values.

For the variation of \( \tilde{c}_t \) in the range \(-0.15 \leq \tilde{c}_t \leq 0.15 \) with all other couplings set to their SM values, cf. Fig. 7, we find for \( \tilde{c}_t = \pm 0.15 \)

\[
\delta_{\text{max}}^{K, \tilde{c}_t} = 0.005 ,
\]  

and

\[
\delta_{\text{max}}^{\sigma, \tilde{c}_t} = 0.198 .
\]  

Both the impact on the \( K \)-factor and the total cross section is small. The effect of \( \tilde{c}_t \) in the numerator of \( C \), Eq. (2.21), almost cancels against the one in the denominator.

The impact of the variation of \( \tilde{c}_{tt} \) finally, is shown in Fig. 8. It is of the per-cent order on the \( K \)-factor, with

\[
\delta_{\text{max}}^{K, \tilde{c}_{tt}} = 0.018
\]  

Fig. 7. Same as Fig. 6, but here \( \tilde{c}_t \) is varied, while the remaining values are set to their SM values.
for \( \bar{c}_{tt} = \pm 1.5 \). With

\[
\delta^{\sigma, \bar{c}_{tt}} = 14.23
\]

the change of the cross section is substantial. For \( \bar{c}_{tt} = \pm 0.2 \), however, it induces with \( \delta^{\sigma, \bar{c}_{tt}} = 0.25 \) similar changes as the other CP-violating couplings.

In summary, the new CP-violating couplings change the \( K \)-factor by a few per cent only, while the total cross section itself is affected much more significantly. Hence, numerically the effect of the CP-odd operators on the \( K \)-factor is of similar size as the one of the CP-even operators as found in Ref. [32]. Note, however, that we have varied here the new couplings only one by one away from the SM values. The combined effect of all dimension-6 couplings, both CP-even and CP-odd, might induce more substantial deviations in the \( K \)-factor.

4.2. Impact of CP violation in the 2HDM on NLO QCD Higgs pair production

After applying the minimisation conditions of the Higgs potential, we are left with 9 input parameters for the C2HDM, which we choose as given in Eq. (3.37). For our numerical analysis we adopt as starting point a scenario that is compatible with all the relevant constraints. It is obtained from the sample generated in Ref. [66], where we used the tool \texttt{ScannerS} [107,108] to perform a scan over the input parameters and check for the experimental and theoretical constraints: The potential has been required to be bounded from below, the EW vacuum has been ensured to be a global minimum and it has been checked that tree-level perturbative unitarity holds. The relevant flavour constraints have been applied and agreement with the EW precision observables has been verified. The mass of one of the neutral Higgs bosons has been set to 125 GeV, and compatibility with the Higgs exclusion bounds for the non-SM Higgs bosons and the individual signal strength fits for the 125 GeV Higgs boson has been checked. Finally, the constraint arising from the measurement of the electron EDM, which is the most constraining of the EDMs [109], has been taken into account, with the experimental limit given by the ACME collaboration [110].

The various contributions to the electron EDM [111] partly cancel against each other [109], so that due to the different Higgs couplings to the fermions in type I CP-violating admixtures to the SM-like Higgs boson of up to 25% are allowed, while in type II the maximum allowed admixture
is $12\%$ [66]. This translates into an upper bound on the CP-violating phase $\alpha_2$, with the exact value depending on the scenario under investigation.

For the numerical analysis applied here, we resort to the C2HDM type II. While this choice does not affect the couplings involved in Higgs pair production, as the bottom loop contribution has consistently been neglected, this is relevant for the parameter ranges that are still allowed after applying the constraints. We included the latest bound on the charged Higgs boson mass, $m_{H^\pm} > 580 \text{ GeV}$, of Ref. [112], resulting from the recently updated analysis by the Belle collaboration of the inclusive weak radiative $B$-meson decays [113]. For further details on the scan and the applied constraints, we refer to Ref. [66]. The chosen scenario, finally, is given by

\[
\begin{align*}
\alpha_1 &= 0.853 , \quad \alpha_2 = -0.103 , \quad \alpha_3 = 0.0072 , \quad \tan\beta = 0.969 , \quad \text{Re}(m^2_{12}) = 70957 \text{ GeV}^2 , \\
m_{H_1} &= 125 \text{ GeV} , \quad m_{H_2} = 377.6 \text{ GeV} , \quad m_{H^\pm} = 709.7 \text{ GeV} ,
\end{align*}
\]

and the EW VEV $v$ is obtained from the Fermi constant. Note, that due to the small value of $\tan\beta$, the omitted bottom loop contribution is negligible. This scenario leads to the $H_3$ mass

\[
m_{H_3} = 711.5 \text{ GeV} ,
\]

and the CP-even and CP-odd coupling coefficients of the SM-like Higgs boson $H_1$ to the top quarks $c^e(H_1 t \bar{t}) = 1.077$ and $c^o(H_1 t \bar{t}) = -0.106$. Defining the pseudoscalar admixture to the Higgs boson $H_i$ by

\[
\Psi_i = (R_{i3})^2 ,
\]

we find a pseudoscalar admixture to $H_1$ of $\Psi_1 = 1.06\%$. In [66] it was shown that pseudoscalar admixtures to the SM-like Higgs boson of up to $12\%$ are still compatible with all constraints. The total widths of the neutral Higgs bosons are obtained as

\[
\Gamma_{H_1}^{\text{tot}} = 3.695 \cdot 10^{-3} \text{ GeV} , \quad \Gamma_{H_2}^{\text{tot}} = 2.664 \text{ GeV} , \quad \Gamma_{H_3}^{\text{tot}} = 75.66 \text{ GeV} .
\]

Starting from this scenario, we vary $\alpha_2$, one of the two angles inducing CP violation, in the range

\[
-0.13 \leq \alpha_2 \leq 0.15 .
\]

The lower limit is given by the fact that $m_{H_1}^2$ becomes negative below this value. This $\alpha_2$ range corresponds to a change in the SM-like Higgs boson CP-even and CP-odd coupling coefficients to the top quarks in the ranges

\[
1.073 \leq c^e(H_1 t \bar{t}) \leq 1.082 \quad \text{and} \quad -0.134 \leq c^o(H_1 t \bar{t}) \leq 0.154 ,
\]

where the maximum value of $c^e(H_1 t \bar{t})$ is obtained for $\alpha_2 = 0$. The pseudoscalar admixture varies in the range $0\% \leq \Psi_1 \leq 2.23\%$. Note that the scenarios obtained in this way are not necessarily compatible with all applied constraints. Also the total width of $H_3$ becomes very large for $\alpha_2 \leq -0.11$. As we want to investigate the impact of CP violation on NLO QCD Higgs pair production, we still allow these scenarios for illustrative purposes. With these coupling coefficients we are near the SM case for the CP-even component of the top Yukawa coupling and the variation of $\tilde{c}_t$ is comparable to the one in the EFT approach, where we chose $-0.15 \leq \tilde{c}_t \leq 0.15$ in Fig. 7. Contrary to the EFT approach, however, in the C2HDM we have additional Higgs bosons. These and the $Z$ boson contribute in the triangle diagrams of Higgs pair production, cf. diagrams 1 and 2 in Fig. 4. Depending on the investigated final state, the masses of the heavy neutral Higgs bosons, $m_{H_2}$ and/or $m_{H_3}$, may be large enough that $H_2$ and/or $H_3$ decay on-shell into the final state Higgs pair. This can induce resonantly enhanced Higgs pair production cross sections for...
the $H_1 H_1$, $H_1 H_2$ or $H_2 H_2$ final states, provided the branching ratio of the resonantly produced Higgs boson into the Higgs pair final state is large enough.

Fig. 9 shows the masses of the next-to-lightest and heaviest neutral Higgs bosons, $H_2$ and $H_3$, as a function of $\alpha_2$. At $\alpha_2 = 0$ there is a cross-over and the Higgs bosons change their roles: the initially lighter $H_2$ becomes heavier than $H_3$. Still, we stick to our convention and call the heaviest Higgs boson $H_3$ and the next heavier one $H_2$. Thus, we have for $-0.13 \leq \alpha_2 \leq 0.15$, the mass variations

$$377.6 \text{ GeV} \geq M_{H_2} \geq 277.0 \text{ GeV} \quad \text{and} \quad 1398.2 \text{ GeV} \geq M_{H_3} \geq 377.6 \text{ GeV}.$$  \hspace{2cm} (4.65)

Both Higgs bosons are heavy enough to decay on-shell into an $H_1$ pair, so that we can expect the cross section to be larger than in the SM case. This is confirmed by Fig. 10, which shows the cross section for $H_1 H_1$ production at NLO QCD as a function of $\alpha_2$ at a c.m. energy of 14 TeV. The smallest value of the cross section is obtained for $\alpha_2 = -0.13$. With a value of 604.14 fb it exceeds by far the SM cross section of 38.19 fb.\footnote{This value of the SM cross section differs from the one quoted in \cite{13}, as we do not include the top quark mass effects and work with a different pdf set.} At $\alpha_2 = 0.03$, we observe a strong increase in the cross section. The largest value, given at $\alpha_2 = 0.15$, is 28.48 pb. The strong increase at $\alpha_2 = 0.03$ can be understood by inspecting the $H_2$ and $H_3$ branching ratios. They are shown in Fig. 11 for the decays into $t \bar{t}$ (dashed) and $H_1 H_1$ (full) for $H_2$ (red) and $H_3$ (blue). The cross-over at $\alpha_2 = 0$, where $H_2$ becomes heavier than $H_3$ and they change their roles, is clearly visible by the jump in the branching ratios. As can be inferred from the plot, the $H_2$ branching ratio into $H_1 H_1$ strongly increases for $\alpha_2 \geq 0.03$. The $H_2$ mass value here drops below the $t \bar{t}$ threshold, so that this decay channel gets closed and the branching ratio into $H_1 H_1$ becomes large and even dominating, as the $H_2$ couplings to the gauge bosons are suppressed. This increase explains the increase in the Higgs pair production cross section. Also resonant $H_3$ production with subsequent decay into $H_1 H_1$ plays a role for positive $\alpha_2$ although it is much less important. At negative $\alpha_2$ only the $H_2$ branching ratio into $H_1 H_1$ is non-negligible and contributes to the resonant production.
Fig. 10. The cross section $\sigma(pp \rightarrow H_1 H_1 + X)$ at NLO QCD at the LHC with c.m. energy $\sqrt{s} = 14$ TeV as a function of the C2HDM mixing angle $\alpha_2$. All other parameters are given as in Eq. (4.59).

Fig. 11. The $H_2$ (red) and $H_3$ (blue) branching ratios into $t\bar{t}$ (dashed/upper) and $H_1 H_1$ (full/lower). At $\alpha_2 = 0$, $H_2$ and $H_3$ change their role, causing the jump in the branching ratios. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

We now turn to the investigation of the $K$-factor, $K = \sigma_{\text{NLO}}/\sigma_{\text{LO}}$, which is displayed in Fig. 12 together with the individual $K$-factors of the virtual and real corrections. Again, in the total $K$-factor the NLO (LO) cross section is evaluated with NLO (LO) parton densities and $\alpha_s$. The $K$-factor varies between 1.99 at $\alpha_2 = -0.13$ and 2.07 at $\alpha_2 = 0.15$. Between $\alpha_2 = 0.03$ and 0.04, where the total cross section gets strongly enhanced, the $K$-factor increases a little bit. The maximum deviation from the SM $K$-factor is found to be

$$\delta K_{\alpha_2}^{\max} = 0.071$$

for $\alpha_2 = 0.15$. While the deviation in the $K$-factor is small, the deviation in the absolute cross section is much more substantial. For $\alpha_2 = 0.15$ we have

$$\delta \sigma_{\alpha_2}^{\max} = 745.$$
This exceeds by far the deviations found in the EFT approach, and is due to the resonant production of a heavy Higgs boson, subsequently decaying into $H_1 H_1$. The resonant contribution from $H_2$ production with subsequent decay into $H_1 H_1$ makes up 27.26 pb of the total cross section, $\sigma_{\text{NLO}} = 28.47$ pb, and the one of resonant $H_3$ production yields 1.02 pb.

In Table 3 we list for our initial scenario defined in Eq. (4.59) the LO and the NLO cross sections as well as the total $K$-factor for all final states $H_i H_j$ ($i, j = 1, 2, 3$). With increasing mass of the final state Higgs pair the cross sections decrease as expected. For the Higgs mass values of our scenario, the only final state that can include resonant contributions, is $H_1 H_2$ production: A resonantly produced $H_3$ subsequently decays into $H_1 H_2$. The branching ratio $\text{BR}(H_3 \to H_1 H_2) = 0.647 \cdot 10^{-4}$ is, however, very small, so that resonant production does not play a role in this case.\(^5\) All non-resonant cross sections exhibit smaller $K$-factors than $H_1 H_1$ production with resonant contributions. They deviate significantly from the SM $K$-factor 1.94 and lie between 1.43 and 1.86. We furthermore observe that the heavier the final state the smaller becomes the $K$-factor. These findings are in accordance with previous investigations of the MSSM Higgs sector, where, depending on the final state, the $K$-factor ranges between about 1.73 and 1.96 [5].

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\(^5\) The dominant branching ratios are those into the $t\bar{t}$ and $ZH_2$ final states.

5. Conclusions

The Higgs sector plays an important role in the search for NP. While in extensions beyond the SM, the 125 GeV Higgs boson needs to have SM-like couplings to the other SM particles, the trilinear Higgs self-coupling and consequently Higgs pair production can still deviate significantly from the SM expectations. CP-violation in the Higgs sector plays an important role to explain the observed baryon-antibaryon asymmetry. In this work we computed the NLO QCD corrections to Higgs pair production including CP violation. We worked in the large top mass limit and performed the calculation on the one hand in the effective field theory approach, where NP effects are parametrised by higher-dimensional operators. On the other hand, we resorted to a specific benchmark model, given by the CP-violating 2HDM.

The various contributions to Higgs pair production are affected differently by the QCD corrections. In the EFT approach including CP-violating effects, we found that the $K$-factor is changed by several per cent only in the investigated parameter regions that are compatible with the LHC Higgs data. This reflects the dominance of the soft and collinear gluon effects in the QCD corrections. The impact of the novel dimension-6 operators on the absolute value of the cross section is much more important, however, as already found previously in the case of the CP-conserving EFT.

Also in the 2HDM, the $K$-factor of SM-like $H_1 H_1$ production varies only by a few percent in the investigated parameter range. For the other possible pair production processes with heavier Higgs bosons in the final state we find smaller $K$-factors, in accordance with previous findings in the MSSM, representing a specific realisation of the 2HDM model. The total cross sections can, however, be much larger than in the SM. This is due to the possibility of resonant heavy Higgs production with subsequent decay into the Higgs pair final state.

With $K$-factors between 1.4 and 2.1 in the 2HDM and 1.9 and 2.0 in the EFT approach, the inclusion of the QCD corrections in the gluon fusion process is necessary for reliable predictions of the cross section.

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Appendix A. Gluon fusion into Higgs pairs in the SILH approximation

The SILH approximation for NP effects is valid in case of small shifts $\delta \tilde{c}_i$ in the Higgs couplings $c_i$ away from the SM values $c_i^{\mathrm{SM}}$, i.e.

\[
\text{SILH:} \quad c_i = c_i^{\mathrm{SM}} (1 + \delta \tilde{c}_i) , \quad \text{with} \quad \delta \tilde{c}_i \ll 1 .
\]  

(A.68)

In the non-linear case arbitrary values are allowed for the coupling coefficients and terms quadratic in $\delta \tilde{c}_i$ have to be included. This also avoids non-physical observables, as e.g. negative cross sections. In contrast, in the SILH approach an expansion linear in $\delta \tilde{c}_i$ has to be performed. With

\[
c_t = 1 + \delta \tilde{c}_t \equiv 1 - \frac{\tilde{c}_H + 2 \tilde{c}_u}{2} , \quad c_{tt} = \delta \tilde{c}_{tt} \equiv - \frac{\tilde{c}_H + 3 \tilde{c}_u}{2} ,
\]
\[ c_3 = 1 + \delta \tilde{c}_3 \equiv 1 - \frac{3\tilde{c}_H - 2\tilde{c}_6}{2}, \]
\[ c_g = \delta \tilde{c}_g = \delta \tilde{c}_{gg} \equiv \tilde{c}_g \left( \frac{4\pi}{\alpha_2} \right), \quad \tilde{c}_t = \delta \tilde{c}_t \equiv -\tilde{c}_u, \quad \tilde{c}_{tt} = \delta \tilde{c}_{tt} \equiv -\tilde{3}\tilde{c}_u/2, \]
\[ \tilde{c}_g = \delta \tilde{c}_g = \delta \tilde{c}_{gg} \equiv \tilde{c}_g \left( \frac{4\pi}{\alpha_2} \right), \] (A.69)

*cf. Eq. (2.5), this yields for the LO partonic cross section Eq. (2.7) in the SILH parametrisation*

\[ \hat{\sigma}^{\text{LO}}_{\text{SILH}}(gg \rightarrow hh) = \int_{i-}^{i+} dt \frac{G_F^2 \alpha_R^2(\mu_R)}{256(2\pi)^3} \]
\[ \times \left[ |\tilde{C}_\Delta F_\Delta + F_\square|^2 + |G_\square|^2 + 2 \text{Re} \left\{ (\tilde{C}_\Delta F_\Delta + F_\square) \delta \tilde{c}_{tt} F_\Delta^{es} \right\} \right. \]
\[ \left. + \left| \tilde{C}_\Delta F_\Delta^{es} + 3 \tilde{C}_\Delta F_\Delta F_\square^{es} + 2 (|F_\square|^2 + |G_\square|^2) \right| \delta \tilde{c}_t \right. \]
\[ + \left( \tilde{C}_\Delta F_\Delta + F_\square \right) \delta \tilde{c}_3 + 8 (\tilde{C}_\Delta \delta \tilde{c}_3 + \delta \tilde{c}_{gg}) \right], \] (A.70)

where
\[ \tilde{C}_\Delta \equiv \lambda_{hh\bar{h}}^{\text{SM}} \frac{M_Z^2}{\hat{s} - M_h^2 + iM_h\Gamma_h}, \quad \text{with} \quad \lambda_{hh\bar{h}}^{\text{SM}} = \frac{3M_h^2}{M_Z^2}. \] (A.71)

The NLO SILH cross section is obtained from Eqs. (2.17)–(2.18) by replacing
\[ \hat{\sigma}^{\text{LO}}_\Delta \rightarrow \hat{\sigma}^{\text{SILH}}_\Delta \quad \text{and} \quad C \rightarrow C^{\text{SILH}}, \] (A.72)

with
\[ C^{\text{SILH}} = \pi^2 + \frac{33 - 2N_F}{6} \log \frac{\mu_R^2}{Q^2} + \frac{11}{2} + \left[ \int_{i-}^{i+} dt \tilde{\sigma}^{\text{SILH}}_\Delta \right]^{-1} \]
\[ \times \text{Re} \int_{i-}^{i+} dt \left\{ \left[ a_1 - 44(\tilde{C}_\Delta \delta \tilde{c}_g + \delta \tilde{c}_{gg}) \right] (\tilde{C}_\Delta F_\Delta + F_\square) + a_1 \left[ F_\Delta^{es} \delta \tilde{c}_{tt} \right. \right. \]
\[ \left. + (3\tilde{C}_\Delta F_\Delta^{es} + 4F_\square) \delta \tilde{c}_t + 8(\tilde{C}_\Delta + 3F_\square + 3\tilde{C}_\Delta F_\square^{es}) \delta \tilde{c}_g + 8\delta \tilde{c}_{gg} + \tilde{C}_\Delta F_\Delta^{es} \delta \tilde{c}_3 \right\} \]
\[ + \left[ 1 + 4\delta \tilde{c}_t + 24 \delta \tilde{c}_g \right] a_2 \frac{p_T^2}{2l\hat{u}} Q^2 - 2M_R^2 G_\square \right\}, \] (A.73)

where
\[ \tilde{\sigma}^{\text{SILH}}_\Delta = \hat{\sigma}^{\text{SILH}}_\Delta \left[ \frac{G_F^2 \alpha_R^2(\mu_R)}{256(2\pi)^3} \right]^{-1}. \] (A.74)

As can be inferred from Eqs. (A.70) and (A.73), in the SILH approximation inclusive Higgs pair production is not affected by CP-violating effects at LO in the coupling deviation, *i.e.* at the dimension-6 level.
References