# Formation of complex intermetallic phases in novel refractory high-entropy alloys NbMoCrTiAl and TaMoCrTiAl: thermodynamic assessment and experimental validation

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# 8 Abstract

9 In this work, we present the results of the thermodynamic assessment of two equiatomic refractory High 10 Entropy Alloys (HEAs), namely TaMoCrTiAl and NbMoCrTiAl, in the temperature range between 700 11 and 1500°C. Particular attention is paid on the constitution of the intermetallic phases stable in these 12 alloy systems. Thermodynamic calculations were performed using a self-developed thermodynamic 13 database based on the CALPHAD (Calculation of Phase Diagram) approach. The details of the 14 thermodynamic modelling and particular characteristics of the relevant phases within the Ta-Nb-Cr-Ti-15 Al system are presented. To verify the new database, the phase formation and stability of both quinary 16 alloys in near-equilibrium conditions were studied experimentally by utilizing scanning electron 17 microscopy (SEM) with energy dispersive spectroscopy (EDS) and electron backscatter diffraction 18 (EBSD) as well as X-ray powder diffraction (XRD). Both equiatomic alloys reveal a complex 19 microstructure including several intermetallic phases at intermediate temperatures. The alloy 20 NbMoCrTiAl consists of an ordered B2 phase, Al(Mo, Nb)<sub>3</sub> and two polytypes (C14 and C15) of the 21 Cr<sub>2</sub>Nb Laves phase. Precipitations of Cr<sub>2</sub>Ta Laves phase (C14, C15 and C36-type) in the B2 matrix were 22 observed in the alloy TaMoCrTiAl. Based on the results of thermodynamic calculations, it was 23 concluded that: (i) Nb stabilizes the AlMo<sub>3</sub> A15 phase in the alloy NbMoCrTiAl, (ii) Al and Ti play a 24 crucial role in the formation of the ordered B2 phase in both alloys and (iii) the concentrations of Cr

25 and/or Ta/Nb should be dramatically reduced to decrease the Laves phase volume fraction.

# 26 **1. Introduction**

27 Refractory High Entropy Alloys (RHEAs), a subgroup of HEAs, containing significant amount of 28 refractory elements were first introduced by Senkov in 2010. RHEAs have attracted great attention in 29 the field of high-temperature materials primarily due to their ability to maintain high strength up to 30 1600°C [1]. The first investigated RHEAs consisted of refractory metals Mo, Nb, Ta, V and W [1,2], 31 later elements from Group IV (Ti, Zr and Hf), Group V (V) and Group VI (Cr) were added [3]. The 32 intention to add Ti or Zr was that they are substantially lighter compared to the "classical" refractory 33 metals such as W. However, these elements undergo an allotropic transformation from the low-34 temperature Hexagonal Close-Packed (HCP) phase to the high-temperature Body-Centered Cubic 35 (BCC) phase. Although remarkable mechanical properties have been achieved at both, room and 36 elevated temperatures, most of these alloys still have high densities and very low oxidation resistance. Therefore as a next step, RHEAs containing Al or Si, beside of Cr, e.g. AlNbTiV, AlCr0.5NbTiV or 37 38 NbMoCrTiAl1Si have been introduced which possess lower densities than refractory HEAs (below 7 39  $g/cm^3$ ) [3–5] and improved oxidation resistance [3,6]. With a few exceptions, RHEAs contain intermetallic phases forming as a result of phase transformations including order-disorder 40 transformation [7,8], spinodal decomposition [9–12], precipitation [13–15] and massive transformation 41 42 [16,17]. Interestingly, some of RHEAs consisting of an A2-type matrix and B2-type precipitations 43 resemble in appearance and properties the conventional superalloys [9,18–20].

Our previous work on derivatives within the Ta-Nb-Mo-Cr-Ti-Al system was focused on 44 45 microstructural investigation, mechanical testing, and high-temperature corrosion studies. The equatomic alloys NbMoCrTiAl and TaMoCrTiAl were chosen as reference alloys for fundamental 46 research. Experimental results show that alloys from the alloy system Ta-Nb-Mo-Cr-Ti-Al possess a 47 48 promising combination of attributes such as high solidus temperature, perspective mechanical properties [21,22] and reliable oxidation resistance [6,23,24]. Alloys being developed within the alloy system 49 mentioned above are regarded to have a high potential for high temperature applications. In our first 50 51 intense microstructural investigations on the equiatomic alloy NbMoCrTiAl the results suggested an 52 A2-type matrix and some secondary phases precipitated predominantly at the grain boundaries during 53 cooling. Our latest works [25], however, revealed a B2-type ordered crystal structure – instead of the A2-type disordered counterpart - in several derivatives within the Ta-Nb-Mo-Cr-Ti-Al system. It was 54 concluded that the poor ductility and high brittle-to ductile transition temperatures [22] of some of the 55 56 alloys studied correlate the appearance of an ordered B2-type phase [25,26].

57 In general, the formation of intermetallic phases such as Laves phase (Cr<sub>2</sub>Ta/Cr<sub>2</sub>Nb) and A15 phase 58 (Al(Mo, Nb)<sub>3</sub>) in the Ta-Nb-Mo-Cr-Ti-Al system could be suppressed by applying sufficiently high 59 homogenization temperatures [25]. However, the precipitation and subsequent growth of such phases at moderate temperatures - potential service temperatures - is undesired because of the typically 60 61 detrimental properties of these phases, i.e. low room temperature ductility [27,28] and poor high 62 temperature corrosion resistance [29]. To design new high temperature structural materials, which 63 combine the most relevant properties, i.e. creep resistance, room temperature ductility and oxidation 64 resistance, a detailed knowledge of intermetallic phases is required. In particular, the information on their exact chemical composition and the temperature range of their stability is indispensable. 65

Exploring the field of phase formation and stability in HEAs using exclusively experimental methods is 66 67 quite complex, expensive and time-consuming. In addition, experimental studies on phase equilibria at 68 lower temperatures, while indispensable, can last hundreds and even thousands of hours because of the 69 very slow diffusion [30,31]. The CALPHAD approach (CALculation of PHAse Diagrams) is regarded 70 as the most straightforward and robust method to assess the phase stability in complex alloy systems 71 like HEAs [31,32]. This method relies on classical thermodynamics and provides information on stable 72 or metastable equilibrium by minimizing the total Gibbs free energy. Most of the currently available 73 thermodynamic databases have been developed for classical alloy systems that significantly differ from 74 HEA systems as they have been established considering a single principal base element (like Ni in Ni-75 based or Fe in Fe-based alloys). Such databases usually do not provide reasonable results when 76 extrapolated towards equiatomic alloys. To achieve a high quality of thermodynamic calculations for 77 HEAs, thermodynamic databases have to be established for a system of particular interest.

The main goals of this work are (i) to give relevant information about the self-developed thermodynamic database for the TaNbMoCrTiAl system, (ii) to present and discuss the phase equilibria for two RHEAs NbMoCrTiAl and TaMoCrTiAl in the temperature range from 700 up to 1500°C, (iii) to evaluate the results of thermodynamic calculations by comparing them with corresponding results of experimental investigations and, finally (iv) to discuss the constitution of the intermetallic phases observed in the alloys studied using thermodynamic calculations and to suggest ways to reduce or even suppress the formation of such ordered phases.

## 85 2. Methodology

## 86 2.1 Materials and experimental characterization

87 Both alloys TaMoCrTiAl and NbMoCrTiAl were produced from elemental bulk materials by arc 88 melting utilizing an arc-melter AM 0.5 by Edmund Bühler GmbH. It should be noted that in this paper

89 the nomenclature of the alloys refers to an equimolar composition; otherwise subscripts are used to 90 designate variational element concentrations in at. %. The elements Ta, Nb, Mo, Cr, Ti and Al with purities of 99.9, 99.9, 99.96, 99, 99.98 and 99.9%, respectively, were mixed in the required composition. 91 92 The vacuum chamber of the arc melting device was pumped to a pressure of  $5 \cdot 10^{-2}$  mbar and then 93 flooded with Ar gas for three times until a high vacuum environment with  $1 \cdot 10^{-4}$  mbar was established. 94 The buttons of ~25g were melted in a water-cooled Cu crucible under working Ar pressure of ~600mbar 95 as well as flipped and re-melted five times to ensure homogeneity of the alloying elements. After 96 melting, the TaMoCrTiAl and NbMoCrTiAl were heat-treated at 1400 and 1300°C, respectively, both 97 for 20h in Ar atmosphere using a tube furnace by Carbolite Gero GmbH & Co. KG and subsequently 98 cooled in the furnace at cooling rate of 4 K/min. The chemical composition of TaMoCrTiAl was 99 determined by ICP-OES whereas the composition of NbMoCrTiAl was analyzed using EDX. The mean chemical composition of the alloys is listed in Tab 1. The maximum deviation of the element 100 101 concentration from the equimolar ratio of both alloys is equal or below 1.0 at. %.

102

103 Table 1: Chemical compositions  $x_i$  (*i* denotes the respective element) of the investigated alloys in at.%; 104 here \* denotes ICP-OES, \*\* indicates standard-related EDX.

alloy	Initial heat treatment	x <sub>i</sub> / at.%					
	condition	Та	Nb	Mo	Cr	Ti	Al
TaMoCrTiAl*	1400°C / 20 h	20.1	_	19.5	19.8	20.4	20.2
NbMoCrTiAl**	1300°C / 20 h		21.0	20.5	19.1	20.1	19.3

105

106 The ingots were cut into rectangular samples into dimensions of (5x5x4) mm<sup>3</sup> by electrical discharge 107 machining (EDM). The surfaces of the samples were ground after EDM to remove the as-cut surface 108 using abrasive SiC paper up to grit P800. To study the phase stability at different temperatures, the 109 samples were annealed in a tube furnace in Ar atmosphere at 700, 800 and 1000°C for 800, 300 and 110 100h, respectively, and subsequently water quenched. After the annealing treatments, the samples were again ground using SiC paper up to grit P4000 and polished using colloidal silica suspension (OPS) for 111 final polishing. The samples were cleaned with ultrasonic in ethanol before XRD and SEM 112 113 investigations. The XRD measurements were conducted on bulk specimens using an X'Pert Pro MPD 114 diffractometer operating in Bragg-Brentano geometry with Cu-Ka radiation. Scans were carried out at 115 45kV and 40mA at a step size of 0.01° in 2O and 60s/step. For microstructure analysis, a Focused Ion 116 Beam - Scanning Electron Microscope (FIB-SEM) DualBeam system FEI Helios Nanolab 600 was 117 used. The FIB-SEM was equipped with additional techniques such as backscatter electron (BSE) 118 imaging, energy-dispersive X-ray spectroscopy (EDX) as well as electron backscatter diffraction 119 (EBSD).

## 120 **2.2. Thermodynamic modeling**

121 The details of CALPHAD methods and the models used to describe pure elements, stoichiometric 122 compounds and solutions can be found elsewhere [33]. Here, only a brief description of how solutions 123 are modeled will be given.

124 The liquid solution as well as the BCC, Face-Centered Cubic (FCC) or HCP solid solutions can be 125 expressed according to the following equation:

126 
$$G_m^{\varphi} = \sum x_i \, {}^{\circ} G_m^{\varphi} + RT \sum x_i \ln x_i + {}^{XS} G_m^{\varphi}$$

127 where  ${}^{\circ}G_{m}^{\varphi}$  corresponds to the Gibbs energy from the linear rule of mixtures regarding the mole fractions 128  $x_{i}$  of the pure elements *i* in the phase  $\varphi$ . The second term represents the entropy of mixing for an ideal 129 solution, whereby *T* is the temperature and *R* the gas constant. The last term describes the interactions 130 of different elements in a random solution which is usually referred to as excess mixing energy. The 131 excess enthalpy is commonly described in the form of Riedlich-Kister polynomials [34] as follows:

132 
$${}^{XS}G_m^{\varphi} = \sum_i \sum_{j>i} x_i x_j \sum_{\nu=0} {}^{\nu} L_{i,j}^{\varphi}$$

33 
$$+\sum_{i}\sum_{j>i}\sum_{k}x_{i}x_{j}x_{k}[\ {}^{0}L^{\varphi}_{i,j}(x_{i}+\partial_{i,j,k})+\ {}^{1}L^{\varphi}_{i,j}(x_{j}+\partial_{i,j,k})+\ {}^{2}L^{\varphi}_{i,j}(x_{k}+\partial_{i,j,k})]$$

134 where  $\partial_{i,j,k}$  is described as  $\partial_{i,j,k} = \frac{1-x_i-x_j-x_k}{3}$  and the binary and ternary interaction parameters are 135 represented by  $L_{i,j}^{\varphi}$  or  $L_{i,j,k}^{\varphi} = a + bT$  with *a* and *b* as evaluated model parameters.

136To describe intermetallic compounds with certain solubility ranges such as Laves phases or Sigma137phases, the sublattice model is a very general model which assigns a sublattice to a distinct crystal lattice138site, which correspond to Wyckoff positions in ideal cases. The lattice site can be occupied by multiple139atoms and each set of atoms represents a compound the energy of which needs to be described. In this140work, for example, the  $Cr_2Nb$  or  $Cr_2Ta$  Laves phases were modeled by a two-sublattice model. A binary141solid solution  $[(A,B)_2 : (A,B)]$  can be described by the sublattice model using two lattice sites as follows:

142 
$$G_{m}^{\varphi} = y'_{A}y''_{A}G_{AA}^{0} + y'_{A}y''_{B}G_{AB}^{0} + y'_{B}y''_{A}G_{BA}^{0} + y'_{B}y''_{B}G_{BB}^{0} + RT[2(y_{A}\ln y'_{A} + y'_{B}\ln y'_{B}) + (y''_{A}\ln y''_{A} + y''_{B}\ln y''_{B})]$$

144 
$$+ y'_A y'_B y''_A \sum_{i=0}^{n_1} L^1_i (y'_A - y'_B)^i + y'_A y'_B y''_B \sum_{i=0}^{n_2} L^2_i (y'_A - y'_B)^i$$

145 
$$+ y'_A y''_A y''_B \sum_{i=0}^{n_3} L^3_i (y''_A - y'_B)^i + y'_B y''_A y''_B \sum_{i=0}^{n_4} L^4_i (y''_A - y''_B)^i + y'_A y'_B y''_A y''_B L^5$$

146 where  $y'_A$ ,  $y'_B$ ,  $y''_A$  and  $y''_B$  represent the site occupation of the species A or B on the two sublattices 147 marked as inverted commas. The first four terms (so-called end members) describe the contributions of 148 the Gibbs energy reference surface, i.e. phases where each sublattice is occupied by only one type of 149 species:  $G^0_{AA}$ ,  $G^0_{BB}$ ,  $G^0_{BA}$  and  $G^0_{BB}$ . The fifth term refers to the Gibbs energy originating from the 150 contribution of ideal mixing on each sublattice and the remaining terms correspond to the excess Gibbs 151 energy  ${}^{XS}G^{\varphi}$ .

#### 152 Thermodynamic database for the Ta-Nb-Mo-Cr-Ti-Al system

Considering the Ta-Nb-Mo-Cr-Ti-Al system, the models used for its pure elements, stoichiometric phases, liquid and solid solutions and intermetallic compounds were briefly described in the previous section. To establish the database for this system consisting of six elements, two elements, i.e. Ta and Nb, were added to the commercial database FRAN acquired by GTT-Technologies<sup>1</sup> which includes the elements Mo, Cr, Ti, Al. The Gibbs energy functions of pure elements were adopted from the open-source SGTE database [35], while thermodynamic data for several stoichiometric phases were taken from the FRAN database (see Tab. 2). Solution phases including intermetallic compounds were modeled using thermodynamic descriptions of binary and ternary systems found in corresponding literature. The phases, their relevant characteristics, the thermodynamic models according to the CALPHAD approach as well as related references used to describe the Ta-Nb-Mo-Cr-Ti-Al system are summarized in Tab. 2.

#### 160

Phase	Pearson	Space	Strukturbericht	Prototype	Model used in the present description Reference	
	symbol	group	designation			
L, Liquid					[(Ta, Nb, Mo, Cr, Ti, Al)]	[36–44], FRAN database
α(Al), FCC_A1	cF4	Fm-3m	A1	Cu	[(Ta, Nb, Mo, Cr, Ti, Al) <sub>1</sub> :(Va) <sub>1</sub> ]	[36–39,41], FRAN database
α(Ti), HCP_A3	hP2	P63/mmc	A3	Mg	[(Ta, Nb, Mo, Cr, Ti, Al) <sub>1</sub> :(Va) <sub>0.5</sub> ]	[37,38,41], FRAN database
$\alpha Cr_2Nb/\alpha Cr_2Ta$	cF24	Fd-3m	C15	MgCu <sub>2</sub>	[(Ta, Nb, Cr, Ti, Al) <sub>2</sub> :(Ta, Nb, Cr, Ti, Al) <sub>1</sub> ]	[39,43,45], FRAN database
(Laves phase)						
$\alpha_2$ , Ti <sub>3</sub> Al	hP8	P63/mmc	D019	Ni <sub>3</sub> Sn	[(Nb, Mo, Ti, Al) <sub>3</sub> :( Nb, Mo, Ti, Al) <sub>1</sub> ]	[37,39,41]
β, BCC_A2	cI2	Im-3m	A2	W	[(Ta, Nb, Mo, Cr, Al, Ti) <sub>1</sub> :(Va) <sub>3</sub> ]	[37,40–43,46] FRAN database
β <sub>0</sub> , B2	cI2	Pm-3m	B2	CsCl	[(Ta, Nb, Mo, Cr, Ti, Al) <sub>0.5</sub> :( Ta, Nb, Mo, Cr,	[37–39,41,44,47], FRAN database
					$Ti, Al)_{0.5}(Va)_{6}$ ]	
γ, TiAl	tP4	P4/mmm	L10	AuCu	[(Nb, Mo, Ti, Al):(Nb, Mo, Ti, Al)]	[37,38,41,47]
$\gamma$ , Cr <sub>2</sub> Nb/ Cr <sub>2</sub> Ta	hP12	P63/mmc	C14	MgZn <sub>2</sub>	[(Ta, Nb, Cr, Ti, Al) <sub>2</sub> :(Ta, Nb, Cr, Ti, Al)]	[39,43,48], FRAN database
(Laves phase)						
$\delta$ , AlMo <sub>3</sub>	cP8	Pm-3n	A15	Cr <sub>3</sub> Si	$[(Nb,Mo,Ti,Al)_3:(Nb,Cr,Al)_1]$	[37], FRAN database
$\eta$ , TiAl <sub>2</sub>	tI24	I41/amd	-	HfGa <sub>2</sub>	[(Nb, Ti, Al, Ta) <sub>2</sub> :(Nb,Ti,Al,Ta)]	[37,38,47]
ζ, Ti <sub>2</sub> Al <sub>5</sub>	tP28	P4/mmm		Cu <sub>3</sub> Pd	[(Al, Nb, Ta, Cr,Ti) <sub>2</sub> : (Al, Nb, Ta, Cr,Ti) <sub>5</sub> ]	[38,47]
Ti <sub>3</sub> Al <sub>5</sub>	tP32	P4/mbm	-	Ti <sub>3</sub> Al <sub>5</sub>	[(Ti,Nb,Ta) <sub>3</sub> :(Al) <sub>5</sub> ]	[37,38,47]
$\varepsilon$ , TiAl <sub>3</sub> (h)	tI8	I4/mmm	D022	TiAl <sub>3</sub> (h)	[(Nb, Mo, Ti, Cr, Al) <sub>0.75</sub> : (Nb, Mo, Ti, Cr,	[36–39,41]
					Al,Ta) <sub>0.25</sub>	

161 Table 2: Crystal structure, designation, characteristics and models of phases in the Ta-Nb-Mo-Cr-Ti-Al systems

<sup>1</sup> <u>https://gtt-technologies.de/</u>

$\varepsilon$ , TiAl <sub>3</sub> (l)	tI32	I4/mmm	-	TiAl <sub>3</sub> (l)	[(Nb, Ta, Mo, Ti, Cr, Al) <sub>0.75</sub> : (Nb, Ta,Mo, Ti,	[37–39]
					Cr, Al) <sub>0.25</sub>	
$\sigma$ , Nb <sub>2</sub> Al	tP30	P42/mn	D8b	σCrFe	[(Ta, Nb, Mo, Cr, Ti, Al) <sub>0.533</sub> :(Ta, Nb, Ti) <sub>0.134</sub>	[36–38]
		m			:(Ta, Nb, Mo, Cr, Ti, Al) <sub>0.333</sub> ]	
τ, Ti <sub>4</sub> NbAl <sub>3</sub>	hP6	P63/mmc	B82	Ni <sub>2</sub> In	$[(Al)_3(Nb)_1(Ti)_4)]$	[37,49]
O1 (h) Ti <sub>2</sub> NbAl	oC16	Cmcm	-	NaHg	[(Al,Nb,Ta,Ti) <sub>0.75</sub> :(Al,Nb,Ta,Ti) <sub>0.25</sub> ]	[37,38,49]
O2 (r) Ti <sub>2</sub> NbAl	oC16	Cmcm	-	NaHg	[(Al,Nb,Ti) <sub>0.50</sub> :(Al,Nb,Ti) <sub>0.25</sub> :(Al,Nb,Ti) <sub>0.25</sub> ]	[37,49]
TiAl <sub>2</sub>	oS12	Cmmm		ZrGa <sub>2</sub>	[(TiAl <sub>2</sub> )]	[47]
Ti <sub>8</sub> Al <sub>17</sub>					$[(Ti_8Al_{17})]$	FRAN database
CrAl <sub>4</sub>	hP574	P63/mmc		Mn55Al226.58	$[(CrAl_4)]$	FRAN database
Cr <sub>2</sub> Al	tI6	I 4/m m		AlCr <sub>2</sub> -	$[(Cr_2Al)]$	FRAN database
		m		MoSi <sub>2</sub>		
$Cr_2Al_{11}$	mS*	C1 2/c 1			$[(Cr_2Al_{11})]$	FRAN database
$Cr_2Al_{13}$	<i>mS</i> 104	C12/m1		$Al_{45}V_7$	$[(Cr_2Al_{13})]$	FRAN database
Cr <sub>4</sub> Al <sub>9</sub>	hR*			Cr <sub>4</sub> Al <sub>9</sub>	$[(Cr_4Al_9)]$	FRAN database
$Cr_5Al_8(s1)$	hR26	R 3 m R			$[(Cr_5Al_8(s1))]$	FRAN database
$Cr_5Al_8(s2)$	cI52	I-43m		Cu <sub>5</sub> Zn <sub>8</sub>	$[(Cr_5Al_8(s_2))]$	FRAN database
MoAl <sub>4</sub>	mS30	C1m1		Al <sub>4</sub> Mo	[(MoAl <sub>4</sub> )]	FRAN database
MoAl <sub>5</sub>	hP60	P3		MoAl <sub>5</sub>	$[(MoAl_5)]$	FRAN database
MoAl <sub>12</sub>	CI26	Im-3		Al <sub>12</sub> W	[(MoAl <sub>12</sub> )]	FRAN database
Mo <sub>3</sub> Al <sub>8</sub>	mS22	C12/m1		Mo <sub>3</sub> Al <sub>8</sub>	$[(Mo_3Al_8)]$	FRAN database
Mo <sub>37</sub> Al <sub>63</sub>					$[(Mo_{37}Al_{63})]$	FRAN database
MoCr <sub>2</sub>					$[(MoCr_2)]$	FRAN database
NbAl <sub>4</sub>					[(NbAl <sub>4</sub> )]	FRAN database
NbAl <sub>5</sub>					[(NbAl <sub>5</sub> )]	FRAN database
NbAl <sub>12</sub>					[(NbAl <sub>12</sub> )]	FRAN database
Nb <sub>3</sub> Al <sub>7</sub>					[(Nb <sub>3</sub> Al <sub>7</sub> )]	FRAN database
Nb <sub>23</sub> Al <sub>77</sub>					$[(Nb_{23}Al_{77})]$	FRAN database

#### 163 **3. Results**

#### 164 **3.1 Thermodynamic calculations**

165 To understand the nature of the intermetallic and ordered phases formed in the RHEAs NbMoCrTiAl

166 and TaMoCrTiAl, thermodynamic calculations using the commercial software FactSage were

167 performed. The calculations were carried out using the self-developed database including elements Nb,

168 Ta, Mo, Cr, Ti, Al (for details see Tab. 2). In Fig. 1, the phases stable in the alloys NbMoCrTiAl

169 (Fig. 1 (a)) and TaMoCrTiAl (Fig. 1 (b)) between 700 and 1500°C are presented.

170 According to results of the thermodynamic calculations, the alloys NbMoCrTiAl and TaMoCrTiAl form

171 a single-phase A2 microstructure above 1300 and 1400°C, respectively. At lower temperatures,

172 however, complex intermetallic phases are observed as well. According to the calculations, the Laves

173 phase (C15-type) is a stable phase in both alloys NbMoCrTiAl and TaMoCrTiAl, whereby the solvus

temperature of this phase is clearly higher in TaMoCrTiAl. On the contrary, the A15 phase is only stable

in the NbMoCrTiAl alloy and exhibits a solvus temperature of about 1200°C. At 1060°C, an A2-to-B2

176 second order phase transformation was found in both alloys NbMoCrTiAl and TaMoCrTiAl (see

177 Figs. 1 (a) and (b)).



Fig. 1: Calculated equilibrium phase fractions as a function of temperature for (a) NbMoCrTiAl and
(b) TaMoCrTiAl. The calculations were carried out using the developed thermodynamic database
(Tab. 2) in temperature steps of 10K at a pressure of 1 atm.

## 182 **3.2. Experimental validation**

183 Detailed microstructural analyses using different techniques were performed (i) to experimentally 184 characterize the alloys studied and (ii) to validate the results of the thermodynamic calculations. The 185 results for the alloys NbMoCrTiAl and TaMoCrTiAl will be presented separately.

## 186 NbMoCrTiAl alloy

187 To identify the crystal structure of the phases in the equiatomic alloy NbMoCrTiAl after annealing,

188 XRD measurements were performed. The XRD analysis of the alloy in the initial condition (annealing

189 at 1300°C for 20h and cooling in the furnace) reveals Bragg peaks that can be assigned to the BCC/A2

- 190 (W prototype) phase along with a gentle indication of an ordered B2 (CsCl prototype) crystal structure
- 191 (see Fig. 2 (a)). No indications of any further phases were observed applying XRD. After annealing at
- 192 700°C for 800h, the results of the XRD measurement (Fig. 2 (b)) reveal one additional peak that is
- 193 accounted for the  $Cr_2Nb$  Laves phase (C14, hexagonal). Furthermore, the (100) B2 peak disappears.
- 194 Increasing annealing temperature up to 800°C results in the formation of an A15-(Mo,Nb)<sub>3</sub>Al phase and
- 195 two Laves phase polytypes (cubic C15 and hexagonal C14) after 300h of exposure (Fig. 2 (c)). The same
- 196 phases were identified after the annealing treatment at 1000°C for 100h. The metastable hexagonal
- 197 C36-Cr<sub>2</sub>Nb polytype was not found in NbMoCrTiAl in any annealing condition.



Fig. 2: XRD patterns of NbMoCrTiAl after various annealing conditions: (a) initial condition,
(b) 700°C / 800h, (c) 800°C / 300h and (d) 1000°C / 100h

201 To characterize the microstructures after the different heat treatments, SEM analysis was performed. In 202 the initial condition, the alloy NbMoCrTiAl exhibits an almost single-phase microstructure (see 203 Fig. 3 (a)). Only a small amount of the  $Cr_2Nb$  Laves phase (appears as a dark phase) and Al(Mo,Nb)<sub>3</sub> 204 A15 phase (appears as a bright phase) can be observed at the grain boundaries. Obviously, these phases 205 were not detected by XRD (see Fig. 2 (a)) because of their small volume fraction << 1 vol.%. The 206 microstructure after heat treatment at 700°C for 800h (Fig. 3 (b)) resembles the appearance of the initial 207 microstructure described above. The volume fraction of the A15 phase did not change significantly, 208 while the volume fraction of the Laves phase seems to increase slightly because this phase was detected by XRD (see Fig. 2 (b)). After annealing at 800°C for 300h, the formation of the Cr<sub>2</sub>Nb-Laves phase 209 210 and the A15 phase seems to intensify as seen by numerous precipitates; especially spherical A15 211 particles are visible at the grain boundaries as well as in the grain interiors (see Fig. 3 (c)). These observations are in accordance with the XRD results (see Fig. 2 (c)). The microstructure after heat 212 treatment at 1000°C for 100h changes significantly (see Fig. 3 (d)). Firstly, NbMoCrTiAl shows a very 213

214 fine microstructure. Secondly, the bright phase, presumably A15, forms needle-like precipitates that are

- 215 located close to each other. Thirdly, the matrix of the alloy in this annealing condition reveals frequently
- 216 dark contrast, which is hardly distinguishable due to the comparably fine microstructure. To identify the
- 217 phases formed in NbMoCrTiAl after annealing at 1000°C for 100h unambiguously, EBSD
- 218 measurements were carried out.



- Fig. 3: SEM-BSE images of NbMoCrTiAl: (a) initial condition and after annealing: (b) at 700°C / 800h,
- 221 (c) at 800°C / 300h, and (d) at  $1000^{\circ}$ C / 100h. The phase with the bright contrast is Al(Mo,Nb)<sub>3</sub>, whereas 222 the dark contrast corresponds to Cr<sub>2</sub>Nb. The medium greyscale regions depict the B2 matrix phase.
- 222 The dark contrast corresponds to  $Cr_2(0)$ . The mediatin groupscale regions depict the D2 matrix phase.
- Figure 4 shows results of the EBSD analysis performed on the alloy NbMoCrTiAl after annealing at
- 224 1000°C for 100h. A15 and Laves phase precipitates are detected. This result is consistent with the XRD
- results (compare with Fig. 2 (d)). Further, the A15 area fraction is notably higher (27%) compared to
- that of the Laves phase (22%).



- 227
- Fig. 4: Results of the EBSD measurements on NbMoCrTiAl after annealing at 1000°C for 100h: (a) phase map according to the color code on the right side and (b) image quality map of the same region in (a).
- 231 TaMoCrTiAl alloy

Similar to NbMoCrTiAl, XRD investigations were carried out on the equiatomic alloy TaMoCrTiAl to study the crystal structure of phases formed after various annealing conditions. Figure 5 demonstrates the X-ray diffraction patterns of TaMoCrTiAl in the initial condition (a) and after additional annealing treatments, i.e. at 700°C for 800h (Fig. 5 (b)), at 800°C for 300h (Fig. 5 (c)) and at 1000°C for 100h (Fig. 5 (d)). In all XRD measurements, Bragg peaks of an ordered B2-type (CsCl prototype) crystal structure were found. Furthermore, peaks corresponding to Cr<sub>2</sub>Ta Laves phase with hexagonal C14, cubic C15 and dihexagonal C36 polytype were identified. No additional phases could be identified after

- the annealing treatments. In contrast to the NbMoCrTiAl alloy, no A15 phase was observed in the alloy
- 240 TaMoCrTiAl.



241

Fig. 5: XRD patterns of TaMoCrTiAl after various annealing conditions: (a) initial condition,
(b) 700°C / 800h, (c) 800°C/300h and (d) 1000°C/100h.

244 In the initial condition, the BSE image illustrated in Fig. 6 (a) shows a matrix phase with large grains of 245 about 100µm and a secondary phase (bright contrast), which forms predominantly at the grain 246 boundaries. According to EDX investigations, EBSD measurements (both are not shown here) and the 247 XRD analysis (Fig. 5 (a)), the secondary phase was identified as Cr<sub>2</sub>Ta Laves phase with C14 and C15 248 polytypes. The microstructure after the subsequent annealing at 700°C for 800h (see Fig. 6 (b)) seems 249 to be very similar to the initial condition, i.e. the volume fraction of the Laves phases remains 250 approximately unchanged and no new phases were identified applying XRD (Fig. 5 (c)) and EBSD (not 251 shown here). At higher annealing temperatures, i.e. 800°C for 300h and 1000°C for 100h, the only 252 difference observed is the proceeding of the Laves phase precipitation, also in the grain interior 253 (Fig. 6 (c) and (d)). The presence of the three different forms of Laves phase (C14, C15 and C36) was 254 identified by XRD (Fig. 5 (d)) and verified by EBSD (see Fig. 7).



Fig. 6: SEM-BSE images of TaMoCrTiAl: (a) in the initial condition, (b) after annealing at  $700^{\circ}$ C /

 $\,$  800h, (c) after annealing at 800°C / 300h and (d) after annealing at 1000°C / 100h.



Fig. 7: Results of the EBSD analysis conducted on the alloy TaMoCrTiAl after annealing at 1000°C

for 100h: (a) phase map corresponding to the color code on the right side and (b) image quality of the same region in (a).

#### 4. Discussion 264

Although many studies reported on the single-phase microstructure in HEAs, most of the alloys were 265 266 investigated after homogenization treatments performed at elevated temperatures followed by a (rapid) cooling [3]. Only selected studies dealt with the phase stability after long-term exposure at intermediate 267 temperatures [50–52]. In terms of potential applications, knowledge about the microstructure in a wide 268 temperature range is however indispensable as the formation of additional, often undesired phases may 269 270 lead to dramatic failures of technical components. The experimental results shown in this study reveal that the equiatomic alloys NbMoCrTiAl and TaMoCrTiAl are multi-phase materials, each containing 271 272 several intermetallic equilibrium phases at moderate temperatures. Moreover, the microstructures 273 significantly change during annealing at different temperatures. The chemical nature of the intermetallic 274 phases, in particular, the element partitioning in these phases at various temperatures, will be discussed in this section. Based on this information, conclusions will be drawn allowing a reliable suppression of 275 276 the complex ordered phases in the RHEAs studied.

#### 277 A15 Phase:

278 The formation of some A15 phases, such as AlNb<sub>3</sub> or Mo<sub>3</sub>Si, should be avoided in HEAs as they are

279 known for their poor mechanical properties, i.e. its high brittleness and high ductile-brittle transition

280 temperature [28,53], as well as their poor oxidation behavior [28,29]. In this work, thermodynamic 281 calculations were used to understand which elements possess a stabilizing effect on the in AlMo<sub>3</sub> A15 282 phase.

283 The element partitioning in the in AlMo<sub>3</sub> A15 phase in different Nb<sub>x</sub>MoCrTiAl alloys (with x=0-20 at.%

284 Nb) is illustrated in Fig. 8 (a). The amount of Nb in the A15 phase increases with the increasing nominal

285 Nb content at the expense of Mo while the concentrations of other elements remain unaffected. It can

thus be assumed that Nb substitutes Mo in the A15 phase. Indeed, experimental studies on the ternary 286

Nb-Mo-Al system by Hunt et al. [54] reported on the partitioning of Nb on the Mo lattice sites (Wyckoff 287 288 position 6c) in Al(Mo,Nb)<sub>3</sub> A15 phase. Interestingly, no partitioning of Cr into the A15 phase was

289 predicted, which implies that Cr mainly dissolves into the Laves or B2 phase. Further, our calculations

reveal an increase of the A15 phase fraction with increasing Nb concentration in the Nb<sub>x</sub>MoCrTiAl alloy 290

291 system (Fig. 8 (b)) which indicates that Nb is a strong stabilizer of the A15 phase.

292 To conclude, the Nb content should be reduced at least below 10 at.% in order to decrease the A15 phase

293 fraction. If required, a further decrease of the A15 phase fraction can be achieved by a simultaneous reduction in the Mo and Nb concentrations. Further, Ta may serve as an effective substitution for Nb,

294

295 as no A15 phase was observed in the alloy TaMoCrTiAl.



Fig. 8: Effect of different elements on the formation of the A15 phase: (a) element partitioning in the A15 phase in the alloys Nb<sub>x</sub>MoCrTiAl with x=0, 5, 10, 15 and 20 at.% at 700°C, (b) mole fractions of the A15 phase dependent on the Nb concentration in the Nb<sub>x</sub>MoCrTiAl system at 700°C. Both

300 calculations assume the equimolar ratio between Mo, Cr, Ti and Al.

#### 301 <u>B2 Phase:</u>

B2-ordered phases may suffer from poor room-temperature ductility mainly due to the inactive <111>
slip mode [55–57] or the formation of anti-phase boundaries (APB) if the passage of a single ½ <111>
dislocation is active. The poor ductility of the B2 phase was reported for various alloys, e.g. TiAl-based
alloys [58], NiAl-based alloys [55] and Al0.5NbTa0.8Ti1.5V0.2Zr [19].

In our previous study, we explored the ordering behavior of the B2 phase and also concluded that the high brittleness of various refractory HEAs from within the Ta-Nb-Mo-Cr-Ti-Al system is most probably attributed by the presence of B2 order [21]. Using differential scanning calorimetry, it was found that an A2-to-B2 disorder-to-order transformation occurs at 1030°C and 1100°C for NbMoCrTiAl and TaMoCrTiAl, respectively [25]. The experimentally determined A2-to-B2 transformation temperatures agree with the calculated temperatures (see Fig. 1).

- 312 It is clear that an intrinsic ductile A2-type matrix is mandatory for improving the ductility of the alloys.
- 313 This can be, for example, achieved by reducing the A2-to-B2 transition temperatures  $(T_{Trans}^{A2-B2})$  below
- 314 potential application temperatures. Here, thermodynamic calculations may be used to understand the
- constitution of the B2 phase and to reveal possible stabilizing elements of the B2 phase, and to propose
- anew alloy compositions.

317 The element partitioning in the B2 phase between 700°C and 1000°C was calculated using FactSage 318 and the presented thermodynamic database as depicted in Fig. 9. The results of the thermodynamic 319 calculations show that the Ti and Al concentrations of the B2 phase in both alloys: NbMoCrTiAl 320 (Fig. 9 (a)) and TaMoCrTiAl (Fig. 9 (b)) in the temperature range from 700°C to 1000°C are amongst 321 the highest. Further, the amount of Cr in the B2 phase of NbMoCrTiAl is much higher than in 322 TaMoCrTiAl, while the Mo content is higher in TaMoCrTiAl. The formation of the intermetallic 323 compounds in lower temperatures (compare with Fig. 1), i.e. Al(Mo, Nb)<sub>3</sub> A15 phase in NbMoCrTiAl 324 and Cr<sub>2</sub>Ta Laves phase TaMoCrTiAl explain the reduction of Nb and Mo (Fig. 9(a)) or Ta and Cr

325 (Fig. 9 (b)) content within the B2 phases with decreasing temperatures.





Fig. 9: Element partitioning in the B2 phase within the temperature range 700-1000°C of (a)
NbMoCrTiAl and (b) TaMoCrTiAl

Gibbs energies of formation of binary compounds with B2 crystal structure  $\Delta G_{i,i}^{B2}$  in the 329 330 Ta-Nb-Mo-Cr-Ti-Al system were calculated using FactSage and the thermodynamic database presented in Table 2 to evaluate potential stabilizing elements. It has to be noted that the formation energies of 331  $\Delta G_{i:i}^{B2}$  and  $\Delta G_{i:i}^{B2}$  are equivalent. Obviously, the Gibbs energies of formation of binary compounds 332 containing Al are amongst the most negatives, i.e. they are the most stable binary compounds with B2 333 334 structure in the Ta-Nb-Mo-Cr-Ti-Al system. Especially the compounds Ti:Al, Ta:Al and Nb:Al exhibit 335 the most negative formation energies. In contrast, the Gibbs energies of formation between the residual 336 compounds are either positive or close to zero which implies that the B2 phase of these compounds is 337 meta-stable.

Table 3: Gibbs energies of formation (in kJ/mole) for the B2 phase of binary compounds *i* and *j* (
$$\Delta G_{i:j}^{B2}$$
)

in the Ta-Nb-Mo-Cr-Ti-Al system assuming a pressure of 1 atm and 273.15K. It has to be noted that  $AC_{B2}^{B2}$  and  $AC_{B2}^{B2}$  are acquired and thus only values of  $AC_{B2}^{B2}$  are listed below. The calculations were

340 
$$\Delta G_{i:j}^{B2}$$
 and  $\Delta G_{j:i}^{B2}$  are equivalent and thus only values of  $\Delta G_{i:j}^{B2}$  are listed below. The calculations were

done with FactSage using the self-developed database presented in Table 2.

Element (i)			Elem	ent $(j)$		
	Та	Nb	Мо	Cr	Ti	Al
Al	-41.50	-39.47	-28.56	-20.74	-50.72	0
Ti	7.13	5.58	-0.37	10.60	0	
Cr	18.26	24.10	9.82	0		
Мо	-3.30	-3.43	0			
Nb	-3.43	0				
Та	0					

342

343 Further, equilibrium calculations on TaMoCrTiAl<sub>x</sub> and NbMoCrTiAl<sub>x</sub> (x=0-20 at.%) (not shown here)

indicate that a reduction of Al has a significant effect on the stability of the B2 phase and on the A2-to-B2

transition temperatures. For TaMoCrTiAl<sub>10</sub>, for example, the transition temperature ( $T_{trans}^{A2-B2} = 700^{\circ}$ C),

is much lower than for the equimolar TaMoCrTiAl alloy ( $T_{trans}^{A2-B2} = 1060^{\circ}$ C, see Fig. 1 (b)). Also, in

TaMoCrTiAl<sub>10</sub> and NbMoCrTiAl<sub>10</sub> the thermodynamic calculations reveal the occurrence of an A2/B2
 two-phase field at temperatures below 600°C instead of a single B2 phase field like in the equimolar

349 systems (see Figs. 1 (a) and (b). To validate these calculations, however, new alloys with reduced Al

350 concentrations will be investigated in our future works.

- 351 Generally, these findings are in accordance with the results of experimental and theoretical studies on
- B2 phase formation in various related alloy systems. While the occurrence of a B2-type ordering was
- not reported in many earlier works in the binary Al-Ti system, the results of the DSC measurements of
- various ternary systems, e.g. Ti-Al-Cr and Ti-Al-Fe [59,60] finally proved its existence [61]. Also, most
   of the reported RHEAs/CCAs containing Al, Ti, Zr and Nb were found to form an ordered B2 phase [3].
- In Al0.5NbTa0.8Ti1.5V0.2Zr [19,20], the chemical composition of the B2 phase was studied by Atom
- 357 Probe Tomography (APT) yielding clear enrichments in Al, Ti and Zr. As Ti and Zr belong to the same
- chemical group and might behave similarly, it was concluded that the B2 ordering is likely due to the
- 359 strong interaction between Al and Ti or Zr [19,20].
- Based on the experimental investigations reported in the literature as well as the thermodynamic calculations presented in this study, it can be suggested that primary a reduction of Al concentrations in the Ta-Nb-Mo-Cr-Ti-Al alloy system is effective to reduce the A2-to-B2 transition temperature and (possibly) improve the low-temperature ductility of the alloys studied.
- 364 *Laves phase:*
- 365 It is well-known that Laves phases are inherently brittle at room temperature and their formation may
- also reduce the ductility of the alloys. In our previous works, we reported on the extended formation of
- the  $Cr_2Nb$  and  $Cr_2Ta$  Laves phases [22,62] predominantly at the grain boundaries in NbMoCrTiAl and
- 368 TaMoCrTiAl, respectively. Alloys exhibiting such microstructural peculiarities are usually prone to 369 intercrystalline fracture in case of mechanical loading. To avoid such failure mode, the fractions of
- 370 Laves phase forming elements, e.g. Ta, Nb and Cr have to be reduced. Thermodynamic calculations
- 371 provide the required information about the critical concentrations of these elements needed to stabilize
- 372 or rather destabilize the Laves phase.
- 373 Though, three modifications of the Laves phase are known, i.e. the high-temperature hexagonal C14-,
- the low-temperature cubic C15- and the metastable dihexagonal C36-type. There is some uncertainty
- about the stability of these modification in particular systems [63–70]. Recent results revealed that the
- 376 C14 and C36 phases are not stable in the binary Cr-Nb system after annealing [45,67,69,71] but only
- 377 form as metastable phases in as-cast alloys [69] or in higher-order systems. In the Cr-Ta system,
- however, few studies report on the low-temperature C15 and the high-temperature C14 form [43,72].
- 379 In the two alloys discussed in this work, all three modifications were experimentally identified. The
- 380 thermodynamic calculations shown in Fig. 10 suggest for both alloys NbMoCrTiAl and TaMoCrTiAl,
- in the contrary, the formation of the only C15-type Laves phase in the relevant temperature range.
- 382 Probably, the C14- and C36-type Laves phases appear as metastable stable phases in the alloy systems
- 383 and require prolonged annealing times to transform into the stable C15-type modification.
- 384 It is apparent that to decrease the volume fraction of the Laves phase effectively or even suppress its 385 formation completely, the Cr concentration should be reduced. In Figs. 10 (a) and (b), the phase fractions of Cr<sub>2</sub>Nb and Cr<sub>2</sub>Ta (both C15-type) are shown in dependence of the nominal Cr concentration at 700°C. 386 387 According to the equilibrium calculations, the Laves phase starts to form always if Cr (even few at.%) 388 is added to the alloy system NbMoCrTiAl, while Cr concentrations higher than 5 at.% are required for 389 the formation of the Laves phase in the alloy system TaMoCrTiAl. Additional calculations (not shown 390 here) reveal that the reduction of Ta and Nb concentration (in addition to Cr) may further decrease the 391 amount of the Laves phase.
- 392 To conclude, the concentrations of Cr and/or Ta/Nb should be reduced to suppress the formation of the
- Laves phase efficiently. It should, however, be kept in mind that these elements play a crucial role in
- terms high-temperature strength and high-temperature oxidation resistance [24,73,74].



Fig. 10: Effect of Cr on the stability of the Laves phase at 700°C: (a) phase fraction of C15-Cr<sub>2</sub>Nb in
NbMoCrTiAl, (b) C15-Cr<sub>2</sub>Ta in TaMoCrTiAl. Equimolar concentrations of NbMoTiAl and TaMoTiAl

were assumed.

## 400 **4. Conclusion**

In this work, a new (self-developed) thermodynamic database was presented. The results of
 thermodynamic assessments agree well with the experimental observations. From the results of
 experimental microstructural investigations and thermodynamic calculations, following conclusions can
 be drawn for the alloy systems NbMoCrTiAl and TaMoCrTiAl:

405	i)	According to the thermodynamic calculations and the experimental observations (presented
406		here and in our previous studies), both quinary alloys NbMoCrTiAl and TaMoCrTiAl form
407		a single-phase BCC/A2 microstructure at sufficiently high temperatures, i.e. 1300°C and
408		1400°C, respectively.

- 409 ii) At intermediate temperatures, intermetallic compounds become thermodynamically stable 410 which have a negative effect of the room-temperature ductility of the alloys. Further, an A2-411 to-B2 second order transformation at about 1060°C was calculated for both alloys. In case 412 of NbMoCrTiAl, a multiphase microstructure consisting of B2, Al(Mo, Nb)<sub>3</sub> and Cr<sub>2</sub>Nb 413 Laves phase (C14- and C15-type) are experimentally identified in the initial condition and 414 after annealing experiments at temperatures between 700°C and 1000°C. In the contrary, 415 precipitates of Cr<sub>2</sub>Ta Laves phase in the B2 matrix are observed in TaMoCrTiAl. Three 416 different forms of Laves phases, namely C14, C15 and C36-type are identified by XRD and 417 EBSD.
- 418 iii) Thermodynamic calculations show that the AlMo<sub>3</sub> A15 phase is stabilized by Nb, which
  419 obviously substitutes Mo. Therefore, the A15 phase is observed in the alloy NbMoCrTiAl
  420 but not in the alloy TaMoCrTiAl.
- iv) The presence of B2-type ordering, which was experimentally observed in both equiatomic
  alloys, seems to be stabilized by predominantly Al. A reduction of the Al concentration
  below 15 at.% may be suggested to effectively decrease the A2-to-B2 transition
  temperature.

v) Thermodynamic assessments yield that the concentrations of Cr and/or Ta/Nb should be
reduced to suppress the formation of the brittle Laves phase.

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