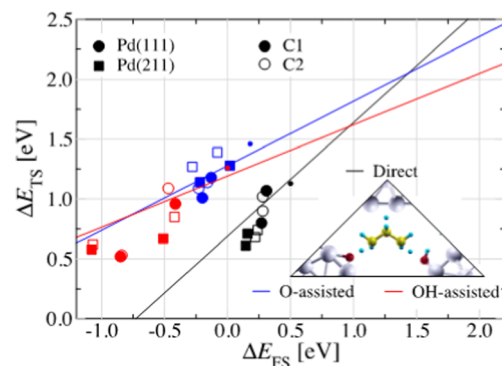


Theoretical Investigations of (Oxidative) Dehydrogenation of Propane to Propylene over Palladium Surfaces

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ABSTRACT: Herein, we present density functional theory calculations of the (oxidative) dehydrogenation of propane on Pd(111) and Pd(211) surfaces. We find that, independently of the surfaces and the intermediate product (1 propyl or 2 propyl), O assisted dehydrogenation of propane is always less favorable than the direct or OH assisted hydrogen abstraction. Additionally, we show that the transition state energies of the (oxidative) dehydrogenation of propane on Pd surfaces scale with the final state energies and are similar to trends observed for methane activation. This work is a first and essential step to understand the role of surface oxygen species and the mechanism of (O)DHP on Pd based catalysts.



INTRODUCTION

The markets for propylene derivatives have grown rapidly over the last few years and are likely to continue doing so.^{1,2} This demand is thought to be met by dehydrogenation of propane (DHP), with variants thereof being oxidative dehydrogenation using O_2 (ODHP)^{3,4} or CO_2 (CO_2 ODHP).⁵⁻⁷ Although the ODHP in the presence of molecular O_2 , as an oxidizing agent, favors low temperature reactions and is exothermic, deep oxidation to CO_x is a major drawback, which often results in loss of propylene selectivity and yield. To circumvent these issues, milder oxidants such as CO_2 are also explored.⁸

Among the many catalysts tested for the DHP are supported metal particles such as platinum,^{9,10} nickel,^{11,12} and palladium,^{13,14} whereas metal oxides, such as chromium oxide,¹⁵ vanadium oxide,^{16,17} and gallium oxide,¹⁸ but also carbon based materials³ and zeolites¹⁹ have been proposed for ODHP. Among metal based catalysts, only platinum has advanced to commercial applications of the DHP thanks to its superior activation of paraffinic C-H bonds and low activity toward undesired C-C cleavage.⁷ The high cost of Pt as well as poisoning of the active sites by coke at high temperatures (showing poor propylene selectivity and fast deactivation) are the main limitations of the Pt based catalysts.²⁰

Studies on palladium based catalysts, on the other hand, have been scarce, although interest in these catalysts has increased in recent years.^{13,14,21-23} Recently, Nowicka et al.¹³ synthesized a Pd/CeZrAlO_x material for CO_2 -ODHP with long term stability and, high activity and selectivity. Additionally, selective DHP catalysts of PdM (M = Zn, In, Fe) alloys for olefin production showing improved stability compared to bare Pd nanoparticles have been reported.^{14,22-24} These

studies suggest that the isolation of active metal sites by inactive atoms is responsible for high olefin selectivity, as it has been reported before for PtSn alloys.²⁵

While the reaction mechanism of DHP on Pt based catalysts has been the subject of many computational studies,^{20,26-28} theoretical investigations targeting Pd based catalysts have not been reported to date. Herein, we report a mechanistic study of DHP and ODHP over palladium (111) and (211) surfaces using density functional theory (DFT) calculations. We show the mechanism by which propane is converted into propylene, how the structure of the metal surface is influencing activity, and we explore the role of surface oxygen that would be present in ODPH.

COMPUTATIONAL METHODS

DFT calculations were carried out using the Vienna Ab Initio Simulation Package (VASP)^{29,30} and the Atomic Simulation Environment (ASE)³¹ employing the generalized gradient approximation (GGA) using the Bayesian error estimation functional with van der Waals corrections (BEEF-vdW)^{32,33} and the projector augmented wave (PAW) potentials.^{34,35} The choice of the BEEF-vdW functional is motivated by its performance with respect to adsorption energies³⁶ and transition states³⁷ on transition metal surfaces. The kinetic energy cutoff and the k point mesh were 450 eV and $4 \times 4 \times 1$,

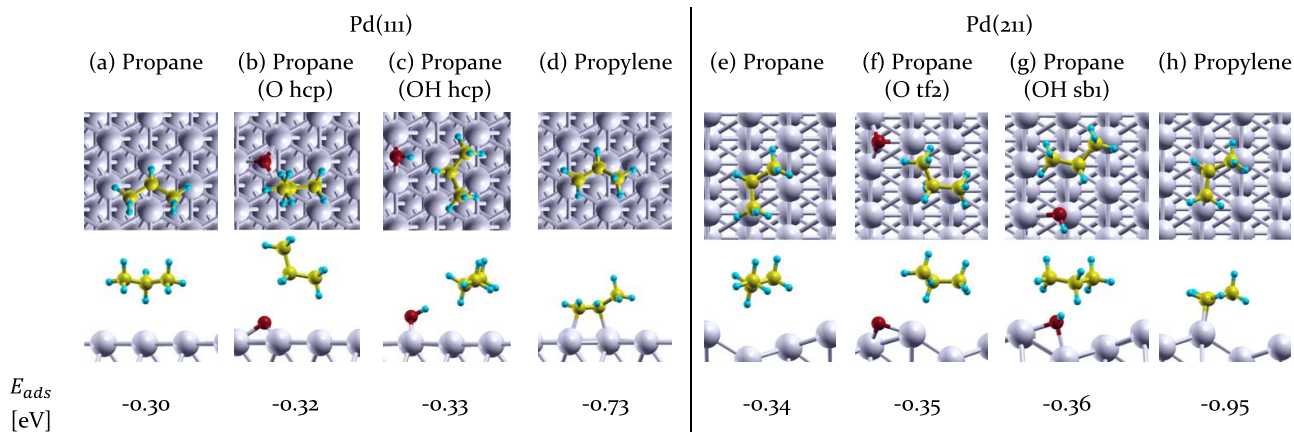
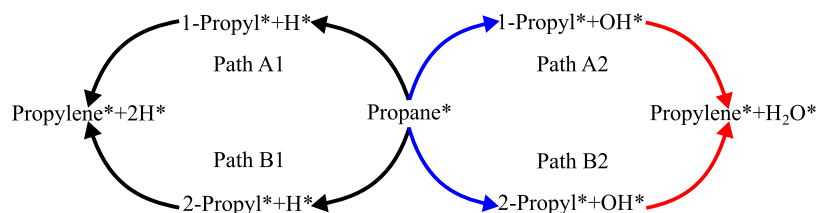


Figure 1. Top and side views of the most stable configurations of propane (a, e) on clean, (b, f) oxygen preadsorbed, (c, g) hydroxyl preadsorbed; and (d, h) propylene over Pd(111)/Pd(211) surfaces.

Scheme 1. Reaction Mechanism of DHP (Black) and Oxygen Assisted DHP (ODHP, Blue/Red)^a



^aThe color code used in this scheme (black, blue, and red lines/markers represent the direct, O, or OH assisted dehydrogenation of C_xH_y species) is the same as in all of the other figures.

68 respectively. When specified, single point calculations were
69 performed using the PBE functional including Grimme's
70 dispersion corrections (PBE + D3) using the same
71 parameters.^{38,39}

72 Four layer slabs with $p(3 \times 3)$ and $p(1 \times 3)$ supercells are
73 used to represent the palladium (111) and (211) surfaces, with
74 dimensions of 8.44×8.44 and 6.89×8.44 Å, respectively (see
75 Figure S1). The two bottom layers of the slabs were fixed
76 during the relaxations. To avoid interaction between periodic
77 images, the Pd slabs are separated by ~ 15 Å of vacuum along
78 the z direction. To investigate possible interactions between
79 periodic images, we used bigger supercells with four layer slabs,
80 and sizes of (4×4) and (6×6) for the Pd(111) and Pd(211)
81 surfaces (the k points meshed were $3 \times 3 \times 1$ and $2 \times 2 \times 1$),
82 respectively.

83 The adsorption energy is defined as follows:

$$84 \quad E_{\text{ads}} = E_{X+\text{surf}} - E_X - E_{\text{surf}} \quad (1)$$

85 In eq 1, all energies refer to systems with optimized structures;
86 $E_{X+\text{surf}}$ stands for the total energy of the molecule/species
87 adsorbed, E_X is the energy of the adsorbate calculated in the
88 gas phase, and E_{surf} is the energy of the slab. By this definition,
89 a negative value corresponds to an exothermic process. The
90 isolated molecules involved in the propane (oxidative)
91 dehydrogenation process (propane, hydrogen, propylene,
92 water, and CO₂) were structurally relaxed inside a large
93 simulation box of $15 \times 15 \times 15$ Å³.

94 The transition state (TS) searches along the reaction path
95 were systematically performed using the nudge elastic band
96 (NEB)⁴⁰ and DIMER⁴¹ methods at the same theoretical level
97 as those for the reactants and products. The structures used to
98 search the TS in each dehydrogenation step were chosen based

on the most stable adsorptions of C₃ compounds over the 99
preadsorbed oxygen species. The final TS structures were 100
confirmed by a single imaginary frequency along the reaction 101
coordinate calculated with a normal mode analysis by using a 102
finite difference approximation of the Hessian matrix. 103

The thermochemistry module from ASE was used to obtain 104
zero point energy (ZPE) and entropy (S) contributions, and 105
temperature variations using C_p for the Gibbs free energy. The 106
gas phase molecules and the palladium surfaces (with or 107
without preadsorbed oxygen species) were taken as reference 108
values, as illustrated in Figure S2. For all adsorbates and TS, 109
the calculations were done under the harmonic limit and the 110
values for the gas phase molecules were taken from 111
experimental data or the NIST database.⁴²⁻⁴⁴ 112

RESULTS AND DISCUSSION 113

The calculated propane adsorption energies on Pd(111) and 114
Pd(211) were -0.30 and -0.34 eV, respectively. This 115
compares to the experimentally measured value of -0.46 eV 116
for the Pd(111) surface⁴⁵ and presents an error of the same 117
order of magnitude as that obtained for propane adsorption on 118
other surfaces such as Pt.^{25,46} The differences in energy (for 119
the adsorptions and transition states of C₃ species) between 120
the supercells of different sizes are negligible, as reported in 121
Table S3. We thus used the smaller supercells throughout. The 122
effect of the oxygen and hydroxyl species on propane 123
adsorption is small on both surfaces (~ 0.02 eV), while the 124
propylene adsorption energy amounts to -0.73 and -0.95 eV 125
on Pd(111) and Pd(211), respectively (see Figure 1 and 126
Supporting Information). 127

We investigated propane dehydrogenation both on the clean 128
Pd surfaces and in the presence of surface oxygen and hydroxyl 129
s1

130 species. **Scheme 1** shows the reaction mechanism and
 131 intermediates involved in both processes. The reaction
 132 involves two dehydrogenation steps: the first step is the
 133 conversion of propane (C_3H_8) into either 1 or 2 propyl
 134 (C_3H_7), followed by conversion into propylene (C_3H_6). In the
 135 oxygen (or OH) assisted DHP mechanism, a surface oxygen
 136 atom (or OH) abstracts the hydrogen from propane and its
 137 intermediates, forming hydroxyl (or water).

138 **Mechanism of the Dehydrogenation of Propane**
 139 **(DHP) over Pd Surfaces.** Free energy diagrams at a
 140 temperature of 600 °C are shown in **Figure 2** along with

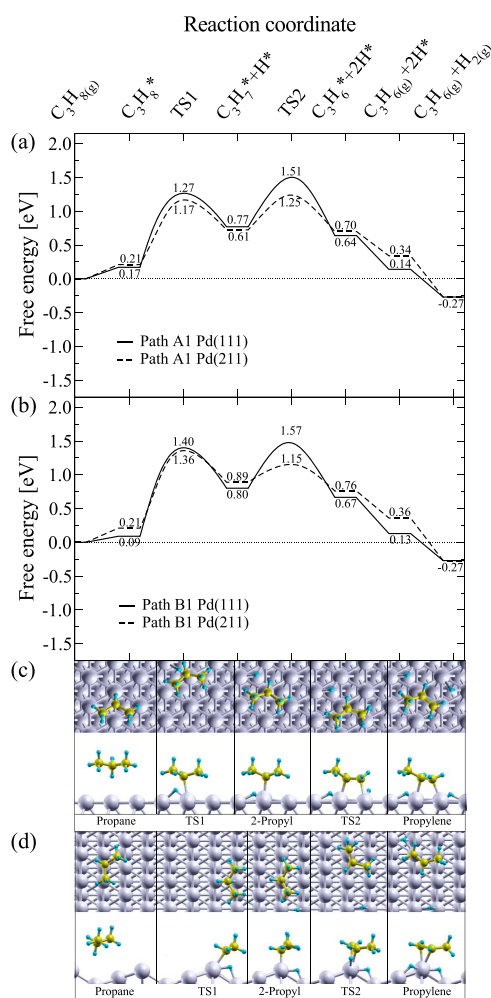


Figure 2. Free energy profile of DHP through (a) 1 propyl and (b) 2 propyl at 600 °C. Elementary steps of the pathway B1 over (c) Pd(111) and (d) Pd(211) surfaces.

141 structures of intermediates and transition states. We chose this
 142 temperature as this is typical for DHP.⁷ Free energy profiles at
 143 a different temperature for selected pathways are presented in
 144 **Figure S5**. As can be seen in **Figure 2a,b**, the energy barriers for
 145 the first dehydrogenation step are slightly higher for path B,
 146 where 2 propyl is formed as an intermediate. It can also be
 147 seen that Pd(211) has slightly lower barriers, although the
 148 small differences compared to Pd(111) show that the structure
 149 sensitivity of this reaction is not pronounced as commonly
 150 observed for dehydrogenation reactions.⁴⁷ Interestingly, the
 151 energy barriers for the second dehydrogenation step are lower
 152 for Pd(211) compared to Pd(111) (see also **Table S4**).

Relative to gas phase H_2 , one can clearly see that the first
 153 dehydrogenation step constitutes the highest free energy
 154 barrier for both surfaces (see **Figure S6**). For Pd(211), both
 155 dehydrogenation barriers are quite similar, with the first barrier
 156 being lower for path A1 and higher for path B1. Finally, the
 157 desorption of both hydrogen and propylene involves no effort
 158 for both surfaces at 600 °C, indicating that the reaction takes
 159 place in the low coverage regime (within which all
 160 intermediates and reaction barriers have been calculated in
 161 the present study).¹⁶²

A comparison of the results obtained for Pd with those
 163 calculated for Pt (using the same functional and similar
 164 parameters) is shown in **Figure S7**.²⁸ Interestingly, while we
 165 find relatively small free energy barriers for the lowest energy
 166 pathways (1.10 and 0.96 eV for Pd(111) and Pd(211),
 167 respectively), these have been reported to be significantly
 168 higher on Pt surfaces (> 2.0 eV).²⁸¹⁶⁹

Mechanism of ODHP over Pd Surfaces. The free energy
 170 profiles for the ODHP over both Pd surfaces are shown in
 171 **Figure 3a,b** and the elementary steps of the pathway B2
 172 assuming a 2 propyl intermediate are presented in **Figure 3c,d**.
 173 Here, the first dehydrogenation step is O assisted (blue lines),
 174 while the second DH step is OH assisted (red lines).
 175 Interestingly, the differences in the two reaction pathways of
 176 the ODHP are negligible between both Pd surfaces. The
 177

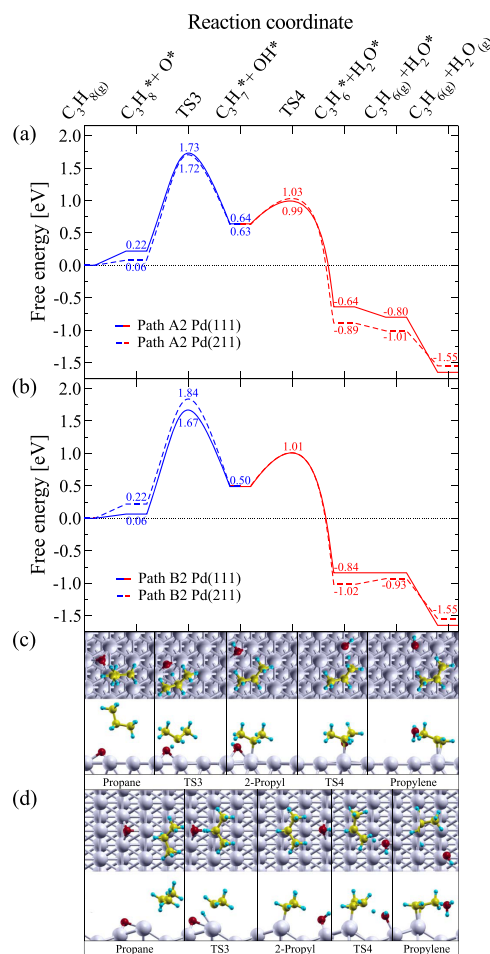


Figure 3. Free energy profile of ODHP through (a) 1 propyl and (b) a 2 propyl intermediate at 600 °C. Elementary steps of pathway B2 over (c) Pd(111) and (d) Pd(211) surfaces.

178 energy barriers of the first dehydrogenation step on the Pd
 179 surfaces are over 1.5 eV, 0.2–0.4 eV higher than the
 180 corresponding energy barriers for the direct DH process.
 181 The energy barrier of the second DH step is much lower: for
 182 the Pd(111) surface, around 0.7 eV for the direct route, and
 183 around 0.4 eV for the ODPH. For the Pd(211) surface, in the
 184 second DH step for ODHP, the energy barriers are slightly
 185 higher than those over the Pd(111) surface, which is in clear
 186 contrast with the direct route.

187 The previous results are further elaborated in Figure 4. If the
 188 first DH step proceeds via an OH assisted pathway, the

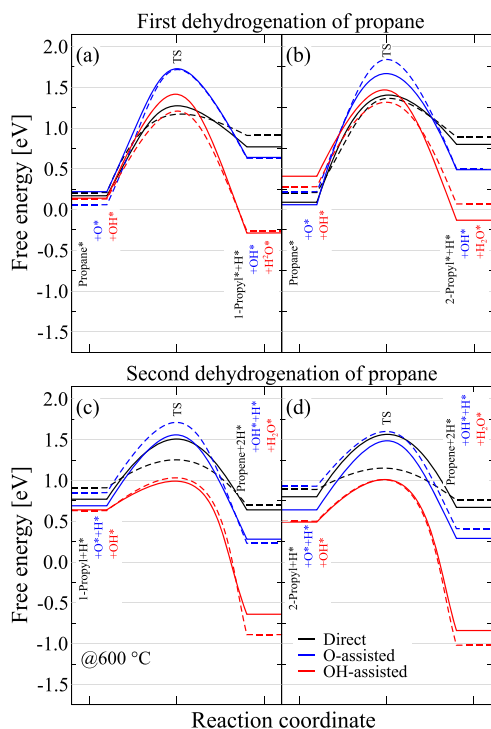


Figure 4. Direct, O, and OH assisted dehydrogenation of propane through (a) 1 propyl and (b) a 2 propyl intermediate; and dehydrogenation of (c) 1 propyl and (d) 2 propyl intermediates. Solid/dashed lines correspond to Pd(111)/Pd(211) surfaces.

189 activation energies are comparable to the direct DHP (but the
 190 final states are always lower in energy), and the O assisted
 191 steps are higher in energy barriers (Figure 4a,b). On the other
 192 hand, for the second DH step, it is clear that OH assisted DH
 193 has the lowest energy barriers, while the barriers for direct and
 194 O assisted DH steps are comparable (Figure 4c,d).

195 Figure 5 correlates the transition state energies (ΔE_{TS}) of all
 196 dehydrogenation steps expressed as a function of the final state
 197 energies (ΔE_{FS}) for reactions on clean, O*, and OH* covered
 198 surfaces (the reference energy levels are defined as shown in
 199 Figure S2). The solid lines represent scaling relations between
 200 the transition state of methane activation calculated for clean
 201 (black), O* (blue), and OH* covered (red) transition metal
 202 (111) surfaces as described in an earlier study.⁴⁸ We note that
 203 this study utilized the RPBE⁴⁹ functional, hence not
 204 accounting for dispersion forces. We performed single point
 205 calculations using the PBE + D3^{38,39} functional to extract the
 206 D3 dispersion contributions of the final and transition states.
 207 We find that transition and final states are stabilized by roughly
 208 the same amount (about 0.55 eV; see Table S5). We therefore
 209 assume that the results of DHP and ODHP can be compared

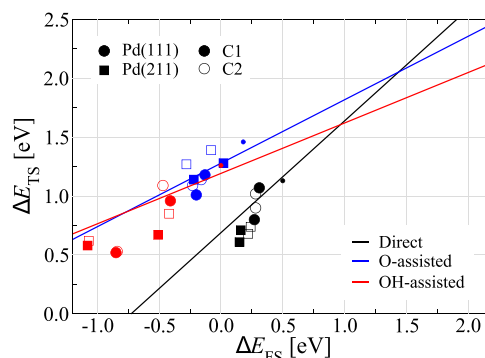


Figure 5. Transition state scaling relations for $C_3H_{8(g)}$ dehydrogenation on clean, O* covered, and OH* covered Pd(111) surfaces. Filled circles/squares, dehydrogenation from C1 carbon on Pd(111)/Pd(211) surfaces; open circles/squares, dehydrogenation from C2 carbon on Pd(111)/Pd(211) surfaces. Solid lines and small circles were taken from Yoo et al.⁴⁸ for CH_4 activation on transition metals (111) and Pd(111) surfaces, respectively. Figure S9 shows the difference in ΔE_{TS} between the O or OH assisted, and the direct DHP as a function of the difference in ΔE_{FS} .

to those calculated for CH_4 activation and be plotted against
 210 the corresponding transition state scaling (TSS) relations. The
 211 reason for the differences in correlations between ΔE_{TS} and
 212 ΔE_{FS} on clean transition metal surfaces and oxygen assisted
 213 dehydrogenation is due to the different transition state scaling
 214 lines for dehydrogenation. These phenomena can be explained
 215 by compensation effects as has been described earlier.⁵⁰

216 Interestingly, despite the use of different functionals,
 217 propane dehydrogenation follows almost the same scaling
 218 relation determined for methane dehydrogenation over (111)
 219 transition metal surfaces (rescaled relations are presented in
 220 Figure S8), indicative of a more general scaling relation
 221 independent of the functional used or the C_xH_y species
 222 considered.⁵¹ Apparently, these results can also be extended to
 223 propane dehydrogenation over (211) transition metal surfaces,
 224 but further studies are needed.

225 Figure 5 shows that the O assisted DHP has overall higher
 226 dehydrogenation barriers when compared with the direct
 227 dehydrogenation for Pd surfaces, even though the ΔE_{FS} is
 228 lower in energy; independently of the DH step and the
 229 position of the carbon from where the hydrogen is subtracted,
 230 O* does not favor DHP, as can be seen in Figure S9. On
 231 average, the OH assisted dehydrogenation has the lowest
 232 activation energy but also the lowest final state energies,
 233 suggesting strong exothermicity. Here, the first (at ca. -0.5
 234 eV) and the second (at ca. -1.0 eV) DH steps can be
 235 identified, wherein the first DH steps have comparable ΔE_{TS}
 236 to the direct DHP but lower ΔE_{FS} , whereas the second DH steps
 237 have the lowest ΔE_{TS} and ΔE_{FS} .


238 This analysis shows that while surface oxygen coverage will
 239 decrease the activity of palladium toward DHP, there might be
 240 an improvement overall when surface hydroxyl groups
 241 participate in the reaction. The extent to which this
 242 promotional effect of hydroxyl dominates the overall reaction
 243 mechanism depends crucially on the OH* coverage (and the
 244 corresponding coverage of O*). These coverages in turn
 245 depend on the type of oxidant used and the corresponding
 246 reaction conditions. A detailed analysis of the steady state
 247 coverages, however, is only possible with elaborate micro
 248 kinetic models.

250 ■ CONCLUSIONS


251 The reaction mechanisms of the (oxidative) dehydrogenation
252 of propane to propylene over palladium surfaces were analyzed
253 using DFT calculations. Interestingly, a comparison with
254 literature data obtained for Pt surfaces indicates that Pd
255 catalysts are more active for this reaction. We also found that
256 the energy barriers of the oxidative dehydrogenation steps are
257 always higher than those for the nonoxidative route. This
258 strongly indicates that oxygen does not considerably change
259 the kinetics of this reaction on Pd surfaces. We furthermore
260 showed that the transition state energy scales with the final
261 state energy (on Pd(111) and Pd(211) surfaces), both for the
262 oxidative and for nonoxidative dehydrogenation, in analogy to
263 an earlier study on methane activation. To fully explore the
264 potential of Pd based catalysts, further studies concerning side
265 reactions and catalyst deactivation would be needed.

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292 Author Contributions

293 The manuscript was written through the contributions of all of
294 the authors. All of the authors have given approval to the final
295 version of the manuscript

296 Notes

297 The authors declare no competing financial interest.

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