

1 Comparison of cryogenic deformation of the  
2 concentrated solid solutions CoCrFeMnNi, CoCrNi and  
3 CoNi

4 *A. S. Tirunilai<sup>a</sup>, T. Hanemann<sup>b</sup>, C. Reinhart<sup>c</sup>, V. Tschan<sup>b</sup>, K.-P. Weiss<sup>b</sup>,*  
5 *G. Laplanche<sup>c</sup>, J. Freudenberger<sup>d,e</sup>, M. Heilmaier<sup>a</sup>, A. Kauffmann<sup>a</sup>*

6 *<sup>a</sup> Institute for Applied Materials (IAM-WK), Karlsruhe Institute of Technology*  
7 *(KIT), Engelbert-Arnold-Str. 4, D-76131 Karlsruhe, Germany*

8 *<sup>b</sup> Institute for Technical Physics (ITEP), Karlsruhe Institute of Technology (KIT),*  
9 *Hermann-von-Helmholtz-Platz 1, D-76344 Eggenstein-Leopoldshafen, Germany*

10 *<sup>c</sup> Institute for Materials, Ruhr-University Bochum (RUB), Universitätsstr. 150,*  
11 *44780 Bochum, Germany*

12 *<sup>d</sup> Leibniz Institute for Solid State and Materials Research Dresden*  
13 *(IFW Dresden), Helmholtzstr. 20, D-01069 Dresden, Germany*

14 *<sup>e</sup> Institute of Materials Science, Technische Universität Bergakademie Freiberg,*  
15 *Gustav-Zeuner-Str. 5, 09599 Freiberg, Germany*

## 16 Abstract

17 The current work compares the deformation behavior of CoCrFeMnNi and CoCrNi in the  
18 temperature interval between 295 K and 8 K through a series of quasi-static tensile tests.  
19 Temperature-dependent yield stress variation was found to be similarly high in these two alloys.  
20 Previous investigations only extended down to 77 K and showed that a small amount of  $\epsilon$ -  
21 martensite was formed in CoCrNi while this phase was not observed in CoCrFeMnNi. The  
22 present study extends these investigations down to 8 K where similar low levels of  $\epsilon$ -martensite  
23 were presently detected. Based on this result, a rough assessment has been made estimating the  
24 importance of deformation twinning to the strength. The relative work hardening rates of  
25 CoCrFeMnNi and CoCrNi were comparable in value despite the differences in  $\epsilon$ -martensite  
26 formation during deformation. CoCrFeMnNi deforms by dislocation slip and deformation  
27 twinning while deformation in CoCrNi is also accommodated by the formation of  $\epsilon$ -martensite  
28 at cryogenic temperatures. Additionally, CoNi, a solid solution from the Co-Cr-Fe-Mn-Ni  
29 system with low strength, was used for comparison, showing contrasting deformation behavior  
30 at cryogenic temperatures.

## 31 Keywords

32 alloy; deformation; microstructure; high-entropy alloys; plasticity; cryogenic temperatures

## 33 1. Introduction

34 High entropy alloys (HEA) are a class of materials comprising multiple principle elements that form  
35 concentrated solid solutions [1, 2, 3]. These alloys have been intensely studied over the course of the  
36 last decade [4, 5, 6, 7, 8] and CoCrFeMnNi has been used as a model face centered cubic (FCC) alloy  
37 [9, 10, 11, 12, 13]. This alloy was first synthesized by Cantor et al. [14] and is, thus, commonly referred  
38 to as the Cantor alloy. HEAs were originally correlated with certain core effects claimed to have been  
39 characteristic of multicomponent alloys [1, 2, 8]. However, ceaseless research has changed certain  
40 previously held notions [15, 16, 17]. This includes the false direct correlation between a large number  
41 of constituent elements and a ‘higher entropy’ of the alloy [18] and the supposedly sluggish diffusion in  
42 these concentrated solid solutions [15, 16].

43 In order to compare the tensile behavior seen in concentrated solutions, experiments were conducted on  
44 alloys synthesized from the elements of the Cantor system (Ni, Co, Cr, Mn and Fe). Specifically, three  
45 single-phase FCC alloys, namely CoCrFeMnNi, CoCrNi and CoNi were synthesized from these five  
46 elements and the mechanical behavior was compared. CoCrNi was reported to have an even higher  
47 mechanical strength and ductility than CoCrFeMnNi at room temperature and 77 K [10, 19, 20]. The  
48 ternary CoCrNi and quinary CoCrFeMnNi alloys with similar grain sizes of  $\sim 16 \mu\text{m}$  were reported to  
49 have yield strengths of 360 MPa and 265 MPa at 295 K, respectively [20]. In contrast, CoNi was found  
50 to have the lowest yield strength of all the equiatomic FCC alloys tested in the system with a yield  
51 strength less than 200 MPa at room temperature ( $d \sim 35 \mu\text{m}$ ) [10].

52 While previous publications have compared CoCrFeMnNi and CoCrNi [10, 20, 21], establishing the  
53 latter as the stronger alloy, there has not been a direct comparison of the work-hardening rates.  
54 Additionally, the higher yield stress of CoCrNi at all temperatures is indicative of a higher solid solution  
55 strengthening effect but no distinct statement has been made comparing the solid solution strengthening  
56 during these comparisons. The current investigation directly compares work-hardening rate and the  
57 contribution of solid solution strengthening to yield strength with the necessary considerations. (i) The  
58 solid solution strengthening is evaluated through the change in yield strength with temperature. (ii) The  
59 contributions of deformation twinning and  $\epsilon$ -martensite formation to the work-hardening rate at

60 cryogenic temperatures are evaluated; cryogenic temperatures since TRIP and TWIP effects have  
61 formerly been reported as strongest at these temperatures for these alloys [9, 10, 20, 21]. The  
62 strengthening contribution of the TRIP effect in CoCrNi is expected to be significant but has not been  
63 evaluated. The current work will directly compare the TRIP behavior of CoCrNi to the non-TRIP  
64 CoCrFeMnNi. Additionally, the increase in  $\epsilon$ -martensite volume fraction between 295 K and 77 K  
65 reported in Ref. [21] was tested at 8 K. This establishes if a lower temperature additionally increases the  
66 TRIP effect as seen when temperature is decreased from 295 K to 77 K.

## 67 2. Experimental

### 68 Synthesis of the material

69 The investigated CoCrFeMnNi, CoCrNi and CoNi samples were synthesized from elemental bulk  
70 material. Co, Cr, Fe, Mn, and Ni with purities of 99.95 %, 99.99+ %, 99.99 %, 99.99 % and 99.97 %,  
71 respectively, were appropriately weighed to obtain equiatomic compositions and were then melted under  
72 an Ar atmosphere using an AM/0.5 arc melting device from the company Edmund Bühler GmbH  
73 (Germany). The Mn was pre-etched by the supplier and stored in an Argon filled container prior to  
74 melting. The melting chamber was pumped to a pressure of  $5 \cdot 10^{-2}$  mbar and then flooded with Ar. This  
75 process was repeated three times before finally pumping to a high vacuum of less than  $2 \cdot 10^{-4}$  mbar.  
76 The chamber was then flooded with Ar once more. During the arc melting the Ar pressure was initially  
77 set to 600 mbar. A Zr globule was melted prior to each melting step in order to getter residual oxygen.  
78 The ingots were flipped and re-melted five times before being cast as rod-shaped ingots in a water-  
79 cooled Cu mold. The diameter of the rod portion of the mold was 14 mm for CoCrFeMnNi and 12 mm  
80 for CoCrNi and CoNi. The rod was 175 mm long. The composition of the first batch of CoCrFeMnNi  
81 alloy in the as-cast state was determined by inductively coupled plasma optical emission spectrometry  
82 (ICP-OES), confirming the equiatomic composition. The compositions of other batches were  
83 determined to be equiatomic through standard-related, energy-dispersive X-ray spectroscopy (EDS).  
84 Homogenization of the as-cast microstructure was performed in evacuated fused silica tubes at 1200°C  
85 for 72 h and subsequently water-quenched. The homogenized material was deformed down to 6 mm in  
86 diameter via rotary swaging, applying a reduction of approximately 20% in each step. Tensile test

87 specimens were machined from these deformed rods as described in the following section. The  
88 machined specimens were finally recrystallized by annealing for 1 h at 800, 850 and 600 °C for  
89 CoCrFeMnNi, CoCrNi and CoNi, respectively. The recrystallization heat treatments were carried out in  
90 evacuated fused silica ampules and the samples were quenched from their respective recrystallization  
91 temperatures. The grain sizes post recrystallization were estimated using horizontal grain intercept  
92 method on backscatter electron images. Twin boundaries were considered as high angle grain boundaries  
93 (HAGB) and they were therefore included in the assessment of the mean grain sizes. For a detailed  
94 discussion, please see Ref. [34].

## 95 Materials Characterization

96 X-ray diffraction (XRD) was carried out on recrystallized and polished longitudinal sections of the  
97 alloys using a D2 Phaser system by Bruker, equipped with a LynxEye line detector. The lattice parameter  
98 was determined by extrapolation utilizing the function  $\frac{1}{2}(\cot^2\theta + \cot\theta \cdot \cos\theta)$  towards  $\theta = 90^\circ$  similar  
99 to the Nelson-Riley approach [22].

100 For the purpose of microstructural investigations, a standard metallographic procedure was used to  
101 prepare the samples. The specimens were first ground with a series of abrasive SiC papers of increasing  
102 grit number; they were then polished using 3  $\mu\text{m}$  and 1  $\mu\text{m}$  diamond suspensions. This was followed by  
103 a polishing operation using a non-crystallizing Oxide Polishing Suspension (OP-S) with a pH = 9.8,  
104 supplied by Struers. Scanning electron microscopy (SEM, using backscatter electron imaging (BSE))  
105 and EDS were performed using three different devices, namely a Zeiss Evo 50, Zeiss Leo 1530 and a  
106 Zeiss Auriga 60. The EVO 50 system, equipped with a Thermo Scientific EDX system was used to  
107 estimate the composition by standard-related EDS.

108 Further analyses were performed on the CoCrNi specimen deformed at 8 K using a transmission electron  
109 microscope (TEM). TEM samples were cut from the ruptured tensile specimen away from the necking  
110 region at a 45°-angle to the loading axis. The specimen was ground to a thickness of 100  $\mu\text{m}$  using 600-  
111 grit SiC paper and further thinned by double-jet electrochemical thinning at 16 V. The electrolyte  
112 consisted of 70 vol.% Methanol, 20 vol.% Glycerine, and 10 vol.% Perchloric acid and the operation  
113 was carried out at a temperature of 253 K. TEM analyses were performed on a Tecnai Supertwin F20

114 G2 instrument operating at 200 kV, producing dark-field images, bright field (BF) images and selected  
115 area diffraction (SAD) patterns.

116 The high-resolution TEM images were subjected to fast Fourier transform (FFT) and subsequent inverse  
117 fast Fourier transform (iFFT) to isolate and identify distinct features. With the program “digital  
118 micrograph”, an FFT was calculated, to which masks were applied to differentiate between deformation  
119 twins and  $\epsilon$ -martensite. For this purpose, the mask was placed on different FFT-spots to generate iFFT  
120 images.

## 121 Mechanical Testing

122 Tensile tests have been performed at room temperature (295 K) and a range of cryogenic temperatures.  
123 These tests were performed at the Cryogenic Material Test Lab Karlsruhe (CryoMaK, KIT), the process  
124 for which is described elsewhere [23]. The cylindrical tensile specimens have M6 connecting threads, a  
125 total length of 45 mm, a uniform length of 22 mm, a transition radius of 10 mm and a gauge diameter  
126 of 4 mm. Furthermore, the recrystallization treatment (see “Manufacturing of the material”-section) was  
127 performed after machining and polishing to prevent any effect from deformed surface layers.

128 Tensile tests performed down to 8 K were carried out on the machine MTS 25 while the yield stress data  
129 of CoCrFeMnNi deformed at 4.2 K and 77 K were obtained from tensile tests carried out in a liquid  
130 Helium and Nitrogen bath respectively in the machine ATLAS. Tensile testing was performed until  
131 fracture with constant crosshead movement corresponding to an initial plastic strain rate of  $3 \cdot 10^{-4} \text{ s}^{-1}$   
132 under standard conditions according to ASTM E8M. The strain was measured using two strain gauges  
133 attached to the samples. Based on the measured force, elongation data and the sample dimensions, other  
134 parameters such as stress, strain, work hardening, true stress and strain values were derived, using the  
135 proprietary software package Origin 2018 by OriginLab. Additionally with reference to the observed  
136 serrations, the maxima of each of these serrations were identified using a script, written by the authors,  
137 using MATLAB R2018a (MathWorks) which was then used in the estimation of the work hardening  
138 rate.

139 3. Results and Discussion

140 3.1 Initial microstructure

141 In order to achieve comparable initial states, all three alloys were processed to achieve a comparably  
142 small grain size in the fully recrystallized state. The XRD results in Fig. 1 confirm that all the  
143 investigated alloys are single-phase FCC. Fig. 2 shows that all the alloys are fully recrystallized with  
144 average grain sizes of approximately 7  $\mu\text{m}$  for CoNi and 6  $\mu\text{m}$  for CoCrNi and CoCrFeMnNi.

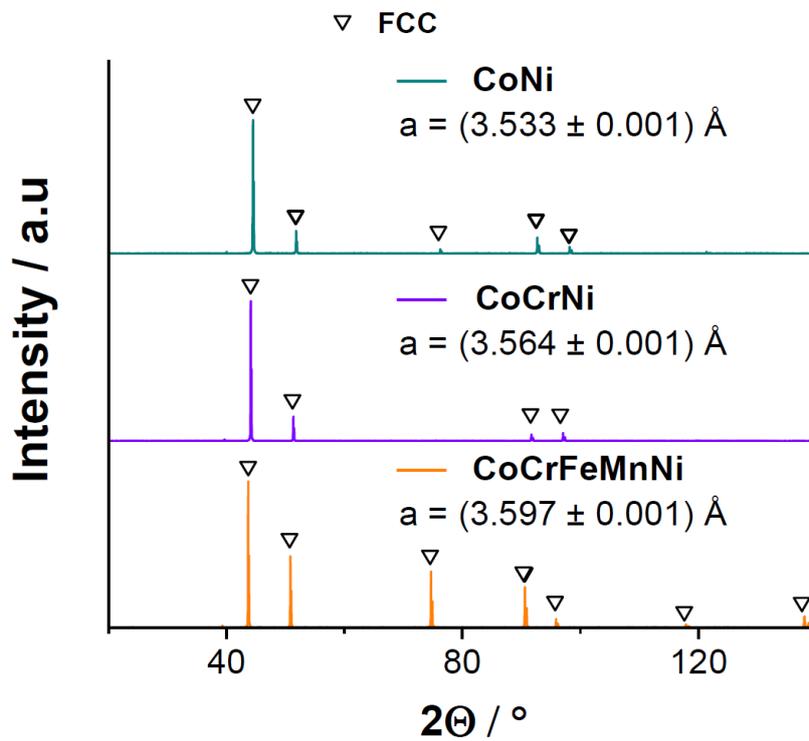


Figure 1: XRD pattern of the as-recrystallized microstructure of CoNi, CoCrNi and CoCrFeMnNi along with the determined lattice parameter  $a$ .

145 Recrystallization twins are observed in all three alloys. The grain size of CoNi has a broader distribution  
146 as compared to the other two alloys. Owing to recrystallization kinetics in CoNi, a fully recrystallized  
147 state could only be achieved alongside a slightly larger average grain size condition. The compositions  
148 estimated by standard related EDS is as given in Table 1.

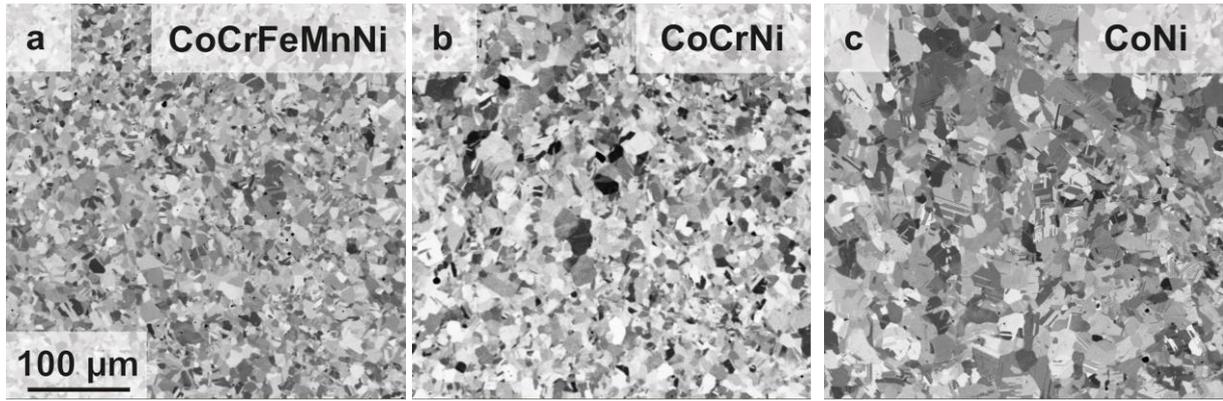


Figure 2: Orientation contrast BSE images of (a) CoCrFeMnNi, (b) CoCrNi and (c) CoNi in the as-recrystallized condition. Magnification is the same for all micrographs.

Table 1: Standard-related EDS composition of the alloys tested (in at.%)

Alloy	Co	Cr	Fe	Mn	Ni
CoNi	50.52	0	0	0	49.48
CoCrNi	33.37	33.35	0	0	33.28
CoCrFeMnNi	20.13	20.80	20.14	18.93	20.00

### 149 3.2 Mechanical tests

150 The characterization of the mechanical behavior is divided into two parts. The first part addresses the  
 151 variation of yield stress ( $\sigma_{YS}$ ) at different temperatures for the three alloys. The second part focuses on  
 152 the work hardening behavior of the alloys at 295 K and at 8 K.

#### 153 Yield stress variation with temperature

154 The  $\sigma_{YS}$  estimated from tensile tests conducted at various temperatures is depicted in Fig. 3. The tests  
 155 were performed at 295 K, 77 K and 8 K for all three alloys. Additionally, CoCrFeMnNi was tested at  
 156 4.2K, 15 K, 25 K, and 35 K. Apart from the experimental results obtained in the current study (marked  
 157 by solid symbols in Fig. 3),  $\sigma_{YS}$  at other temperatures were calculated based on the Hall-Petch relations,  
 158 as estimated by Otto et al. for CoCrFeMnNi [9] and Schneider et al. for CoCrNi [24] (marked by open  
 159 symbols in Fig. 3). Additionally, the data of Ni [10], adjusted for the same grain size as CoNi in the  
 160 current publication, was added to illustrate the variation of stress with temperature in a pure FCC metal.  
 161 The HP constants were available only at room temperature [25]. The value of  $k_{HP}$  was adjusted at 2 ·

162  $10^{-4} \cdot (k_{HP}^{295K}) / K$  (this is the variation seen in CoCrNi) at other temperatures. This still yielded a low  
 163 variation in grain boundary strengthening contribution considering the relatively low  $k_{HP}$  constant  
 164 variation with temperature and its influence on strength. The curve is thus an approximate equivalent of  
 165 Ni data.

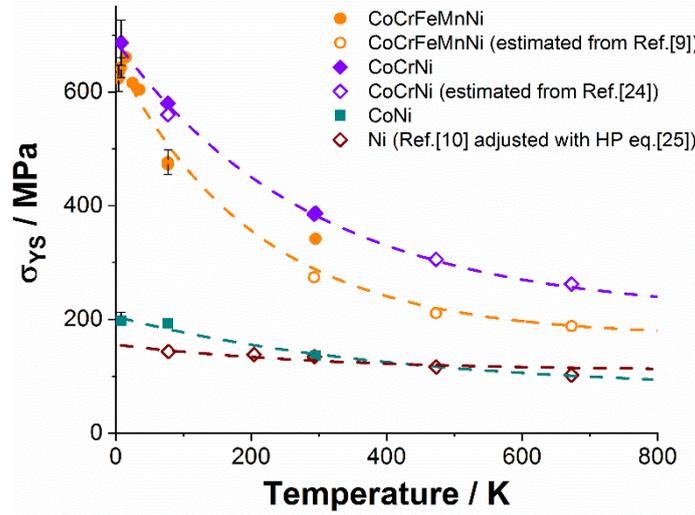


Figure 3:  $\sigma_{YS}$  variation of polycrystalline CoCrFeMnNi, CoCrNi, CoNi and Ni with temperature. Solid symbols represent experimental data from the present study, open symbols represent experimental results reported in the literature, which were either directly measured or estimated based on the Hall-Petch relationship.

166 Solid solution strengthening has been characterized by Labusch type models in HEAs [6, 25, 26]. In this  
 167 model [6], the dislocation lines bend to exist in energetically favorable atomic environments and on  
 168 application of sufficient stress slip across energetically unfavorable environments until settling once  
 169 more at another favorable environment. The pinning or settling of dislocations in solute environments  
 170 is based on the lattice distortion caused by the solutes. The distortion can be estimated by atomic size  
 171 misfit ( $\delta$ ) given by the formula  $\delta = \sqrt{\sum_i x_i \cdot \left(1 - \frac{r_i}{\bar{r}}\right)^2}$ . Here  $x_i$  is the atomic concentration of element  
 172  $i$  with an atomic radius of  $r_i$ . The ASD values, estimated from radii calculated from binary alloy systems  
 173 [6] is 1.7 for CoCrNi and 1.85 for CoCrFeMnNi. The  $\sigma_{YS}$  and  $\sigma_{UTS}$  however, is reportedly higher for  
 174 CoCrNi at different temperatures [10, 21], even when evaluating specimens of similar grain sizes [20].  
 175 A direct comparison of strength, thus does not allow for accurate evaluation of lattice distortion only.

176 To isolate the effect of solid solution strengthening the experimentally determined  $\sigma_{YS} - T$  is an  
177 appropriate parameter, as explained in the following paragraph.

178 In the case of a single-phase polycrystalline material, like the alloys being tested, particle strengthening  
179 does not play a role. If only the yield strengths are considered, strengthening due to forest dislocation  
180 interactions can be neglected, considering the initial recrystallized condition. The remaining factors  
181 affecting strength include grain boundary strengthening and solid solution strengthening. The grain size  
182 influence is based on the Hall-Petch slope at a given temperature. The Hall-Petch slope,  $k_{HP}$ , increases  
183 9 % for CoCrFeMnNi [9] and 4 % for CoCrNi [24], between 295 K and 77 K which should roughly  
184 correspond to the increase of the square root of the shear modulus in this temperature range [24]  
185 according to the seminal dislocation pile-up model of Eshelby et al. [27]. The temperature-dependence  
186 of grain boundary strengthening is similar and fairly low for CoCrFeMnNi and CoCrNi. Thus, the  
187 change in  $\sigma_{YS}$  with temperature is mainly a function of solid solution strengthening in these alloys and  
188 can be described by the slopes of these curves. The slopes of CoCrFeMnNi and CoCrNi in Fig. 3 are  
189 both steep, with that of CoCrFeMnNi being slightly higher. This parallels the  $\delta$  stated above.

190 The Varvenne model of solid solution strengthening of HEAs based on the Labusch model provided  
191 accurate strength estimates at 295 K, however, somewhat underestimated values at 77 K [6]. One of the  
192 possible reasons for this underestimation was stated as not having considered Angstrom-level  
193 fluctuations. Their contributions at finite temperatures are enveloped within the meso-scale calculations.  
194 At lower temperatures like 77 K however, these fluctuations would generate additional energy barriers  
195 [6, 25, 26]. Accordingly, as the temperature decreases, the influence of the fluctuations at the Angstrom-  
196 level become more relevant. Based on the report from Owen et al. [28], at room temperature the static  
197 displacement of atoms (which would contribute to solid solution strengthening), is significantly  
198 mediated by the dynamic displacements (due to thermal contributions) at room temperature. Thus, under  
199 cryogenic conditions the differences in atomic size become truly visible and the corresponding static  
200 displacements of atoms would have a more pronounced effect on the  $\sigma_{YS}$ .

201 Based on the above paragraph, the effects of lattice distortion are most significantly visible at cryogenic  
202 temperatures and  $\sigma_{YS} - T$  variation of CoCrFeMnNi and CoCrNi at these temperatures are an accurate

203 reflection of level of local lattice distortion. The local lattice strain in the Co-Cr-Fe-Mn-Ni system is  
204 reportedly highest in the presence of Cr and Mn [29, 30]. The high concentration of Cr in CoCrNi as  
205 well as Cr and Mn in CoCrFeMnNi correlate well to this observation. CoNi on the other hand shows a  
206 fairly weak variation of strength with temperature. Co has an apparently weak effect on the bond lengths  
207 in the alloy [29, 30], and correspondingly a low local lattice distortion effect.

208 The ratio of  $\sigma_{YS}^{8K}$  to  $\sigma_{YS}^{295K}$  is  $\sim 1.5$  for CoNi,  $\sim 1.8$  for CoCrNi and  $\sim 1.9$  for CoCrFeMnNi. The relatively  
209 large change in temperature-dependent  $\sigma_{YS}$  could be observed when evaluating the equations determined  
210 by Wu et al. [10]:  $\sigma_{YS} = \sigma_a \cdot \exp\left(\frac{-T}{c}\right) + \sigma_b$ . The derivative of the equation with respect to temperature  
211 is  $\frac{d\sigma_{YS}}{dT} = \sigma_a \cdot \left(-\frac{1}{c}\right) \cdot \exp\left(\frac{-T}{c}\right)$ , which can in turn be reduced to  $\frac{d\sigma_{YS}}{dT} = -K \cdot \exp(-T)$ . Comparing the  
212 coefficients  $K$  of the temperature dependent derivatives, higher  $K$  correlates with a higher lattice  
213 distortion. The  $K$  value of some of the alloys of the Co-Cr-Fe-Mn-Ni system, calculated from equations  
214 in literature [10], is as shown in Table 2. The values are consistent with the expected local distortion  
215 effect contributions from the constituent elements [30].

216 The lattice distortion parameters exhibit different values on the basis of the chosen initial atomic radius.  
217  $\delta$  as estimated from ab initio calculations implies CoCrNi has greater lattice distortion than  
218 CoCrFeMnNi [29]. This is contrary to the estimations made from binary alloy systems [6]. This would  
219 once again be different if instead of binary alloy systems ternary and quaternary alloy systems are used.  
220 Clearly, the method of initial radius estimation plays a significant role in lattice distortion parameter  
221 calculations. However, based on the consideration that thermally affected strength of an alloy is  
222 primarily influenced by short range obstacles [31, 32, 33], the solid solution strengthening contribution  
223 to strength is appropriately reflected in the rate of  $\sigma_{YS} - T$  trends. The current work reveals that despite  
224 the higher  $\sigma_{YS}$  of CoCrNi at all temperatures, CoCrFeMnNi, has a similarly high thermally affected solid  
225 solution strengthening contribution.

226

227

Table 2: Coefficients of the thermally dependent slope for the FCC alloys of Co-Cr-Fe-Mn-Ni system as described in the text for stresses given in MPa and temperature in K.

Alloy	CoCrFeMnNi	FeCoNiMn	CoCrNiMn	FeCoNi	CoCrNi	FeNiMn	CoNi	Ni
<b>K</b>	2.36	1.29	2.38	1.16	2.15	1.46	0.39	0.15

228 Apart from an overarching yield stress-temperature variation, at very low temperature another trend was  
 229 observed in CoCrFeMnNi. At temperatures below 15 K, the  $\sigma_{YS}$  CoCrFeMnNi appears to plateau. This  
 230 is illustrated in Fig. 4a. The  $\sigma_{YS}$  illustrated in the graph are from the same batch. Two tests were  
 231 performed at 15 K, to check for repeatability considering that the plateau appears below this temperature.

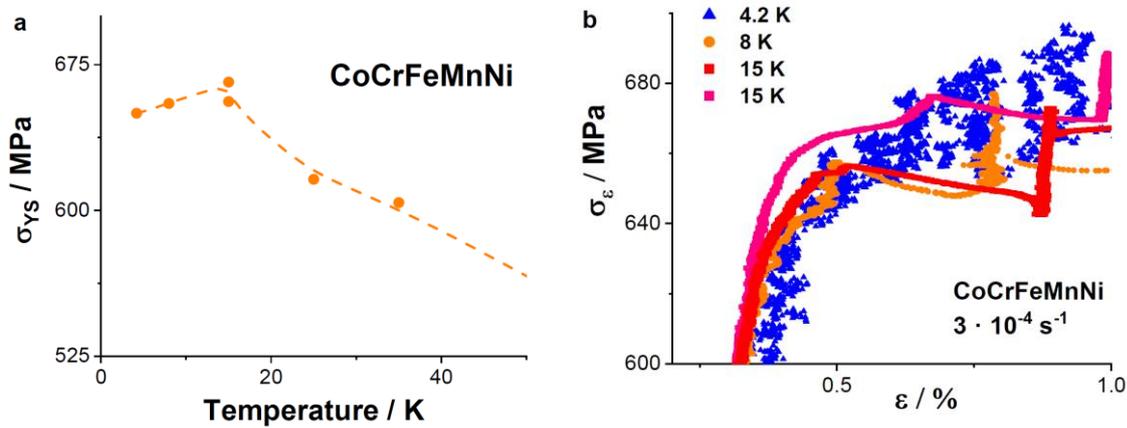


Figure 4: (a)  $\sigma_{YS}$  variation of polycrystalline CoCrFeMnNi in the temperature range of up to 50 K. A  $\sigma_{YS}$  plateau is observed between 15 K and 4.2 K. (b)  $\sigma_e$  vs  $\epsilon_e$  in the vicinity of yield point for four tests conducted at 15 K, 8 K and 4.2 K. The yield stress occurs at practically the same value in each case.

232 Fig. 4b shows fairly complete overlap in the stress strain data in the vicinity of the yield point for the  
 233 four tests, with one of the 15 K tests showing a higher yield stress. Additionally a wide scatter of points  
 234 is seen owing to the phenomenon of low temperature serrated plastic deformation [34]. This effect will  
 235 not be discussed here, as we are only focused the on-set of plasticity at this point.

236 With respect to the low temperature yield stress plateau it could be related to an effect called the dynamic  
 237 overshoot effect [35]. It is usually seen in alloys as opposed to pure metals where the  $\sigma_{YS}$  is expected to  
 238 keep rising as temperature decreases. The effect is attributed to the drop in the viscous dampening of  
 239 dislocations below a given temperature [36, 37]. The viscous dampening is low enough that the inertial

240 effect of dislocations become significant in overcoming barriers. Kamada et al. [38] have shown in Cu-  
 241 Ge alloys, higher solute contents shift the peak of  $\sigma_{YS}$  to lower temperatures, accompanied by a steeper  
 242 slope. In the current case, however, due to the appearance of serrations at yield point the dynamic  
 243 overshoot effect in CoCrFeMnNi should be considered with caution. Future experiments will verify this  
 244 in CoCrFeMnNi and investigate if similar effects can be seen in CoNi and CoCrNi.

#### 245 Deformation twinning and $\epsilon$ -martensite

246 Fig. 5a shows the tensile behavior at room temperature for CoCrNi and CoCrFeMnNi. CoCrNi possess  
 247 the higher  $\sigma_{YS}$  and  $\sigma_{UTS}$ . CoCrFeMnNi exhibits uniform elongations of  $\sim 30\%$  while CoCrNi has a higher  
 248 elongation of  $\sim 50\%$ . Fig. 5b shows the work hardening rates of both alloys normalized by their  
 249 respective shear moduli,  $G$ , [39, 40] as a function of  $\frac{\sigma_t - \sigma_{YS}}{G}$ , which represents the extent of plastic  
 250 deformation. The work hardening curves were consistently reproduced for samples from different  
 251 batches.

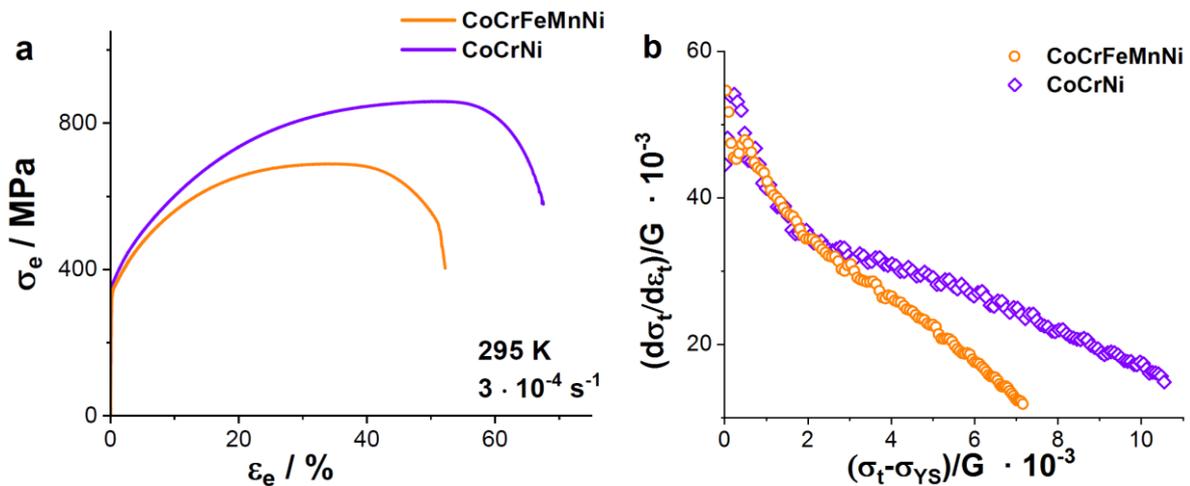


Figure 5: (a) Stress-strain plots of CoCrFeMnNi and CoCrNi at 295 K and (b) normalized work hardening plots of the corresponding alloys. (b)  $\left(\frac{d\sigma_t}{d\epsilon_t}\right)/G$  as a function of  $\frac{\sigma_t - \sigma_{YS}}{G}$ .

252 The work hardening rate of CoCrFeMnNi is mainly a result of dislocation-based deformation.  
 253 CoCrFeMnNi shows insignificant deformation twinning until rupture at 295 K, as seen in the BSE  
 254 micrographs in Figs. 6a. While both CoCrFeMnNi and CoCrNi show similar work hardening trends in  
 255 the initial stages of deformation, the work hardening rate for CoCrNi is systematically larger for  $\frac{\sigma_t - \sigma_{YS}}{G} >$

256  $2.5 \cdot 10^{-3}$ . This is due to the activation of deformation twinning in CoCrNi at low plastic strains at 295 K,  
257 which is consistent with the high density of deformation twins seen in Fig. 6b. Twinning results in the  
258 activation of the dynamic Hall-Petch effect [9, 20] which increases the work hardening rate and delays  
259 necking according to Considère criterion.

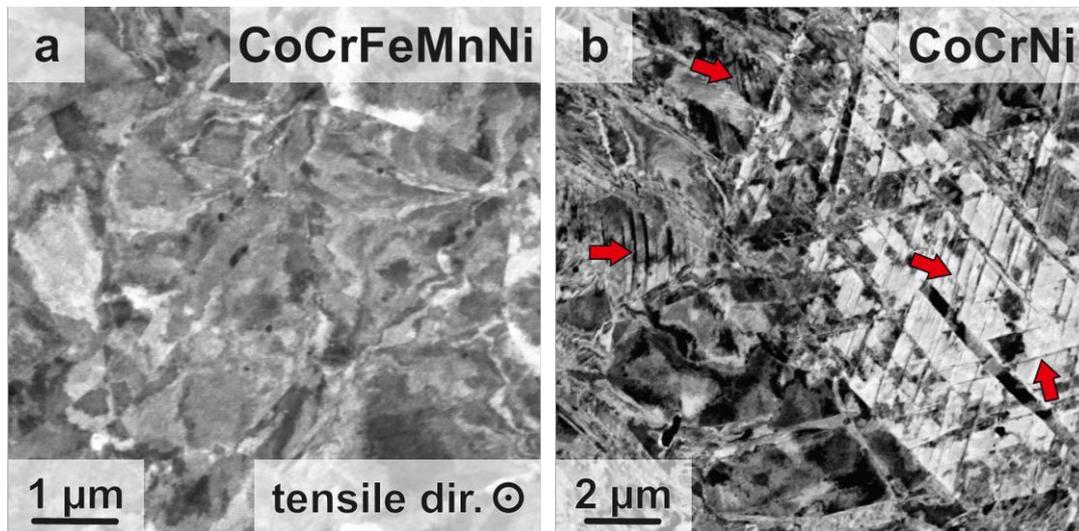


Figure 6: Orientation contrast BSE images of (a) CoCrFeMnNi and (b) CoCrNi deformed at 295 K until fracture. Tensile direction (indicated in (a)) is the same for both micrographs and deformation twins are indicated by red arrows in (a).

260 In contrast to the room temperature tests, 8 K tensile tests show some different features. The first  
261 prominent feature is the discontinuous deformation seen in Fig. 7a for both alloys. The cause and nature  
262 of the discontinuities is not further addressed in this article. Some of the characteristics of the low  
263 temperature discontinuities have been discussed in a previous publication [41], however, a more detailed  
264 discussion is out of the scope of the present article. A future publication will discuss the serrations  
265 associated with these alloys in detail. In the current section, focus is maintained on the stress-strain and  
266 work hardening behavior. The work hardening rates were estimated from the maxima of the  
267 discontinuities and normalized by shear moduli estimated at 8 K from the equations reported in  
268 references [39, 40, 42]. Once again, samples from different batches were tested and the work hardening  
269 rates were found to be reproducible. This is especially important at 8 K since it establishes that the work  
270 hardening rates, estimated from the peaks maxima of serrations, are not an arbitrary result but  
271 characteristic of reproducible deformation behavior.

272 CoCrNi and CoCrFeMnNi have high yield strengths and a marked increase in elongation to UTS at  
 273 cryogenic temperatures as compared to room temperature. This increase in ductility is due to the  
 274 enhanced work hardening rate seen in Fig. 7b, correspondingly leading to the delay in attaining the  
 275 Considère criterion.

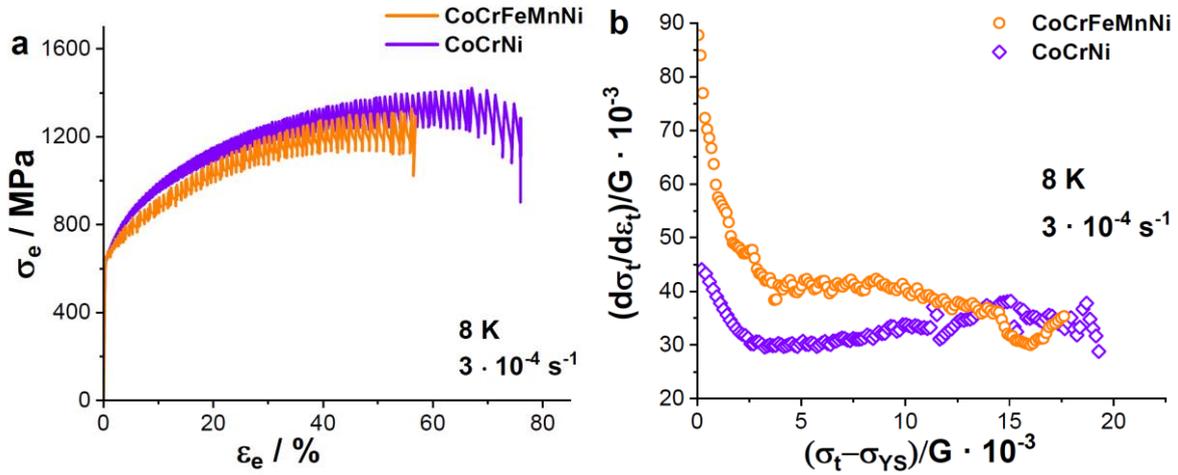


Figure 7: (a) Stress-strain curves of CoCrFeMnNi, CoCrNi and CoNi at 8 K and (b) normalized work hardening plots at 8 K.

276 The initial stage of deformation is mainly dislocation-based deformation. However, at  $\frac{\sigma_t - \sigma_{YS}}{G} \approx$   
 277  $2 \cdot 10^{-3}$ , the effect of twinning becomes significant enough to enable the dynamic Hall-Petch effect  
 278 [20]. Beyond this point, the work hardening rate essentially plateaus in both alloys. A significant amount  
 279 of strengthening for a large part of the deformation was a result of deformation twinning along with  
 280 dislocation slip. The extensive deformation twinning in both, CoCrFeMnNi and CoCrNi, is clear from  
 281 the BSE images in Fig. 8. This appearance of deformation twinning in CoCrFeMnNi at cryogenic  
 282 temperatures is associated with the decrease in SFE and simultaneous rising stress levels with decreasing  
 283 temperatures. However, this increases the possibility of  $\epsilon$ -martensite formation as well. Hence, the  
 284 existence and extent of  $\epsilon$ -martensite should be investigated in these alloys.

285 CoCrFeMnNi does not show any evidence of  $\epsilon$ -martensite formation in specimens deformed to failure  
 286 under tension at temperatures as low as 4.2 K [41]. Fig. 9 shows a high angle annular dark field scanning  
 287 TEM image (STEM-HAADF) image of CoCrFeMnNi deformed at 4.2 K and the corresponding SAD  
 288 pattern. These images were taken from the Ref. [41]. Some of the twins have been indicated using white

289 arrows in the STEM-HAADF image. The SAD pattern shows diffraction spots corresponding only to  
290 an FCC matrix and twin. However, a TEM analysis performed in the present study for ruptured CoCrNi  
291 clearly reveals the presence of  $\epsilon$ -martensite at 8 K, as seen in the TEM-SAD patterns in Fig. 10. Fig. 10a  
292 shows the selected area of the scan and the corresponding TEM-SAD pattern in Fig. 10b. The matrix  
293 and twin orientations were indexed in Fig. 10c, while the presence and orientation relation of  $\epsilon$ -  
294 martensite was confirmed from the indexed Fig. 10d.

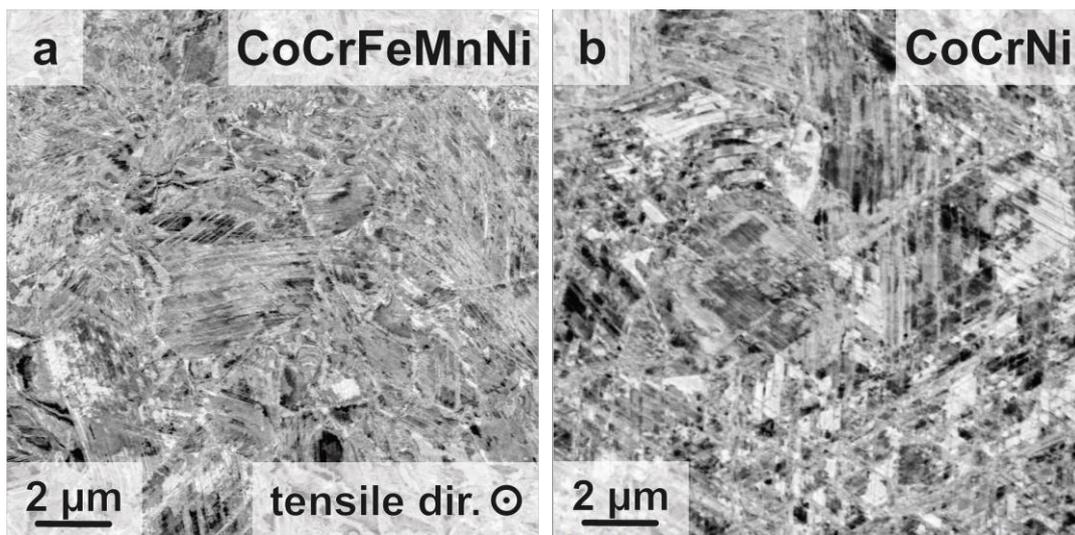


Figure 8: Orientation contrast BSE images of (a) CoCrFeMnNi and (b) CoCrNi deformed to fracture at 8 K. Tensile direction (indicated in (a)) is the same for both micrographs. Deformation twinning is far too extensive to clearly mark in (a) CoCrFeMnNi and (b) CoCrNi.

295 The orientation relationships were as follows:  $(0002)_{\text{HCP}} \parallel (111)_{\text{FCC}}$  and  $[2\bar{1}\bar{1}0]_{\text{HCP}} \parallel [110]_{\text{FCC}}$  and the  
296 lattice parameters of the  $\epsilon$ -martensite was estimated to be  $c = 4.11 \text{ \AA}$ ,  $a = 2.56 \text{ \AA}$ . The results are in line  
297 with previous observations of Miao et al. [21].

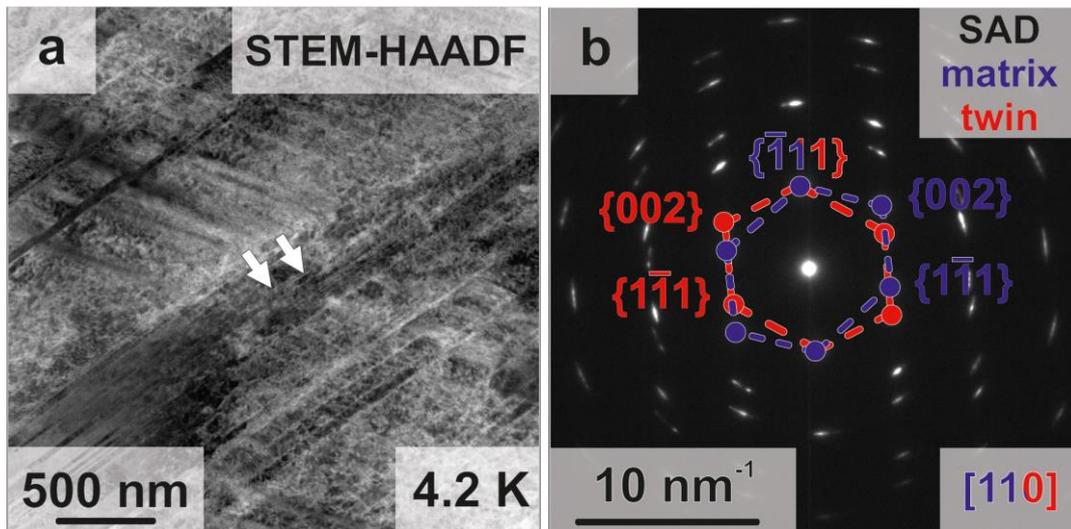


Fig. 9: TEM analysis of CoCrFeMnNi deformed at 4.2 K: (a) STEM-HAADF image of the selected area, (b) corresponding TEM-SAD pattern with indexed FCC matrix (blue) and twin (red). No  $\epsilon$ -martensite (green) orientations were observed. The zone axis is illustrated at the bottom left of figure (b). The images were taken from Ref. [41].

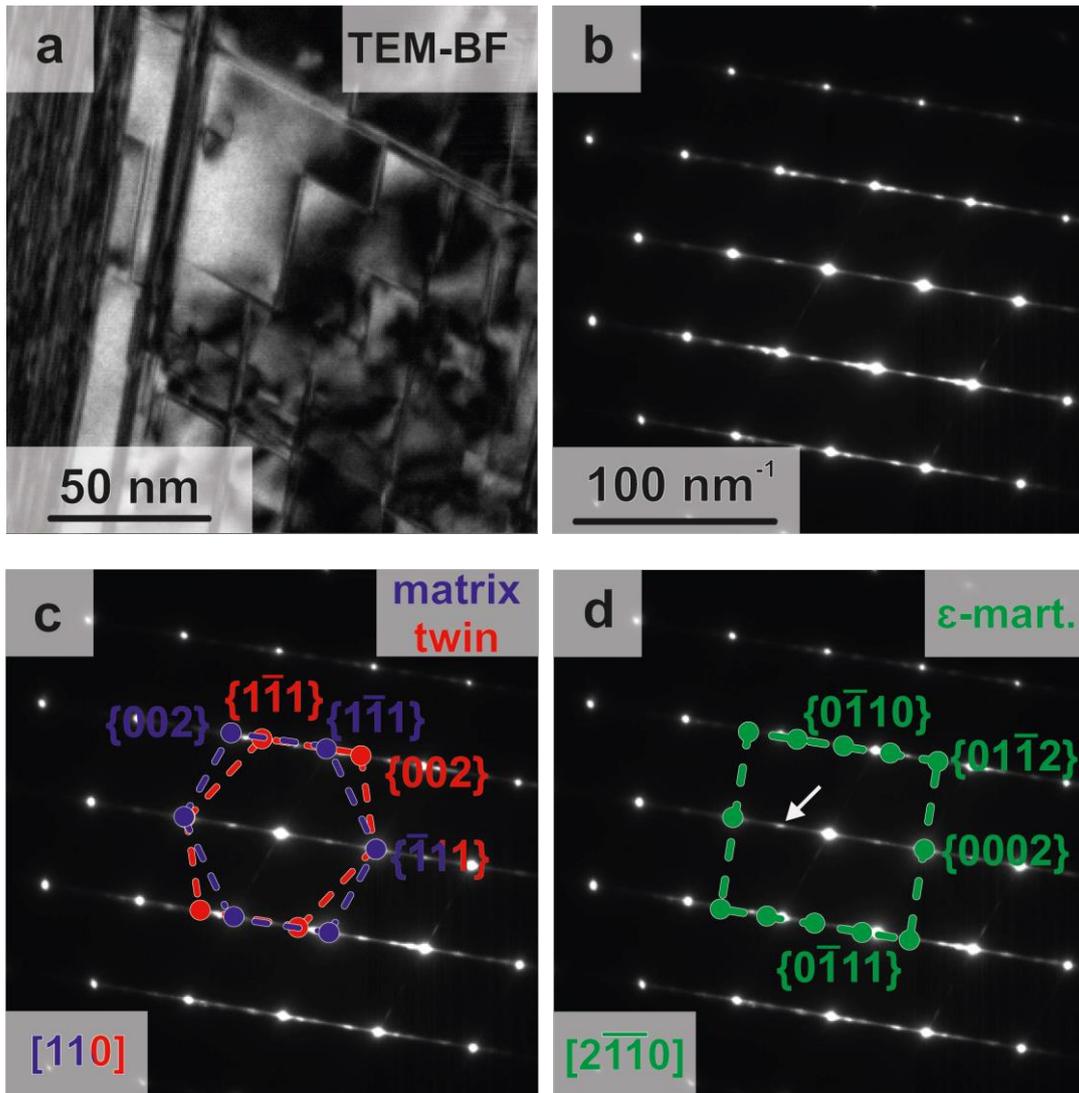


Figure 10: TEM analysis of CoCrNi deformed at 8 K: (a) BF image of the selected area, (b) corresponding TEM-SAD pattern, (c) TEM-SAD pattern with indexed FCC matrix (blue) and twin (red) and (d) TEM-SAD pattern with indexed  $\epsilon$ -martensite (green). The zone axes in the case are illustrated at the bottom left of figures (c) and (d). The diffraction spot highlighted with a white arrow in (d) is a forbidden reflection of type  $\{0001\}$ , which becomes visible due to a double reflection.

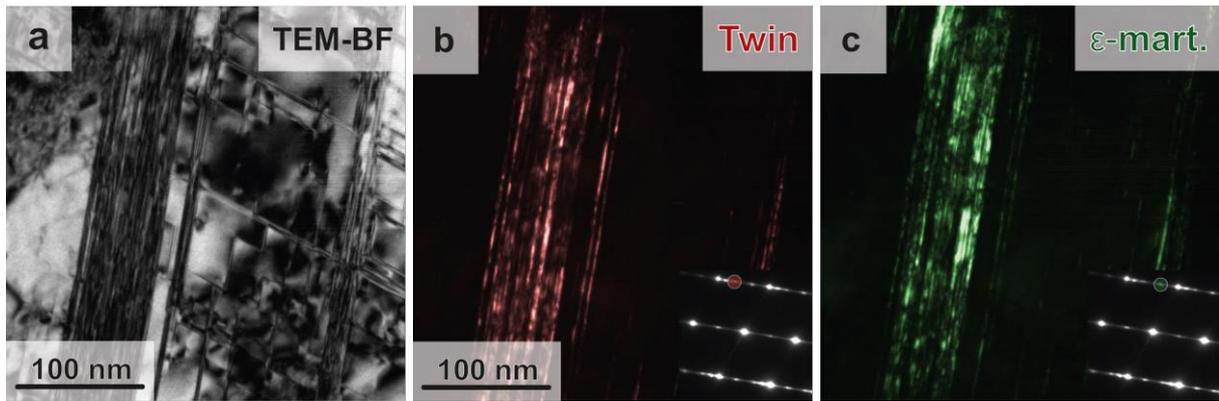


Figure 11: (a) TEM-BF image of CoCrNi deformed at 8 K. Colored TEM-DF images showing (b) deformation twins (red) acquired using  $(1\bar{1}1)_{\text{twin}}$  and (c)  $\epsilon$ -martensite (green) acquired using  $(0\bar{1}10)_{\epsilon\text{-martensite}}$ . The respective diffraction patterns are as shown in the inset.

298 Niu et al. [43] have stated that for certain dislocation types ( $60^\circ$  dislocation), a leading partial dislocation  
 299 of edge nature can glide along the twin boundary, which in turn generates a three layered HCP phase in  
 300 CoCrNi. This initial HCP site might then build up by repeated slip of partial dislocations along the twin  
 301 boundary to form a multi-layered HCP aggregate ( $\epsilon$ -martensite). Fig. 11 shows that a nano-structured  
 302 laminate is formed between the deformation twins and the  $\epsilon$ -martensite. Fig. 12 correspondingly  
 303 confirms that the  $\epsilon$ -martensite is found sandwiched between a deformation twin and the matrix. This  
 304 was consistently found in the different regions of the ruptured specimen and is in agreement with the  
 305 previously proposed mechanism of  $\epsilon$ -martensite formation in CoCrNi [21, 43].

306 Fig. 12a shows a high-resolution image where three different regions can be distinguished. A fast Fourier  
 307 transform (FFT) of Fig. 12a is shown in Fig. 12b which reveals the presence of the parent FCC phase,  
 308 its twin as well as an  $\epsilon$ -martensite aggregate. As stated in the experimental section, masks are applied to  
 309 reveal these three features. For example, the spots circled in blue in Fig. 12b belong to the matrix, of  
 310 which, atomic columns appear sharp at the bottom left of the iFFT shown in Fig. 12c while the rest of  
 311 the image is blurred. Similarly, when a mask (red circles) is applied to spots belonging to the deformation  
 312 twin (see red circles in Fig. 12b), the corresponding iFFT (Fig. 12d) shows that the deformation twin is  
 313 located at the upper right corner of the image. Finally, when a mask is applied on the spots which belong

314 to  $\epsilon$ -martensite (see green circles in Fig. 12b) a thin  $\sim 1.5$  nm-thick layer going from the top left to the  
 315 bottom right of Fig. 12e can be observed. All of these results are summarized in Fig. 12f which highlights  
 316 the location of the matrix, deformation twin,  $\epsilon$ -martensite plate.

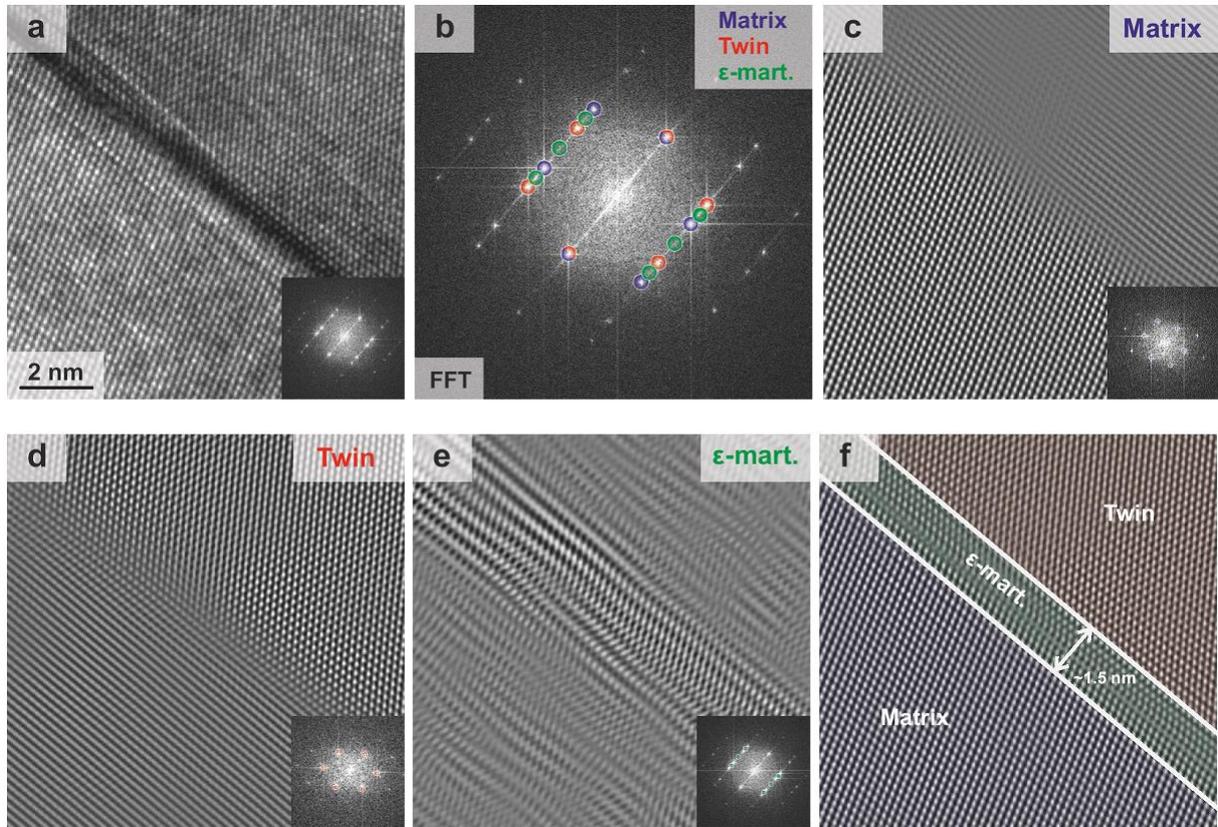


Figure 12: (a) High-resolution TEM image of CoCrNi ruptured in tension at 8 K, (b) FFT for the high-resolution image. Spots of the matrix and twin were identified with blue and red circles. The points where  $\epsilon$ -martensite was expected (based on TEM-SAD pattern Fig. 10) were marked with green circles, (c) image constructed with a mask applied to the spots (circled in blue) corresponding to the matrix; the bottom left of the image is in focus, (d) image constructed with a mask applied to the spots (circled in red) corresponding to the twin; the top right of the image is in focus (e) image constructed with a mask applied to the expected spots for  $\epsilon$ -martensite in the FFT (circled in green); parts of the diagonal region from top left to bottom right appear to be in focus, (f) composite of all three regions, showing matrix and twin separated by a  $\epsilon$ -martensite plate which is approximately 1.5-nm thick. Images (a), (c), (d) and (e) all have insets of corresponding FFT/iFFT.

317 From Fig. 12, it is evident that the  $\epsilon$ -martensite exists in a relatively small volume fraction and is only a  
 318 few atomic layers thick. However, practically all deformation twin boundaries are layered with  $\epsilon$ -  
 319 martensite, as seen in Fig. 11. While the total volume fraction of  $\epsilon$ -martensite is low, the number of  
 320 twin-martensite nano-laminates is high. The effective strengthening in this case is due to the dynamic  
 321 Hall-Petch effect as well as the additional stress required to transmit dislocations along the twin/phase  
 322 boundary resulting in the formation of  $\epsilon$ -martensite layers. This appears to scale similarly to the solely  
 323 dynamic HP effect seen in CoCrFeMnNi at 8 K (Fig. 7b). The plateau for CoCrFeMnNi is slightly higher  
 324 and this remains the case even if the work hardening rates are not normalized with respective shear  
 325 moduli. This effect is confirmed at 77 K as well, Fig. 13. The work hardening mechanisms at this  
 326 temperature are the same as at 8 K, however, less intense (lower dislocation density, lower deformation  
 327 twin concentration) and additionally devoid of discontinuous deformation. The normalized work  
 328 hardening plateaus overlap completely for CoCrNi and CoCrFeMnNi at 77 K.

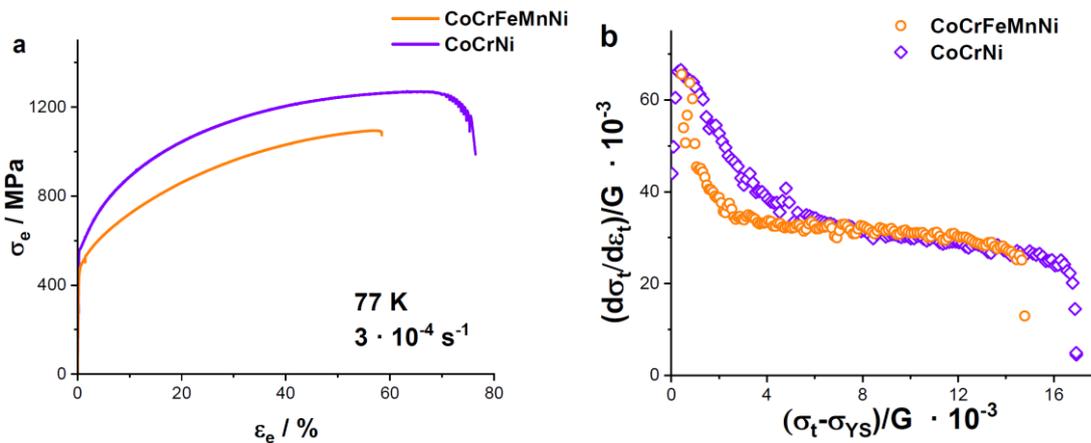


Figure 13: (a) Stress-strain curve for CoCrFeMnNi and CoCrNi at 77 K. (b) normalized work hardening behavior of the corresponding alloys at 77 K.

329 There are several possible causes for the lower than expected  $\epsilon$ -martensite fraction at 8 K. The first  
 330 possibility could be because the serrated plastic behavior seen at these low temperatures is associated  
 331 with localized deformation. Based on the stated mechanism of  $\epsilon$ -martensite formation, partial  
 332 dislocations interact with twin boundaries of a given nature to generate atomic layers of  $\epsilon$ -martensite  
 333 [43]. This process could be minimized by a lower local dislocation density. Localized deformation at  
 334 8 K implies that local strain is not equal to the global strain experienced by the specimen. The strain that

335 CoCrNi underwent at 8 K before rupture was  $> 70\%$ . Several discrete sections of the gauge volume  
336 undergo deformation to result in this overall measured strain. Nevertheless, considering that (i) the  
337 surface of the specimen appeared fairly smooth post deformation and (ii) the high strain to failure, it is  
338 apparent that the lack of a sufficiently high dislocation density is not the cause for the lower  $\epsilon$ -martensite  
339 thickness. The second possibility, is a higher rate of twin formation at lower temperatures due to the  
340 increased stresses during deformation. In this case, the probability of dislocation twin boundary  
341 interaction is higher. It might be possible that dislocations interact with more twin boundaries forming  
342  $\epsilon$ -martensite rather than thickening this phase at fewer twin boundaries.  $\epsilon$ -martensite is observed at  
343 practically all twin boundaries in Fig. 11. However, once again considering the high inherent dislocation  
344 density at 8 K, it is likely that there is sufficient dislocation density to cause  $\epsilon$ -martensite thickening.  
345 Finally, energy considerations for the  $\epsilon$ -martensite process provides a justification. During the  $\epsilon$ -  
346 martensite formation in CoCrNi, there are three considerations to be made: (i) free energy difference  
347 between  $\epsilon$ -martensite and the FCC parent phase, (ii) the energy for interface formation and (iii) the  
348 energy to enable glide of a dislocation along the twin boundary, necessary for  $\epsilon$ -martensite formation  
349 and growth. Niu et al. [1] have shown through DFT calculations that the free energy difference between  
350 HCP ( $\epsilon$ -martensite) and FCC (matrix) keeps decreasing with temperature in favor of HCP formation.  
351 However, at lower temperatures the rate of change for this energy difference is lower and there is an  
352 almost plateauing at the lowest temperatures. Glide of the dislocation within the twin boundary is  
353 thermally activated due to aforementioned energy barrier. Lower temperatures, thus, suppress the glide  
354 of the dislocation for martensite thickening. Hence, as the temperature decreases (i) the thermodynamic  
355 driving force for  $\epsilon$ -martensite formation increases at a lower rate and (ii) the glide of a dislocation along  
356 the twin boundary to form  $\epsilon$ -martensite becomes suppressed. These two factors in combination would  
357 explain the lack of increased  $\epsilon$ -martensite thickness between 77 K and 8 K.

358 Accordingly: (i)  $\epsilon$ -martensite is formed during the cryogenic deformation of CoCrNi at 8 K, similar to  
359 higher temperatures [21]. (ii) The  $\epsilon$ -martensite was found on a large fraction of the twin boundaries but  
360 was only some atomic layers thick. (iii) Despite the lower temperature of deformation the apparent  
361 volume of  $\epsilon$ -martensite has not increased significantly, contrary to the increase reported between room  
362 temperature and 77 K [21] (iv) Based on the work hardening trends,  $\epsilon$ -martensite does not show a

363 strengthening benefit over the twinning behavior of CoCrFeMnNi, likely due to its low phase fraction  
 364 and lack of additional boundaries for dislocation due to its appearance at already present twin  
 365 boundaries.

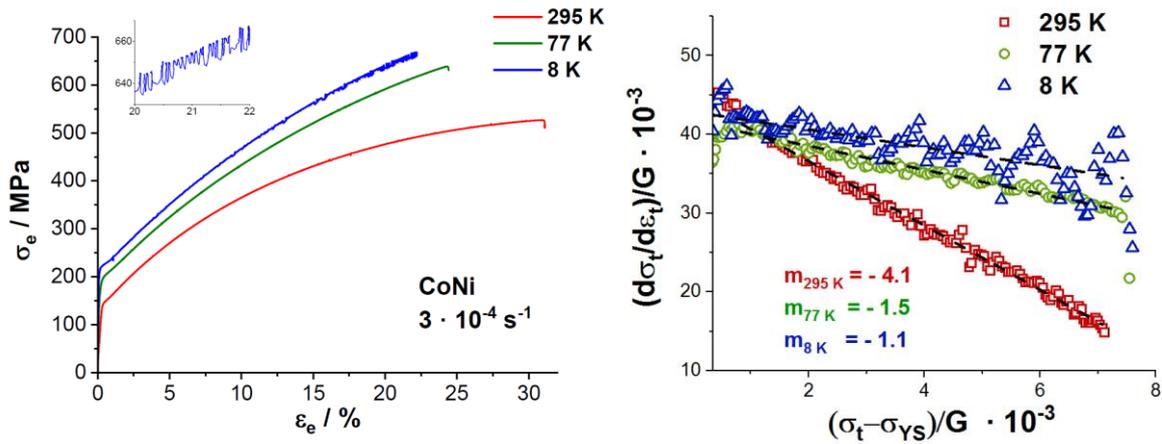


Figure 14: (a) Engineering stress-strain curve for CoNi at 295 K, 77 K and 8 K. (b) Normalized work hardening rates of CoNi at 295 K, 77 K and 8 K. The inset in (a) shows the serrations observed at later stages of deformation. The slopes of each of the work hardening rates is marked in (b).

366 CoNi shows a different deformation behavior from that of CoCrFeMnNi and CoCrNi. The tensile  
 367 behavior and corresponding work hardening rates are as seen in Fig. 14. Fig. 14b shows that at all three  
 368 temperatures there is essentially a strictly linearly decreasing work hardening rate. Fig. 15a and b show  
 369 insignificant deformation twinning in CoNi at both room temperature and 8 K. The linearly decreasing  
 370 work hardening rate for CoNi corresponds to a single deformation process (dislocation based  
 371 deformation) being active at all tested temperatures.

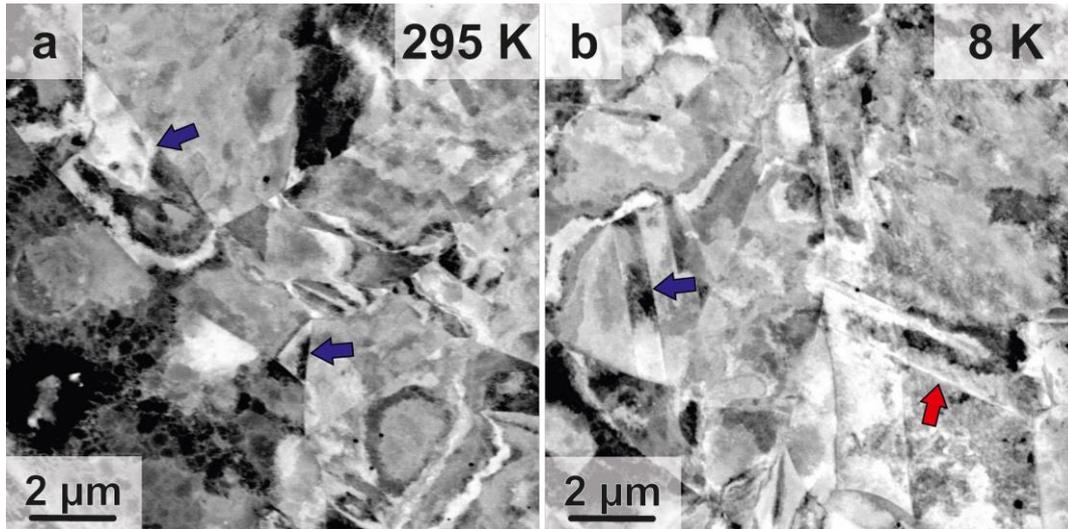


Figure 15: Orientation contrast BSE image of CoNi at (a) 295 K (b) 8 K. The red arrow in (b) marks a position of a potential deformation twin. The blue arrows indicate annealing twins.

372 The lack of deformation twinning is a consequence of the likely higher stacking fault energy of CoNi  
 373 [45] ( $\sim 57 \text{ mJ/m}^2$  based on the linear composition-SFE trend observed in CoNi alloys). Fig. 14b shows  
 374 that the slope of the work hardening rate becomes lower at lower temperatures. Additionally, all three  
 375 work hardening rates trace back to a similar starting point. This behavior has previously been reported  
 376 in Al by Kocks [46] and was attributed to the temperature dependence of work-hardening rate to  
 377 thermally activated cross-slip as stated by Haasen [47]. The horizontal intercepts, determined based on  
 378 the slopes for CoNi in Fig. 14b, showed a relation to temperature through the following equation:

$$379 \quad \log\left(\frac{\sigma_t - \sigma_{YS}}{G}\right) = -134.149 \cdot \left(\frac{kT}{Gb^3}\right) - 1.407$$

380 Here  $k$  is the Boltzmann constant, and  $b$  is the Burgers vector (estimated based on the temperature  
 381 dependent lattice parameter variation reported by Jin et al. [48]). The term  $\left(\frac{\sigma_t - \sigma_{YS}}{G}\right)$  refers to the  
 382 horizontal intercept of the fitting lines estimated for the work hardening rates in Fig. 14b. This is similar  
 383 to the trend seen in Al [46] and, thus, the slope of the work hardening rate in CoNi seems to be related  
 384 to thermally affected cross-slip, seen in FCC metals and alloys. A similar evaluation in terms of work-  
 385 hardening rate slope is not feasible for CoCrNi and CoCrFeMnNi considering the multiple deformation  
 386 mechanisms that are active.

387 Comparing the elongation to fracture in the three alloys, it is clear that deformation twinning plays a  
388 role in increasing the ductility. However, the high elongation in the case of the CoCrNi shows a possible  
389 benefit from the formation of  $\epsilon$ -martensite. The process involved prevents a severe localization of  
390 dislocations, which could cause abrupt failure. Based on this, it is expected that strain to UTS is least in  
391 a system that shows mainly dislocation-based plasticity (CoNi) and greatest in a system that shows the  
392 highest levels of TWIP/TRIP effect (CoCrNi). This is congruent with the observations of the current  
393 experiments at 295 K, 77 K and 8K. This highlights the importance of both increased work hardening  
394 rate in delaying attainment of Considère criterion and preventing localization of dislocations.

## 395 4. Conclusion

- 396 1. The order of thermally affected solid solution strengthening is similarly high in both CoCrNi  
397 and CoCrFeMnNi since local lattice distortions cause significant strengthening at cryogenic  
398 temperatures, in both alloys despite a higher  $\sigma_{YS}$  in CoCrNi.
- 399 2. CoCrFeMnNi shows the appearance of yield stress plateau at around 15 K.
- 400 3. Deformation at 8 K shows indications of very high level of deformation twinning and a high  
401 proliferation of  $\epsilon$ -martensite in CoCrNi. However, the  $\epsilon$ -martensite volume fraction in CoCrNi  
402 deformed at 8 K is not significantly greater than those reported at 77 K [21].
- 403 4. TWIP in CoCrFeMnNi and TWIP & TRIP in CoCrNi have similar levels of strengthening, so  
404 the limited  $\epsilon$ -martensite in CoCrNi does not provide any significant strengthening  
405 contribution.
- 406 5. CoNi shows a mainly dislocation based deformation down to 8 K. CoNi deforms with  
407 significantly higher work hardening rates at cryogenic temperatures, as compared with room  
408 temperature showing that strengthening in this case is severely temperature dependent.

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416 Karlsruhe Institute of Technology (KIT, [www.kit.edu](http://www.kit.edu)).

## 417 Data availability statement

418 The raw data required to reproduce these findings are available on request to  
419 [alexander.kauffmann@kit.edu](mailto:alexander.kauffmann@kit.edu). The processed data required to reproduce these findings are available on  
420 request to [alexander.kauffmann@kit.edu](mailto:alexander.kauffmann@kit.edu).

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