

1 **Utilizing the impact of Earth and atmospheric tides on groundwater**
2 **systems: A review reveals the future potential**

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9 **Key Points:**

- 10 • Earth and atmospheric tides occur globally, are predictable or observable and induce
11 groundwater oscillations under semiconfined conditions
- 12 • Tides, in combination with poroelastic theory, enable groundwater system characterization
13 and hydrogeomechanical property quantification
- 14 • Analyzing groundwater responses to Earth and atmospheric tides is an underutilized pas-
15 sive technique to quantify subsurface properties

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Abstract

Groundwater extraction is increasing rapidly in many areas of the world, causing serious impacts such as falling water tables, ground surface subsidence, water quality degradation and reduction of stream baseflow on which many ecosystems depend. Methods for understanding and predicting the impacts of groundwater extraction generally lack detailed spatial and temporal knowledge of the subsurface hydrogeomechanical properties. This review provides a comprehensive understanding of Earth and atmospheric tides and their impact on subsurface pore pressure. First, we evaluate the global occurrence of Earth and atmospheric tides. Then, we illustrate their impact on the groundwater response and connect this with the theory of poroelasticity, which underpins quantitative analyses. Finally, we review methods which utilize these impacts to characterize groundwater systems and to quantify their hydrogeomechanical properties. We conclude by highlighting their potential as passive and low-cost investigation techniques and by outlining the research and developments required to progress and make analyses readily available. Thus, hydrogeomechanical properties of subsurface systems could be obtained at unprecedented spatial and temporal resolution, adding additional value to commonly acquired groundwater and atmospheric pressure data.

1 Introduction

Groundwater is the world's largest freshwater resource [Gleeson *et al.*, 2016] and forms the primary water source for billions of people [Gleeson *et al.*, 2012]. However, this vital resource is mainly of fossil origin [Jasechko *et al.*, 2017], rapidly being depleted [Wada *et al.*, 2010; Gleeson *et al.*, 2012], often poorly monitored or quantified [Alley, 2002; Taylor *et al.*, 2013], and inadequately managed [Famiglietti, 2014]. The potential impacts of such depletion are serious; for example, ecosystem deterioration caused by reduction in baseflow (shift from gaining to losing rivers) [Foster and Chilton, 2003], land subsidence (severely damaging infrastructure) [Galoway and Burbey, 2011], accelerated inland migration of sea levels and the salinization of freshwater aquifers through saltwater intrusion [Werner *et al.*, 2013].

A much better understanding of subsurface systems (such as groundwater flow and storage changes) must be developed urgently to determine sustainable extraction volumes and minimize the impacts of resource deterioration through adaptive decision making and management [Alley, 2002; Aeschbach-Hertig and Gleeson, 2012; Famiglietti, 2014]. In fact, it is the lack of knowledge about hydrogeologic properties on a global scale that prevents coupling of large-scale hydrologic models to groundwater reservoirs [Bierkens, 2015]. This problem requires considerably increased effort towards characterizing and quantifying subsurface processes and properties.

Subsurface properties such as permeability and storage coefficients are generally determined using aquifer tests, i.e., by inducing a hydraulic stress (increased or reduced water pressure) in bores specifically designed for this purpose (extraction wells) and analyzing the groundwater response in time and space [e.g., Kruseman and de Ridder, 1990]. Such bores are rare compared to groundwater monitoring bores. These tests require the installation of large capacity groundwater pumps and rely on expert execution and data interpretation. Consequently, aquifer testing results are scarce in both space and time.

By contrast, indirect methods, which are generally based on measuring geophysical properties from the ground surface (e.g., electrical or seismic properties), can cover much larger spatial scales [Binley *et al.*, 2015]. However, these methods also require expert execution, and

60 the results are limited by the indirect or ambiguous relationships between the geophysical and
 61 hydromechanical subsurface properties. Alternative indirect methods such as remote sensing in-
 62 crease the spatial scales even further; although most of these methods provide interpretations
 63 through established relationships, they are limited to the near surface. Subsurface character-
 64 ization by indirect methods is therefore often qualitative or semiquantitative in the absence of
 65 complimentary information, such as from boreholes [Keys, 1989; Deckers *et al.*, 2018]. Conse-
 66 quently, readily deployable and cost-effective methods to increase the rate and scale of directly
 67 measured subsurface hydraulic properties are required.

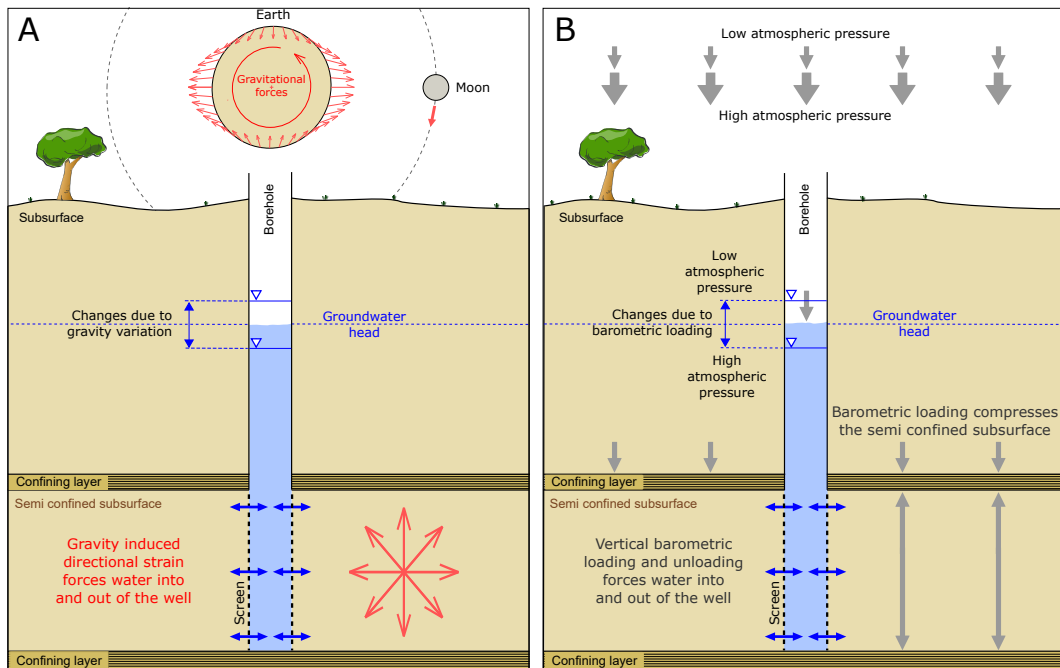


Figure 1: Representation of groundwater pressure head measured in a well penetrating a semiconfined aquifer with a relatively rigid matrix subjected to A) strains caused by Earth tides (using the moon as an example celestial body) and B) barometric loading caused by atmospheric tides.

68 Boreholes are windows into the subsurface where the groundwater pressure head (also
 69 known as borehole water level or standing water level) can be measured. This reflects the aver-
 70 age pressure conditions across the vertical subsurface section where the bore screen is located.
 71 In situ monitoring equipment, e.g., pressure transducers, are often installed for long-term, and
 72 therefore cost-effective, groundwater resource monitoring. Such infrastructure records natural
 73 processes that can be investigated through the use of conceptual models. For example, pas-
 74 sive investigation methods are advantageous as they rely on directly measuring the response
 75 (typically water pressure) to naturally induced stresses within a formation. As an example, the
 76 response to moisture loading on the land surface induces subsurface stress resulting in a pore
 77 pressure response, which can be used to calculate the hydromechanical properties of a forma-
 78 tion [van der Kamp and Schmidt, 2017].

79 Earth and atmospheric tides (EAT) are naturally occurring and present an ideal opportunity
80 for passive groundwater characterization (note: here, we refer to tides as general forces
81 on the Earth surface, not just limited to the oceans). Figure 1 illustrates how the subsurface is
82 influenced by EAT. The response of groundwater heads to barometric loading (BL) and Earth
83 tides (ET) strains has long been observed and recognized [e.g., Klönne, 1880; Meinzer, 1939;
84 Young, 1913]. However, only a few studies have inferred hydromechanical properties mainly
85 from ET signatures [e.g., Bredehoeft, 1967; Jorgensen, 1980; Narasimhan *et al.*, 1984; Merritt,
86 2004; Cuttillo and Bredehoeft, 2011]. Recently, the work by Allègre *et al.* [2016] provided estimates
87 of specific storage and permeability by using the identified ET signal response observed
88 in pore pressure data. Similarly, David *et al.* [2017] used atmospheric pressure fluctuations and
89 ET to calculate specific storage changes associated with the progression of an underground
90 mine over time. In both of these studies, the derived specific storage values were comparable
91 with those obtained through long-term pump tests in either the same or similar locations.

92 To date, there is limited research regarding tidal impacts on groundwater despite the fact
93 that tidal signatures are ubiquitous. Until recently, a combined approach using both Earth and
94 atmospheric tides has been thwarted by the hurdle of distinguishing tidal influences that act at
95 similar frequencies [e.g., Cuttillo and Bredehoeft, 2011; Lai *et al.*, 2013]. However, Acworth *et al.*
96 [2017] developed a quantitative method that disentangles the effects of tides at similar frequencies
97 and therefore provides an unprecedented opportunity for further development of tidal analysis
98 and characterization of groundwater systems.

99 In this paper, we summarize how the groundwater response to EAT can be exploited to
100 characterize and quantify subsurface properties. We (1) systematically review EAT impacts on
101 the subsurface, (2) briefly summarize the subsurface poroelastic theory coupled to fluid flow, (3)
102 comprehensively review methods and approaches that use tidal influences to quantify subsurface
103 processes and properties, and (4) illustrate that tidal analysis represents a powerful low-cost
104 technique that is currently underutilized but that requires further research effort to reach
105 its full potential. Finally, we demonstrate that by analyzing decades of accumulated commonly
106 measured variables such as groundwater head and atmospheric pressure, we can obtain unprecedented
107 spatial and temporal knowledge of groundwater system characteristics.

108 It is important to note that the methods described in this review do not apply to phreatic
109 (zone of saturation beneath the water table) or unconfined aquifers and are only relevant for
110 subsurface layers that show some degree of confinement. Further, we specifically focus on inland
111 systems and explicitly exclude ocean tide influences, which are addressed elsewhere in the
112 literature [e.g., Pugh and Woodworth, 2014].

113 **2 Earth and atmospheric tides and their subsurface impacts**

114 Before analyzing the groundwater response to tidal forces, it is useful to consider the existing
115 knowledge about tidal mechanisms and the processes through which they impact the subsurface.
116 A fundamental understanding of EAT requires an inclusion of the scientific disciplines
117 of geodesy, geophysics and atmospheric sciences. The following subsections briefly summarize
118 essential knowledge that relates EAT to its influences on the subsurface.

119 **2.1 Gravity tides and the tidal potential**

120 The tidal potential is embedded in gravity (g), which is a constant of acceleration with an
121 average global value of $g = 9.81 \text{ m/s}^2$ on the Earth's surface. The unit *Gal* (after Galileo)

122 is also used for gravity, where 1 *Gal* equals 1 cm/s^2 , or 0.01 m/s^2 . Gravity can now be mea-
 123 sured with a precision of 0.1 nm/s^2 (or 10^{-11} *Gal*) either as an absolute or a relative parame-
 124 ter. *Van Camp et al.* [2017] provide a comprehensive review of gravity measurement techniques.

125 Tides are commonly associated with periodic changes in ocean levels, perhaps because
 126 the oceans fluctuations are clearly visible and affect human activity. One of the earliest con-
 127 cepts to explain the cause of tides can be traced back to Kepler in a letter written to *Herwart*
 128 *von Hohenburg* in 1607, suggesting that the sea is attracted to the moon through gravity. This
 129 explanation was supported by *Galileo Galilei's* "*Discourse on the Tides*" written in the year 1616
 130 [Naylor, 2007; Aiton, 1955]. However, he focused on observations of the ocean level and did not
 131 consider gravitational tides as the cause. The recognition that celestial bodies in motion affect
 132 terrestrial gravity can be attributed to *Sir Isaac Newton*, who first proposed the theory of gravity
 133 in his seminal work in the year 1687 [Aiton, 1955].

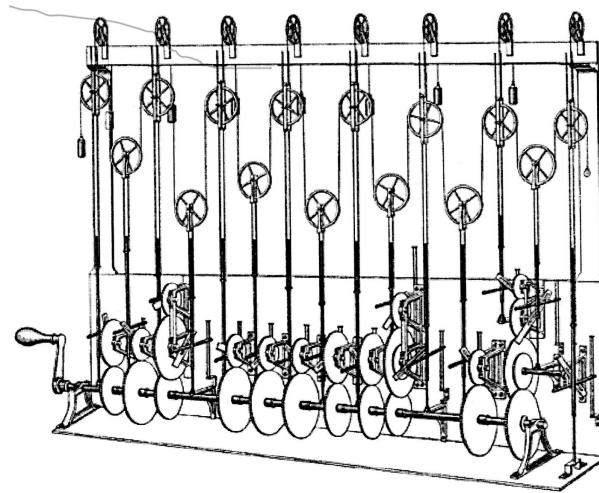


Figure 2: The third tide-predicting machine designed and made by *Sir Joseph John Thomson* (1879-81) [Thomson, 1881]. The machine translates rotational movements into vertical motion, where a number of different frequencies are added up through a string coupled to circles with different diameters.

134 Prediction of tides did not occur until centuries later, when *Sir William Thomson* (also
 135 known as Lord Kelvin) designed one of the earliest methods to forecast the tidal signal. Figure
 136 2 shows an example of the tide machine performing harmonic addition using analogue mecha-
 137 nical computations [Thomson, 1881]. Almost in parallel, *Sir George H. Darwin* delivered a series
 138 of lectures about the tides [Darwin, 1899]. His monumental work first considered gravity as a
 139 dynamic system in which multiple celestial bodies are in relative motion. The *Darwinian Sym-*
 140 *bols* used to describe the various tidal components represents a legacy from Thomson [1881]
 141 and was later expanded on by Darwin [1899]. These abbreviations are not systematic but have
 142 become entrenched into the discipline [Agnew, 2010]. Table 1 (adapted from Agnew [2010])
 143 summarizes the Darwin names, frequencies (in 'cycles per day' [cpd]) and different magnitudes
 144 of the strongest diurnal and semidiurnal components found in ET.

Darwin name	Frequency [cpd]	Tidal Potential [m^2/s^2]	Tidal Gravity Variation [m/s^2]	Tidal Dilation [-]	Description	Attribution
Diurnal						
O_1	0.929536	5.363385	8.26E-06	3.347E-08	Principal Lunar diurnal	Earth
M_1	0.966446	10.286769	1.58E-05	6.419E-08	Lunar Diurnal	Earth
P_1	0.997262	7.407625	1.14E-05	4.622E-08	Diurnal Lunar perigee	Earth
S_1	1.000000				Principal Solar Atmospheric Pressure (thermal)	Atmosphere
K_1	1.002738	22.924982	3.53E-05	1.431E-07	Lunar Solar Diurnal	Earth
Semidiurnal						
N_2	1.895982	12.963403	1.996E-05	8.089E-08	Lunar elliptic Semidiurnal (variation in moon distance)	Earth
M_2	1.932274	42.060943	6.477E-05	2.625E-07	Principal Lunar Semidiurnal	Earth
S_2	2.000000	19.309855	2.973E-05	1.205E-07	Principal Solar Semidiurnal	Atmosphere/Earth
K_2	2.005476	11.791770	1.816E-05	7.358E-08	Lunar Solar Semidiurnal	Earth

Table 1: Table of major tidal components ordered according to frequency in cycles per day [cpd]. Tidal gravity variations [m/s^2] and tidal dilation are calculated from the tidal potential (V) [m^2/s^2] as $g * V/r$ and $= V/g * (\frac{L}{S}h - 3\frac{L}{S}l)/r$ respectively, where g is gravity, r is the radius of the earth and $\frac{L}{S}h$ and $\frac{L}{S}l$ are assumed love numbers of 0.6 and 0.07 respectively. Note that S_1 has been included due to its large superposition effect on the other tidal components although it is not of gravitational origin. Table adapted from *Darwin* [1899] *Munk and MacDonald* [1960] and *Agnew* [2010].

145 Predicting the tide generating potential is based on calculating the gravitational influence
 146 that major celestial bodies such as the sun and moon have on the gravity that exists anywhere
 147 on the Earth's rotating surface. *Darwin* [1899] recognized that the relative movement of planetary
 148 bodies and their gravitational influence on Earth can be decomposed into harmonic coefficients
 149 and tabulated as a tidal catalog. This work provided the foundation required for predicting
 150 the tidal potential. *Doodson* [1921] noted major discrepancies between predictions and measurements
 151 and improved the tidal catalog by increasing the total number of coefficients (Table 2).

Catalog authors	Catalog name	Number of waves*	RMS Accuracy [nGal]	
			(time domain)	(freq. domain)
<i>Doodson</i> [1921]	-	378	102 ¹	0.34 ¹
<i>Cartwright and Edden</i> [1973]	-	505	37.4 ¹	0.126 ¹
<i>Büllesfeld</i> [1985]	-	656	24 ¹	0.08 ¹
<i>Tamura</i> [1987]	T87	1,200	6.7 ¹	0.022 ¹
<i>Xi and Hou</i> [1987]	XI1989	2,934	7.9 ¹	0.026 ¹
<i>Tamura</i> [1993]	T93	2,114	3 ¹	0.01 ¹
<i>Roosbeek</i> [1996]	RATGP95	6,499	2 ¹	0.026 ¹
<i>Hartmann and Wenzel</i> [1995]	HW95	12,935	0.13 ²	0.0004 ²
<i>Kudryavtsev</i> [2004]	KSM03	28,806	0.025 ³	≈0.0001 ³

Table 2: Overview of tidal catalogs, the number of waves used to calculate the tide generating potential and root-mean-square (RMS) accuracy in the time and frequency domains. *All catalogs were transformed into the HW95 normalization and format by *Wenzel* [1996] enabling a comparison of the number of waves. ¹Using a benchmark series in the range from 1970-2029 [*Hartmann and Wenzel*, 1995]. ²Using DE200 ephemerides in a timespan of 300 years [*Hartmann and Wenzel*, 1995]. ³Using DE/LE405 ephemerides in the timespan from 1600-2200 [*Kudryavtsev*, 2004].

152 Progressive increases in the precision and duration of gravity measurements have yielded
 153 ever higher spectral resolution and resulted in increasingly precise decomposition methods and
 154 associated detection of even the smallest tidal components. For example, *Kudryavtsev* [2004]
 155 developed the latest tidal catalog (termed KSM03) using Poisson polynomials instead of Fourier
 156 coefficients. The KSM03 tidal catalog is based on NASA's calculator for planetary and lunar
 157 movement (JPL Development Ephemerides DE405 [*Standish*, 1998]) and is capable of predicting
 158 ET with 0.025 *nGal* in root-mean-square error precision for the time period of 1600-
 159 2200. He further illustrated that the maximum difference between the prediction and a benchmark
 160 gravity time series decreases with the number of terms from 5 *nGal* (RATGP95 catalog by
 161 *Roosbeek* [1996] with 6,499 terms) to 0.39 *nGal* (KSM03 catalog by *Kudryavtsev* [2004] with
 162 28,806 terms). Table 2 summarizes the tidal catalogs and illustrates their evolution in terms of
 163 the number of waves obtained from signal decomposition and the increase in predictive accuracy
 164 over time. In essence, the theoretical gravity potential anywhere on Earth can be calculated
 165 accurately using geocoordinates (latitude and longitude) as well as time (UTC, or Universal Time
 166 Coordinated).

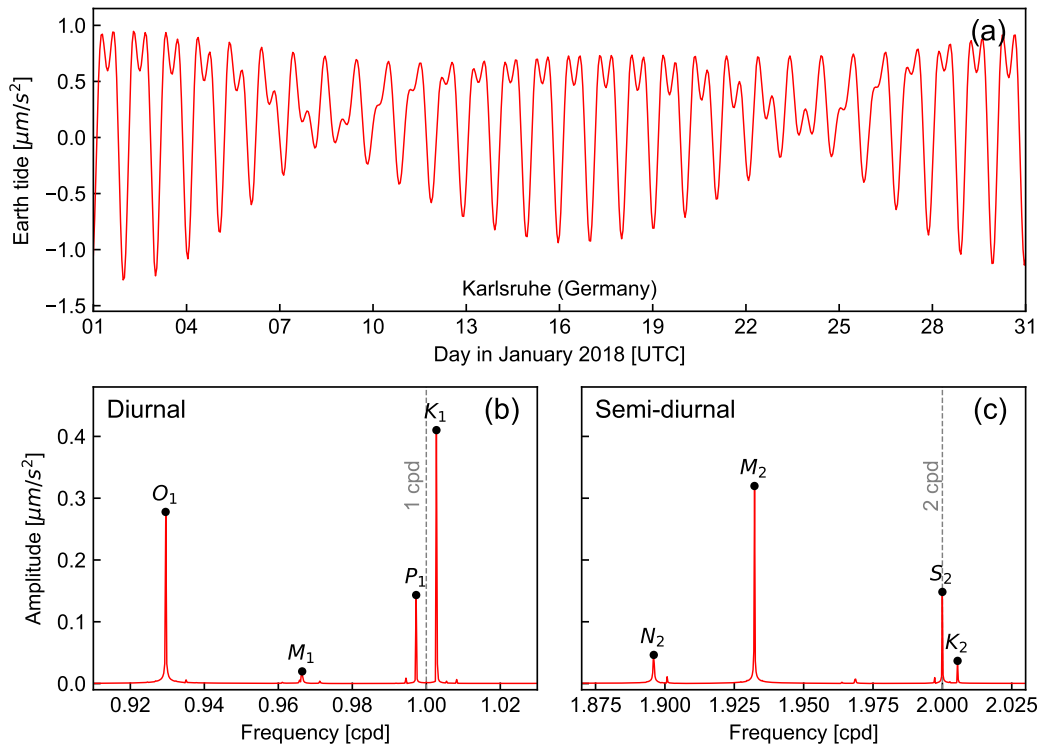


Figure 3: (a) Example of the tidal potential as calculated using *PyGTide* [Rau, 2018] (based on *ETERNA PREDICT* [Wenzel, 1996]) with the latest tidal catalog *KSM03* [Kudryavtsev, 2004] for the city of Karlsruhe in Germany (latitude 49.006889° , longitude 8.403653° , height 120 m). (b) and (c) show the amplitude spectrum calculated for the same location as above but using a 10-year record for optimal frequency resolution and reported as 'cycles per day' (cpd). Note that in (b), the x-axis is restricted to near 1 cpd, whereas in (c), it is restricted to near 2 cpd. Major frequency components are labeled using the Darwin convention (see Table 1).

167 Software programs are available to predict the tidal potential or to analyze measured gravity
 168 time series. Examples include *BAYTAP-G* [Tamura, 1987], *MT80W* and *MT80TW* by the
 169 *International Center for Earth Tides* (ICETS, website: <http://www.bim-icet.org>), *GTIDE* [Mer-
 170 *riam*, 1992] and *VAV* [Venedikov and Vieira, 2004]. Perhaps the most widely used and sophis-
 171 ticated program is *ETERNA 3.3*, an ET data processing package written in *Fortran 77* by Wenzel
 172 [1996]. This program contains the subroutine *PREDICT* to calculate the tidal potential using dif-
 173 ferent tidal catalogs. Kudryavtsev [2004] modified the original code to include the *KSM03* tidal
 174 catalog, resulting in a new version *PREDICT 3.4*. This program can be downloaded from the
 175 *International Geodynamics and ET Service* [IGETS, 2018].

176 Another software package is *TSoft*, which was written for the analysis of time series and
 177 ET [Van Camp and Vauterin, 2005]. This software includes the capability to synthesize gravity

178 tides for any location on Earth. However, it uses the somewhat older tidal catalog by *Tamura*
 179 [1987] and therefore produces gravity potentials with a factor 50 lower precision compared to
 180 *ETERNA PREDICT* in combination with the HW95 catalog (Table 2) [*Hartmann and Wenzel,*
 181 1995].

182 The original *ETERNA PREDICT* source code has been compiled as a Python module and
 183 wrapped into a package named *PyGTide* [*Rau, 2018*]. This package provides a convenient ap-
 184 proach to easily integrate ET into subsequent scientific computations. Figure 3 shows the ET
 185 time series and its amplitude spectrum for the city of Karlsruhe (Germany) calculated using
 186 *PyGTide* [*Rau, 2018*] with the KSM03 tidal catalog. As expected, the most dominant tidal har-
 187 monics are in the diurnal and semidiurnal frequency ranges. These harmonics originate from the
 188 moon and sun, which are closest to Earth and therefore exert the strongest gravitational forces
 189 (Table 1).

190 The above summary illustrates the accuracy with which ET can now be calculated. In fact,
 191 a 28-year-long gravity time series measured using superconducting gravimeters was recently
 192 compared with calculations from *ETERNA* in the frequency domain and illustrated excellent
 193 agreement [*Calvo et al., 2018*]. Such predictability has allowed the detection of important Earth
 194 processes, for example, of hydrological [*Boy et al., 2006; Longuevergne et al., 2009*] or atmo-
 195 spheric [*Boy et al., 2006*] origin, which clearly show up as differences between measured gravity
 196 time series and modeled ET.

197 The availability of tidal prediction software allows geoscientists with no specialist knowl-
 198 edge of astrophysics or geodesy to exploit the gravitational signal, for example, as embedded
 199 in groundwater measurements, to quantify subsurface processes and properties on Earth. This
 200 constellation of different scientific disciplines enables tidal predictions to be applied to character-
 201 ize groundwater systems.

202 2.2 Tidal response of the solid Earth

203 Earth tides (ET) are “*the motions induced in the solid Earth, and the changes in its gravi-*
 204 *tational potential, induced by the tidal forces from external bodies*” [*Agnew, 2010*]. Although the
 205 average gravity on the Earth’s surface is 9.81 m/s^2 , the relative movement of celestial bod-
 206 ies causes deviations from mean gravity [*Van Camp et al., 2017*]. Oscillations due to ET are
 207 the largest time-variable signal in gravity measurements at approximately 10^{-7} m/s^2 [*Xu et al.,*
 208 2004]. While these fluctuations are harmonic, they occur at different frequencies, which reflect
 209 the speed at which celestial bodies move relative to the Earth’s reference frame [*Doodson,*
 210 1921; *Melchior, 1974, 1983*].

211 Gravitational attraction from celestial bodies exert directional forces in the Earth’s crust.
 212 Figure 4 shows an example of the tidal force on the Earth (right) as a result of two bodies in
 213 relative motion (left) [*Agnew, 2010*]. The subsurface rock mass is elastic and therefore deforms
 214 as a result of the force induced from the tide-generating potential. This deformation is referred
 215 to as a *body tide* and can cause a maximum vertical displacement of the Earth’s surface of 0.4
 216 m within the time frame of one day [*Krásná et al., 2013*].

217 New methods are now available to measure tide-induced ground surface movements, for
 218 example, satellite-based location services such as the Global Positioning System (GPS). *Yuan*
 219 *et al.* [2013] used data from 456 globally distributed Global Navigation Satellite System (GNSS)
 220 receivers spanning a duration of 16 years to quantify the tidal displacement field at the Earth’s
 221 surface. The authors found that they could calculate horizontal and vertical displacement with

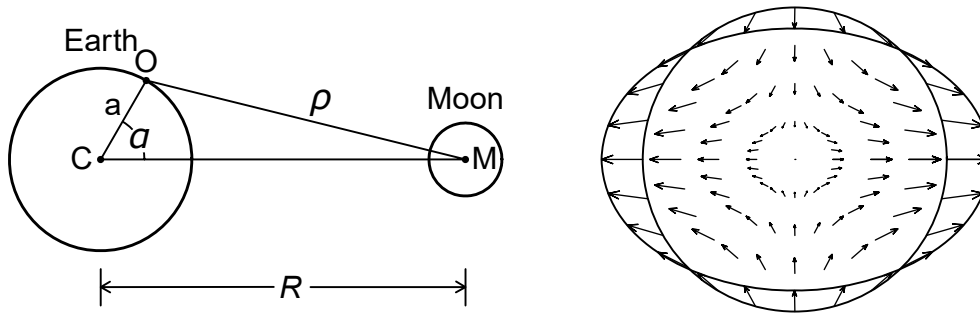


Figure 4: Tidal forcing by Agnew [2010]: (left) an example of the geometry that is considered when the tidal force caused by the moon (centered at M) at a distance (ρ) is calculated for a point (O) on Earth (centered at C); R is the distance between the Earth and moon and a is the radius of the Earth; (right) the resulting tidal force field within the Earth. The scales of the largest force arrows are $1.14 \mu\text{m/s}$ and $0.51 \mu\text{m/s}$, as caused by the moon and sun, respectively. The elliptical line shows the tidally forced equipotential surface. Note that the tidal force field in this illustration is greatly exaggerated.

222 submillimeter accuracy and identified all major ET components in their analysis. Yuan *et al.*
 223 [2013] also highlighted that their measurements could be used to improve knowledge of the
 224 Earth's geomechanical properties.

225 The forces exerted from ET are multidirectional and dynamic due to the relative motion
 226 of celestial bodies in relation to a rotating Earth [Agnew, 2010]. Subsurface volumetric defor-
 227 mations are referred to as strains and tilts and can be measured using highly sensitive strain
 228 meters [e.g., Agnew, 1986]. Because the tidal potential can be predicted with great accuracy,
 229 it can be used to calibrate borehole strain meters to reveal stress from other sources such as
 230 earthquakes [Hart *et al.*, 1996].

231 Although the crust's response to ET can be computed, calculations rely on an appropri-
 232 ate model of the Earth's elastic properties. Love [1911] first analyzed the tidal response of a
 233 homogeneous elastic Earth and provided a set of dimensionless values, called the *Love-Shida*
 234 *numbers*: $\frac{L}{S}h$ measures the vertical (radial) displacement of the Earth's elastic properties, $\frac{L}{S}k$
 235 is the ratio of the additional potential due to the deformation, and $\frac{L}{S}l$ is the ratio of the horizon-
 236 tal (transverse) displacement of an element of crustal mass to that of the corresponding static
 237 ocean tide. These numbers were later refined using very-long-baseline interferometry (VLBI), a
 238 space geodetic technique using spatially distributed measurements of microwaves from extra-
 239 galactic sources to quantify relative movements [Krásná *et al.*, 2013].

240 However, more complex models were needed to describe the heterogeneity of the Earth's
 241 internal structure. A radial 1-D distribution of the Earth's elastic properties, named *Preliminary*
 242 *Reference Earth Model* (PREM), was later provided by inverting globally distributed geophysical
 243 measurements [Dziewonski and Anderson, 1981]. The latest analysis by Latychev *et al.* [2009]
 244 used this model as a benchmark for calculating the difference that body tides would cause when
 245 considering two different elastic Earth models both with 3-D elastic and density distributions (re-
 246 ferred to by the authors as SCRIPPS and SPRD6). Figure 5 shows a global map of the com-
 247 puted maximal difference in radial displacement and surface gravity that the semidiurnal body

248 tides would cause when a 3-D elastic model is compared with the 1-D case. The figure clearly
 249 illustrates the complexity of interaction between the tide-generating potential and the subsurface
 250 response due to the heterogeneous distribution of Earth's elastic properties.

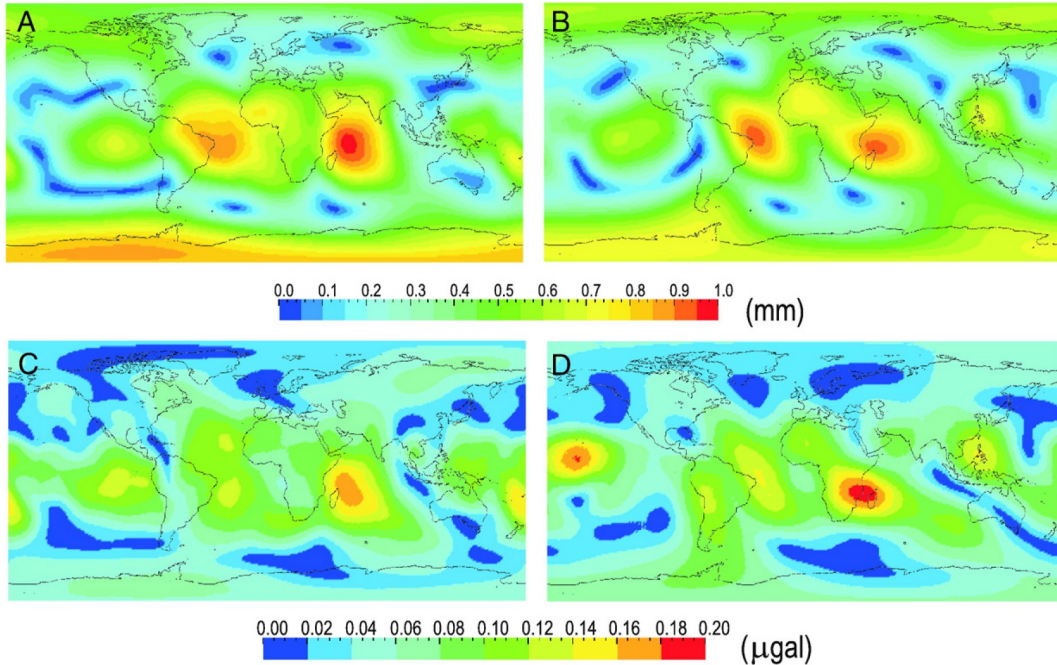


Figure 5: Computed response to the tide generating potential in the semidiurnal frequency band using different 3-D Earth models with state-of-the-art knowledge of the crustal properties: maximum of the absolute value of the perturbation in the radial displacement using the 3-D model SCRIPPS (A) and SPRD6 (B); (C,D) maximum perturbation in surface gravity using the same models as in (A) and (B). This is Figure 5 from *Latychev et al.* [2009] and is based on near-maximum gravity values from 8 March 1993 at 14:01.30 UT.

251 This concise summary of ET demonstrates the enormous complexity involved in the pre-
 252 diction of subsurface effects induced by gravity variations. However, the theory of a poroelastic
 253 subsurface, as is outlined in Section 3.1, allows direct quantification of subsurface mechanical
 254 properties from observations of variations in pore water pressure combined with computations of
 255 the tidal potential.

256 2.3 Atmospheric tides

257 To the best of our knowledge, the first detailed report of daily and subdaily oscillations in
 258 atmospheric pressure was presented by *Hann* [1889]. They analyzed data from 127 globally dis-
 259 tributed barometric stations for amplitudes and phases, with the clear result that amplitudes are
 260 largest at the equator and diminish towards the poles. *Chapman* [1951] also noted their global
 261 occurrence and associated them with the term *tides*, despite their thermal rather than gravita-

262 tional origin. *Chapman and Westfold* [1956] analyzed oscillations in atmospheric pressure on a
 263 global scale. They noted that the semidiurnal lunar component is caused by gravity, whereas
 264 the solar component is induced by a combination of gravity and thermal expansion of the at-
 265 mosphere due to solar radiation (insolation). The authors further found that the amplitudes are
 266 strongest at the equator, with the solar (denoted as S_2) and lunar (denoted as M_2) component
 267 amplitudes quantified as ≈ 150 Pa and ≈ 6.5 Pa, respectively, and that the amplitudes greatly
 268 decrease towards the Earth's poles. While *Siebert* [1961] noted the complexity of the thermal
 269 processes that heating from sunlight causes within the atmosphere, *Palumbo* [1998] was later
 270 able to explain the mystery behind the dominance of the S_2 component at a frequency of 2 cpd
 271 as a result of the harmonic interplay between two complex thermodynamic mechanisms both
 272 acting at a frequency of 1 cpd. A detailed summary and a quantitative analysis of atmospheric
 273 tides (AT) are given by *Chapman and Malin* [1970].

274 *Clark* [1967] was the first to quantify the effects of AT as a barometric efficiency with the
 275 aim of isolating the influences from changes in atmospheric pressure on the groundwater head
 276 fluctuations. *Farrell* [1972] then provided a summary of the oscillating pressures induced by AT
 277 and how they exert a load on the surface of the Earth, causing stress and elastic deformations
 278 in the subsurface. *Farrell* [1972] then also developed a quantitative model to calculate the tidal
 279 loading exerted on the Earth from AT. These subsurface deformations alter the pore pressure
 280 and therefore induce fluctuations in groundwater heads, processes which are explored further in
 281 Section 3 on the *theory of poroelasticity*.

282 The value of detailed AT for groundwater investigations was exploited by *Acworth and*
 283 *Brain* [2008]. Not only were they able to show the importance of using spectral analysis to dis-
 284 tinguish between tidal components, but their investigation of AT also illustrates a strong season-
 285 ality in the daily component, whereas the subdaily component appears to be stable over time.
 286 Figure 6 shows a 12-year continuous atmospheric pressure record measured on the Liverpool
 287 Plains in Australia (-31.5° latitude), and Figure 6(b) illustrates the variation of the time-frequency
 288 amplitude content embedded in the atmospheric pressure records [*Acworth et al.*, 2016]. The dif-
 289 ference in seasonality of the daily and subdaily tidal components is clearly illustrated with lower
 290 relative amplitude in winter time (in the southern hemisphere). As such the subdaily component
 291 is more useful for groundwater investigations due to its stability over time. Further discussion of
 292 the implications of AT analysis for groundwater is presented in following section regarding the
 293 *Theory of poroelasticity*.

294 To properly assess the potential use of AT to characterize groundwater systems, their
 295 worldwide occurrence and magnitude must be understood. *Ray and Ponte* [2003] extracted AT
 296 from data generated by the *European Centre for Medium Range Weather Forecasting* (ECMWF)
 297 and used these data to analyze the global variation of the S_1 (diurnal) and S_2 (semidiurnal) am-
 298 plitudes and phases. The authors concluded that it is difficult to develop a model to predict their
 299 variation. *Van Dam and Ray* [2010] used this dataset to compute the loading of the solid Earth
 300 using *Farrell* [1972]'s Earth loading model. Figure 7 shows a global map with vertical deforma-
 301 tion amplitudes and phases induced by the AT calculated by *Van Dam and Ray* [2010]'s unpub-
 302 lished tool. The maps illustrate that the strongest subsurface loading occurs near the equator for
 303 both S_1 and S_2 . The maps further illustrate that AT impacts on groundwater systems should be
 304 detectable in many large regions around the globe. However, there appears to be a large area
 305 of landmass on the northern hemisphere where BL could be too small to be detected in ground-
 306 water heads. Further research is clearly required to determine the threshold for the minimum
 307 size of the AT needed for the practical measurement of the groundwater response and its use
 308 for quantifying subsurface hydromechanical properties.

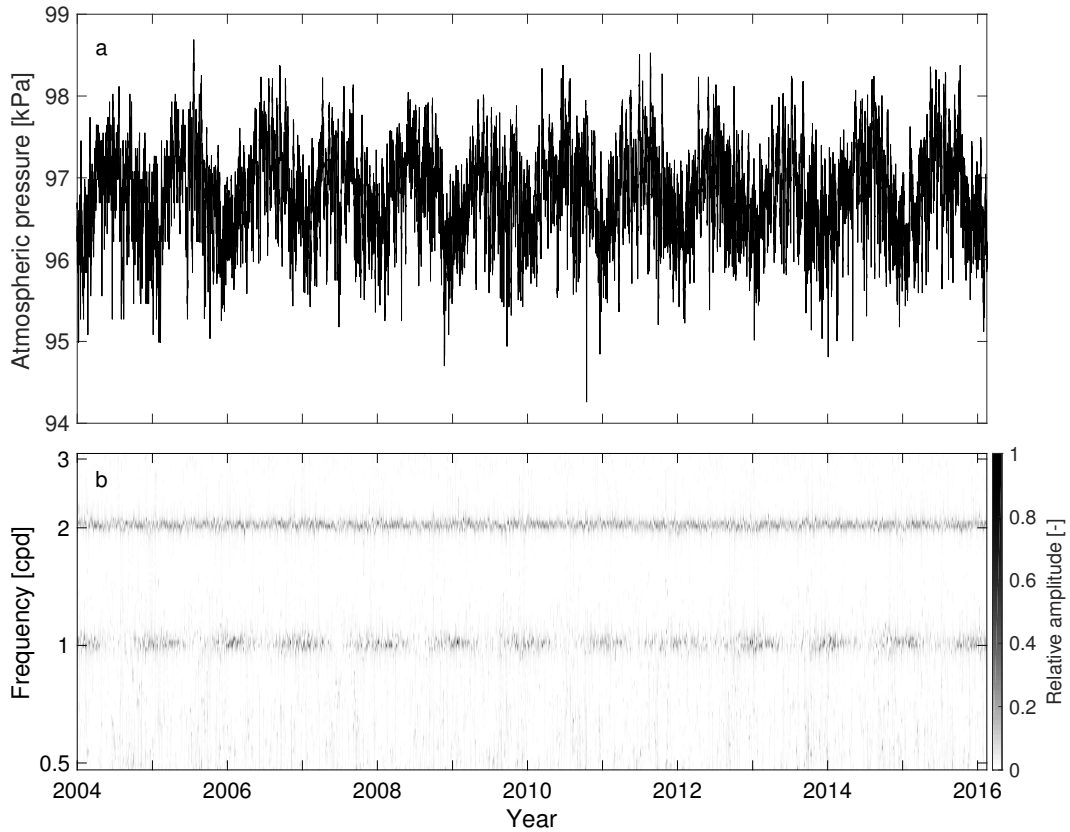


Figure 6: (a) A 12-year continuous atmospheric pressure record measured in Baldry on the Liverpool Plains in New South Wales, Australia and (b) its frequency-time-amplitude content as calculated by the *Wavelet Synchrosqueeze Transform* [Acworth *et al.*, 2016]. Note the characteristic atmospheric tides at frequencies of 1 and 2 cpd.

309 Our synthesis of the occurrence, spatiotemporal distribution and effect that AT have on the
 310 solid Earth demonstrates their potential as a natural subsurface stress. In addition, atmospheric
 311 pressure has now been recorded for over 100 years as part of routine weather monitoring. In
 312 the last couple of decades, atmospheric pressure has also been measured routinely to correct
 313 the absolute pressure measurements of groundwater heads when unvented pressure transduc-
 314 ers are used for routine monitoring. This widespread monitoring of atmospheric pressure means
 315 that for large regions of the globe, there would be a robust basis for ‘data mining’, i.e., analyzing
 316 decades of existing groundwater pressure datasets for their tidal signals, which could then be
 317 used for unprecedented spatial and temporal groundwater system characterization.

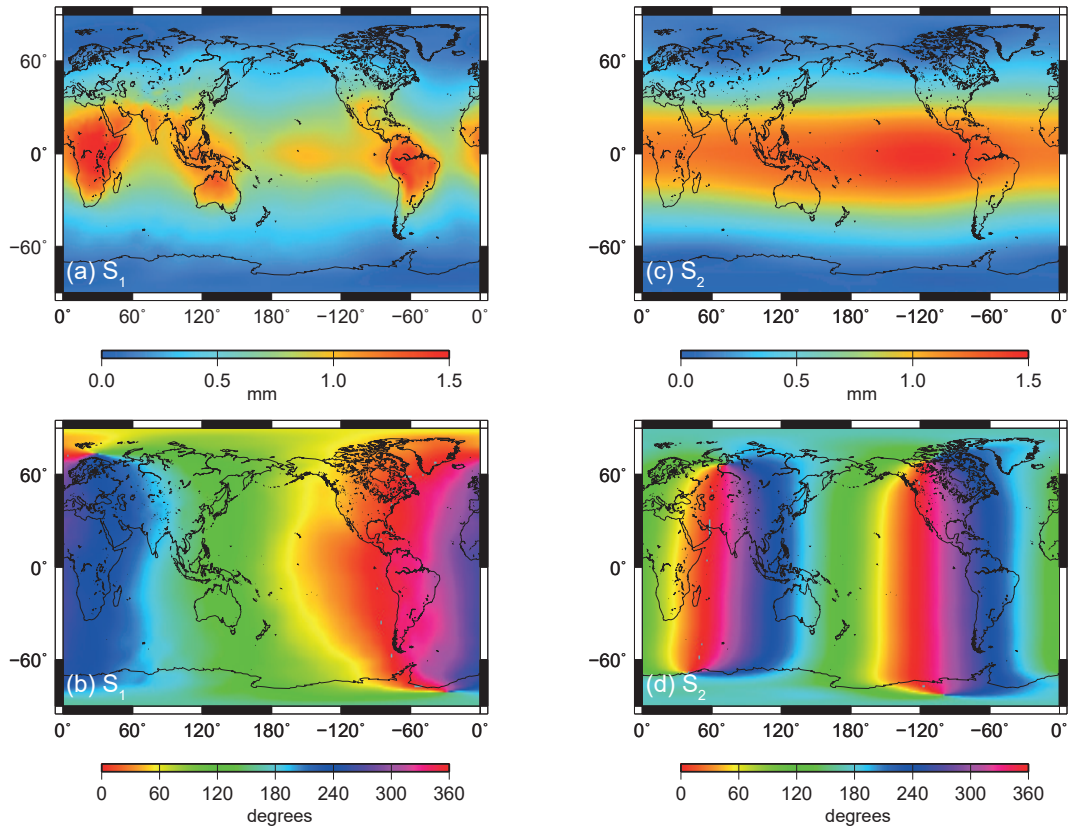


Figure 7: Results from a model predicting the amplitudes and phases of radial surface displacement to the Earth caused by loading from atmospheric tides (a-b: S_1 at 1 cpd, c-d: S_2 at 2 cpd) on a global scale [Van Dam and Ray, 2010]. The S_1 and S_2 amplitudes are derived from Ray and Ponte [2003], and the loading is calculated using the elastic Earth model by Farrell [1972] using the center of earth as the reference frame.

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3 The saturated poroelastic subsurface

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3.1 Early observations of subsurface poroelasticity

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Traditional hydrogeological investigations (such as aquifer tests) assume that the aquifer matrix is rigid. However, in order to understand EAT influences on groundwater systems, a theory allowing the elastic deformation of both rocks and water must be invoked. Elasticity of aquifers was first recognized in the early 19th century through the works of Meinzer and Hard [1925] and Meinzer [1928], who recorded the compression of the North Dakota Sandstone associated with extraction of water from a confined aquifer. Although not explicitly stated, Meinzer and Hard [1925] described the principles that we now refer to as specific storage in confined groundwater systems. Theis [1935] later explicitly described the coefficient of specific storage and introduced pump testing as a direct method to quantify aquifer properties.

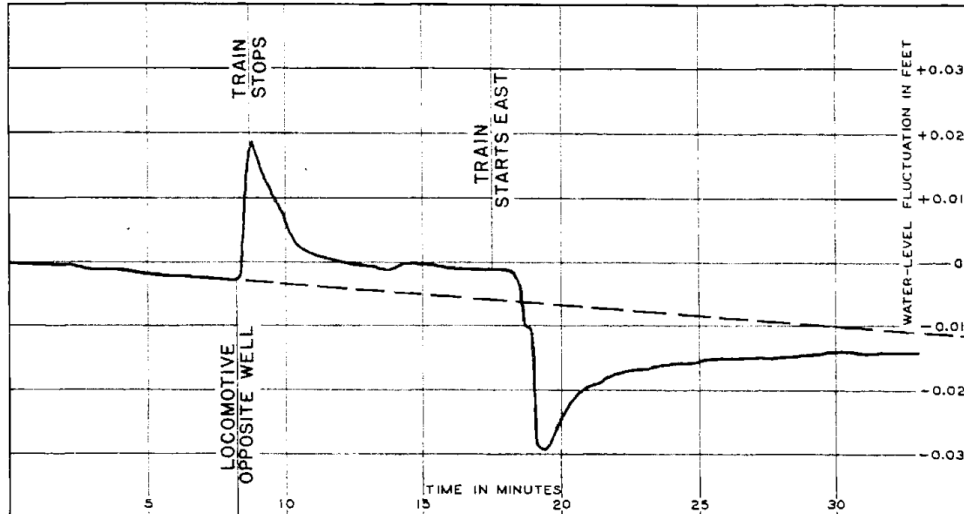


Figure 8: Groundwater head fluctuations in a well produced by a passing freight train [Jacob, 1939]. Note the rapid loading/unloading and subsequent flow of water away from and towards the zone of stress when the train arrives and departs, as indicated by the exponential change in groundwater head towards equilibrium.

329 *Jacob* [1939] recorded fluctuations within groundwater piezometers due to surface loading and
 330 unloading from a passing locomotive and thereby confirmed the fact that aquifers are
 331 elastic. Figure 8 shows this original observation as annotated by the author. *Biot* [1941] first de-
 332 veloped a comprehensive 3-D mathematical and physical theory for consolidation, which now
 333 forms the foundation upon which state-of-the-art poroelastic theory is based.

3.2 Stress, strain and pore pressure changes in porous and water saturated formations

336 The effects of changes in stress, strain and pore pressure in porous and water saturated
 337 formations is quantified by the theory of poroelasticity. This theory describes the elastic strain
 338 response of a fluid-solid coupled porous material when it is subjected to an external force. It
 339 also describes how the strain from this external force is distributed between the fluid and solid
 340 as an increase in pore pressure, elastic deformation of the skeletal matrix or, more often, a
 341 combination of both. It should be noted here that the theory of poroelasticity is considered from
 342 different perspectives by various scientific and engineering disciplines. For example, soil sci-
 343 entists and rock engineers mostly focus on the solid matrix and prediction of processes such
 344 as consolidation [Verruijt, 2013]. Geophysicists, mining and reservoir engineers take a more
 345 comprehensive approach by considering the detailed coupling between the fluid and the ma-
 346 trix. Hydrogeologists are primarily focused on aquifer-aquitard properties related to water storage
 347 and transmission and how they can be quantified from observed groundwater head fluctuations
 348 [Domenico and Schwartz, 1997].

349 There are also some differences in terminology between these disciplines. For example,
 350 deformation or Young's modulus used in geotechnical engineering is also known as the elastic
 351 modulus among hydrogeologists. This modulus describes the ratio of stress to strain, where ten-
 352 sion or compression occurs along an axis. However, some key moduli, such as Poisson's ratio,
 353 are consistent between the fields. Poisson's ratio describes lateral strain divided by axial strain,
 354 describing the compression that occurs transverse to stretching in a material. It is important to
 355 note that in all of the disciplines, these moduli are regarded as properties of the rock mass and
 356 may not be representative of local-scale features, such as individual fractures [Galvin, 2016].

357 *Wang* [2001] provides a comprehensive summary of the theory behind linear poroelastic-
 358 ity, which links across the disciplines. We summarize this work and other seminal references
 359 regarding saturated formations and groundwater flow, followed by consideration of undrained
 360 versus drained response to stress and strain. To do so, we must first outline the principles of
 361 linear poroelasticity when coupled to the concept of fluid continuity, as this is required to gain
 362 hydromechanical understanding from the pore pressure response to tidal forces. Much of this
 363 work is based on the ground-breaking research by *Biot* [1941]. According to *Wang* [2001], the
 364 word *poroelasticity* first occurred in the context of research regarding petroleum production by
 365 *Geertsma* [1966]. Poroelasticity assumes a porous material in which the pore space is entirely
 366 saturated with a fluid (water in our context) and where both the solid skeleton and the fluid
 367 are compressible when stress is applied. It is generally assumed that this relationship is linear
 368 [Wang, 2001], which is a reasonable assumption for tidal forces where the change of stress is
 369 small.

370 We summarize the basic poroelastic theory and its coupling to water flow and storage
 371 as is comprehensively outlined in *Jorgensen* [1980] and *Wang* [2001]. Four basic variables de-
 372 scribe a poroelastic problem:

- 373 1. A *stress* tensor, depicted as $\bar{\bar{\sigma}}$ with entries σ_{ij} ,
- 374 2. A *strain* tensor, depicted as $\bar{\bar{\epsilon}}$ with entries ϵ_{ij} ,
- 375 3. A *pore pressure* scalar, depicted as p ,
- 376 4. An *increment of fluid content* scalar, depicted as ξ .

377 If principle coordinates are used, then the shear stress and strain components (σ_{ij} and ϵ_{ij}) are
 378 zero for $i \neq j$ reducing both tensors to vectors ($\bar{\epsilon}$ and $\bar{\sigma}$). In addition, the tensor with poroelastic
 379 constants becomes symmetric. Consequently, the basic coupling of the above variables can be
 380 written as

$$\bar{\epsilon} = \frac{1}{E} \begin{bmatrix} 1 & -\nu & -\nu \\ -\nu & 1 & -\nu \\ -\nu & -\nu & 1 \end{bmatrix} \cdot \bar{\sigma} + \frac{1}{3H} p \quad (1)$$

381 and

$$\xi = \frac{1}{3H} (\sigma_1 + \sigma_2 + \sigma_3) + \frac{1}{R} p, \quad (2)$$

382 where ν is the drained Poisson ratio, E is the drained Young's modulus, $1/R$ is the uncon-
 383 strained specific storage coefficient, and $1/H$ is the poroelastic expansion coefficient.

384 These relationships rely on the standard linear elastic equation

$$K = \frac{E}{3(1-2\nu)} = \frac{1}{\beta}, \quad (3)$$

385 where K is the bulk modulus [Pa] of the subsurface formation. Note that the bulk modulus is
 386 generally the reciprocal of the compressibility β . Some of the coefficients used can further be
 387 defined as follows: $1/H$ is the poroelastic expansion coefficient

$$\frac{1}{H} = \frac{1}{K} - \frac{1}{K_s}, \quad (4)$$

388 where $1/K_s$ is the bulk solid grain (or unjacketed) compressibility [Pa^{-1}]. This is a measure of
 389 the reduction of bulk volume of the solid grains and is not well defined for grain mixtures [Wang,
 390 2001]. Further, $1/R$ is the unconstrained specific storage coefficient defined as

$$\frac{1}{R} = \left(\frac{1}{K} - \frac{1}{K_s} \right) + \theta \left(\frac{1}{K} - \frac{1}{K_\theta} \right), \quad (5)$$

391 where θ is the total porosity of the formation [-]. The unjacketed pore compressibility $1/K_\theta$ can
 392 be expressed as

$$\frac{1}{K_\theta} = -\frac{1}{\theta} \left[\frac{\alpha}{KB} - \frac{\theta}{K_w} - \frac{\alpha}{K} \right], \quad (6)$$

393 where the compressibility of water is $1/K_w = \beta_w \approx 4.58 \cdot 10^{-10} Pa^{-1}$. In Equation 6, the *Biot-*
 394 *Willis* coefficient can be stated as

$$\alpha = \frac{K}{H} = 1 - \frac{K}{K_s}, \quad (7)$$

395 and the *Skempton* coefficient is

$$B = \frac{R}{H}. \quad (8)$$

396 Equations 1 to 8 provide the complete set of coefficients and relationships required to quantify
 397 stress, strain and pore pressure in subsurface formations.

398 The assumption of isotropic stress conditions will turn the stress and strain tensors into
 399 scalars and define the stress as the negative of a confining pressure $\sigma = -p_c$. This significantly
 400 simplifies Equation 1 to

$$\epsilon = \frac{1}{K}\sigma + \frac{\alpha}{K}p, \quad (9)$$

401 and Equation 2 to

$$\xi = \frac{\alpha}{K}\sigma + \frac{\alpha}{KB}p. \quad (10)$$

402 The assumption of isotropic stress is often sufficient for smaller scales relevant to the analysis
 403 of borehole pore pressure data.

404 It is important to note that the poroelastic equations described above are simple general-
 405 izations of linear elasticity. This linear relationship holds until the point where elastic deformation
 406 transition into plastic deformation or brittle deformation such as fracturing of cemented geolog-
 407 ical material. However, due to the relatively small stresses induced from tidal forces, this linear
 408 relationship is a reasonable assumption.

409 3.3 Coupling stress, strain and pore pressure to groundwater flow

410 In general, a change in subsurface stress results in a pore pressure response. A localized
 411 stress induces a spatial pressure gradient, which will cause subsurface water flow. The fluid

412 flow is quantified using Darcy's Law

$$\bar{q} = -\frac{k}{\mu}\nabla p, \quad (11)$$

413 where \bar{q} is the flow vector [m/s], k is intrinsic permeability [m^2] of the subsurface material, and
414 the dynamic viscosity for water is $\mu \approx 1.002 \cdot 10^{-3} \text{ kg } (m \text{ s})^{-1}$. Naturally, the continuity of fluid
415 must then also be given

$$\frac{\partial \xi}{\partial t} = -\nabla \cdot \bar{q}, \quad (12)$$

416 where t is time [s]. Substituting *Darcy's Law* (Equation 11) into the continuity equation (Equa-
417 tion 12) yields the general differential relationship for groundwater flow and storage changes:

$$\frac{\partial \xi}{\partial t} = \frac{k}{\mu}\nabla^2 p + Q, \quad (13)$$

419 where Q is a fluid source or sink. This can further be combined with the isotropic poroelastic
420 relationships (Equations 9 and 10) and results in the general description of coupled flow and
421 poroelasticity for stress

$$\frac{\alpha}{3K} \frac{\partial \sigma_{kk}}{\partial t} + \frac{\alpha}{KB} \frac{\partial p}{\partial t} = \frac{k}{\mu}\nabla^2 p + Q \quad (14)$$

422 and strain

$$\alpha \frac{\partial \epsilon_{kk}}{\partial t} + \frac{\alpha^2}{K^u - K} \frac{\partial p}{\partial t} = \frac{k}{\mu}\nabla^2 p + Q. \quad (15)$$

423 Here, the undrained bulk modulus can be expressed as

$$K^u = \frac{K}{1 - \alpha B}, \quad (16)$$

424 where all parameters have previously been defined. Note here that the superscript u stands for
425 *undrained* conditions, a concept that is explained in Section 3.4.

426 In the context of tidal influences on groundwater systems, we can further simplify this the-
427 ory by assuming local horizontally homogeneous conditions and that wells provide a point-in-
428 space pressure measurement representative of the formation in which they are screened (see
429 Figure 1). Therefore, horizontal variations in subsurface properties can be neglected, and the
430 description reduces to 1D in the vertical direction. This treatment significantly reduces Equations
431 14 and 15 to

$$S_s^v \frac{\partial p}{\partial t} = \frac{k}{\mu}\nabla^2 p + Q, \quad (17)$$

432 where S_s^v is the uniaxial (vertical) specific storage [m^2/kg] expressed as pore pressure change
433 given as

$$S_s^v = \frac{S_s}{\rho_w g} \quad (18)$$

434 and where S_s is the specific storage [m^{-1}], the water density is $\rho_w \approx 998 \text{ kg } /m^3$, and the gravi-
435 tational constant is $g \approx 9.81 \text{ m}/s^2$. Equations 17 and 18 are commonly used in hydrogeology to
436 model flow and storage changes, especially in response to hydraulic stresses such as pumping.

437 It is interesting to note that the left-hand side of both Equations 14 and 15 expresses the
438 extended storage term that links pore pressure to stress and strain, whereas the right-hand side
439 can be viewed as the movement of the pore fluid in response to pressure changes.

440 The above summary contains a number of rock mechanics or geotechnical parameters
 441 and relationships. Within the scope of this paper, we illustrate that through existing published
 442 work, such parameters can be calculated from the pore pressure response to tidal forces. We
 443 believe that further research in this field can develop a better understanding of subsurface pro-
 444 cesses and estimation of properties using these relationships.

445 3.4 Undrained versus drained groundwater response to stress and strain

446 The theory of poroelasticity defines two end-members depending on whether fluid flow can
 447 occur as a response to stress, referred to as *drained* or *undrained* conditions. Whether a re-
 448 sponse to stress is drained or undrained will depend upon the rate at which the stress is applied
 449 in relation to the rate at which the system is able to re-equilibrate via flow in response. Poro-
 450 elastic coefficients represent undrained conditions if the loading occurs faster than the system
 451 can respond, i.e., constant mass of water over time ($d\zeta/dt = 0$, where ζ is a mass increment).
 452 By contrast, drained poroelastic conditions occur for slow loading and when the physical prop-
 453 erties of the subsurface allow water to redistribute in response, i.e., resulting in a constant pore
 454 pressure over time ($dp/dt = 0$). According to common practice and within this work, undrained
 455 parameters are denoted with the superscript (*u*), whereas no suffix is used for drained condi-
 456 tions [Rice and Cleary, 1976; Domenico and Schwartz, 1997; Wang, 2001].

457 It is important to note that the meaning of drained in this context does not refer to wa-
 458 ter draining from the pores to create unsaturated conditions, as is often used in hydrogeology.
 459 Instead, this term refers to how fast a pressure wave propagates in response to stress under
 460 saturated conditions. For rapid loading such as that of a train moving on top of an aquifer, there
 461 is insufficient time for water to flow as a result of the increased stress and the pore pressure
 462 rises. This fact is demonstrated by the first response of the pore pressure to the stress of the
 463 incoming train in Figure 8. Because the stress remains, drainage occurs as a result of the lo-
 464 cally increased pore pressure, which causes a hydraulic gradient and consequently leads to flow
 465 away from the stressed zone (Equation 11).

466 The subsurface response to EAT is generally considered as undrained. This consideration
 467 is because the stress changes exerted by tides apply uniformly over a horizontal distance that
 468 is larger than the scope of investigation [Cutillo and Bredehoeft, 2011] and because of the rela-
 469 tively fast changes in stress. Consequently, there is no horizontal hydraulic gradient and there-
 470 fore no flow either. However, these assumption have not been verified in the literature.

471 The implication of drained or undrained conditions can be best explained through the ex-
 472 amination of their effects on the Poisson's ratio. The undrained Poisson's ratio can be denoted
 473 by

$$v^u = \frac{3v + \alpha B(1 - 2v)}{3 - \alpha B(1 - 2v)}, \quad (19)$$

474 whereas the drained Poisson's ratio is

$$v = \frac{3v^u - \alpha B(1 + v^u)}{3 - 2\alpha B(1 + v^u)}. \quad (20)$$

475 The undrained Poisson's ratio is larger than that of the drained Poisson's ratio as an increase in
 476 fluid pressure decreases the unconstrained lateral and vertical strains [Wang, 2001].

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3.5 Example poroelastic parameter values for typical subsurface systems

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As demonstrated by the theory of poroelasticity presented in Sections 3.2 and 3.3, understanding elastic geomechanical variables is essential for interpretation of hydromechanical parameters. When these elastic values are unknown, it is common practice to use literature values from a similar lithology, such as those presented in Table 3. These assumed values are often a considerable source of uncertainty within calculations and numerical models. For example, within Table 3, part (D) two different sets of values are presented for the same stratigraphic unit (Hawkesbury Sandstone), where, depending on the literature source used, the results of a hydromechanical assessment would vary considerably [Bertuzzi, 2014; Zhang et al., 2018].

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4 Impacts of Earth and atmospheric tides on groundwater systems

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4.1 Groundwater response to tidal forces

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The first observations of tidal influences on groundwater can be traced back to Klönne [1880], who recorded fluctuating water levels and atmospheric pressure in a flooded mine in Germany. Young [1913] later meticulously recorded groundwater head fluctuations in inland artesian wells in South Africa and identified frequency components that are attributable to both AT and ET influences. Robinson [1939] investigated wells in Iowa, USA, and revealed that the fluctuations correspond to the moon's cycle and the Earth's rotation. George and Romberg [1951] graphically correlated the groundwater head in an artesian well with atmospheric pressure and gravity measurements and computed tidal forces. These early results clearly demonstrated that EAT measurably impact groundwater heads. Figure 9 illustrates one of the earliest recordings in which the influence of tides on groundwater is evident [Meinzer, 1939].

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Both BL and ET analysis rely on high-frequency pore pressure measurements and the subsequent analysis of concurrent periodic signals from external forcing. Both approaches have been in development since the mid-20th century with the recognition that pore fluid pressure variations are partly a response to externally imposed stress changes [Jacob, 1940; Ferris, 1952]. The induced stress from BL is in principle described by Figure 9 [Meinzer, 1939].

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Bredehoeft [1967] noted that groundwater heads in most artesian (a hydrogeology term synonymous with confinement) wells should fluctuate in response to ET. He provided the first quantitative analysis of a formation's specific storage and porosity by exploiting such fluctuations. Melchior [1974] reviewed ET and postulated that underground reservoir properties could be calculated from well responses because the inducing potential is accurately known. Several works have extended previous methods to also calculate the aquifer transmissivity [e.g., Hsieh et al., 1987, 1988]. Analyzing the groundwater response to ET has recently gained momentum as a practical method to estimate aquifer permeability and specific storage [Merritt, 2004; Cuttillo and Bredehoeft, 2011; Burbey et al., 2012; Xue et al., 2013; Allègre et al., 2016]. In the following subsections, we comprehensively review these works and integrate their findings.

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4.2 Groundwater response to Earth tide strains

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As demonstrated above, ET cause subsurface strains within groundwater systems as pressure fluctuation (see Equations 9 and 10), which can be monitored. The first use of these responses for the purpose of characterizing subsurface hydromechanical properties was conducted by Bredehoeft [1967], who were able to identify a relationship between tidal groundwater head fluctuations as being related to the specific storage of the monitored aquifer. Earlier

Rock	G (GPa)	ν	K (GPa)	K'_s (GPa)	α	ν_u	K_u (GPa)	B	c (m^2/s)	θ	E
(A)											
Berea Sandstone	6	0.20	8.0	36	0.79	0.33	16.0	0.62	1.6	0.19	14.40*
Boise Sandstone	4.2	0.15	4.6	42	0.85	0.31	8.3	0.50	4.0×10^{-1}	0.26	9.66*
Ohio Sandstone	6.8	1.18	8.4	31	0.74	0.28	13.0	0.5	3.9×10^{-2}	0.19	29.65*
Pecos Sandstone	5.9	0.16	6.7	39	0.83	0.31	14.0	0.61	5.4×10^{-3}	0.20	13.69*
Ruhr Sandstone	13	0.12	13	36	0.65	0.31	30.0	0.88	5.3×10^{-3}	0.02	29.12*
Weber Sandstone	12	0.15	13	36	0.64	0.29	25.0	0.73	2.1×10^{-2}	0.06	27.60*
Tennessee Marble	24	0.25	40	50	0.19	0.27	44.0	0.51	1.3×10^{-5}	0.02	60.00*
Charcoal Granite	19	0.27	35	45	0.27	0.30	41.0	0.55	7.0×10^{-6}	0.2	48.26*
Westerly Granite	15	0.25	25	45	0.47	0.34	42.0	0.85	2.2×10^{-5}	0.01	37.50*
(B)											
Clay	-	-	0.062	∞	1	-	6.2	0.99	-	-	-
Mudstone	-	-	2.13	42	0.95	-	10.1	0.83	-	-	-
Kayenta Sandstone	-	-	9.1	37.9	0.76	-	18.5	0.67	-	-	-
Limestone	-	-	33.3	1.7	0.69	-	40.2	0.25	-	-	-
Hanford Basalt	-	-	46.7	59	0.23	-	45.4	0.12	-	-	-
(C)											
Berea Sandstone	5.6	0.17	6.6	28.9	0.77	0.34	15.8	0.75	1.5	0.19	13.10*
Indiana Limestone	12.1	0.26	21.2	72.6	0.71	0.33	31.2	0.46	0.13	-	30.49*
(D)											
Ashfield Shale ⁽¹⁾	2.4	0.25	4	-	-	-	-	-	-	-	6.00*
Hawkesbury Sandstone ⁽¹⁾	3.2	0.25	5.3	-	-	-	-	-	-	-	8.00*
Hawkesbury Sandstone ⁽²⁾	1.2	0.3*	2.6	-	-	-	-	-	-	-	3.12*
Bald Hill Claystone ⁽²⁾	2.5	0.21*	3.5	-	-	-	-	-	-	-	6.05*
Bulgo Sandstone ⁽²⁾	4.3	0.25*	7.2	-	-	-	-	-	-	-	10.75*
Gosford Sandstone ⁽³⁾	7.7	0.13	7.8	-	-	-	-	-	-	-	17.40*

Table 3: Example poroelastic moduli for different rock types modified and extended from Wang [2001]; values marked with a * have been calculated using variations of equation 3. Section (A) of the table is a combination of measured and calculated values compiled by Cheng and Detournay [1988], who incorporated values previously presented by Rice and Cleary [1976]. Typically, drained moduli are measured values and the solid-grain modulus is taken from the handbooks of mineral properties. The undrained moduli are computed assuming that the solid-grain modulus K_s is equal to both the unjacketed bulk modulus K'_s and the unjacketed pore incompressibility K_θ . The fluid-bulk modulus was assumed to be $K_f = 3.3$ GPa. Section (B) of the table was compiled by Paiclauskas and Domenico [1989], including the combination of measurements and calculations. The third section (C) presents a best-fit set of constants based on eight different measurements by Hart and Wang [1995] in which water ($K_f = 2.3$ GPa) was the pore fluid. The final section (D) of the table is derived from Bertuzzi and Pells [2002]; Bertuzzi [2014]⁽¹⁾, Zhang et al. [2016, 2018]⁽²⁾, and Masoumi et al. [2016]⁽³⁾, who used a 50 mm core (the normal core test size is 42 mm) for the Gosford Sandstone.

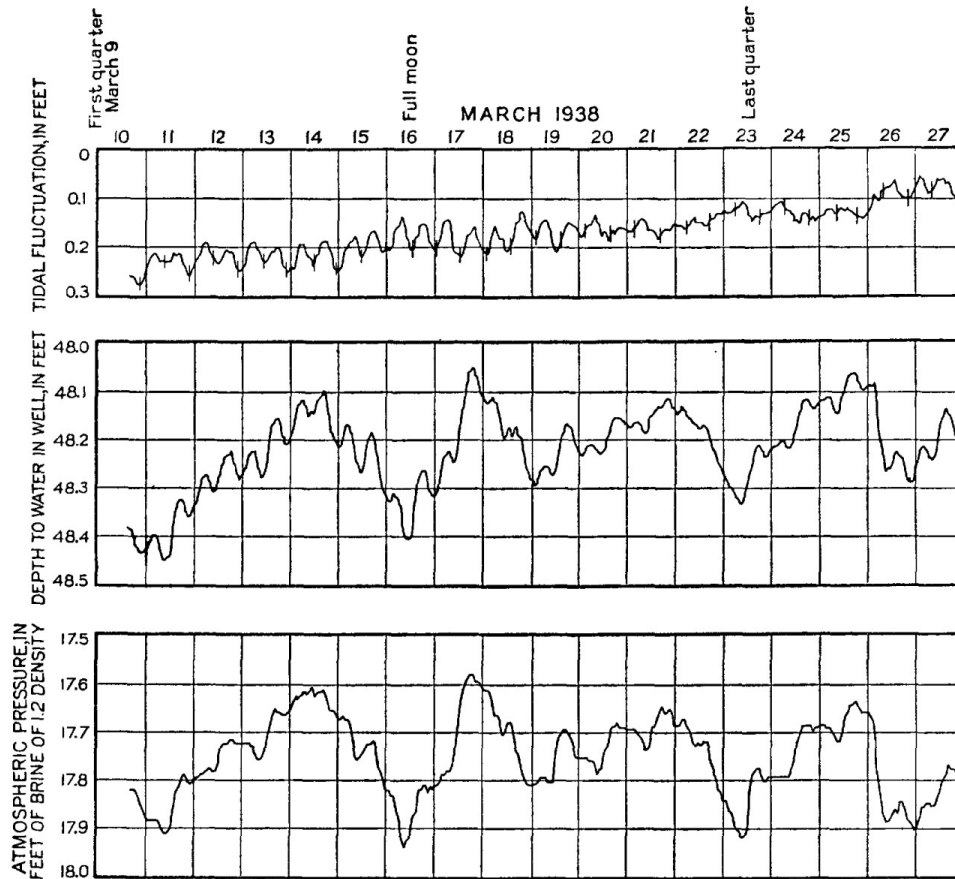


Figure 9: Response of groundwater heads to Earth and atmospheric tides (Figure 40 in *Meinzer [1939]*). Note how the depth to water (middle panel) reflects both the high-frequency Earth tides (top panel) and lower-frequency atmospheric fluctuations (bottom panel). Note further that the second panel is the depth to the groundwater level, i.e., the inverse of the pore pressure for open boreholes.

519 work using ocean tides rather than ET for subsurface characterization by authors such as *Ferris*
 520 [1952] had focused on loading and unloading effects of changes in sea level, in addition to the
 521 development of the theory of poroelasticity. It was also the work by *Bredehoeft [1967]* that es-
 522 tablished that it was possible to calculate not only the specific storage of aquifers but also the
 523 porosity if the Poisson's ratio was known.

524 Further development came in the form of an analytical solution by *Robinson and Bell [1971]*,
 525 who quantified the various harmonic components present within the ET signal and successfully
 526 inferred specific storage and porosity. *Robinson and Bell [1971]* highlighted inaccuracies due
 527 to the uncertainty in the bulk modulus particularly associated with coastal monitoring wells. *der*
 528 *Kamp [1972]* attempted to solve the issue of calculating aquifer hydraulic diffusivity from these
 529 coastal wells. The accuracy of this attempt was later questioned when *Merritt [2004]* tried to

530 replicate observations and results using the *der Kamp* [1972] solution compared to *Li and Jiao*
531 [2001]'s solution, which produced much higher transmissivities.

532 It was not until a decade later that significant progress was made by both *van der Kamp*
533 *and Gale* [1983] and *Domenico* [1983], who properly accounted for not only the compressibil-
534 ity of water but also the compressibility of the grains (note here the grains as opposed to ma-
535 trix) within the aquifer due to their elastic nature. These considerations allowed for relating the
536 specific storage and porosity, as shown in Equation 21 and Equation 22 [*van der Kamp and*
537 *Gale*, 1983; *Cuttillo and Bredehoeft*, 2011]. As with the previous works, *van der Kamp and Gale*
538 [1983]'s solution still required the assumption that any aquifer is homogeneous, laterally exten-
539 sive and of a porous nature. This methodology was supported experimentally by *Narasimhan*
540 *et al.* [1984], who compared results of specific storage from ET tide analysis with those achieved
541 from pump tests at the same locations. *Narasimhan et al.* [1984] also made key recommenda-
542 tions suggesting the use of longer monitoring periods, packers to seal of sections in open wells
543 (later explored further by *Cook et al.* [2017]) and an integrated approach using both ET and BL.
544 This treatment would allow for the integration of variables that can be directly calculated and
545 thus reduce errors that are introduced by assumed values as discussed in Subsection 3.5.

546 The specific storage from *van der Kamp and Gale* [1983] is given as

$$S_s = \rho g \left[\left(\frac{1}{K} - \frac{1}{K_s} \right) (1 - \lambda) + \theta \left(\frac{1}{K_w} - \frac{1}{K_s} \right) \right], \quad (21)$$

547 where

$$\lambda = \alpha \frac{2(1 - 2\nu)}{3(1 - \nu)} \quad (22)$$

548 and λ is Lamé's drained modulus. Expanding from this, *Hsieh et al.* [1987, 1988] pushed the
549 field towards analysis and quantification that focused more on the time lag between periodic lo-
550 cation of the colloquially known Earth tidal bulge (position of predicted tide response) and the
551 observed groundwater head changes (phase shift between predicted and observed tidal re-
552 sponse) [*Gibson*, 1963]. The *Hsieh et al.* papers also addressed criticism raised by *Narasimhan*
553 *et al.* [1984] of the 1967 *Bredehoeft* [1967] paper, concluding that although grain compressibility
554 should be incorporated into *Bredehoeft* [1967], the method remains sound. Additionally, [*Rojs-*
555 *taczer*, 1988a,b] highlighted the potential for errors or noise to be introduced in the estimation if
556 the effects of barometric changes were not properly corrected for and removed from the ground-
557 water pressure response. The authors also pointed out the need to account for parameters fur-
558 ther affecting the pressure response such as the well radius, lateral hydraulic diffusivity ($\langle K \rangle / S$)
559 of the aquifer, thickness and vertical pneumatic diffusivity and vertical hydraulic diffusivity of the
560 saturated zone overlying the aquifer.

561 The next large step in development was a consolidation of theory by a United States Ge-
562 ological Survey (USGC) report by *Merritt* [2004], which reviewed the most popular literature
563 methodologies for ET, ocean tides and BL to estimate aquifer properties. A selection of these
564 methods was also tested as part of the review for their applicability of use in a southern Florida
565 (USA) site. Textbooks such as *Wang* [2001]'s '*Theory of Linear Poroelasticity with applications to*
566 *Geomechanics and Hydrogeology*' and *Agnew* [2010]'s fundamentals of '*Earth Tides*' have also
567 helped establish the knowledge of the phenomenon.

568 Another review similar in approach to *Merritt* [2004], in terms of both reviewing and test-
569 ing literature methodologies, by *Cuttillo and Bredehoeft* [2011] reviewed the mathematics used
570 within the literature focused on ET analysis. The review also established a new methodology

571 that combines various aspects of previous works. In their review, *Cuttillo and Bredehoeft* [2011]
 572 revisited *Narasimhan et al.* [1984]'s suggestions, confirming the diminished response that may
 573 be observed if an open bore hole is used for monitoring: the change in groundwater head due
 574 to the Earth tidal forcing will be diminished in its response by the concurrent barometric forcing
 575 pushing down on the exposed groundwater head in the well.

576 *Burbey et al.* [2012] took the method developed by *Cuttillo and Bredehoeft* [2011] and sup-
 577 plemented the unknown variables through the use of non-tide-based methodologies, such as
 578 extensometers, to measure the tilt and strain (to determine Poisson's ratio) and BL combined
 579 with permeability values obtained from pump tests to estimate porosity. This treatment achieved
 580 a much improved estimation from the ET analysis, as it effectively removed the need to use lit-
 581 erature values. However, studies such as this are relatively expensive and thus not effective for
 582 routine monitoring of systems over the necessary spatial and temporal scales required for ade-
 583 quate groundwater resource management [*Harrington et al.*, 2011; *Alley and Konikow*, 2015].

584 Recent work by *Xue et al.* [2016] and *Allègre et al.* [2016] used ET to estimate vertical hy-
 585 draulic diffusivity building upon the work by *Hsieh et al.* [1987]. Their method is based on the
 586 amplitude and phase shift response of the borehole water level fluctuations to Earth tide strains
 587 given by

$$A_i = \left| \frac{h_0}{e^t} \right| = \frac{1}{S_s} \left[1 - 2 \exp\left(-\frac{z}{\delta}\right) \cos\left(-\frac{z}{\delta}\right) + \exp\left(-\frac{2z}{\delta}\right) \right]^{\frac{1}{2}} \quad (23)$$

588 and

$$\Delta\phi_i = \left| \frac{h_0}{e^t} \right| = \tan^{-1} \left[\frac{\exp\left(-\frac{z}{\delta}\right) \sin\left(\frac{z}{\delta}\right)}{1 - \exp\left(-\frac{z}{\delta}\right) \cos\left(\frac{z}{\delta}\right)} \right], \quad (24)$$

589 where subscript i denotes an ET frequency component, e^t is the tidal dilation strain and h is the
 590 hydraulic head where the subscript 0 denotes the sequence term, z is the depth below the sur-
 591 face, $\delta = \sqrt{\frac{2\eta_r}{\omega}}$, ω is the angular frequency of the tidal component, and η_r is the hydraulic dif-
 592 fusivity, which equals the hydraulic conductivity ($\langle K \rangle$) divided by the storativity (S). This method
 593 then allows transmissivity and specific storage to be inferred using

$$\eta_r = \frac{k}{\mu S_s} = \frac{\langle K \rangle}{\rho_w g S_s}, \quad (25)$$

594 where the permeability and transmissivity can then be related by

$$k = \frac{\mu T}{\rho_w g b}. \quad (26)$$

595 Here, b is the thickness of the aquifer (or alternatively the saturated open or screened bore
 596 interval when the aquifer thickness is not accurately known [*Allègre et al.*, 2016]), μ is the dy-
 597 namic viscosity, S_s is the specific storage, and T is the transmissivity. Uncertainty can be calcu-
 598 lated using co-variance matrices [*Xue et al.*, 2016]. These papers are the first ET methodology
 599 to completely separate out the various ET signals to reduce the noise from other sources, in
 600 both the measured head responses and in the parameter estimation. In addition, *Allègre et al.*
 601 [2016] also verified their methodology by comparing results to values estimated from pump tests
 602 at the same site.

603 *Allègre et al.* [2016] found that they could accurately estimate the permeability by tidal
 604 analysis of passively monitored groundwater heads (no human induced forcing). The authors
 605 pointed out that the greatest limitation of their study was that the range of tidal response was

606 near the detection limit of the equipment used combined with a 2.4° uncertainty on the phase
607 response. Finally *Allègre et al.* [2016] noted, “one should interpret specific storage results with
608 caution since it is more likely to be sensitive to the amplitude accuracy of the measurements”.

609 The papers of *Bredehoeft* [1967], *Hsieh et al.* [1987] and *Cuttillo and Bredehoeft* [2011]
610 now form the basis for several works expanding the method and our understanding of tidal anal-
611 ysis. Two such papers are *Yu et al.* [2017] and *Vinogradov et al.* [2018]. *Yu et al.* [2017] inves-
612 tigated the use of ET and found that it was unable to evaluate hydraulic conductivity in a fine-
613 grained low-permeability unit in comparison to hydraulic in situ testing. However, tidal analysis
614 provided reasonable values for specific storage and effective porosity. *Vinogradov et al.* [2018]
615 described the potential inaccuracies of the ET method caused by earthquakes and inflow vari-
616 ations. The authors found that the ground movements from seismic waves have a minimum in-
617 fluence on calculated phase shifts, whereas corrections for changes in flow due to both natural
618 and anthropogenic effects are necessary.

619 4.3 Groundwater response to barometric loading

620 The effects of atmospheric pressure changes inducing a subsurface loading have been
621 known for a long time to be a source of error in observed groundwater heads [*Clark*, 1967].
622 Subsurface stress originates from the loading and unloading of the Earth’s crust in response to
623 changes in atmospheric pressures within the atmosphere due to both gravitational and thermal
624 processes [*Siebert*, 1961; *Chapman et al.*, 1969; *Chapman and Malin*, 1970; *Palumbo*, 1998;
625 *Chapman and Lindzen*, 2012]. Unlike ET, the main source of atmospheric pressure changes
626 occur as the product of diurnal thermal expansion and cooling of the atmosphere, demonstrat-
627 ing that the AT oscillations are not gravitationally excited (Section 2.3) [*Ananthakrishnan et al.*,
628 1984]. It is noteworthy that much of the work regarding the effect of BL has been a byproduct
629 of research on using ET and the need to correct for barometric effects [e.g., *Clark*, 1967; *Rojs-
630 taczer and Agnew*, 1989].

631 Figure 9 demonstrates an counterintuitive, inverse relationship between atmospheric pres-
632 sure and borehole levels (pressure heads) measured in boreholes, which is only observed in
633 boreholes that are open to the atmosphere and requires explanation. Compared to a case of
634 spatially limited loading such as the train example in Figure 8, both the subsurface and the
635 borehole water level are subject to barometric loading. In the subsurface, the stress is shared
636 by the matrix and the fluid in proportions that correspond to their compressibility (see Table 3)
637 [*Domenico and Schwartz*, 1997]. While the formation will absorb some of the stress, the over-
638 all stress balance in the subsurface must remain the same. Thus, the pore pressure increase
639 in the subsurface is less than the direct atmospheric pressure increase on the water column in-
640 side the borehole. The result is a pressure gradient and flow from the borehole into the aquifer,
641 thereby lowering the water level in the borehole (see Figure 1).

642 This inverse response is most pronounced when the aquifer matrix absorbs more stress
643 (i.e., is least compressible), such as that of limestone or marble [*Wang*, 2001]. It is important to
644 note that this inverse response of the groundwater head to AT does not occur when the pore
645 pressure is monitored with infrastructure that is sealed to the atmosphere, e.g., when using
646 sealed piezometers or borehole packers. The difference is illustrated in Figure 10 [*Cook et al.*,
647 2017].

648 *Clark* [1967] was the first to calculate the effective loading from changes in atmospheric
649 pressure to remove its effects from other groundwater head fluctuations. [*Clark*, 1967] defined

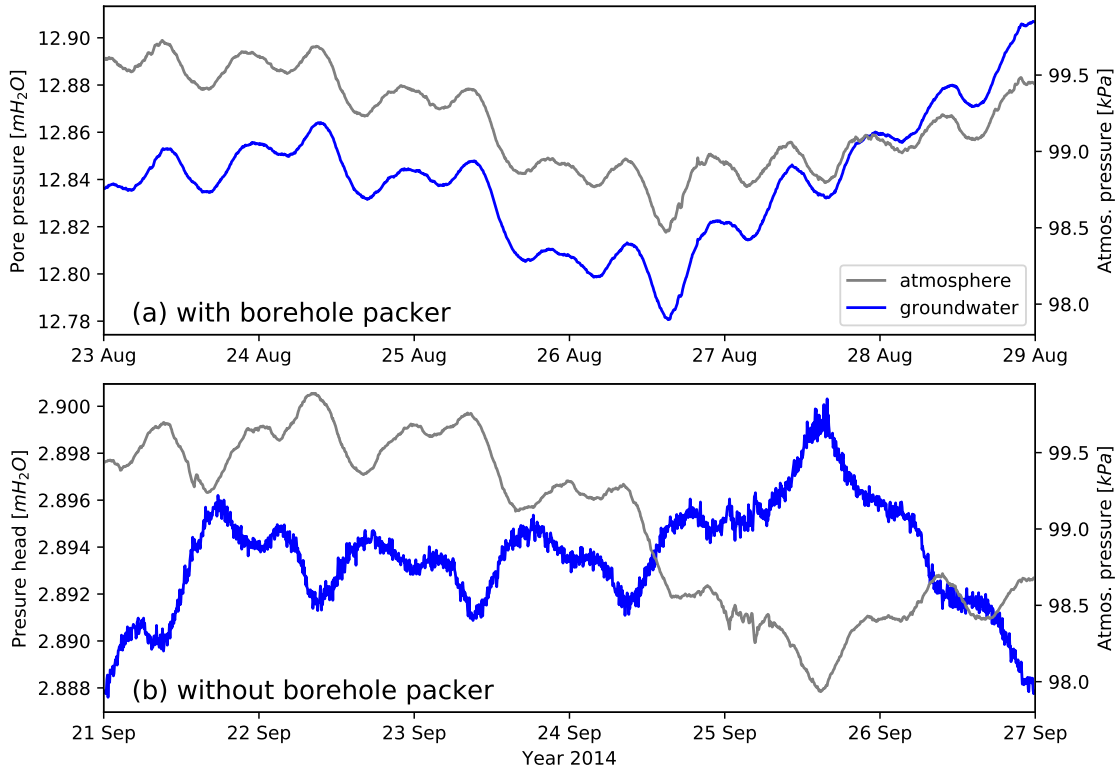


Figure 10: Example of the phase relationship between pore pressure, pressure head and atmospheric pressure during two passive investigation phases in a borehole (NMRDC1, data from *Cook et al.* [2017]): a) Pore pressure (hydraulic head) is in phase with the atmospheric pressure when the borehole is sealed from the atmosphere (here by temporary installation of a borehole packer); b) Pressure head is inverse to (out of phase with) the atmosphere when the borehole is open to the atmosphere.

650 the barometric efficiency as

$$BE = \frac{\rho_w g \Delta h}{\Delta p} = -\frac{\rho_w g \Delta h_p}{\Delta p}, \quad (27)$$

651 where Δh is a change in the hydraulic head [m] and Δp is the corresponding atmospheric pres-
 652 sure change [Pa]. We note that the inverse response would result in a negative relationship
 653 with a change pressure head Δh_p and have extended Equation 27 using a minus sign. Clark's
 654 method was further analyzed by *Davis and Rasmussen* [1993], who found it to be less biased
 655 than linear regression, where the estimate was found to be more consistent for both positive and
 656 negative atmospheric pressure changes, and thus for both linear and nonlinear trends, unlike lin-
 657 ear regression [*Clark*, 1967]. *Davis and Rasmussen* [1993] also suggested the implementation of
 658 an iterative recursive method to allow Clark's method to be used for shorter data records.

659 BL has been considered a source of error or noise in observed groundwater heads for
660 a long time. The primary purpose of calculating BE was to allow for its removal to improve or
661 enable other groundwater head-based investigations. For example, *Rasmussen and Crawford*
662 [1997] removed barometric effects from drawdown due to pumping in order to increase the ac-
663 curacy of the analysis. *Spane* [2002] removed BE from water table levels to identify temporal
664 changes in the flow direction of a flat-lying terrain. This BE correction enabled prediction of con-
665 tamination movements, which would otherwise not have been possible due to the minute differ-
666 ences in the piezometric surface over smaller distances.

667 There have been some early attempts to calculate aquifer properties from BE , such as
668 that of *Mehnert et al.* [1999], who mathematically tied barometric fluctuations to borehole seismic
669 events to calculate transmissivity, and *Hobbs and Fourie* [2000], who used the BE calculations
670 of *Domenico and Schwartz* [1997] to calculate the specific storage using an assumed porosity.
671 However, the seminal work that can be seen as the modern use of BE for calculating aquifer
672 properties was by *Gonthier* [2003], who developed a graphical method to more accurately esti-
673 mate the barometric efficiency. An example for this is shown in Figure 11b, which simply plots
674 the groundwater time series against its corresponding atmospheric pressure time series and
675 therefore allows estimation of a correlation. Here, the slope of the straight line is the negative
676 BE . Due to its subjective nature, the graphical method has been seldom used compared to the
677 *Clark* [1967] method. However, it is noteworthy that *Gonthier* [2003] mentioned the need to re-
678 move ET from the barometric signal and warned about the influence of ocean tide loading. This
679 suggestion highlights the need for robust approaches to disentangle the impacts of EAT, a topic
680 that will be discussed in Section 4.4.

681 *Timms and Acworth* [2005] used groundwater head to estimate BE and calculate the spe-
682 cific storage of clays. The authors further assessed the effects of the loading from rainfall (mois-
683 ture loading) and the reduction of BL due to the passage of low-pressure storm cells. They
684 highlighted the instantaneous effect of loading on pressure below and throughout the clay lay-
685 ers. In contrast, the phase lag between the surface response to the combination of rainfall and
686 surface recharge and the recharge response at the base of the clay varied between 49 and
687 72 days. This phase lag was used to calculate the hydraulic conductivity $\langle K \rangle$ of a thick clay
688 aquitard. Most notably, *Timms and Acworth* [2005] found that the in situ calculated specific stor-
689 age values were 2 orders of magnitude less than those derived from lab testing using core sam-
690 ples from the same monitoring well. They hypothesized that this difference must be a result of
691 the stress differing between field and laboratory conditions (i.e., cores not under in situ stress).

692 *Acworth and Brain* [2008] used frequency analysis to improve the reliability of poroelastic
693 parameter estimates by removing ET from the observed well observations. Both *Timms and Ac-*
694 *worth* [2005] and *Acworth and Brain* [2008] estimated BE using the approach by *Gonthier* [2003]
695 and measured porosity independently with downhole sonic logging.

696 *Smith et al.* [2013] built on the work by *Acworth and Brain* [2008] using a much larger tem-
697 poral data set and signal processing to remove ET effects. They noted that after correcting the
698 groundwater heads, unfortunately, noise due to unknown sources was still present. However,
699 they also proved that sealing the borehole off from the atmosphere, in this case by grouting the
700 transducers in place, the dampening effect of the atmosphere pushing down into water within
701 the borehole itself was removed, thus allowing a significantly improved measurement of the BL
702 response. This method enabled estimates in very-low-permeability units such as aquitards.

703 Similarly, the advantages of sealing boreholes for improved BL measurements were also
704 investigated by *Price* [2009] for vented and non-vented transducers and later by *Cook et al.*

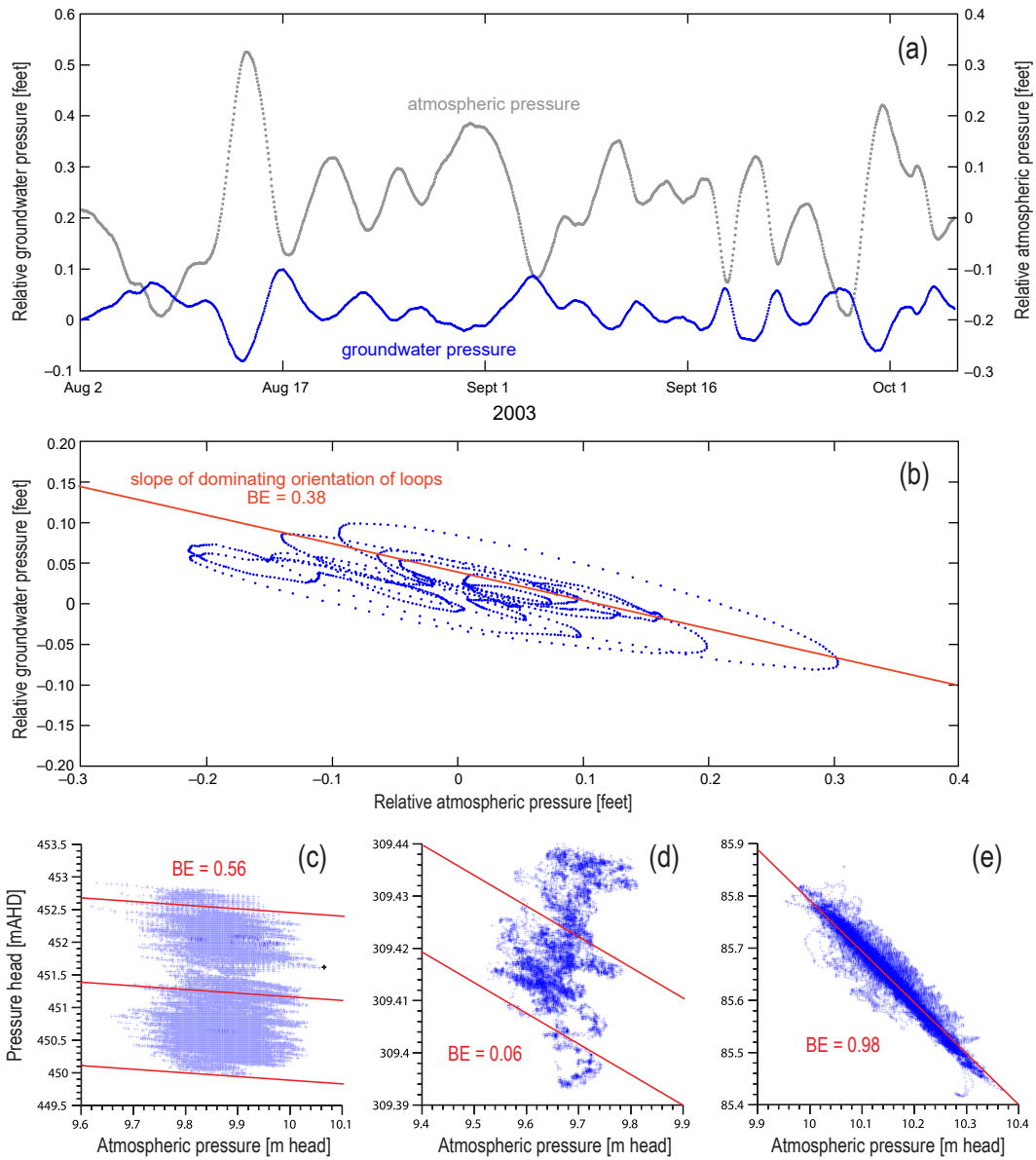


Figure 11: Illustration of the subjective graphical approach to estimate BE by *Gonthier* [2003] (figure adapted): (a) atmospheric and pressure data and (b) a correlation in which the slope of the dominating orientation of loops determined by a regression (red line) represents BE . In comparison, the method by *Acworth et al.* [2016] (figure adapted) is objective (i.e., not based on graphical regressions) and removes the influences of Earth tides to reveal atmospheric impacts only. The results are illustrated for three aquifers in Australia (c: Baldry, d: Cattle Lane, e: Fowlers Gap) with differing values $0 < BE < 1$.

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705 [2017] using packers, with both authors obtaining conclusions similar to those of *Smith et al.*
 706 [2013]. *Smith et al.* [2013]'s work was also revisited and confirmed by *Smerdon et al.* [2014],
 707 replicating the methodology and conclusions at a different field site. They further found that spe-
 708 cific storage was an order of magnitude less than previously published lab results for the studied
 709 areas [*Price, 2009; Smith et al., 2013; Smerdon et al., 2014; Cook et al., 2017*]. These results
 710 confirm the differences noted by *Timms and Acworth* [2005] and *Acworth and Brain* [2008] for
 711 other groundwater basins around the world [*van der Kamp, 2001*].

712 *Lai et al.* [2013] comprehensively evaluated the subsurface response to ET and BL in
 713 the frequency domain. To further improve the separation of ET and BL effects, they stacked
 714 borehole records, which reduced noise and error, thus enabling more sensitive analysis in ar-
 715 eas with a low barometric efficiency. Progressing from this, *Acworth et al.* [2015a] performed a
 716 discrete Fourier transform (DFT) analysis, thus allowing for the use of sparser temporal data
 717 sets and superior isolation of particular harmonic components. *Acworth et al.* [2015a] also high-
 718 lighted various effects that may have introduced noise. Potential errors include processes such
 719 as evapotranspiration altering the moisture within the subsurface diurnally with photosynthesis,
 720 causing moisture loading variations, as described in the review by *van der Kamp and Schmidt*
 721 [2017]. Other non-cyclical loading events such as snow melt or extreme rainfall events should
 722 be removed by the DFT and filtering [*van der Kamp and Schmidt, 2017*]. For instance, *Hendry*
 723 *et al.* [2018] used a high-pass filter to isolate short-term changes by subtracting the long-term
 724 barometric trend. It is also at this point that the *Acworth* papers switched from using *Gonthier*
 725 [2003]'s graphic method for estimating barometric efficiencies to using the calculation method
 726 (according to Equation 27) from *Clark* [1967], due to the graphical procedure's limited effective-
 727 ness in low-*BE* settings.

728 *Acworth et al.* [2016] developed a new frequency domain method that disentangles the
 729 impact of ET and AT on groundwater occurring at the same frequencies. This method requires
 730 using a synthetic ET record produced by *TSoft* [*Van Camp and Vauterin, 2005*] and a spectral
 731 analysis to quantify amplitudes and phases of the ET and AT components of interest. The re-
 732 sulting equation is [*Acworth et al., 2016*]

$$BE = \frac{S_2^{GW} + S_2^{ET} \cos(\Delta\phi) \frac{M_2^{GW}}{M_2^{ET}}}{S_2^{AT}}, \quad (28)$$

733 where S_2^{GW} is the amplitude of the groundwater hydraulic head, S_2^{ET} is the amplitude of the ET,
 734 S_2^{AT} is the amplitude of the AT, $\Delta\phi$ is the phase difference between the Earth tide and atmo-
 735 spheric drivers, M_2^{GW} is the amplitude of the groundwater hydraulic head caused by ET, and
 736 M_2^{ET} is the amplitude of the ET. For the frequency values, please refer to Table 2. The method
 737 is generic and explained in more detail in Section 4.4. For the first time, this approach allows an
 738 objective quantification of *BE*, especially for conditions where $BE \rightarrow 0$. Figure 11 illustrates the
 739 superiority of this method compared to *Gonthier* [2003] and *Clark* [1967].

740 The method was then further distilled by *Acworth et al.* [2017], who presented a both the-
 741 oretically and mathematically simplified version of the previous *Acworth* papers. The method
 742 also highlights how by using the well-established harmonic addition theorem [*Havin and Jöricke,*
 743 1994] with measured atmospheric pressures, synthesized ET and the measured hydraulic heads,
 744 each signal can be separated out due to the harmonic tidal signal. Using the *Acworth et al.*
 745 [2017] method, the barometric efficiency can be defined by Equation 27 from *Clark* [1967] and can

746 then be related to the loading efficiency by [Jacob, 1940; van der Kamp and Gale, 1983]

$$BE + \gamma = 1, \quad (29)$$

747 where γ is the loading efficiency. This can be expressed as a ratio of terms involved in aquifer
748 compressibility [Domenico and Schwartz, 1997; Acworth *et al.*, 2017]:

$$\gamma = \frac{\beta}{\theta\beta_f + \beta}. \quad (30)$$

749 where β is the formation compressibility (Pa^{-1}) and β_f is the fluid compressibility (Pa^{-1}) ($4.59 \cdot$
750 $10^{-10} Pa^{-1}$ at 20°C for water). By combining Equation 29 with Equation 30 and an alternative
751 form of the equation for specific storage,

$$S_s = \rho g(\beta + \theta\beta_f). \quad (31)$$

752 An equation that express the specific storage as a function of the barometric efficiency can then
753 be derived:

$$S_s = \rho g\beta_f \frac{\theta}{BE} = 4.5 \times 10^{-6} \frac{\theta}{BE}, \quad (32)$$

754 *David et al.* [2017] showed for the first time that specific storage can change over time.
755 Specific storage values derived from both BL and ET were used to quantify the extent of ground
756 movement in several different strata above an underground long-wall coal mine. Although im-
757 proved methods are now available for such analyses, this study highlighted that groundwa-
758 ter responses to EAT can be used to track changes in subsurface properties over time due to
759 human-induced processes (e.g., extraction or injection in the subsurface).

760 A common disadvantage of methods using BE to quantify compressible groundwater stor-
761 age is that a porosity value must be assumed or measured. The most common source of poros-
762 ity estimates currently come from either downhole geophysics such as sonic logs or laboratory
763 testing on sediment cores. In both of these cases, heterogeneously derived secondary porosity,
764 such as fracture, may be not included in these estimates.

765 *Rau et al.* [2018] combined cross-hole seismic measurements, objective BE calculations
766 and literature-based values for grain compressibility to constrain the poroelastic parameter space
767 in the subsurface. The authors calculated depth profiles of specific storage using

$$S_s = \rho_w g \frac{\alpha}{K_v^u \gamma (1 - \alpha\gamma)}, \quad (33)$$

768 where K_v^u is the undrained vertical bulk modulus. Their approach further allowed in situ quantifi-
769 cation of all other elastic coefficients along a detailed depth profile. By combining their findings
770 with physical properties previously derived from a sediment core [Acworth *et al.*, 2015b], they
771 noted that all of the subsurface water responds to stress. Importantly, they found that specific
772 storage derived using EAT represents the total water content but that extractable storage can
773 be significantly smaller due to an increasing fraction of adsorbed water when the grain size de-
774 creases. [Rau *et al.*, 2018] determined a general upper limit for extractable specific storage as
775 $1.3 \cdot 10^{-5} /m$, with implications for hydrogeology and groundwater resource estimation.

776 The above discussion highlights that significant effort has been devoted to investigat-
777 ing the groundwater impact to EAT and using this to quantify subsurface properties. However,
778 this discussion also demonstrates that the use of spectral analysis is an underutilized tool that

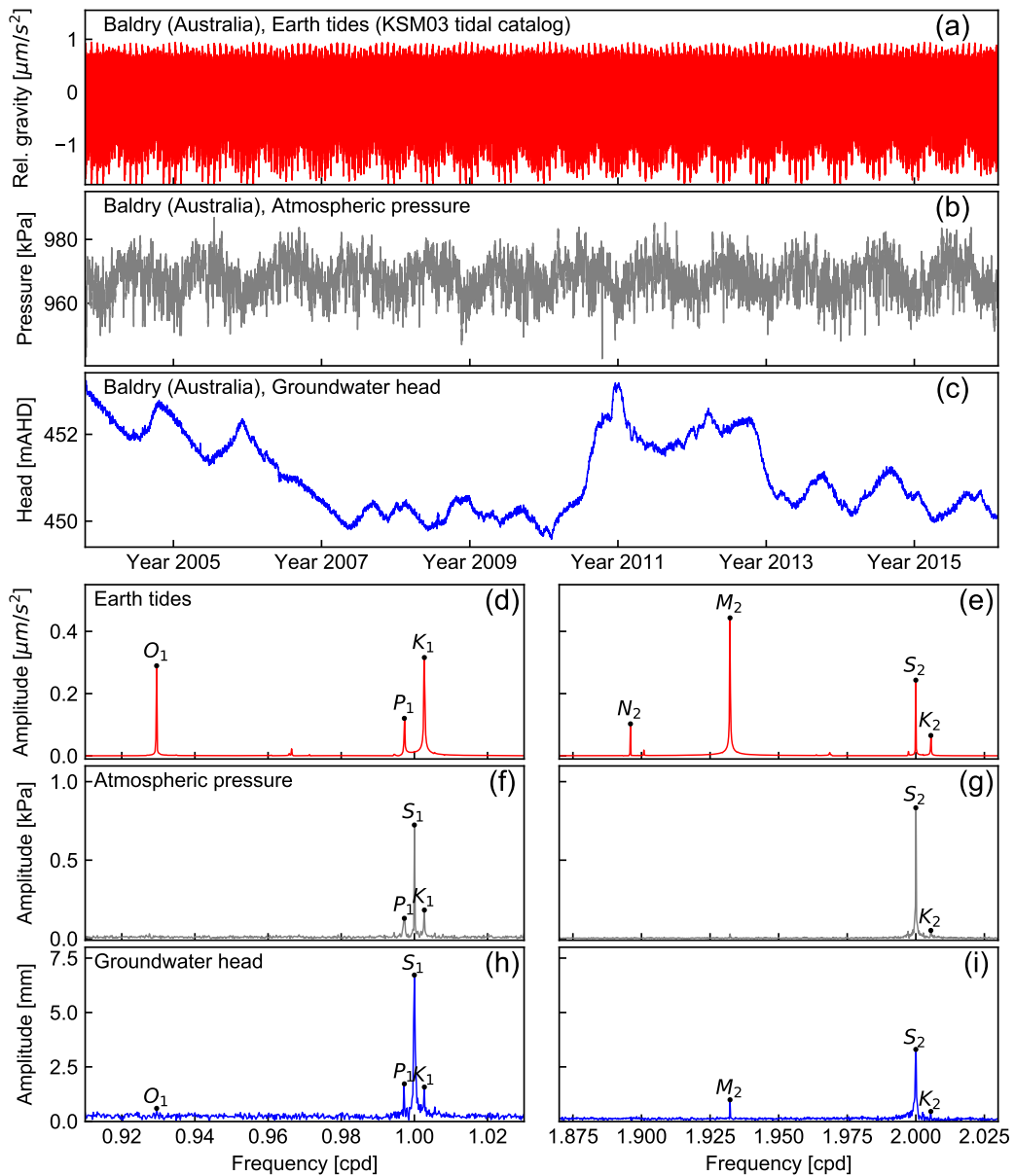


Figure 12: Time series and amplitude spectra of a 4,497-day continuous record at Baldry (Australia): (a,d,e) tide-generating potential synthesized using *PyGTide* [Rau, 2018], (b,f,g) atmospheric pressure measurement (same example as used in Figure 6), and (c,h,i) groundwater head measurement. Note that the atmospheric and groundwater data are the same as were used in *Acworth et al.* [2016].

779 promises further potential. Figure 12 shows an example of a 12-year continuous record and am-
 780 plitude spectra of the atmospheric pressure, groundwater head and calculated ET. The ground-
 781 water responses to different ET and AT components are clearly identified. However, the fact that
 782 the groundwater response magnitude is inconsistent for different frequency components (com-
 783 pare O_1 , P_1 and K_1) demonstrates that further research is required to elucidate the role of the
 784 porous and elastic subsurface as a frequency filter for stress induced from EAT.

785 4.4 Disentangling the impacts of Earth and atmospheric tides

786 The poroelastic theory summarized in Section 3 contains a large number of variables, and
 787 the parameter space is therefore difficult to constrain in field investigations. *Bredehoeft* [1967]
 788 noted that more subsurface properties could be obtained when ET analysis is combined with
 789 BL estimates. The value of using such a combined approach has been proposed multiple times
 790 during the development and application of ET methods [e.g., *Narasimhan et al.*, 1984; *Rojstaczer*
 791 *and Agnew*, 1989; *Ritzi et al.*, 1991]. For example, *Cuttillo and Bredehoeft* [2011] and *Burbey*
 792 *et al.* [2012] successfully combined ET analysis with barometric efficiency estimations to arrive
 793 at a more complete quantification of subsurface hydrogeomechanical parameters, namely, perme-
 794 ability, porosity and specific storage.

795 These works generally separate ET and BL effects by using BE derived from correlating
 796 barometric and groundwater pressure (Figure 11). This approach is subjective and has many
 797 disadvantages. For example, any processes contained in the groundwater heads that are not
 798 related to atmospheric forcing, such as groundwater recharge or discharge or surface loading
 799 from rainfall, will distort the correlation. Further, when the method is applied to subsurface sys-
 800 tems with very low BE values, meaningful correlations cannot be obtained. The use of AT to
 801 calculate BE has frequently been dismissed as impossible [e.g., *Cuttillo and Bredehoeft*, 2011]
 802 because the frequencies of the atmospheric tidal components are very close to or overlap with
 803 those of ET, e.g., S_1 and K_1 or S_2 (Table 1).

804 *Acworth et al.* [2016] provided a breakthrough in understanding while developing a new
 805 method to quantify BE from the groundwater response to AT only by removing the ET effect.
 806 To isolate the groundwater amplitude fraction that is caused solely by AT, the *harmonic addition*
 807 *theorem* (HAT) can be invoked. If two harmonic drivers acting at the same frequency are com-
 808 bined, such as the groundwater response to the S_2 component in both ET and AT, the response
 809 is a new harmonic with the same frequency but different amplitude and phase. This effect is
 810 graphically explained in Figure 13.

811 Note here that according to BE being negative, there is a phase difference of π (or 180°)
 812 between the AT and the groundwater response for confined conditions. That is, when the at-
 813 mospheric pressure is at its maximum, the groundwater pressure must be at a minimum. This
 814 phase reversal is only seen for the groundwater head in an atmospherically open borehole, not
 815 in the aquifer, and is caused by the atmospheric stress acting on the groundwater head in the
 816 borehole in relation to that acting on the aquifer, where the matrix carries some of the stress.
 817 The general phase difference between the ET and its groundwater response can vary according
 818 to the borehole geometry and subsurface properties [e.g., *Bredehoeft*, 1967; *Narasimhan et al.*,
 819 1984; *Hsieh et al.*, 1987].

820 The amplitude of the response, as seen in the amplitude spectrum of groundwater heads,
 821 depends on the phase difference between both drivers. If no phase difference exists ($\Delta\phi = 0$),
 822 then the amplitude response is simply added (Figure 13a); analogously, the amplitude is sub-

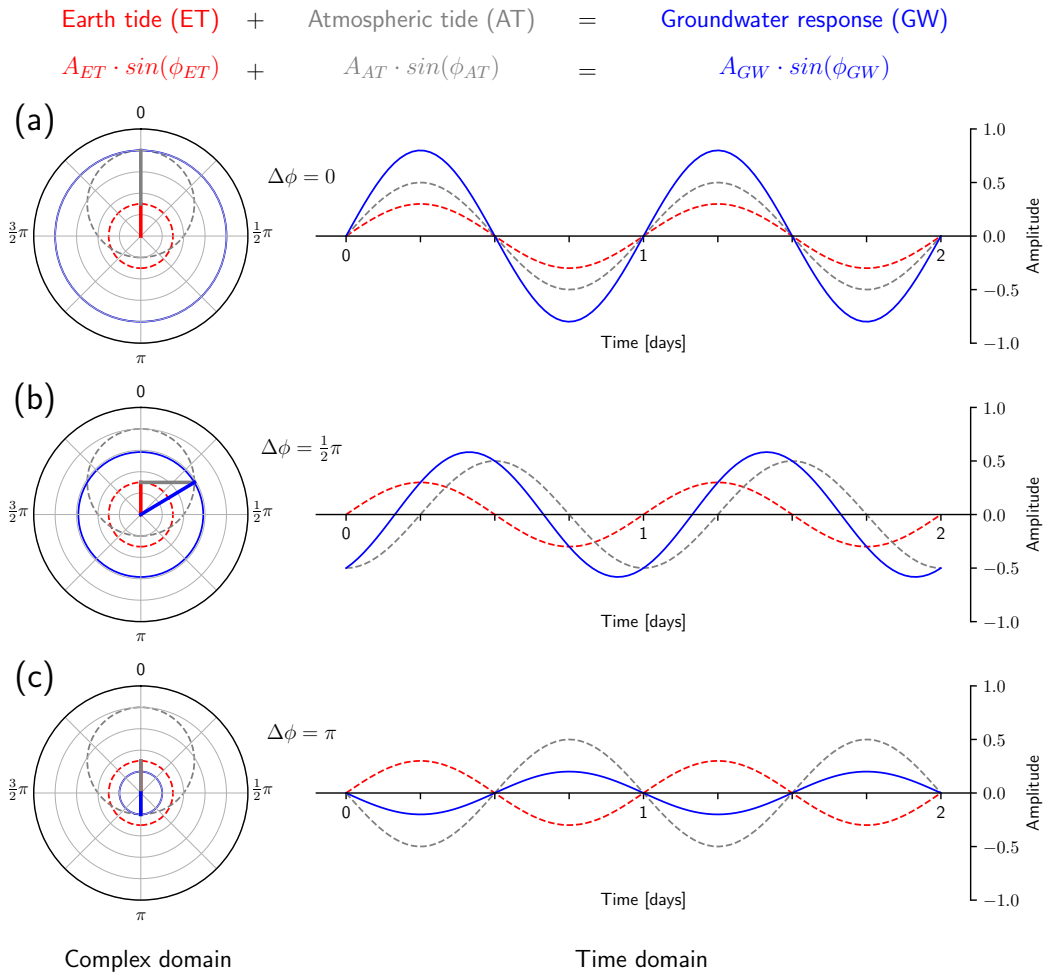


Figure 13: Conceptual overview of the groundwater response to components of the Earth and atmospheric tide with the same frequency, such as S_2 (Table 1), but different amplitudes and phases. The amplitude and phase of the individual contributions can be disentangled using the *harmonic addition theorem* [Havin and Jöricke, 1994]. Note that this conceptual explanation assumes that the groundwater reacts instantaneously to changes in the driver signal, i.e., it does not consider the phase difference of π between atmospheric tides and its groundwater response.

823 tracted at a phase shift of $\Delta\phi = \pi$ (Figure 13c). The amplitude for arbitrary phase shifts (Fig-
 824 ure 13b) can be determined using HAT, but both the amplitudes and phases of the drivers must
 825 be known [Havin and Jöricke, 1994]. These features can be obtained by spectral analysis, i.e.,
 826 transforming time series data into the frequency domain using the DFT.

827 *Acworth et al.* [2016] applied spectral analysis to investigate the frequency content con-
828 tained in atmospheric and groundwater pressures, for example as seen in Figure 12. They ob-
829 served that there are frequencies at which the groundwater responds to ET only, such as M_2 .
830 The relative contribution of gravitational tides can therefore be quantified by using a synthetic
831 ET record for that location. Since this relative ET contribution must be the same at a close-by
832 frequency that is also affected by AT (S_2), the magnitude can be determined by cross-reference
833 to the amplitude at that frequency using the synthetic ET spectrum. Consequently, HAT enables
834 the determination of the groundwater response for which only the AT is responsible.

835 This approach is objective, overcomes previous limitations and allows a direct calculation
836 of BE using AT. Furthermore, the underlying principle behind this technique enables generic
837 quantitative separation of the EAT contributions embedded in groundwater heads. This consider-
838 ation provides new opportunities for revisiting previous theory in order to develop new methods
839 that result in increased accuracy when parameters are quantified using both ET and AT.

840 4.5 Groundwater confinement and response to tides

841 In hydrogeology, knowledge of confinement is crucial for groundwater system modeling,
842 as the mathematical equations used to describe the physical reality are different [*Domenico and*
843 *Schwartz*, 1997; *Fetter*, 2000]. To determine whether a subsurface geological unit is confined,
844 the location of the groundwater pressure head is related to the lower boundary of a confining
845 geological unit. Confined conditions are defined by a pressure head that is higher than the
846 lower boundary of a capping low permeable geological unit (aquiclude or aquitard), whereas
847 it is lower for unconfined conditions and forms the upper boundary of such an aquifer [e.g.,
848 *Domenico and Schwartz*, 1997]. Confinement is traditionally determined by observing the rise
849 of the water table during drilling, inferring it from lithology or evaluating the response of the hy-
850 draulic head to pumping [*Rahi and Halihan*, 2013]. Further, it is generally assumed that the for-
851 mation is rigid, and the overall compressibility required to describe confined conditions is lumped
852 into the specific storage parameter.

853 From a poroelastic perspective, water displacement in response to stress is different for
854 confined or unconfined groundwater conditions [*Wang*, 2001]. A normal stress applied to a sat-
855 urated porous material will pressurize the fluid occupying the pores unless the water can be
856 displaced or the pressure rapidly dispersed. The groundwater head in a confined state is hy-
857 drostatic and spreads induced strain throughout a formation until equilibrium is reached or until
858 it is relieved by water displacement, drainage, deformation or a general reduction in the initial
859 induced stress [*Galvin*, 2016].

860 The impact of EAT differs for confined and unconfined conditions [*Bredehoeft*, 1967; *Ac-*
861 *worth et al.*, 2015a, 2016]. Where the aquifer is confined, the increase and decrease in strain on
862 the Earth's crust will be accommodated by both the matrix and pore fluid [*Hsieh et al.*, 1987;
863 *Wang*, 2001], as demonstrated in Section 3. For example, *Bredehoeft* [1967] noted that the
864 presence of ET components in groundwater heads indicates confined conditions. *Acworth and*
865 *Brain* [2008] found that $BE \approx 0$ indicates unconfined conditions in fractured rock, whereas
866 $BE > 0$ illustrates confined conditions. *Butler et al.* [2011] investigated the aquifer response to
867 BL and noted that the degree of confinement can be determined under semiconfined conditions,
868 represented by BE values between 0 (unconfined) and 1 (confined).

869 As mentioned in the section above, it has long been known that some ET components
870 have the same frequency as AT, for example, S_2 , and that the result is a harmonic superposition

871 of both components. Depending on the phases of both signals, this relation can lead to an increase or a decrease in the tidal response compared to other components (compare ϕ_1 and ϕ_2
 872 in Figure 14h and see Figure 13 for an explanation). Consequently, the ET components should
 873 be used in isolation from the atmospheric signal to improve the interpretation of the degree of
 874 confinement. Figure 14 summarizes the effect of tides on confined and unconfined conditions.
 875

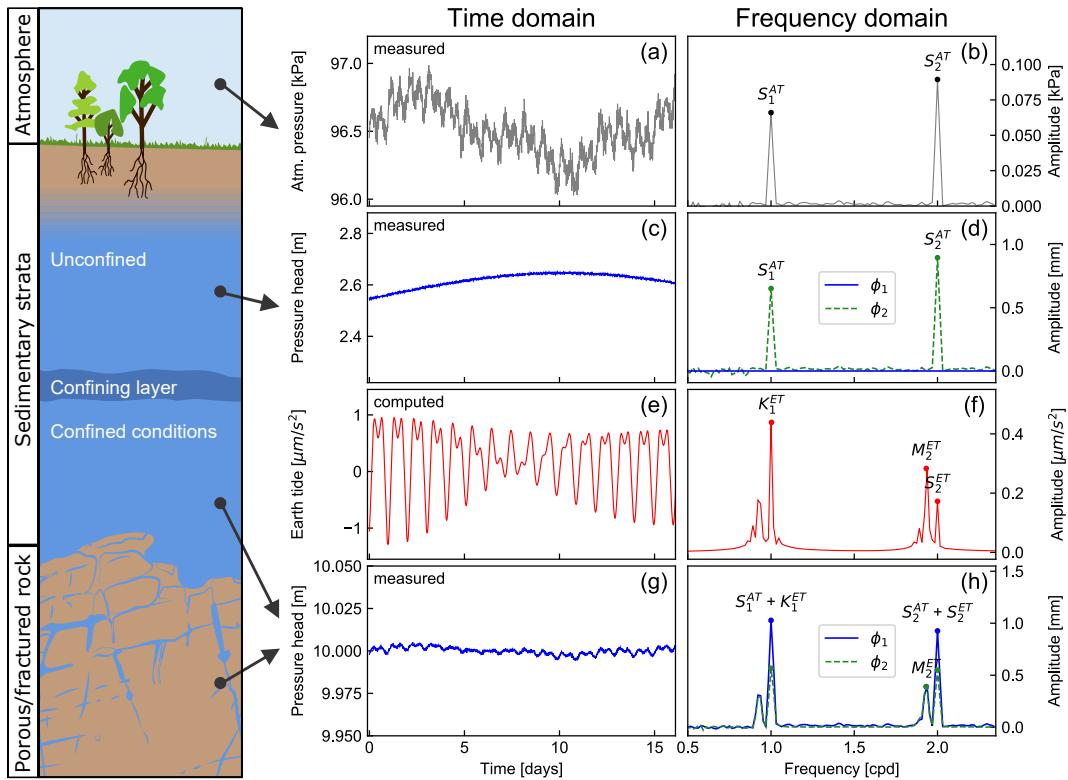


Figure 14: Conceptual overview of the influence of tidal forces down through a subsurface profile. (a) Measured atmospheric pressure time series, (b) amplitude spectrum of (a) showing atmospheric tide components, (c) unconfined pressure head time series, (d) amplitude spectrum of (c) for unconfined conditions (ϕ_1 represents an unconfined groundwater response, whereas ϕ_2 illustrates a delay in atmospheric pressure propagation through the vadose zone), (e) computed relative gravity due to Earth tides (calculated using *PyGTide* [Rau, 2018]), (f) amplitude spectrum of signal in (e) showing the Earth tide components, (g) measured confined pressure head time series, and (h) amplitude spectrum of the confined pressure head (ϕ_1 and ϕ_2 refer to different responses caused by a harmonic addition of Earth and atmospheric tides acting at the same frequencies).

876 In the case of AT, the stress is assumed to be of infinite extent laterally, does not intro-
 877 duce any horizontal pressure gradients and therefore does not cause horizontal water displace-
 878 ment [Cutillo and Bredehoeft, 2011]. Weeks [1978] and Weeks [1979] utilized *BE* to reflect the
 879 state of confinement of an aquifer; when confined, barometrically induced groundwater head

880 fluctuations are theoretically in phase with barometric changes within a formation and are always
881 a constant fraction of the barometric fluctuations. However, when the confinement is assessed
882 using a borehole open to the atmosphere, the groundwater head fluctuation is out of phase with
883 the atmospheric pressure change (see Figure 1 and the explanation in Section 4.4). *Rasmussen*
884 *and Crawford* [1997] further noted that in a confined aquifer, the groundwater head response
885 to BL is instantaneous, whereas in unconfined aquifers, this response is not evident due to the
886 instantaneous equilibration of the atmospheric pressure change through the pore space of the
887 unsaturated zone. *Lai et al.* [2013] found that wells resulting in a $BE > 1$ can be assumed to
888 reflect unconfined conditions.

889 *Acworth et al.* [2016] noted that an instantaneous reaction presumes that a phase differ-
890 ence of π (or 180°) must exist between atmospheric pressure fluctuations induced by tides and
891 its groundwater head response in an atmospherically open borehole if a groundwater system is
892 confined. The effect was exploited to determine the degree of confinement using groundwater
893 heads from a series of piezometers arranged vertically through a vertical sequence of smec-
894 tite clays [*Acworth et al.*, 2017]. Their results also illustrated a change in phase difference over
895 time, which was related to a change in system confinement in response to groundwater head
896 changes due to periods of dry and wet conditions at the ground surface. Specifically, during wet
897 periods, increased saturation of clay layers near the surface altered the degree of confinement
898 (i.e., reducing the direct connection between atmosphere and unsaturated zone and thus the
899 instantaneous pressure equilibration at the water table).

900 The presence, absence or relative magnitude of the principal tidal components has also
901 been proven useful as a method for determining an aquifer's state of confinement beyond con-
902 fined or unconfined. *Rahi and Halihan* [2013] demonstrated that where the S_2 signal is dominat-
903 ing but the M_2 tidal signal is still present, the aquifer can be classified as semiconfined. Where
904 M_2 is dominating, the aquifer is confined, and where M_2 is not present, the aquifer is uncon-
905 fined [*Bredehoeft*, 1967; *Rahi and Halihan*, 2013].

906 Whereas a lack of ET components in groundwater heads can indicate unconfined condi-
907 tions, this relationship is more complicated for AT. In perfectly unconfined systems, the pres-
908 sure variations induced by AT propagate instantaneously through the unsaturated zone, do not
909 induce stress, and therefore do not impact groundwater heads. However, unconfined systems
910 are often overlain by a variably saturated unit containing partially trapped and highly compress-
911 ible air or zones of lower permeability, where pressure propagation can be delayed. Such phe-
912 nomena have been reported in laboratory experiments [*Norum and Luthin*, 1968] and field set-
913 tings [*Weeks*, 1979]. Although this effect prevents the use of AT to detect unconfined conditions
914 (compare ϕ_1 and ϕ_2 in Figure 14d), AT can be exploited to characterize the unsaturated zone.
915 In fact, the delay between atmospheric pressure changes and groundwater response has been
916 used to develop barometric response functions to infer stratigraphic details [*Butler et al.*, 2011] or
917 unsaturated zone properties [e.g., *Hussein et al.*, 2013; *Odling et al.*, 2015].

918 The concepts of confined and unconfined groundwater conditions should be thought of
919 as ideal end-members. It is important to note that real subsurface systems have a degree of
920 confinement that lies somewhere in between. In fact, *Briciu* [2015] noted a periodic change in
921 the discharge of many inland rivers, which is caused by groundwater contribution to river flow
922 from tidal stress. This tidal response in groundwater discharge is evidence for the semiconfined
923 nature of many aquifers adjacent to streams.

924 Our discussion illustrates that the assumption of either strictly confined or unconfined con-
925 ditions is simplistic. For example, confinement is often assumed to be static, i.e., remain con-

926 stant over time, despite the fact that groundwater heads can change over time. We propose
927 that the use of tides to determine the degree of groundwater confinement from pressure mea-
928 surements alone should be further developed. Changes in groundwater response to EAT could
929 indicate changes in hydrogeological conditions, e.g., confinement or increasing land subsidence.

930 5 Conclusions and future potential

931 In this review, we comprehensively survey and combine knowledge from the literature in
932 geophysics and geodesy (related to ET in Section 2.2), atmospheric science (related to AT in
933 Section 2.3), geomechanics (related to the theory of subsurface poroelasticity in Section 3) and
934 hydrogeology (related to tidal impacts on groundwater and methods exploiting tides in Section
935 4). In doing so, we connect research from multiple disciplines to arrive at a new understanding
936 of how the impact of EAT can be used to characterize groundwater systems and quantify sub-
937 surface hydrogeomechanical properties. For example, we illustrate that EAT are ubiquitous, that
938 they cause detectable subsurface deformations due to the poroelastic properties of the lithology,
939 and that these deformations manifest as fluctuations in the groundwater head. Tides present a
940 naturally occurring signal embedded in pressure measurements and can therefore be used as a
941 natural hydraulic stressor to reveal information about the subsurface.

942 Our synthesis reveals that exploiting the groundwater response to EAT impacts requires
943 simultaneous records of three parameters:

- 944 1. **Earth tides (ET):** Gravity fluctuations caused by the movement of celestial bodies relative
945 to Earth cause ET, which are reflected in subsurface deformations and pressure changes.
946 Gravity measurements are not required because gravitational tides can be synthesized
947 accurately using precisely known astronomical relationships and correlate well with ob-
948 servations of body tides and ground surface movement. The ET can be produced for
949 known geocoordinates (latitude, longitude and elevation) and a time period of interest us-
950 ing *TSoft* [Van Camp and Vauterin, 2005], *ETERNA PREDICT* [Wenzel, 1996] or *PyGTide*
951 [Rau, 2018].
- 952 2. **Atmospheric tides (AT):** Atmospheric tides occur over large global regions with ampli-
953 tudes that are strongest at the equator and diminish towards the poles. AT are embed-
954 ded in the atmospheric pressure, which is a parameter routinely measured by weather
955 stations. Such records should be available at high frequency and with spatial coverage
956 for most locations around the globe. In fact, groundwater investigations generally include
957 atmospheric pressure measurements for the barometric correction of pressure recordings
958 from non-vented pressure transducers.
- 959 3. **Groundwater heads (GW):** Pressure transducers with automated loggers are increasingly
960 deployed in monitoring bores and piezometers to track groundwater heads at daily or
961 subdaily frequencies. Fortunately, monitoring bores and piezometers are far more preva-
962 lent than bores that are suitable for aquifer pumping tests and also provide data from
963 strata with limited groundwater yield. Many water management jurisdictions have been
964 operating such groundwater monitoring programs for at least a few decades, and data
965 from some key bore sites are made available on the web in real time. Consequently,
966 groundwater head time series should be available with appropriate temporal resolution
967 (≥ 8 samples per day) and duration (≥ 1 month) at a large number of locations around
968 the world. Using appropriate equipment, such records should also have an appropriate
969 pressure resolution (< 1 mm head),

970 Combining these components with existing poroelastic theory (Section 3) allows the determina-
 971 tion of groundwater confinement and quantification of subsurface hydrogeomechanical proper-
 972 ties, namely, permeability or transmissivity, specific storage, porosity and formation compressibil-
 973 ity. Figure 15 summarizes this finding. We propose the term *tidal subsurface analysis* (TSA) to
 974 describe this emerging methodological approach.

975 Our review also reveals many open questions that require further research:

- 976 • It is evident that the subsurface acts as a tidal frequency filter, i.e., processes and prop-
 977 erties of the ground between the surface and the point of monitoring modify amplitudes
 978 and phases in the groundwater head. Knowledge is limited about how the hydrogeome-
 979 mechanical properties influence this filter and how to effectively exploit this for subsurface
 980 characterization.
- 981 • There is currently uncertainty regarding the representative scale of hydrogeomechanical
 982 properties derived from passive techniques at low stress. The zones of influence near
 983 the bore screen and between the ground surface and the point of monitoring are poorly
 984 constrained.
- 985 • Tides are harmonic functions characterized by two parameters, amplitude and phase.
 986 They simultaneously act as stressors on the subsurface. While the contributions of EAT
 987 on the groundwater response can now be disentangled [Acworth *et al.*, 2016], the influ-
 988 ence of subsurface properties on the tidal transfer functions should be systematically
 989 explored, i.e., how to quantitatively explore the relationship between the amplitude and
 990 phase of the stressors and the resulting groundwater head response.
- 991 • Within confined aquifers, a direct ET response can be observed, while the AT response
 992 is out-of-phase. It may be possible to determine a characteristic signature to reliably iden-
 993 tify semiconfined or unconfined groundwater conditions. Development of a quantitative
 994 measure for the degree of confinement could enable comparison between aquifers and
 995 over time and could help assess subsurface extraction or injection projects.
- 996 • Few studies have compared hydrogeomechanical parameters obtained from TSA with
 997 those from traditional investigation techniques. Further research is required to benchmark
 998 results and increase confidence in the accuracy and reliability of TSA for a variety of sub-
 999 surface conditions.
- 1000 • Combining TSA with traditional hydraulic investigation techniques would constrain the
 1001 poroelastic and hydraulic parameter space for numerical models. For example, this ap-
 1002 proach could help to determine both hydraulic transmissivity and storativity from the hy-
 1003 draulic diffusivity and therefore reduce the large uncertainty inherent in aquifer pumping
 1004 test analyses.
- 1005 • Specific storage from TSA and associated site data is critical to constrain complex cou-
 1006 pled groundwater-surface water models that evaluate the effects of groundwater head
 1007 drawdown on rivers, reservoirs, springs and wetlands.
- 1008 • Numerical groundwater models must consider physically plausible ranges of specific stor-
 1009 age (i.e., according to poroelastic theory and TSA) and consider variations of specific
 1010 storage during sensitivity and uncertainty analyses. It is essential to consider heterogene-
 1011 ity of these parameters and aquifer boundary conditions, and it is particularly important to
 1012 evaluate poroelastic effects on pore pressure and storage in groundwater or geomechani-
 1013 cal models of subsurface responses to extraction or construction activities.

1014 Addressing these research gaps will deliver more confidence in the results of TSA and enable
1015 mature TSA approaches to become a new standard in the toolboxes of several disciplines, in-
1016 cluding hydrogeology and geomechanical engineering.

1017 TSA is a passive technique, as it uses naturally occurring astronomical and atmospheric
1018 forcing. It does not require active hydraulic stressing and therefore is far less expensive and
1019 resource-intensive than traditional methods, such as hydraulic aquifer testing, which requires
1020 significant equipment, power supplies and personnel for several days at each site. Ideally, this
1021 method can be used to complement or, if further developed, altogether replace hydrogeologi-
1022 cal and hydrogeophysical investigation techniques relying on active forcing. Further, TSA could
1023 be automated and applied to decades of existing groundwater and atmospheric pressure data
1024 contained in global monitoring archives.

1025 TSA reveals the average subsurface processes and properties over the time window of
1026 the data that are used. It could therefore also be applied in time-steps to produce time series
1027 that reveal temporal changes of groundwater processes and properties (in comparison, pump
1028 tests are only done at one point in time). Consequently, TSA offers an unprecedented oppor-
1029 tunity for gaining insight into subsurface processes and properties over both space and time.
1030 In addition, TSA should become a routine approach that can add enormous value to existing
1031 monitoring programs. For example, for the first time, this could support time-adaptive decision-
1032 making in subsurface resource management. Such advantages exceed our current capabilities
1033 and represent a paradigm shift for investigating and managing groundwater and subsurface re-
1034 sources globally.

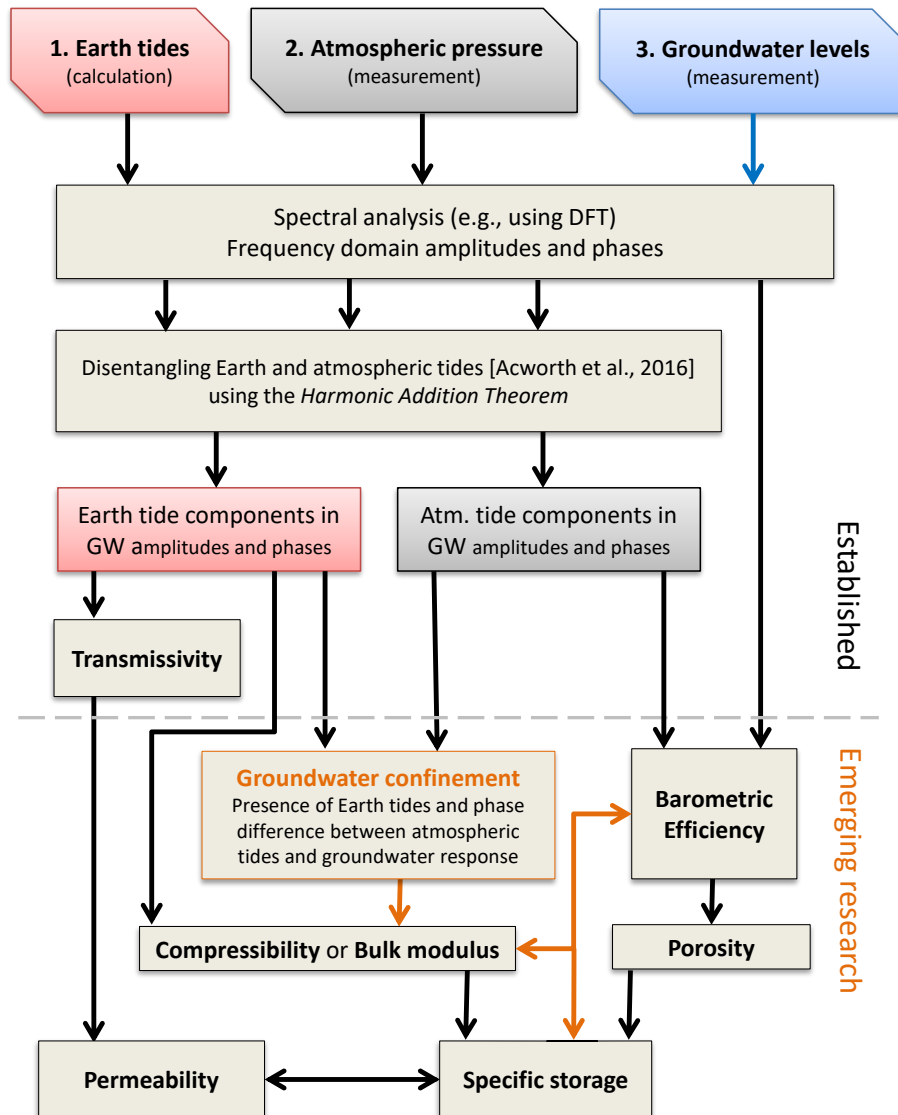


Figure 15: Overview of the suggested workflow for quantifying subsurface properties using the groundwater response to EAT. Previous research inferred confinement [Bredehoeft, 1967; Acworth and Brain, 2008; Rahi and Halihan, 2013; Lai et al., 2013; Acworth et al., 2016, 2017], transmissivity [Cuttillo and Bredehoeft, 2011], permeability [Allègre et al., 2016], permeability [Cuttillo and Bredehoeft, 2011; Allègre et al., 2016], specific storage [Lai et al., 2013; Acworth et al., 2016; Allègre et al., 2016], porosity [Cuttillo and Bredehoeft, 2011; Lai et al., 2013] and compressibility (or bulk modulus) [Lai et al., 2013; Acworth et al., 2016, 2017]. The new ability to disentangle ET and AT necessitates further research to streamline the theory and enable objective quantification of hydrogeomechanical properties.

A: Appendix 1: Nomenclature

Table A.1: Nomenclature

Symbol	Definition and SI Units
General Variables	
b	Thickness of the aquifer [m]
g	Gravity [$9.81m/s^2$]
ρ	Bulk density [$kg \cdot m^{-3}$]
ρ_w	Density of water ≈ 998 [$kg \cdot m^{-3}$]
t	Time [s]
z	Depth [m]
r	Average radius of the Earth [m]
i or j	Index [-]
Poroelectric Variables	
E	Young's modulus [GPa]
ν	Poisson's ratio [-]; in some other texts denoted as μ
ν_u	Undrained Poisson's ratio [-]
G	Shear modulus [GPa]; in some other texts denoted as μ
K	Bulk modulus [GPa]
K'_s	Unjacketed bulk modulus [GPa]
K_s	Solid grain modulus [GPa]
K_θ	Unjacketed pore incompressibility [GPa]
K_w	Bulk modulus of water [GPa]
K_u	Undrained bulk modulus [GPa]
K_v	Vertical bulk modulus [GPa]
β	Bulk compressibility of the medium [GPa] (equal to $1/K$)
β_s	Grain compressibility [GPa]
β_f	Compressibility of fluid [GPa]
β_w	Compressibility of water [GPa]
σ	Mean stress [-]
e	Dilation [-]
e^t	Tidal dilation strain [-]
ζ	Mass increment [kg]
λ	Lamé's drained modulus (defined in equation 22)
BE	Barometric efficiency [-] (defined in equation 27)
γ	Loading efficiency [-] (defined in equation 29)
V_p	P-wave (Pressure wave) velocity [m/s]
V_s	S-wave (Shear wave) velocity [m/s]
\bar{q}	Flow vector [m/s]
$\bar{\sigma}$	Stress tensor, with entries as σ_{ij}
$\bar{\epsilon}$	Strain tensor, with entries ϵ_{ij}
p	Pore pressure scalar
ξ	Increment of fluid content scalar

$\frac{L}{S}h$	<i>Love-Shida number</i> ; measurement of the vertical (radial) displacement of the Earth's elastic properties [-]
$\frac{L}{S}k$	<i>Love-Shida number</i> ; ratio of the additional potential due to the deformation [-]
$\frac{L}{S}l$	<i>Love-Shida number</i> ; ratio of the horizontal (transverse) displacement of an element of crustal mass to that of the corresponding static ocean tide [-]
α	<i>Biot-Willis</i> coefficient [-] (Equation 7)
B	Skempton's coefficient [-] (Equation 8)
R	Biot modulus, reciprocal of constant stress storage coefficient [-]
H	Reciprocal of the poroelastic expansion coefficient [-]

Hydrogeological Variables

Q	Fluid source or sink; flow velocity [m^3/s]
θ	Total porosity (water content in saturated zone) [-]
η_r	Hydraulic diffusivity [m^2/s]
k	Intrinsic permeability [m^2]
μ	Dynamic viscosity for water [$Pa \cdot s$]
S	Storativity [-]
S_s	Specific storage [m^{-1}]
S_s^v	Uniaxial specific storage [ms^2/kg]
T	Transmissivity [m^2/s]
P	Pressure [Pa]
$\langle K \rangle$	Hydraulic conductivity [m/s]
b	Aquifer thickness [m]
h	Hydraulic head [m]
Δh_p	Hydraulic head response [m]

Signal Processing Variables

w	Angular frequency of tidal component [rad/s]
A	Amplitude response [-]
$\Delta\phi$	Phase shift [rad]
V	Tidal potential [m^2/s^2]

Primary Tidal Components (see also Table 1)

S_1	Principal Solar tide at 1 cpd
S_2	Principal Solar tide at 2 cpd
S_2^{GW}	Amplitude of the hydraulic head at 2 cpd
S_2^{ET}	Amplitude of Earth tide (ET) at 2 cpd
S_2^{AT}	Amplitude of atmospheric tide (AT) at 2 cpd
M_2	Principal Lunar tide at 1.9324 cpd
M_2^{GW}	Amplitude of the hydraulic head of the Earth tides [m]
M_2^{ET}	Amplitude of the Earth tide [m]
K_1	Lunar-Solar tide at 1.0029 cpd
O_1	Principal Lunar at 0.9295 cpd
P_1	Diurnal Lunar perigee at 0.9973 cpd
N_2	Lunar elliptic at 1.8957 cpd

1036

1037 **Acronyms**1038 **AT** Atmospheric Tides1039 **BE** Barometric Efficiency1040 **BL** Barometric Loading1041 **DFT** Discrete Fourier Transform1042 **ET** Earth Tides1043 **EAT** Earth and Atmospheric Tides1044 **FFT** Fast Fourier Transform1045 **GW** Groundwater1046 **HAT** Harmonic Addition Theorem (see *Havin and Jöricke* [1994])1047 **TSA** Tidal Subsurface Analysis1048 **TGP** Tide Generating Potential1049 **Acknowledgments**

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