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Research article

Hydrogen as a probe for defects in materials: Isotherms and related microstructures of palladium-hydrogen thin films

Stefan Wagner* and Astrid Pundt

Karlsruhe Institute of Technology (KIT), Institute of Applied Materials (IAM-WK), Engelbert-Arnold-Straße 4, 76131 Karlsruhe, Germany

* Correspondence: Email: stefan.wagner3@kit.edu; Tel: +4972160842676.

Abstract: Metal-hydrogen systems offer grand opportunities for studies on fundamental aspects of alloy thermodynamics. Palladium-hydrogen (Pd-H) thin films of nano crystalline, multi-oriented and epitaxial microstructures, electrolytically charged with hydrogen, serve as model systems. In these films thermodynamics of hydrogen absorption is modified by interface effects related to mechanical stress and to microstructural defects. Since in this respect hydrogen can be utilized to reveal the microstructural constituents of the films, we aim to investigate the distribution of sites (DOS) hydrogen occupies in the films' solid solution regime. A σDOS model is proposed, taking the measured substrate-induced stress contribution to the chemical potential into account. This enables the determination of the different sites' volume fractions and of pure site energy distributions by fitting measured isotherms. Interstitial sites, grain/domain boundary sites and deep traps are distinguished. Dislocations and vacancies are shown to have a minor impact on the films' trapping of hydrogen atoms, while deep traps are related to the films' surface. Enhanced binding energies in nano crystalline films can be ascribed to the tensile strain effect of grain boundaries acting on the grains. Measured surface trapping energies fit to the respective bulk values, while the trapping of hydrogen in grain/domain boundaries of the films is significantly increased. This can be interpreted with different grain/domain boundary structures. Different from octahedral interstitial site occupation, tetrahedral site occupation is suggested for grain/domain boundaries of the films.

Keywords: hydrogen; palladium; thin film; density of states; site occupancy; grain boundary; stress

1. Introduction

Metal-hydrogen systems offer grand opportunities for studies on fundamental aspects of alloy thermodynamics [1–14]. The interaction of solutes with structural defects of the metal can easily be assessed [2,6,15–18], as well as precipitation and growth of phases [5,9,10,19–21]. This is enabled by two unique properties of metal-hydrogen systems: First, the chemical potential of hydrogen in the metal can simply be controlled by the surrounding hydrogen gas pressure or by the electromotoric force in electrochemical loading experiments. This allows to directly link the chemical potential with other measured alloy properties. Second, hydrogen often is highly mobile in metals even at room temperature, facilitating experiments on reasonable time scales. Reducing the alloy size to the nano scale, the volume fraction of interfaces increases. This changes the systems properties due to interface effects, which relate to microstructural defects as well as to mechanical stress [6,8,10,11,18,19,22–24]. Interface effects modify the hydrogen atoms' site occupancy in the solid solution regime as well as the stability of hydride phases. In turn, hydrogen can be utilized as a probe for the systems' microstructure.

Hydrogen absorption usually accompanies with a hydrogen-induced volume expansion of the metal. Depending on the specific metal-hydrogen system, the volume expansion reaches 10–20%, when one hydrogen atom is dissolved per metal atom [1,3]. In metal thin films adhered to rigid substrates, the in-plane expansion is hindered by the substrate, yielding large compressive in-plane stresses of the order of several GPa [25,26]. This changes the thermodynamics of the system. Recently [10,19], we proposed a quasi-thermodynamic model to reveal the interplay of substrate-induced stress, chemical potential and hydride formation in metal-hydrogen thin films. This enables the determination of the attractive H-H interaction energy and of the critical temperature of hydride formation. For the model case of palladium-hydrogen (Pd-H) thin films with different microstructures, in the present manuscript we complete this model by focusing on interface contributions via the hydrogens' site occupancy in the presence of microstructural defects. Evaluating the chemical potential in the low concentrated solid solution regime allows for the reconstruction of the related distribution of site energies. This enables us to evaluate the volume fractions of interface regions in the films and to reveal the character of the occupied defect sites.

Hence, in this paper we aim to investigate the distribution of site energies in palladium-hydrogen thin films of different thickness and microstructure in the solid solution regime. We propose a model taking the substrate-induced stress contribution to the chemical potential of the films into account. Fitting measured chemical potentials of the films with respect to this model enables us to determine the related densities of sites, including interstitial sites and different kinds of defect sites. From this, we estimate volume fractions and the character of the defect sites in the films.

2. Methods and materials

2.1. σDOS-model

In the undistorted Pd metal lattice hydrogen occupies octahedral interstitial sites. At low H-concentrations a solid solution α -phase forms, until the precipitation of a high concentration hydride α' -phase sets in at the solid solution limit x_{α}^{max} . In bulk Pd, hydrogen absorption results in an isotropic lattice expansion (Eq 1)

$$\frac{\Delta a}{a} = \varepsilon_0 = \eta_H x_H \tag{1}$$

with the expansion factor $\eta_H = 0.063(3)$ [1] and the lattice constant a = 0.389 nm of pure palladium. This relation also holds for the two-phase region [16], taking the mean hydrogen concentration x_H of the sample into account. Thereby, x_H is defined as dissolved hydrogen atoms per palladium atom [H/Pd]. Hence, each dissolved H-atom is surrounded by an elastic strain field of the Pd lattice. The H atoms attractively interact via these strain fields, yielding an elastic contribution to the chemical potential [27] and initiating hydride formation at the solid solution limit x_{α}^{max} . As first described by Lacher [28], within a linear approximation of the elastic interaction the chemical potential μ_H of H in bulk Pd is commonly given by (Eq 2) [3,29]

$$\mu_H = RT \ln \left(\frac{x_H}{r - x_H} \right) + E_0 - E_{HH} x_H \tag{2}$$

with gas constant R and absolute temperature T. The first term in Eq 2 describes the configurational entropy of H dissolved on the octahedral sites and the maximum fraction r of sites that can be occupied by hydrogen. r is determined both by the electronic structure [30] and the microstructure [31] of the metal host and accounts mainly for the composition of the hydride phase. Within this model the hydrogen atoms enter sites with a sharp site energy E_0 , while the H–H interaction energy is characterized by an interaction strength parameter E_{HH} . Standard values for bulk Pd have been determined by Wicke or Kirchheim, Mütschele and others to be $E_0 = 3.9 - 7.7$ kJ/mol [22,27,32] and $E_{HH} = 30$ kJ/mol [22].

Mechanical stress resulting from elastic constraints changes the chemical potential. Cahn and Larché [33–35] have shown for the general case of systems exposed to stress that the chemical potential is modified in leading order by (Eq 3)

$$\Delta\mu_H(\sigma_{ii}) = -v_0 \eta_H \sigma_{ii} \tag{3}$$

where v_0 is the partial molar volume of sites occupied by an interstitial species and σ_{ii} is the sum of axial stresses. Considering octahedral sites in Pd, the volume density is $v_0 = 8.56 \times 10^{-6} \,\mathrm{m}^3/\mathrm{mol}$ [27].

In thin films the stress contribution to the chemical potential mainly depends on the substrate-induced in-plane stress, while vertical interface stress contributions resulting at phase interfaces within the film cancel out [10,19]. In total, with a superposition of the H–H interaction and of the substrate-induced stress-contribution, the chemical potential of thin films can be written Eq 4 [10,19]

$$\mu_H = RT \ln\left(\frac{x_H}{r - x_H}\right) + E_0 - E_{HH} x_H - v_0 \eta_H \sigma_{ii}(x_H) \tag{4}$$

with i = (1,2).

The substrate-induced stress σ_{ii} can be measured by a substrate deflection method [36,10]. With this measured stress dependency, Eq 4 enables a description of the thermodynamic conditions of hydride formation in the Pd-H thin films adhered to substrates, converging to the measured sloped chemical potential plateau in the two-phase field in terms of a Maxwell-construction—reflecting the measured two-phase co-existence [10,19]. However, as exemplarily shown in Figure 1a for the example of an 80 nm nano crystalline Pd-H film on a silicon substrate, the fit function of Eq 4 (blue line) remarkably deviates from the measured chemical potential in the α -phase region below the solid solution limit. This differs from the measured chemical potential of the Pd-H bulk system (with

 $\sigma_{ii} = 0$) shown in Figure 1b, where the fit function of Eq 4 nicely describes the low concentration regime. We note that deviations of the bulk isotherm from the measured data in the two-phase region of the bulk system in Figure 1b are self-evident: In the concentration range of the two-phase equilibrium, the isotherm oscillates around the measured chemical potential. The constant potential data can be obtained by the Maxwell-construction; this has been targeted in reference [10].

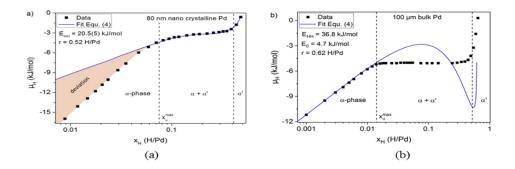


Figure 1. Chemical potential μ_H of hydrogen in (a) 80 nm nano crystalline Pd-H adhered to a Si substrate and (b) free standing bulk Pd-H as a function of the hydrogen concentration x_H at temperature T = 297 K. Measured data points are given in black dots. The quasi-thermodynamic approach of Eq 4 describes the two-phase $(\alpha + \alpha')$ field in terms of a Maxwell-construction both for bulk and for the thin film, as can be seen by the inserted fit functions given in blue lines. In the solid solution α -phase, Eq 4 clearly deviates from the measured chemical potential for the thin film (a). The phase boundaries were determined by tangent constructions to the measured data points in the logarithmic representation.

Isotherms of Pd thin films of other microstructures and thicknesses are presented in the supplemental information. They show a similar behavior to the film in Figure 1a. Hence, in the manuscript we picture results of the 80 nm nano crystalline Pd film as case examples.

Within the framework of this model, the observed deviation is caused by the restriction to a sole site energy E_0 in Eq 4: In thin films a number of microstructural defects such as surfaces, interfaces and dislocations with additional site energies for hydrogen are present. Open-volume defects like grain boundaries, vacancies and the dilatation fields of edge dislocations with a locally expanded lattice can interact attractively with hydrogen induced strain fields and hence offer more negative site energies than the conventional interstitial sites. This results in a preferable H-occupation of open-volume defects [6,18,31]. Re-writing Eq 4 as Eq 5

$$RT \ln\left(\frac{x_H}{r - x_H}\right) + E_0 = \mu_H + E_{HH} x_H + v_0 \eta_H \sigma_{ii}(x_H)$$
 (5)

illustrates that in case of defective metals the left hand side of the equation has to be replaced by a distribution of sites and site energies [22,31]. Subsequently, we will show that this approach enables a reasonable fit of the measured chemical potential curves of Pd-H thin films in the solid solution regime. Following the approach of an additive contribution of substrate-induced stresses to the chemical potential, the measured stress contribution $v_0\eta_H\sigma_{ii}(x_H)$ has to be substracted from the measured chemical potential μ_H to determine pure site energies. Any change in the H-H-interaction

contribution $E_{HH}x_H$ is neglected, here. It has a minor impact on the isotherm in the low concentration regime, and it would yield a self-referred contribution to the hydrogen concentration x_H of the film. Its effect on the fitting parameters in the low concentration regime is discussed in the supplemental information.

Following an approach of Kirchheim et al. [2,22,24,37,38], within the framework of statistical thermodynamics the distribution of hydrogen atoms among a number of different sites can be described by using Fermi-Dirac statistics and the related density of sites (DOS), given by Z(E). Since the chemical potential of hydrogen atoms in different sites is equal at a given overall hydrogen concentration of the film, in turn the total concentration x_H of hydrogen atoms in the metal at a given chemical potential μ_H is determined by integrating over the energies E of Z(E) multiplied with the Fermi-Dirac function (Eq 6) [2,37],

$$x_H(\mu_H) = r \cdot \int_{-\infty}^{\infty} \frac{Z(E)}{1 + \exp\left(\frac{E - \mu_H}{RT}\right)} dE$$
 (6)

In turn, this enables the reconstruction of the density of sites Z(E), when $\mu_H(x_H)$ is measured.

Considering conventional interstitial lattice sites with a sharp site energy E_0 , the DOS transforms to a delta-distribution (Eq 7) [2],

$$Z(E) = \delta(E - E_0) \tag{7}$$

In defective metals a fraction f of the interstitial lattice sites is replaced by sites with a different energy distribution. Considering sites like grain boundaries with a distribution of atom arrangements, following an idea of White et al. the DOS can be described by a Gaussian distribution (Eq 8) with half width σ_1 around peak energy E_1 [39,2],

$$Z(E) = \frac{1}{\sigma_1 \sqrt{\pi}} \cdot \exp\left(-\left(\frac{E - E_1}{\sigma_1}\right)^2\right)$$
 (8)

For dislocations the situation is more complicated [2,37]. Here, hydrogen atoms tend to form elongated hydrides in the dilatation fields of edge dislocations at low overall hydrogen concentrations in the metal. Considering the related DOS with an energy E_V of hydride formation close to the dislocation and assuming an octahedral symmetry of the dislocation sites [2], it is Eq 9 [37]

$$Z(E) = -\rho \pi \frac{(A \cdot V_H)^2}{2(E - E_V)^3} \tag{9}$$

with the dislocation areal density ρ and the partial volume of hydrogen atoms in metals, $V_H = 1.69 \times 10^{-6} \text{ m}^3/\text{mol}$ [3]. Furthermore, the parameter A is given by $A = \frac{Gb(1+v)}{3\pi(1-v)}$ with shear modulus G = 44.7 GPa, Burgers vector b = 0.275 nm and Poisson ratio v = 0.523 in the (111) plane of a Pd thin film with [111] texture [10].

To fit the measured chemical potential curves of Pd-H thin films, depending on the film structure we will take into account one or two kinds of sites with sharp site energy E_0 and E_0^{il} (representing interstitial sites) and two different kinds of sites with Gauss distributed site energies E_1 and E_2 . The two Gaussians may represent grain/domain boundary sites and deep traps. As discussed below, we will not consider dislocations. For simplicity, we will furthermore assume r = 1 H/Pd, neglecting the blocking of octahedral interstitial sites. This is justified at low overall hydrogen

concentrations, regarded here. Within our approach we use as few fitting parameters as possible, that can be clearly distinguished from a physical point of view.

In the regular fcc Pd lattice the number of octahedral interstitial sites equals that of the Pd atoms, yielding the relation $f_0 = 1 - f_1 - f_2$ for the number density f_0 of available interstitial sites in Pd. For some Pd films (see below), an additional adhesive vanadium interlayer was placed in-between the Pd film and the substrate. This layer offers additional interstitial sites f_0^{il} of site energy E_0^{il} , that add on top of the sites in the Pd film. Then, in total the DOS of the Pd films is given by (Eq 10)

$$Z(E) = (1 - f_1 - f_2) \cdot \delta(E - E_0) + f_0^{il} \cdot \delta(E - E_0^{il}) + \sum_{i=1}^{2} \frac{f_i}{\sigma_i \sqrt{\pi}} \cdot \exp\left(-\left(\frac{E - E_i}{\sigma_i}\right)^2\right)$$
(10)

Evaluating the total hydrogen concentration in a film referring to Eq 6, all partial DOS of Eq 10 can be treated separately, and the partial hydrogen concentrations can be summed up, subsequently. Integrating the first two parts of Eq 6 with 10 (Eq 11)

$$x_{H,0}(\mu_H) = (1 - f_1 - f_2) \cdot \int_{-\infty}^{\infty} \frac{\delta(E - E_0)}{1 + exp(\frac{E - \mu_H}{R^T})} dE + f_0^{il} \cdot \int_{-\infty}^{\infty} \frac{\delta(E - E_0^{il})}{1 + exp(\frac{E - \mu_H}{R^T})} dE$$
 (11)

yields the common result (Eq 12)

$$\chi_{H,0}(\mu_H) = (1 - f_1 - f_2) \cdot \frac{1}{1 + exp\left(\frac{E_0 - \mu_H}{RT}\right)} + f_0^{il} \cdot \frac{1}{1 + exp\left(\frac{E_0^{il} - \mu_H}{RT}\right)}$$
(12)

which is equivalent to $\mu_H = RT \ln \left(\frac{x_H}{1 - x_H} \right) + E_0$ for $f_1 = f_2 = f_0^{il} = 0$.

For the Gaussian parts of Eqs 6 and 10 no primitives exist. However, the partial hydrogen concentration $x_{H,1,2}$ in sites with Gaussian site energy distribution can be calculated numerically, when the integral is transformed into a sum over n discrete energies $\Delta E_j = (E_j - E_{j-1})$ on a properly chosen energy interval [a, b]. Then (Eq 13),

$$x_{H,1,2}(\mu_{H}) = \int_{-\infty}^{\infty} \frac{\sum_{i=1}^{2} \frac{f_{i}}{\sigma_{i} \sqrt{\pi}} \cdot \exp\left(-\left(\frac{E - E_{i}}{\sigma_{i}}\right)^{2}\right)}{1 + \exp\left(\frac{E - \mu_{H}}{RT}\right)} dE \approx \int_{a}^{b} \frac{\sum_{i=1}^{2} \frac{f_{i}}{\sigma_{i} \sqrt{\pi}} \cdot \exp\left(-\left(\frac{E - E_{i}}{\sigma_{i}}\right)^{2}\right)}{1 + \exp\left(\frac{E - \mu_{H}}{RT}\right)} dE$$

$$\approx \sum_{j=1}^{n} \left[\frac{\sum_{i=1}^{2} \frac{f_{i}}{\sigma_{i} \sqrt{\pi}} \cdot \exp\left(-\left(\frac{E_{j} - E_{i}}{\sigma_{i}}\right)^{2}\right)}{1 + \exp\left(\frac{E_{j} - \mu_{H}}{RT}\right)} \cdot \Delta E_{j}\right] = \sum_{j=1}^{n} \left[\Delta x_{H,1,2}^{j}(E_{j}, \mu_{H})\right]$$

$$(13)$$

This sum can be calculated stepwise for discrete values of μ_H . Together with Eq 12 it yields the total hydrogen concentration as a function of the chemical potential (Eq 14)

$$x_H = x_H(\mu_H) \tag{14}$$

in the film for each given μ_H , with E_0 , E_0^{il} , E_1 , E_2 , σ_1 , σ_2 , f_1 and f_2 left as fitting parameters of the DOS to represent the measured chemical potential. f_0^{il} , on the other hand, can be calculated from the thickness of the V interlayer. Relative to the Pd layer thickness it is (Eq 15)

$$f_0^{il} = \frac{d_V}{d_{Pd}} \cdot \frac{v_{Pd}}{v_V} \tag{15}$$

with the thicknesses d_V and d_{Pd} of the V interlayer and the Pd film, and the molar volumes $v_V = 8.32 \times 10^{-6} \,\mathrm{m}^3/\mathrm{mol}$ of vanadium and $v_{Pd} = 8.56 \times 10^{-6} \,\mathrm{m}^3/\mathrm{mol}$ of palladium [27]. Since we correct the measured chemical potential data for the measured substrate-induced stress contribution, we call our model the " σ DOS model".

The Gaussian functions in Eq 13 decrease rapidly to the left and to the right hand sides of the peak energies. Setting $a = -80 \text{ kJ/mol} < E_j \le b = +40 \text{ kJ/mol}$ and $\Delta E_j = 0.2 \text{ kJ/mol}$ is a reasonable choice in case of the investigated Pd-H thin films: with the fitting parameters in Table 1, the incremental concentration steps are $\Delta x_{H,1,2}^j < 1 \times 10^{-14} \text{ H/Pd}$ at the borders of [a,b].

2.2. Experimental details

24–200 nm Pd films were Ar-ion beam sputtered at 2×10^{-4} mbar in an UHV chamber with a background pressure of the order of 10^{-10} mbar . The sputter rate was 1 nm/min. Microstructure and adhesion conditions of the films were adjusted by choosing two different substrates (Silicon (Si) [001] with its native surface oxide layer and sapphire (Al₂O₃) [0001]), by choosing the substrate temperature between 300 and 1073 K, and by adding a 1 nm adhesive V-interlayer (V//Al₂O₃) for the epitaxial films. All films contained a small amount of iron $x_{Fe}=1-2$ at%, resulting from the film's cathode beam sputtering in the UHV vessel. The substrates dimensions were 30 mm × 7 mm × (0.1–0.5) mm. The Pd films' microstructures were described elsewhere [40]. At 300 K, Pd grows with [111] fibre texture and in-plane grain sizes of 30–50 nm on silicon substrates. These films are called "nano crystalline films". Pd on sapphire sputtered at 673 K has a multi-oriented, polycrystalline microstructure with twinned domains. These films are named "multi-oriented films". Pd on sapphire with an adhesive V-interlayer grows seeded epitaxially at 673 K. The films are called "epitaxial films".

After film preparation, XRD stress analysis yields compressive intrinsic in-plane stresses of the order of $\sigma_0 = -1.3$ GPa for nano crystalline Pd films on Si. Multi-oriented films on Al₂O₃ and epitaxial films on V//Al₂O₃ are strained in tension with stresses of $\sigma_0 = +0.2$ to +0.8 GPa, see reference [41].

The Pd films were loaded stepwise with hydrogen by electrolytical charging in 2/3 glycerin (80%) and 1/3 phosphoric acid (80%) [19]. The chemical potential μ_H of hydrogen in the films (Eq 16a) was determined in reference to a saturated Ag/AgCl-electrode, as from Nernst equation [12,13,31]

$$\mu_H = (U - U_0) \cdot F + \mu_{H2}^0(T)/2 \tag{16a}$$

with Faradays constant F, electromotive force (EMF) U and standard potential $U_0 = 0.181$ V. The standard potential was determined for a 100 μ m thick Pd bulk sheet. The offset term in Eq 16a refers to the standard chemical potential of gaseous hydrogen. It is (Eq 16b)

$$\mu_{H2}^0/2 = -231.8 \, kJ/mol$$
 (16b)

at $T=297\,\mathrm{K}$, see the supplemental information for details. As conventionally done in the corresponding literature on metal hydrogen systems [2,22,31,37,38,42], we here shift the energy scale by adding $-\mu_{H2}^0/2$ to the chemical potential, essentially neglecting the offset term.

H-induced changes $\Delta \sigma_{11} \equiv \Delta \sigma$ of the Pd films' in-plane stress were determined in-situ by substrate curvature measurement in the long substrate direction. According to Stoney's equation (Eq 17) [36].

$$\Delta\sigma = \frac{E_S d_S^2}{6(1 - v_S)d_f} \cdot \left(\frac{1}{R} - \frac{1}{R_0}\right) \tag{17}$$

with the substrates' elastic moduli E_S and v_S , substrate thickness d_S , film thickness d_f , radius R of substrate curvature and initial radius R_0 . The change of the radius of curvature was measured utilizing an induction sensor with a resonant circuit. A metal plate was glued to the backside of the substrates' front end and placed close to the sensor. When the distance between plate and sensor changes due to substrate-bending, the measured capacity of the sensor changes due to eddy currents induced in the plate. This enables calibration of the substrate curvature [43]. A typical stress-concentration curve is shown in Figure 2 for the exemplary case of the 80 nm nano crystalline Pd-H film. Further stress data of the films was published in references [10] and [41].

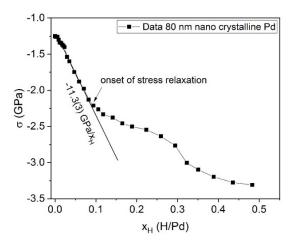


Figure 2. Absolute H-induced modification of the in-plane stress of an 80 nm nano crystalline Pd-H film, as measured in-situ by the substrate deflection method. In the low concentration regime the compressive stress increases almost linear elastically with an increment of -11.3 GPa/ x_H . At $x_H = 0.09(1)$ H/Pd stress relaxation sets in due to the generation of microstructural defects (mainly dislocations) in the film [41].

3. Results

Figure 3 shows the measured, stress-corrected chemical potentials (data points) of 80 nm Pd-H thin films with nano crystalline, multi-oriented and epitaxial microstructures and best fits (lines) according to Eq 14. For the nano crystalline and the multi-oriented film f_0^{il} is $f_0^{il} = 0$, while it is $f_0^{il} = 0.0127$ for the epitaxial film. Apparently, the measured chemical potentials of the films with different microstructures differ systematically, with the smallest chemical potential at a given H-concentration for the nano crystalline film. This is in accordance with the expectation that nano crystalline films contain the largest number of open-volume defects.

As can be seen, the data points are well represented by the fitted curves. This is also expressed in Figure 4. There, the original, uncorrected μ_H data of the 80 nm nano crystalline Pd-H thin film is

plotted together with the fit according to the quasi-thermodynamic approach of Eq 4 and with the fitted line according to Eq 14. In accordance with the data treatment described before, thereby the measured substrate-induced stress contribution was added back to the curve of Eq 14 after the fitting procedure. While the fit of Eq 4 strongly deviates from the measured chemical potential in the α -phase region, Eq 14 fits well, there. Hence, the fitted DOS with one delta and two Gaussian distributions well represents the measured chemical potential. In the supplemental information, we furthermore show that it is mandatory to use two Gaussian site energy distributions next to the interstitial sites to fit the chemical potential.

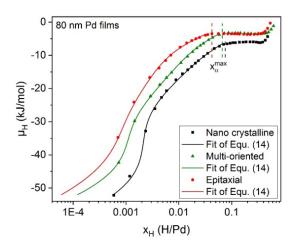


Figure 3. Measured chemical potentials (data points) of 80 nm Pd-H thin films with different microstructures, each corrected by subtracting the measured substrate-induced stress contribution $v_0\eta_H\sigma_{ii}(x_H)$ from the measured chemical potentials, compare reference [10]. The data points were fitted (lines) according to Eq 14. The solid solution limit x_{α}^{max} of each film is marked.

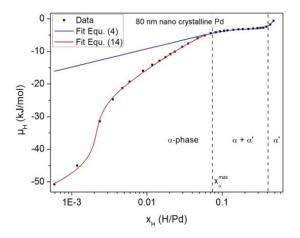


Figure 4. Measured chemical potential of an 80 nm nano crystalline Pd-H thin film with the fitted curves according to the quasi-thermodynamic approach of Eq 4 and the σ DOS model according to Eq 14. The substrate-induced stress contribution to the chemical potential was added back to Eq 14 after the fitting procedure. The stress-corrected fit (red curve) describes the data very well in the α -phase region.

The fitted σDOS model parameters of Eq 14 are summarized in Table 1 for all investigated Pd-H films. Related isotherms are presented in the supplemental information. Reference data for bulk Pd according to references [10,22,32] is given in Table 1, as well. The fits are very sensitive to small changes in the fit parameters, yielding considerably small confidence intervals. This is discussed in more detail in the supplemental information.

Table 1. Parameters of the fitted DOS of Pd-H thin films with different microstructures according to Eq 14. Three kinds of sites with sharp site energy E_0 and two Gaussian site energy distributions with $E_{1,2}$, half widths $\sigma_{1,2}$ and site fractions $f_{1,2}$ are distinguished for the nano crystalline and the multi-oriented Pd films, while additional sites with energy E_0^{il} were considered for the epitaxial Pd films with a 1 nm V interlayer. In the right column the number ratio N_S/N_V of surface-to-volume Pd atoms of the films is given. For comparison, reference data for bulk Pd [10,22,32] is quoted. The nano crystalline bulk samples were prepared by compacting inert gas condensated (IGC) nano particles [22]. To calculate N_S/N_V for bulk, samples of 80 μm thickness like in reference [22] were assumed.

	Thickness	E_0	E_0^{il}	E_1	σ_1	f_1	E_2	σ_2	f_2	N_S
	(nm)	(kJ/mol)	(kJ/mol)	(kJ/mol)	(kJ/mol)		(kJ/mol)	(kJ/mol)		$\overline{N_V}$
Epitaxial Pd on 1 nm V/Al_2O_3 [0001], $T_{Dep} =$	24	4.6(3)	-0.8(5)	0.6(1)	17.9(3)	0.070(2)	-43(1)	11.3(3)	0.0019(1)	0.0091
673 K	80	5.7(3)	-0.3(9)	0.6(1)	19.3(3)	0.019(2)	-43(1)	8.1(3)	0.0007(1)	0.0027
	200	4.8(3)	-0.3(9)	0.5(1)	17.1(5)	0.017(2)	-42(1)	8.1(3)	0.0002(1)	0.0011
Multi-oriented Pd on Al ₂ O ₃ [0001], $T_{Dep} = 673$	24	1.8(3)	n/a	0.5(1)	15.3(3)	0.124(2)	-46(1)	9.4(3)	0.003(1)	0.0091
K	80	4.4(3)	n/a	0.5(1)	16.6(3)	0.055(2)	-45(1)	5.6(3)	0.0013(1)	0.0027
	200	4.5(3)	n/a	0.5(1)	17.4(3)	0.032(2)	-42(1)	8.1(3)	0.0005(1)	0.0011
Nano crystalline Pd on Si [100], $T_{Dep} = 300 \text{ K}$	24	1.0(3)	n/a	0.5(1)	14.5(3)	0.25(2)	-44.0(5)	5.9(3)	0.003(1)	0.0091
·	80	1.5(3)	n/a	0.5(1)	15.0(3)	0.118(2)	-48.3(5)	6.3(3)	0.0021(1)	0.0027
	200	1.0(3)	n/a	0.7(1)	14.5(3)	0.100(2)	-48.0(5)	7.1(3)	0.0015(1)	0.0011
Reference: coarse grained [10,32] and nano	bulk	4.7 - 7.7	n/a	n/a	n/a	n/a	-	-	-	5.5×10^{-6}
crystalline [22] bulk Pd	ne bulk	3.9	n/a	9.2	15	0.3	-	-	-	5.5×10^{-6}

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In Figure 5, the fitted density of sites of the 80 nm nano crystalline Pd-H film is compared to the DOS determined by Mütschele and Kirchheim for nano crystalline bulk in reference [22].

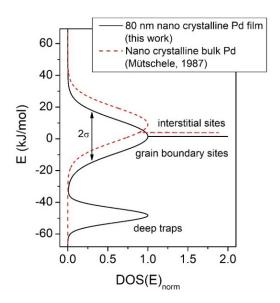


Figure 5. Normalized density of sites (DOS) determined with the σ DOS model for an 80 nm nano crystalline Pd-H film, compared to the DOS of nano crystalline bulk Pd-H [22]. The plotted DOS were normalized to peak heights of 1 to enhance the comparability.

4. Discussion

Figure 4 exemplarily shows the measured data for one chosen Pd-H film, and two related fit curves of the quasi-thermodynamic approach and the σ DOS model. For both fit curves, the measured stress contribution to the chemical potential is separated from the fitting procedure. The quasi-thermodynamic approach (blue line) considers octahedral interstitial sites only, which hydrogen occupies in bulk material. The red line according to the fitted DOS in Figure 5 touches the curve of the quasi-thermodynamic approach close to the solid solution $\lim_{\alpha} t_{\alpha}^{max}$ of the film. This observation hints on saturation of sites different from octahedral interstitial sites within the films' α -phase region. Furthermore, it shows that the onset of hydride formation in the films is determined by hydrogen located in interstitial sites.

On a closer look the slope of the σDOS model curve is larger than that of the quasi-thermodynamic approach close to x_{α}^{max} . This effect is caused by the H-H interaction not implemented in Eq 14, that bends down $\mu_H(x_H)$ when the film enters the plateau region of the two-phase field [10,22]. This aspect is discussed in the supplemental information.

The absolute values of E_0 of the interstitial sites in Pd are plotted in Figure 6 together with the marked range (between the dashed lines) of bulk values [10,22,32] for the energy range determined for octahedral interstitial sites in Pd.

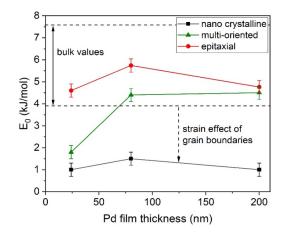


Figure 6. Octahedral site energies E_0 determined for Pd-H thin films of different microstructures, compared to the range of literature data of the respective bulk values for Pd-H. The site energies of the nano crystalline films are presumably reduced by a strain effect of the grain boundaries acting on the grains [23,44].

Apparently, the site energy values E_0 of the thicker multi-oriented (green triangles) and of the epitaxial (red spheres) Pd-H films fall into the range of the bulk values, while that of the nano crystalline films (black squares) and of the thinnest 24 nm multi-oriented film are reduced by 2-3 kJ/mol. This hints on an interfacial stress contribution of the grain boundaries on the grains, as proposed by Weissmüller and Lemier [23,44]: In their model, the hydrogen filled grain boundaries affect the grain interior of nano crystalline Pd-H samples. In the low concentration α -phase regime the H-occupation of grain boundary sites leads to a tensile straining of the grain interior, which increases the H binding energy to the interstitial sites, expressed by a reduction of the site energy E_0 .

In thin films adhered to rigid substrates, all straining effects are anisotropic, yielding a surplus vertical expansion and hence a tetragonal distortion of the films [41]. Hence, the grain-boundary straining effect will be anisotropic, as well, and it should increase with an increasing volume fraction of grain boundaries. This is confirmed by the fitting parameters in Table 1.

According to Table 1, for all investigated Pd-H thin films the obtained grain boundary energies E_1 and the half widths σ_1 of the energy distributions are similar within $E_1 = 0.5 - 0.7$ kJ/mol and $\sigma_1 = 15 - 19$ kJ/mol, respectively. This remarkable result is not expected at first hand, since the grain boundary structure of nano crystalline films and of films of other microstructures can be assumed to differ. However, it has been shown both for fibre textured as well as for epitaxial metal thin films that the crystalline texture assists the formation of special low- Σ grain boundary fractions [45–47], forming a two dimensional network with general grain boundary fractions [45,48]. This supports the experimental result that grain/domain boundaries in Pd-H thin films of different microstructures can be described by similar model parameters. Interestingly, the half widths σ_1 of the site energy distributions are also similar to the bulk value of 15 kJ/mol determined for nano crystalline Pd in reference [22]. But, the absolute grain boundary energy E_1 is shifted to less positive values for the thin films compared to the value of 9.1 kJ/mol for Mütscheles and Kirchheims nano crystalline bulk Pd [22]. Hence, hydrogen is bound more strongly to grain/domain boundary sites in thin films compared to their nano crystalline bulk Pd samples. This observation suggests that the grain boundary structure of sputtered thin films differs from that of these nano crystalline bulk

samples. This may result from the different preparation process of compacting gas phase condensated nano particles, the consolidating inert gas condensation (IGC) method [22,49]. As shown in Refs. [49,50], IGC nano crystalline bulk Pd samples similar to those investigated in reference [22] contain light element impurities (O, N, H) by preparation. The impurity content linearly increases with an increasing surface-to-volume ratio of the particles. This indicates that the impurities segregate to the grain boundaries of the samples, where they can block absorption sites for further hydrogen occupation. This might increase the mean grain boundary energy E_1 of nano crystalline bulk Pd. At a particle size of 5 – 10 nm like in reference [22], a total impurity content of 4.5 – 9 at% is expected [50], with atom ratios O:H:N of 6:4:3 [49]. Complementary, possible segregation of substrate material, i.e. Si or V, in the grain/domain boundaries of the sputtered Pd films would change the film's site energies compared to bulk material. Gemma et al. have investigated the interdiffusion of V and Pd by means of atom probe tomography, resulting in a steady V content of the order of 5 at% in Pd close to the interface [51].

Another cause of reduced grain/domain boundary energies in thin films might be related to structural changes due the tetragonal distortion of adhered thin films, like it is observed for the interstitial site energies, as well.

Furthermore, for nano crystalline bulk Pd consisting of randomly oriented nano particles, grain boundaries with a partially amorphous intergranular phase have been reported [17,49,52]. Consideration of the similar widths σ_1 of the energy distributions suggests that the general grain boundary fraction of the thin films contains amorphous parts, as well.

The grain/domain boundary structures proposed for nano crystalline and epitaxial Pd thin films as well as for nano crystalline bulk Pd are schematically compared in Figure 7.

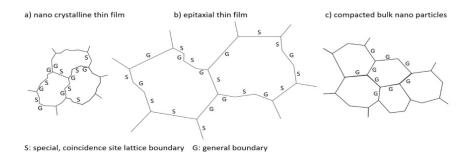


Figure 7. Proposed grain/domain boundary structure of (a) nano crystalline and (b) epitaxial Pd thin films viewing onto the film surface, and (c) of nano crystalline bulk Pd, consistent with the experimental findings applying the σDOS model to Pd-H. We interpret our results for the thin films with grain/domain boundaries containing special, coincidence site lattice boundary fractions (S boundaries) and general, large angle boundaries (G boundaries). These form a two dimensionally alternating network. The nano crystalline bulk sample, prepared by compacting randomly oriented nano particles, mainly should contain general grain boundaries with a certain fraction of surplus atoms of O, H and N. In all cases the general boundaries seem to contain amorphous parts.

Next to grain/domain boundary sites, deep traps are found for the Pd-H thin films. Their energy values E_2 range between $E_2 = -42$ kJ/mol and $E_2 = -48$ kJ/mol. However, they group for the

different microstructures with more positive values for the epitaxial films and more negative values for the nano crystalline films. Regarding the nature of these deep traps, three constituents of the films' microstructure are at hand: the films' surface, a natural surface oxide layer, and vacancies. Additionally, for the case of epitaxial Pd thin films the thin vanadium interlayer acts as a hydrogen trap, see Table 1. This was expected, since the binding energy of H to vanadium is larger than that to palladium [27,51]. In the present study, the chemical potential of the Pd thin films' surface oxide layer was determined by anodic polarization of the films in the electrolyte, see the supplemental information for details. It ranges between -45 and -80 kJ/mol, depending on the degree of oxidation. Hence, the measured deep trap energies fall into the range of Pd oxide potentials. Furthermore, literature data for the adsorption energy of hydrogen atoms on clean Pd(111) surfaces are of a similar order of magnitude. Ferrin et al. and Dong et al. calculated H trapping energies of -46 kJ/mol [53] (the values therein were corrected by $-\mu_{H2}^0/2$) to -49 kJ/mol [54] on Pd(111) surfaces. Conrad and Ertl et al. measured -44 kJ/mol [55]. All of these energy values indicate the presence of deep traps at the Pd-H films surfaces. Additionally, hydrogen can be trapped in vacancies in the bulk of the films [18]. The trapping energy of Pd vacancies decorated with H-atoms changes with the number of trapped H. According to reference [56] the trapping energy is -22 kJ/mol for one H atom, -45 kJ/mol for two H atoms, and it decreases down to -112 kJ/mol for six trapped H atoms. With this, it is improbable that vacancies widely contribute to hydrogen trapping in the investigated Pd-H thin films, since their filling should not saturate at two hydrogen atoms.

To evaluate the nature of the deep traps in more detail, the site fractions f_2 given in Table 1 are compared to the number density of surface sites of Pd thin films. Owing to the Burgers vector b = 0.275 nm, the (111) surface plane of the Pd thin films consists of $N_S = 2.54 \times 10^{-9}$ mol/cm² Pd atoms. With the molar volume $v_{Pd} = 8.56 \times 10^{-6}$ m³/mol [27] of Pd, a 1 cm² film of 80 nm thickness consists of $N_V = 9.35 \times 10^{-7}$ mol Pd atoms in total. Hence, for this film $N_S/N_V = 0.0027$. Surface atom fractions N_S/N_V of films with other thicknesses are listed in the last column of Table 1. Assuming that at maximum 1 H atom is adsorbed per Pd surface atom [55], N_S/N_V represents the maximum surface site fraction of H atoms on the films.

For all investigated films apart from 200 nm nano crystalline Pd-H, Table 1 reveals $f_2 < N_S/N_V$. Thus, hydrogen adsorption to surface sites can explain the experimental findings. However, for most films a certain amount of surface sites seems to be blocked for hydrogen adsorption. For other surface sites, the natural surface oxide will be reduced by the formation of water molecules, which are dissolved in the electrolyte and open surface trap sites for H atoms. Thereby, the loss of hydrogen atoms by water formation in the electrochemical loading experiments results in an apparent lowering of the chemical potential in the plots of $\mu_H(x_H)$ in Figures 3 and 4. The related effect will be three times that of direct H trapping on the Pd surface: Two hydrogen atoms bind to one surface oxygen atom, and a further hydrogen atom binds to the nascent surface site after dissolution of the generated water molecule. According to the values of f_2 , hydrogen trapping in vacancies, again, is of minor importance in the measured Pd-H thin film isotherms.

One can estimate the volume fraction v_1 of grain/domain boundary sites in the Pd films, when the site fractions f_1 are identified with the defects' volume fraction in the metal [22,37]. This implies that each defect site has the partial volume v_0 of an octahedral interstitial site, compare Eq 10. This is analogous to an attempt of Löffler et al. [49], who successfully described the contribution of disordered grain boundaries to X-ray scattering intensities of nano crystalline bulk Pd, assuming that the atom density of disordered grain boundaries matches that of the crystal lattice.

Nano crystalline thin films often consist of columnar grains, ranging from the top close to the bottom of the film [57]. The multi-oriented and the epitaxial Pd films investigated in this study consist of large domains [41,58], that can be described as columns, as well. Assuming columnar grains/domains with diameter D and grain/domain boundaries of width Δ , the grain boundary volume fraction is given as (Eq 18)

$$f_1 = v_1 = 1 - \left(\frac{D}{D + \Delta}\right)^2 \tag{18}$$

With the established value of $\Delta = 0.9 \, nm$ for grain boundaries in nano crystalline bulk Pd [2,59] according mean grain/domain sizes were calculated and are listed in the left column of Table 2 for the different Pd-H films.

The resulting grain/domains sizes are of a reasonable order of magnitude, but they are systematically too small compared to measured lateral grain/domain sizes of the films as determined by SEM. For the example of the 200 nm nano crystalline Pd film a lateral grain size of 30 - 50 nm was measured [41,57,58]. But, the domains were measured to span more than 100 nm in the multi-oriented films and several hundred nm in the epitaxial films [41,57,58].

Considering this observation, different explanations are at hand. (i) first, the defect volume fractions might be over-estimated by Eq 14, if a significant kind of defect was neglected in Eq 10, for example dislocations. Another possible explanation might be linked to the assumption underlying Eq 18, e.g. that (ii) the partial volume of grain boundary sites equals that of octahedral interstitial sites. Finally, (iii) the adapted bulk grain boundary width Δ might be too small for the thin films. These aspects are discussed subsequently.

To determine (i) the dislocation effect on the chemical potential of metal-hydrogen systems, the respective DOS of Eq 9 needs to be introduced into Eq 6. With a step-function approximation of the site occupancy, Kirchheim has shown that Eq 6 then yields the chemical potential contribution (Eq 19) [37].

$$\mu_H^V = E_V - \frac{A \cdot V_H}{2} \left(\frac{r \rho \pi}{x_V} \right)^{1/2} \tag{19}$$

with the hydrogen concentration x_V of dislocations.

If x_V is not small, Eqs 6 with 9 needs to be integrated numerically. Both results are shown in Figure 8 for differently assumed dislocation densities ρ and compared to the data of the 80 nm nano crystalline Pd-H film. The hydride formation energy of the dislocations was set to $E_V = -20 \text{ kJ/mol}$ [37]. The dislocation densities were set to $\rho = 2 \times 10^{11} \text{ cm}^{-2}$, which is typical for strongly deformed metals, and (for the plot) to $\rho = 30 \times 10^{11} \text{ cm}^{-2}$.

Figure 8 shows that the film data are not represented by the curves for reasonable dislocation densities. An un-physically high dislocation density would be needed to approach the data. The reason is that the measured chemical potential of hydrogen in the Pd films increases much slower with the film's hydrogen concentration than predicted by a primary dislocation effect, see the supplemental information. Hence, in the chemical potential of the solid solution regime of Pd-H thin films dislocations do not play a major role, and they can be neglected in the DOS evaluation.

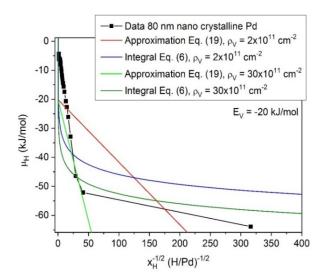


Figure 8. Comparison of the measured chemical potential of an 80 nm nano crystalline Pd-H film with the expected contributions of dislocations with different dislocation areal densities ρ according to Eqs 6 and 19, respectively.

Assuming the site fractions f_1 to be correct, (ii) a possibility to explain too small calculated grain/domain sizes D can be found in the geometry of the grain/domain boundary sites. Boundary sites with different character than octahedral (O)-sites might be occupied—such as tetrahedral (T)-sites. In the fcc lattice two T-sites exist per metal atom, while there is only one O-site per atom [3]. The DOS in Eq 10, however, represents the numbers f_i^0 of sites replacing O-sites. Hence, assuming T-boundary sites, Eq 10 needs to be re-written (Eq 20):

$$Z(E) = \left(1 - \frac{f_1^T}{2} - f_2^O\right) \delta(E - E_0) + f_0^{il} \delta(E - E_0^{il}) + \frac{f_1^T}{\sigma_1 \sqrt{\pi}} \exp\left(-\left(\frac{E - E_1}{\sigma_1}\right)^2\right) + \frac{f_2^O}{\sigma_2 \sqrt{\pi}} \exp\left(-\left(\frac{E - E_2}{\sigma_2}\right)^2\right)$$
(20)

with $f_1^T = 2 \cdot f_1^O \doteq f_1$ in Table 1. We note that in principle only 50% of these T-sites (according to a maximum concentration of $1 \, H/Pd$) can be occupied by H atoms, since the nearest neighbour distance of the T-sites is 1.95 Å or 2.75 Å in the unit cell of palladium, respectively. The value of 1.95 Å is slightly smaller than Switendicks criterion for the minimum distance of 2.1 Å for neighbouring H atoms in the metal lattice due to a short ranged, repulsive electronic H-H interaction [59]. However, with a certain degree of disorder in the general grain/domain boundary fractions of the Pd thin films, one can assume that the positions of the T-sites are slightly distorted, making more T-sites available for hydrogen. Furthermore, in general it is not expected that the grain boundary sites are entirely occupied by H atoms, since the grain boundaries do not undergo hydride formation [22].

Since f_1 is small, the consideration of T-sites does not significantly change the fitting parameters in Table 1. In case of the 80 nm nano crystalline Pd film E_0 is reduced by 0.2 kJ/mol, while the other fitting parameters remain constant. For most other films f_1 is even smaller, yielding smaller corrections.

But, the change of the type of grain/domain boundary sites changes the volume density of domain boundaries in Eq 18, since (Eq 21)

$$v_1 = f_1^0 = \frac{f_1^T}{2} = \frac{f_1}{2} \tag{21}$$

yields (Eq 22)

$$f_1^T = 2 - 2\left(\frac{D}{D+\Delta}\right)^2 \tag{22}$$

for T-sites, when no restrictions apply for the T-site occupancy. Since $\Delta \ll D$, this scales up the lateral domain diameters D approximately by a factor of 2. The resulting domain sizes are listed in the right column of Table 2, as well. All domain sizes are plotted as a function of film thickness in Figure 9.

Table 2. Lateral grain/domain sizes D calculated from the site fractions f_1 of domain boundary sites, assuming a domain boundary width of $\Delta = 0.9 \, nm$ and O- or T-site occupation by hydrogen atoms in the domain boundaries (GB).

	Thickness (nm)	D_{Domain} (nm) O-sites in GB Δ = 0.9 nm (Eq 18)	D_{Domain} (nm) T-sites in GB Δ = 0.9 nm (Eq 22)
Epitaxial Pd on 1 nm V/Al ₂ O ₃ [0001], T _{Dep}	24	24(1)	50(4)
= 673 K	80	95(9)	192(21)
	200	108(12)	217(26)
Multi-oriented Pd on Al_2O_3 [0001], $T_{Dep} =$	24	13(1)	28(2)
673 K	80	31(6)	64(12)
	200	55(18)	111(35)
Nano crystalline Pd on Si [100],	24	6(1)	13(1)
$T_{Dep} = 300 \text{ K}$	80	14(1)	29(3)
r	200	17(2)	35(4)

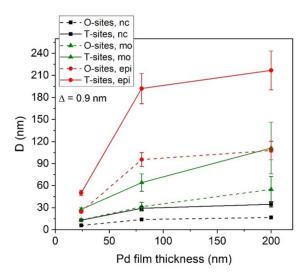


Figure 9. Calculated lateral domain sizes for Pd thin films with nano crystalline (nc), multi-oriented (mo) and epitaxial (epi) microstructures, consisting of columnar domains and O- or T-domain boundary sites. A grain/domain boundary width of Δ = 0.9 nm was assumed [2,60]. The comparison with measured domain sizes suggests a preferred T-site occupancy of hydrogen in the domain boundaries.

iii) The third explanation could be a different grain boundary width Δ . According to Eq 22, the calculated grain/domain size D scales linearly with the geometrical boundary width Δ (Eq 23),

$$D = \Delta \cdot \frac{\sqrt{2 - f_1^T}}{\sqrt{2} - \sqrt{2 - f_1^T}} \tag{23}$$

Hence, the grain/domain sizes would scale up, if the grain/domain boundary width Δ were larger than expected. This might be interpreted by a certain underestimation of the grain boundary width Δ for the nano crystalline bulk Pd in reference [22], taking the possible fraction of surplus atoms into account, that may block sites for hydrogen occupation at the grain boundaries. If this assumption were correct, the enhanced open-volume of the grain/domain boundaries of the thin films would deliver further arguments for a lowered site energy of grain/domain sites of Pd-H thin films compared to the nano crystalline bulk Pd-H grain boundaries.

In total, the fitted DOS and the resulting domain sizes suggest that H atoms are occupying T-sites in the domain boundaries of Pd thin films rather than O-sites. This suggestion matches the observed site occupancy in amorphous metals [14,38,42]. It fits to the proposition of partly amorphous intergranular phase fractions in the nano crystalline bulk as well as the Pd-H thin films. Additionally, the grain boundary width seems to be slightly larger than 0.9 nm.

5. Conclusions

The site energy distribution in Pd-H thin films can be successfully described by the proposed σDOS model which considers the substrate-induced stress impact as well as interstitial sites, grain boundary sites and deep trap sites for hydrogen. Within this σDOS model we can reveal information about the films' microstructure.

This model is not restricted to Pd-H thin films, but it will be applicable to a variety of systems, where substrate-induced stresses and microstructural constituents significantly contribute to the site occupancy of solute atoms, that segregate to open-volume defects. This pure thermodynamic approach can supplement the microstructural analysis of alloy systems by other quantitative techniques, such as positron annihilation spectroscopy, neutron diffraction or transmission electron microscopy. This will be of high interest in the investigation of thin film metal-hydrogen systems containing special microstructural constituents of high content. Other examples are light elements such as carbon, nitrogen, oxygen or lithium in metals, but segregating metallic alloys such as bismuth in copper, as well.

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Conflict of interests

The authors declare no conflicts of interest in this paper.

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