

Particulate substrate retention in plug-flow and fully-mixed conditions during operation of aerobic granular sludge systems

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ARTICLE INFO

Article history:

Received 8 July 2020

Received in revised form

3 October 2020

Accepted 19 October 2020

Available online 28 October 2020

Keywords:

Aerobic granular sludge

Municipal wastewater

Particulate substrate

Plug-flow feeding

Attachment

Flocs

ABSTRACT

Particulate substrate (X_B) is the major organic substrate fraction in most municipal wastewaters. However, the impact of X_B on aerobic granular sludge (AGS) systems is not fully understood. This study evaluated the physical retention of X_B in AGS sequencing batch reactor (SBR) during anaerobic plug-flow and then aerobic fully-mixed conditions. The influence of different sludge types and operational variables on the extent and mechanisms of X_B retention in AGS SBR were evaluated. X_B mass-balancing and magnetic resonance imaging (MRI) were applied. During the anaerobic plug-flow feeding, most X_B was retained in the first few cm of the settled sludge bed within the interstitial voids, where X_B settled and accumulated ultimately resulting in the formation of a filter-cake. Sedimentation and surface filtration were thus the dominant X_B retention mechanisms during plug-flow conditions, indicating that contact and attachment of X_B to the biomass was limited. X_B retention was variable and influenced by the X_B influent concentration, sludge bed composition and upflow feeding velocity (v_{ww}). X_B retention increased with larger X_B influent concentrations and lower v_{ww} , which demonstrated the importance of sedimentation on X_B retention during plug-flow conditions. Hence, large fractions of influent X_B likely re-suspended during aerobic fully-mixed conditions, where X_B then preferentially and rapidly attached to the flocs. During fully-mixed conditions, increasing floc fractions, longer mixing times and larger X_B concentrations increased X_B retention. Elevated X_B retention was observed after short mixing times < 60 min when flocs were present, and the contribution of flocs towards X_B retention was even more pronounced for short mixing times < 5 min. Overall, our results suggest that flocs occupy an environmental niche that results from the availability of X_B during aerobic fully-mixed conditions of AGS SBR. Therefore, a complete wash-out of flocs is not desirable in AGS systems treating municipal wastewater.

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1. Introduction

Our understanding of the effect of particulate organic substrate (X_B) on the formation, operation and overall process performance of aerobic granular sludge (AGS) remains limited, despite many full-scale installations (Derlon et al., 2016; Ali et al., 2019). Prior studies suggested that X_B might have several different effects on the behaviour and performance of AGS systems: (1) floc formation and reduced settleability (Wagner et al., 2015b; Derlon et al., 2016; Layer et al., 2019), (2) longer start-up duration (Wagner et al., 2015a; Layer et al., 2019), (3) reduced nutrient removal capability

(De Kreuk et al., 2010; Jabari et al., 2016; Guimarães et al., 2018), and (4) deterioration of effluent quality due to an increased effluent solids concentration (Rocktäschel et al., 2015; Van Dijk et al., 2018). However, the link between these observations and the presence of X_B in the influent wastewater (WW) is not well understood yet. Research on the overall impact and utilisation pathways of X_B on AGS systems is therefore necessary.

X_B represents a major fraction of the organic substrate present in municipal WW (typically > 50%) (Metcalf and Eddy, 2014). Hydrolysis of X_B is required prior to its utilisation, which often is considered the rate limiting step in biological WW treatment (Morgenroth et al., 2002). In AGS systems, an anaerobic feeding phase of 1–2 h duration - most of the time as plug-flow - is typically applied (Pronk et al., 2015b). However, such period of plug-flow feeding is likely too short to allow for full hydrolysis of X_B (Jabari

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et al., 2016; Wagner et al., 2015b). Therefore, it is suspected that some X_B could “leak” into aerobic conditions in AGS operation. The presence of organic substrate in aerobic conditions favours the growth of finger-type granules (De Kreuk et al., 2010; Pronk et al., 2015a) or can even result in process breakdown due to granule breakage (Sturm et al., 2004). However, finger-type granules are rarely observed in AGS systems treating municipal WW (Pronk et al., 2015b; Derlon et al., 2016). Rather, a noticeable growth of flocs is actually observed, so that flocs represent a substantial fraction of 10–20% of the AGS formed during treatment of municipal WW (Wagner et al., 2015a; Derlon et al., 2016; Layer et al., 2019; Pronk et al., 2015b). The presence of flocs in AGS is now acknowledged in full-scale installations (Van Dijk et al., 2018), despite their origin is not well understood. AGS is therefore step-by-step seen as hybrid system, where biofilm (granules) and suspended biomass (flocs) coexist (Layer et al., 2019). Understanding the connection between influent X_B and the presence and role of flocs is therefore required.

X_B degradation is a three step process: (1) physical contact to biomass (physical X_B retention), (2) initiation of enzymatic hydrolysis after contact to biomass, and (3) further utilisation of hydrolysis products as readily biodegradable substrate (S_B) in anaerobic (fermentation, storage), anoxic (denitrification) or aerobic (direct oxidation, storage) processes. The present study focuses specifically on physical retention of X_B . Several aspects might hamper physical retention of X_B in AGS in comparison to conventional activated sludge systems: (1) distinct hydraulic conditions during anaerobic plug-flow feeding followed by aerobic fully-mixed conditions in SBR operation and (2) the presence of both biofilms (granules) and suspended biomass (flocs) in AGS systems treating municipal WW. Fig. 1 illustrates the possible pathways of X_B retention during anaerobic plug-

flow feeding (Fig. 1A) and aerobic fully mixed conditions (Fig. 1B). Plug-flow feeding from the bottom of the reactor into the settled AGS bed could limit attachment through restricted contact between influent X_B and biomass. If X_B then re-suspends during fully mixed conditions, it is hypothesized that flocs would have a competitive advantage in capturing X_B , due to their much increased adsorption capacity (Andreadakis, 1993) (Fig. 1B). Understanding when, i.e., during plug-flow or fully-mixed conditions, and where, i.e., by flocs or at the granules surface, X_B is retained therefore needs to be clarified. In addition, it should be clarified what external factors (operational, influent WW) influence X_B retention in AGS SBR operation.

The main objective of this study was to better understand the fate of X_B during AGS SBR operation and ultimately to get insights about the presence and role of flocs in AGS systems. Therefore, the focus was on evaluating (1) to what extent X_B is retained during anaerobic plug-flow feeding and then during aerobic fully mixed conditions, (2) to what extent is the retention of X_B affected by operational variables (upflow feeding velocity v_{ww} , mixing time) and influent composition on X_B retention in AGS SBR operation, and ultimately (3) to provide insights about the presence and role of flocs in AGS systems. Therefore, several different retention tests under plug-flow and fully-mixed conditions were conducted. Different types of biomass or mimics of biomass (AGS fed with acetate/propionate, glass beads, AGS fed with municipal WW, activated sludge flocs) and the effect of different v_{ww} during plug-flow feeding and mixing time during fully-mixed conditions were tested. Real municipal WW particles were used as X_B source, and COD mass-balances were conducted to quantify the extent of X_B retention in the different experiments. In addition, magnetic resonance imaging (MRI) was used to identify the mechanisms of X_B retention during plug-flow feeding.

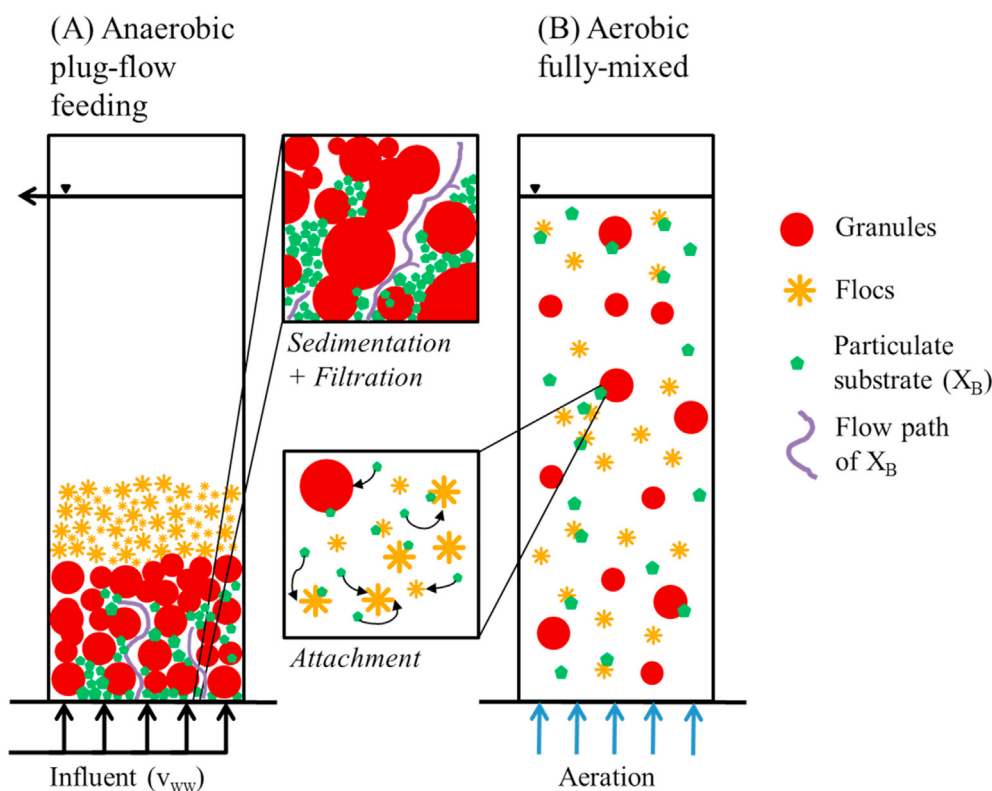


Fig. 1. Hypothesized fate of X_B during (A) anaerobic plug-flow and (B) aerobic fully mixed conditions. Case (A) illustrates the hypothesized transport and retention pathways of X_B during anaerobic plug-flow feeding (retention through sedimentation and surface filtration without much contact or attachment to biomass). Under fully mixed conditions (B) it is hypothesized that X_B preferentially attaches to flocs rather than granules.

2. Materials and methods

2.1. Experimental approach

Both *plug-flow*, MRI and *fully-mixed* tests were conducted to evaluate the fate of X_B in AGS SBR operation (Table 1). Primary effluent WW of the WW treatment plant (WWTP) of Eawag (Dübendorf, Switzerland) was used as the source of X_B during all tests. Anaerobic or anoxic redox conditions were kept during all tests in order to minimize degradation of X_B .

2.2. Experimental set-up

2.2.1. Plug-flow tests

Plug-flow tests were conducted to quantify X_B retention during anaerobic plug-flow feeding (Fig. 2A). Tests with different sludge beds of similar height (13 cm) were first conducted: empty bed (no biomass), activated sludge flocs, AGS fed with municipal WW (named "AGS Eawag"), and glass beads (2 mm) (see images of the different sludge in Supplementary Information Figs. S1A and C). Different v_{ww} (1.0–5.0 m h⁻¹) and X_B influent concentration (variable) were tested (Table 1). Tests with variable bed height (0–20 cm) were then conducted to better understand the distribution of X_B retention over the sludge bed height (Table 1). Low and medium v_{ww} (1, 2.5 m h⁻¹) and filter-bed composed of glass beads ($d = 2$ mm) were tested. In parallel to the tests with real WW X_B as influent, *blank plug-flow tests* were conducted (tap water injection instead of real WW) to account for X_B loss from the filter-bed during feeding. Columns with 2.5 and 5 cm inner diameter (working volume of 393 and 1963 mL, height of 82 and 100 cm, respectively) were used. The volume-exchange ratio (VER) was 1.3 during the plug-flow tests and the sludge volume after 30 min of settling (SV_{30}) was 130 mL L⁻¹. Very high VER >1.0 was used to make sure that some influent WW would exit the column through the effluent. The procedure of the *plug-flow tests* was as follows:

Step 1: Addition of sludge to the column to a targeted bed height of 13 cm (fixed sludge bed height tests) or variable from 0.5 to 20 cm (variable sludge bed height tests) after 20 min of settling. A settling duration of 20 min was sufficient to ensure a complete settling of the sludge during all tests. Supernatant removal above settled sludge bed using drainage ports.

Step 2: 1st tap water injection from the bottom of the column using a peristaltic pump to refill the column (Heidolph, Germany). Second settling phase (20 min). Tap water was injected to refill the reactor, in order to mimic simultaneous fill-draw mode (constant volume operation), typically applied in full-scale AGS systems during feeding.

Step 3: Injection of 500 or 2500 mL (for small and large column, respectively)

- WW from the bottom of the reactor (normal *plug-flow tests*) with different v_{ww} (1.0–5.0 m h⁻¹), effluent collection.
 - Tap water from the bottom of the reactor (*blank plug-flow tests*) with different v_{ww} (1.0–5.0 m h⁻¹), effluent collection.
- Step 4: 2nd tap water injection, drainage and collection of column supernatant.

2.2.2. Fully-mixed tests

Fully-mixed tests were conducted to analyse X_B retention under fully-mixed conditions, representative of the aerobic phase of AGS systems (schematic Fig. 2B). The approach was based on Modin et al. (2015) and Jimenez et al. (2005). The influence of sludge composition, mixing time and influent X_B concentration was evaluated (Table 1). The sludge was composed of different ratios of large granules (>1 mm) 0–100% and flocs 100–0% in increments of 25% (see images of the different sludge in Supplementary Information Figs. S1B and D). Mixing times of 0.5, 5, 10, 60, 180 min and variable influent X_B concentrations were evaluated. All *fully-mixed tests* were conducted for a defined sludge composition. *Blank fully-mixed tests* were in addition conducted (tap water instead of real WW) to account for X_B loss from biomass. The procedure of the fully-mixed tests was as follow:

Step 1: Addition of 300 mL of biomass to 1 L glass beakers, with a target total suspended solids (TSS) concentration of 4 gTSS L⁻¹.

- Addition of 700 mL of WW (normal *fully-mixed tests*)
- Addition of 700 mL of tap water (*blank fully-mixed tests*)

Step 2: Mixing for 0.5, 5, 10, 60 or 180 min. The mixing velocity gradient (G) was set to 3.3 s⁻¹ using an apparatus with propellers, similar to the G-values maintained during aeration in the long-term lab-scale experiments performed at Eawag (Layer et al., 2019; Supplementary information S2).

Step 3: Settling for 30 min in order to separate biomass and supernatant.

Step 4: Collection of 50 mL of supernatant 9 cm underneath the water surface.

2.3. Analytical methods

TSS was quantified using standard methods (Apha, 2005). Sludge was separated using sieves of 0.25 mm (to separate flocs < 0.25 mm from granules > 0.25 mm) or 1 mm (to separate large granules > 1 mm from small granules, flocs and debris). Sieving of the different sludge fractions was performed by gently pouring the sludge into the sieve, and then washing the sieve with additional tap water. The particles retained by the sieve were collected by back-washing the cake that formed on the sieve with tap water. Size fractions were then quantified using TSS measurements. Total and soluble COD was measured using cuvette tests (LCK 114, 314, Hach-Lange, Germany, Kits). X_B was defined as the

Table 1
Details of the experimental approach, questions addressed and experimental variables.

	Hydraulic condition	Specific question addressed	Independent variables
Plug-flow test	Plug-flow	<ul style="list-style-type: none"> - Extent of X_B retention during anaerobic plug-flow feeding? - Effect of sludge bed type, v_{ww} and wastewater composition on X_B retention? - X_B retention distribution over bed height? 	<ul style="list-style-type: none"> - Filter-bed composition (activated sludge flocs, real AGS, large granules, glass beads, or no biomass) - Upflow velocity within the reactor ($v_{ww} = 1.0–5.0$ m h⁻¹) - Fixed (13 cm) or variable sludge bed height (0–20 cm)
MRI	Plug-flow	<ul style="list-style-type: none"> - X_B retention during plug-flow feeding: attachment or sedimentation in interstitial void space? 	
Fully-mixed test	Fully-mixed	<ul style="list-style-type: none"> - X_B retention during aerobic fully-mixed conditions? - Effect of mixing time on X_B retention? - Does X_B attach to flocs, granules or both? 	<ul style="list-style-type: none"> - Biomass type (increasing fractions of activated sludge flocs (0–100%) and large granules (100–0%) in 25% increments) - Mixing time (0.5–180 min)

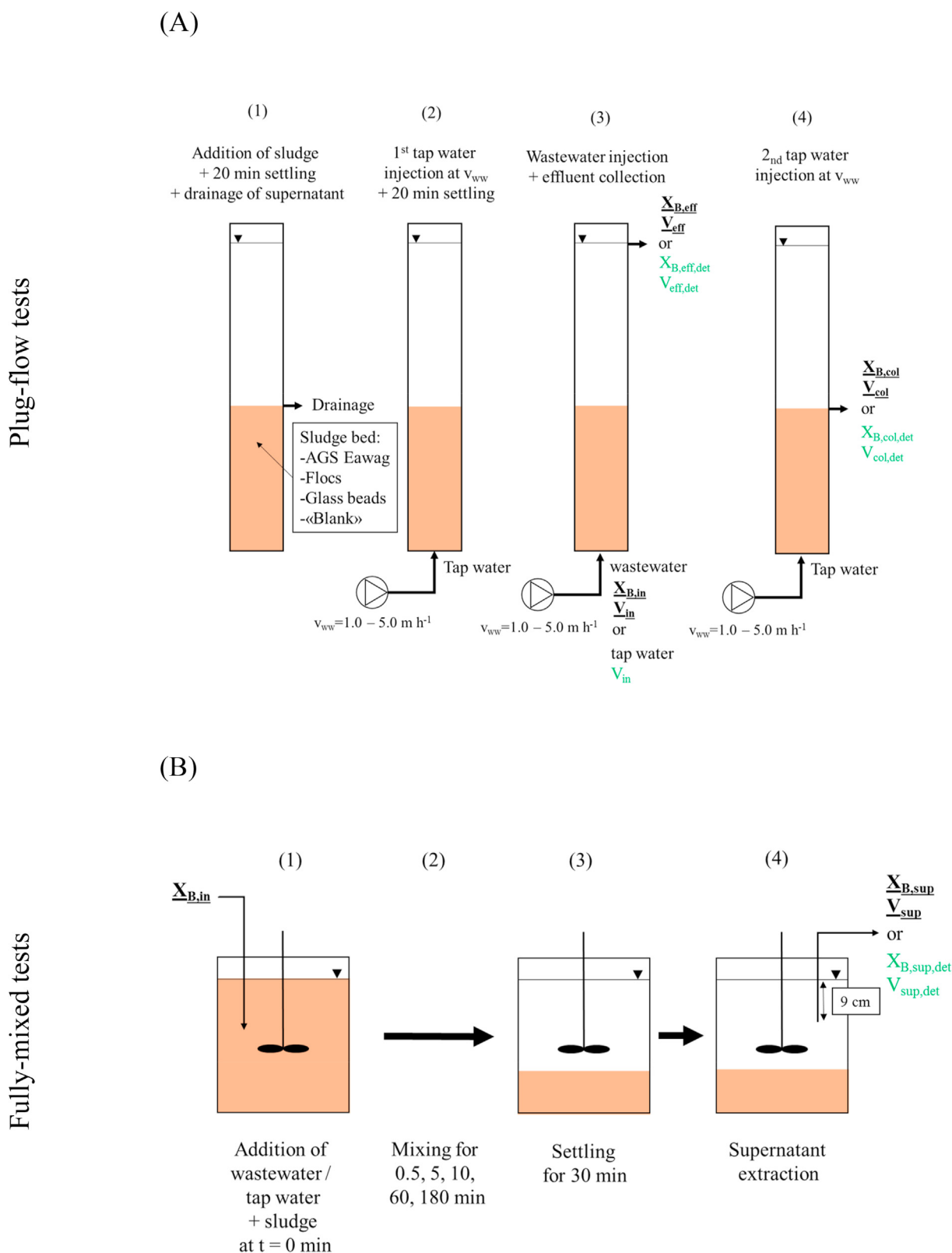


Fig. 2. Schematic of procedure and sampling points during plug-flow tests (A) and fully-mixed tests (B). Underlined and bold measurement points indicate tests with WW addition, green measurement points indicate blank-tests without WW addition. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

difference between total and soluble COD, measured after filtration at 0.45 μm using membrane filters (Macherey Nagel, Nanocolor Chromafil membranefilter GF/PET 0.45 μm , Germany). Samples were collected in 50 mL vials and homogenized for 1 min at 10'000 rpm (Ultra-Turrax, Ika, Germany) prior to total COD measurement. In our study, X_B refers to all COD fractions larger than 0.45 μm , including biodegradable and unbiodegradable fractions of particulate COD and possibly a fraction of the colloidal COD (Levine et al., 1985).

2.4. Calculations

COD mass-balances were performed to calculate X_B retention (%) during *plug-flow tests* (Eqs. (1) and (2)) and *fully-mixed tests* (Eqs. (3) and (4)). The mass-balance of *plug-flow tests* takes into account mass of X_B from influent, effluent, supernatant and is corrected for the mass of X_B that is detached during the tests (from *blank plug-flow tests*), Eqs. (1) and (2), Fig. 2A.

$$f_{X_B,PF,retained} = \frac{M_{X_B,injected} - M_{X_B,non-retained} + M_{X_B,detached}}{M_{X_B,injected}} \times 100 \cdot [\%] \quad (1)$$

$$f_{X_B,PF,retained} = \frac{X_{B,in} \cdot V_{in} - X_{B,eff} \cdot V_{eff} - X_{B,col} \cdot V_{col} + X_{B,eff,det} \cdot V_{eff,det} + X_{B,col,det} \cdot V_{col,det}}{X_{B,in} \cdot V_{in}} \cdot 100 \cdot [\%] \quad (2)$$

where $X_{B,in}$ is the X_B influent concentration and V_{in} is the injected volume into the column, $X_{B,eff}$ is the X_B effluent concentration, V_{eff} the effluent volume, $X_{B,col}$ is the X_B concentration in the column supernatant, V_{col} the volume of the column supernatant, $X_{B,eff,det}$ is the detached X_B concentration in the effluent during *blank plug-flow tests*, $V_{eff,det}$ the effluent volume during *blank plug-flow tests*, $X_{B,col,det}$ is the detached X_B concentration of the column supernatant during *blank plug-flow tests* and $V_{col,det}$ the volume of the column supernatant during *blank plug-flow tests*.

The *fully-mixed tests* mass-balance takes into account the mass of X_B which was added via WW, supernatant after a certain mixing time and is corrected for detaching mass of X_B (from *blank fully-mixed tests*), Eqs. (3) and (4), see Fig. 2B.

$$f_{X_B,mix,retained} = \frac{M_{X_B,injected} - M_{X_B,non-retained} + M_{X_B,detached}}{M_{X_B,injected}} \cdot 100 \cdot [\%] \quad (3)$$

$$f_{X_B,mix,retained} = \frac{X_{B,in} \cdot V_{in} - X_{B,sup} \cdot V_{sup} + X_{B,sup,det} \cdot V_{sup,det}}{X_{B,in} \cdot V_{in}} \cdot 100 \cdot [\%] \quad (4)$$

where $X_{B,in}$ is the X_B concentration of the primary effluent WW added and V_{in} is the volume of the primary effluent WW added to the beaker at $t = 0$ min (0.7 L), $X_{B,sup}$ is the X_B concentration of the supernatant after mixing for a given time and additional 30 min of settling, V_{sup} the total supernatant volume (1 L), $X_{B,sup,det}$ is the X_B supernatant concentration during *blank fully-mixed tests*, and $V_{sup,det}$ the supernatant volume during the *blank fully-mixed tests* (1 L).

The Reynolds number was calculated according to Eq. (5).

$$Re = \frac{v \cdot d}{\nu} \quad (5)$$

where v is the upflow feeding velocity (m s^{-1}), d the characteristic length (granule or glass-bead diameter during plug flow and magnetic resonance imaging tests) and ν the kinematic viscosity of water ($1.003\text{E-}06 \text{ m}^2 \text{ s}^{-1}$ at 20°C).

2.5. Statistical analysis

Multivariate linear regression analysis was performed to identify the contribution of variance of independent variables on the variance of X_B retention ($f_{X_B,PF,retained}$ and $f_{X_B,mix,retained}$ were the target variables) during fixed bed height *plug-flow tests* (Section 3.1.1) and *fully-mixed tests* (Section 3.2). All data (independent and target variables) comprising *plug-flow tests* or *fully-mixed tests* were combined. The analysis was performed using ANOVA (Kaufmann and Schering, 2014) implemented in R (Version 3.6.0, R-Core-Team, 2018).

2.6. Magnetic resonance imaging (MRI)

MRI was used to differentiate between particles, granules, and void space during plug-flow feeding of a settled granular bed. MRI characterisations were carried out on a 200 MHz nuclear magnetic

resonance spectrometer (Bruker Avance 200 SWB, Bruker BioSpin GmbH, Germany). The container (15.4 mL) was filled with fresh granules ($d \geq 1$ mm, sieved) cultivated in SBR fed by acetate/propionate. Granules were collected after approx. 1 year of steady operation (Layer et al., 2019), and granular biomass was characterised by granules $d > 1$ mm resembling over 95% of biomass (TSS based). A low v_{ww} of 0.39 m h^{-1} was set during MRI tests to avoid channel formation, which is much lower than typically applied v_{ww} of 2 m h^{-1} in AGS operation (Derlon et al., 2016). The X_B source during MRI tests was sieved ($d_p = 28\text{--}100 \mu\text{m}$) municipal raw WW with TSS of 4.7 g L^{-1} , collected at Eawag (Dübendorf, Switzerland), concentrated by centrifugation (3500 rpm, 10 min). A high concentration of TSS was necessary to ensure good separation of particles and granules based on intensity by MRI. A 1st and 2nd feeding were conducted in order to get an intermediary and final image of X_B retention during plug-flow feeding. 24 and 9 mL of influent WW were fed during the 1st and 2nd feeding, respectively.

Data analysis was performed using Matlab R2018b (MathWorks, USA) and Avizo 9.4 (Thermo Fisher Scientific, USA). The granular sludge bed was visualised with the T_1 -weighted images (see Supplementary Information Fig. S2, upper row). According to the signal intensity, particles appear the brightest, followed by granules and water filled void space. No signal (black) is obtained from exterior solid materials. For a clear differentiation between granules and particles based on signal intensity, predominantly T_2 -weighted images were conducted (see Supplementary Information Fig. S2, lower row), as the signal intensities of granules and particles were in a similar intensity range. A threshold value 6300 out of 2^{15} intensity values was chosen for predominantly T_1 -weighted images to separate granules and particles from void space and exterior parts. For predominantly T_2 -weighted images a threshold value 5000 was chosen to separate particles and exterior parts from granules and void space. The combination of both binary images allowed for a clear determination and quantification of the fractions. For a more detailed description of the applied method, please see Ranzinger et al. (2020).

3. Results

3.1. Retention of X_B during the anaerobic plug-flow feeding of AGS systems

3.1.1. How is X_B retention influenced by influent WW composition, v_{ww} and biomass type in plug-flow conditions?

X_B retention was evaluated during plug-flow tests (Fig. 3). X_B retention during plug-flow conditions varied between 10 and 90%. The concentration of X_B in the influent WW had major impact on X_B retention. Biomass composition and applied v_{ww} influenced X_B retention to a lesser extent.

Increasing X_B concentrations significantly increased X_B retention ($p = 2.48E-07$), independent of biomass composition or applied v_{ww} . Specifically, high X_B influent concentrations $> 600 \text{ mg L}^{-1}$ resulted in X_B retention $> 60\%$. Biomass composition also affected X_B retention during plug-flow conditions ($p = 1.28E-03$). In absence of a filter bed (blank test) 10–52% of influent X_B were retained (Fig. 3A). In presence of a filter bed, overall X_B retention is increased to $> 60\%$ on average (Fig. 3B–D). In addition, lower v_{ww} in general resulted in higher X_B retention ($p = 0.022$).

3.1.2. How is X_B distributed over the bed height during plug-flow conditions?

A main question is where does the retention of X_B occur within the settled bed of AGS during plug-flow feeding? Results from the

plug-flow tests with variable sludge-bed heights indicated that a gradient of X_B retention over the bed height existed (Fig. 4). Hereby, large amounts of X_B were retained at the bottom of the settled sludge bed. The larger was the upflow feeding velocity during the plug-flow feeding, the deeper was the penetration of X_B and hence the lower was the gradient of X_B retention within the settled sludge bed. Low v_{ww} of 1 m h^{-1} led to increased X_B retention at the bottom of the sludge bed. Almost 70% of final X_B retention occurred within the first 0.5 cm. On the other hand, higher v_{ww} of 2.5 m h^{-1} during feeding increased the penetration depth of X_B , thus resulting in a more homogeneous distribution of X_B within the bed. The first 0.5 cm of the settled sludge bed retained 30% of the final retention in this case. Overall higher X_B retention at $v_{ww} = 1 \text{ m h}^{-1}$ were likely the result of a higher influent X_B concentration compared to the run at $v_{ww} = 2.5 \text{ m h}^{-1}$, which were 292 and 201 mg L^{-1} for $v_{ww} = 1$ and 2.5 m h^{-1} , respectively. The Reynolds numbers were 0.6 and 1.4 for v_{ww} of 1 and 2.5 m h^{-1} , respectively.

3.1.3. Does X_B attach to granules surface or accumulate within interstitial voids of the sludge bed during plug-flow conditions?

Our results from plug-flow tests helped to quantify the extent of X_B retention during plug-flow feeding and its spatial distribution over the height of the sludge bed. A major aspect is however to better understand if X_B is attached to the settled biomass after feeding, or if it simply accumulated within the bed without much contact. MRI tests were thus conducted to evaluate the spatial

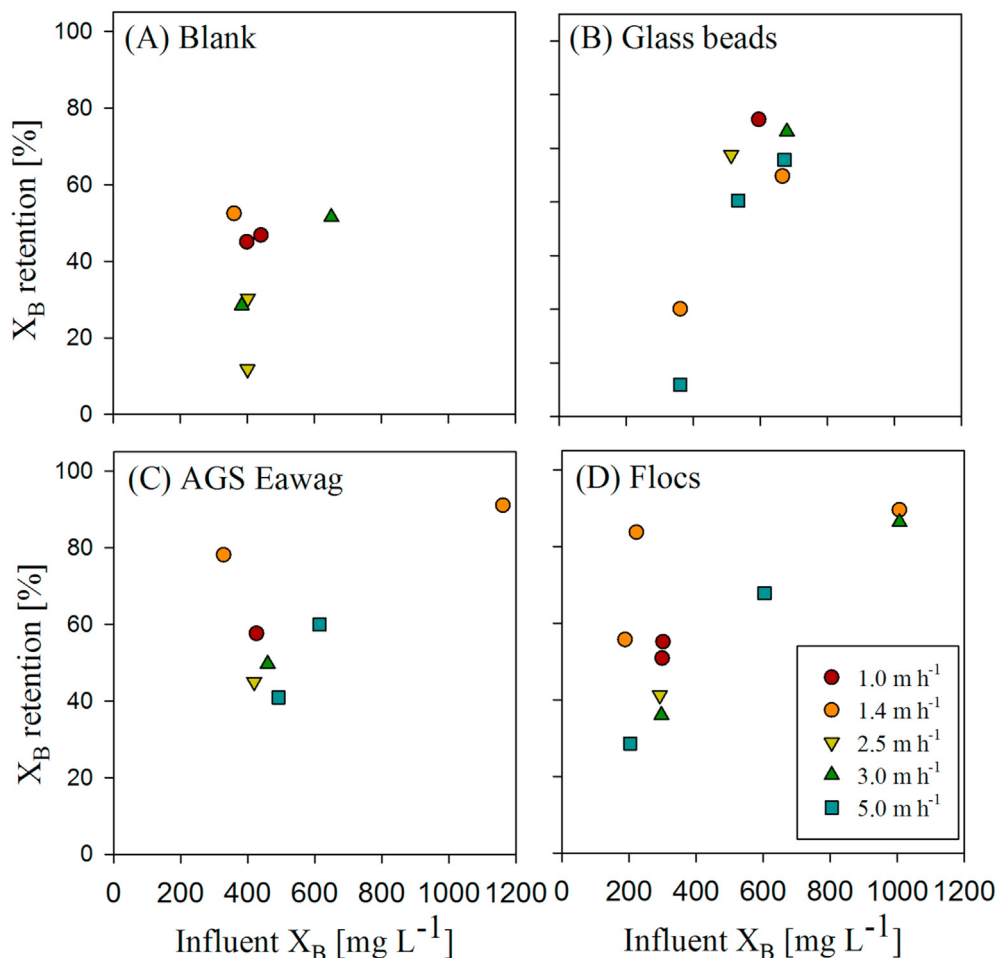


Fig. 3. Retention of X_B in percent COD during plug-flow feeding for different influent X_B concentrations and different v_{ww} (1.0–5.0 m h^{-1}) with different biomass compositions: A) Blank (no sludge bed), B) Glass beads (diameter 2 mm), C) AGS Eawag and D) Flocs.

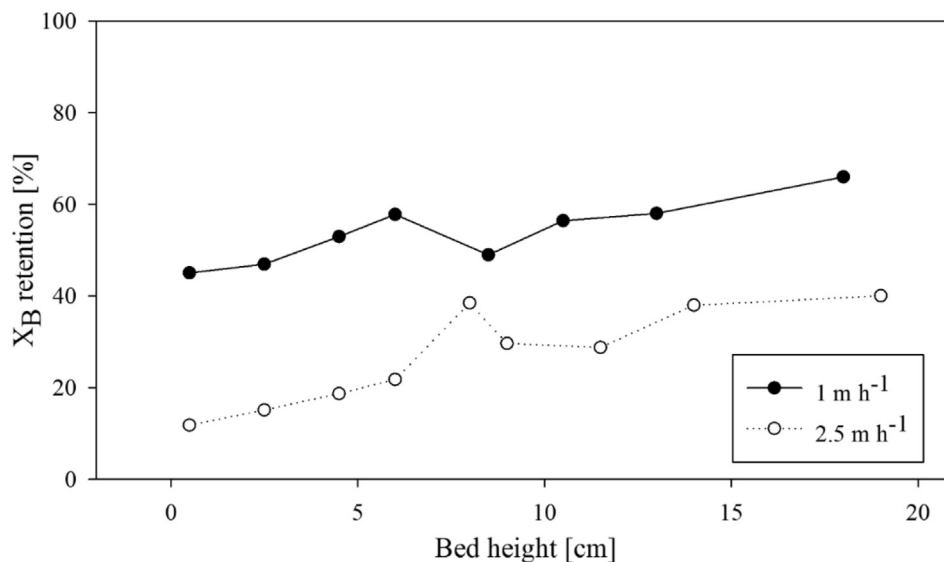


Fig. 4. X_B retention in percent COD during plug-flow feeding at different locations through a sludge bed composed of glass bead (2 mm) at $v_{ww} = 1$ and 2.5 m h^{-1} . Primary effluent WW was composed of $X_B = 292 \text{ mg L}^{-1}$ ($v_{ww} = 1 \text{ m h}^{-1}$) and $X_B = 201 \text{ mg L}^{-1}$ ($v_{ww} = 2.5 \text{ m h}^{-1}$).

distribution of X_B within the settled granular sludge bed during anaerobic plug-flow feeding. Results from MRI tests demonstrated that X_B accumulated within the interstitial voids in the first few cm of the settled sludge bed, and that X_B accumulation was actually affected by both sedimentation and surface filtration (Fig. 5, Fig. 6).

Most X_B accumulated within the first 13 mm in vertical direction after the 1st feeding (Fig. 5A, white colour, Fig. 6AB). Granules were pushed by the applied flow, creating channels and resulting in void space (Fig. 5A). Moreover, X_B hardly distributed horizontally within the granule bed. Instead, X_B was located mostly in the bottom of the chamber and additionally occupied the void space in vertical

direction extending the inlet (Fig. 5A). Only minimal distribution of X_B in the x- and y-direction occurred despite the rather narrow chamber of the MRI, and no wall-effects were visible. After the 2nd feeding X_B occupied even more of the void space and was distributed along the whole height of the chamber (Fig. 5B). Occupation of the void space by X_B was indicated by large white-coloured areas/volumes surrounding the preferential flow channel, created by the inlet flow in the centre of the column after the 1st and 2nd feeding (Figs. 5 and 6A). The Reynolds number during MRI tests was 0.1 assuming a granule diameter of $d = 1.0 \text{ mm}$.

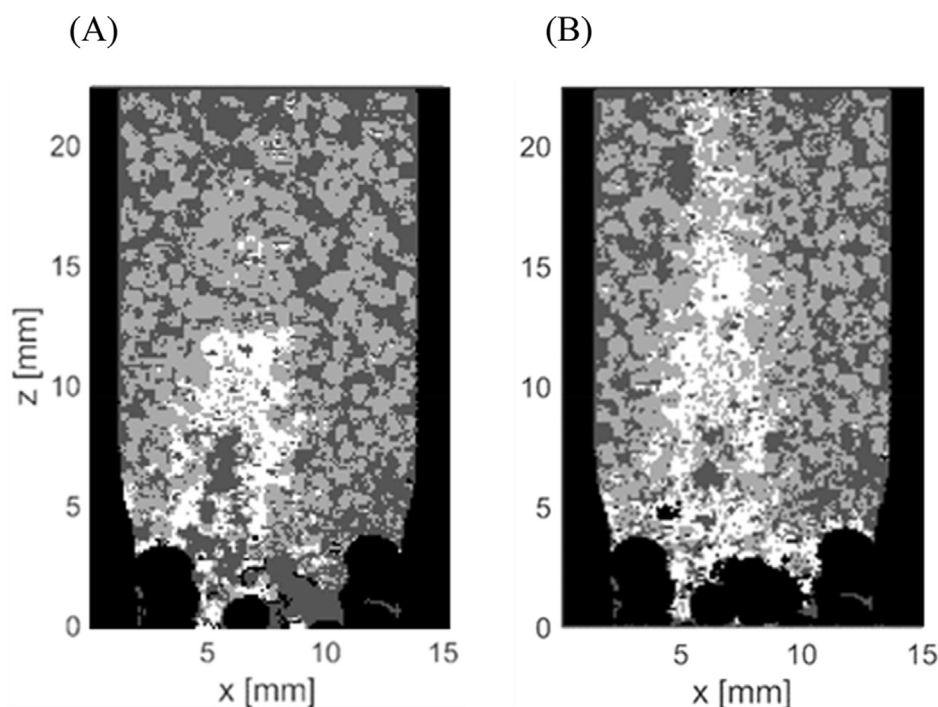


Fig. 5. Quantified images after first (A) and second WW feeding (B). Quantified images are 2D sections out of the 3D measurements. X_B particles (white), granules (grey), water filled void space (dark grey) and exterior parts (black) can be differentiated.

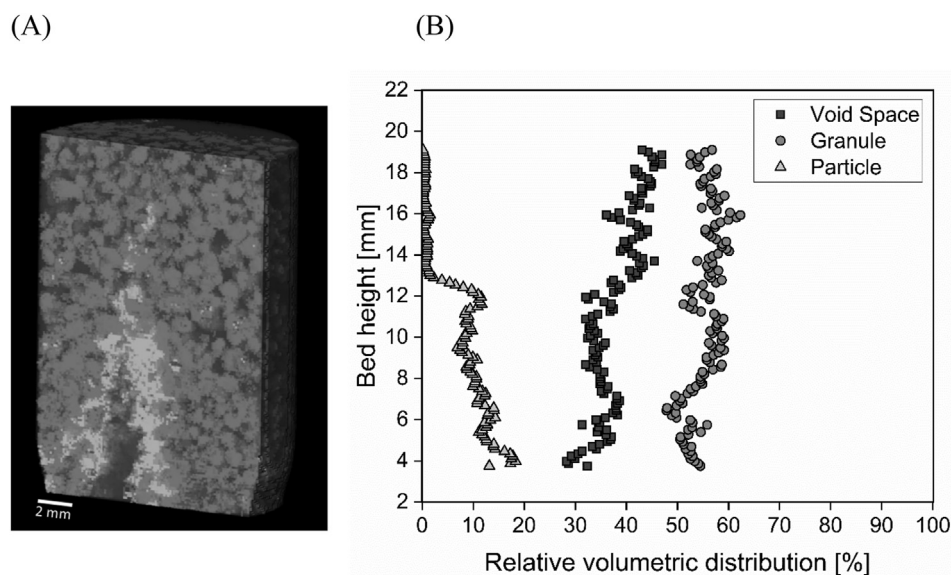


Fig. 6. (A) 3D image of X_B particles inside the aerobic granular sludge bed after the 1st feeding. WW particles (white), granules (grey), water filled void space (dark grey) and exterior parts (black) can be differentiated. (B) Relative volumetric distribution along the bed height after the 1st feeding, calculated from the 3D image.

3.2. How is X_B retained during fully-mixed conditions?

If large fractions of influent X_B are retained within the settled sludge bed during anaerobic plug-flow feeding but not binding to the granules, it is then likely that X_B re-suspends and becomes available for attachment in aerobic fully-mixed conditions for both flocs and granules in AGS systems. Fully-mixed tests were thus conducted to better understand where X_B does attach during mixed conditions, *i.e.*, to granules or flocs (Fig. 7). Results from the *fully-mixed tests* indicated that an increasing floc fraction in the AGS significantly increased X_B retention during fully-mixed conditions in AGS systems ($p < 1.0E-05$), specifically in the first 60 min of mixing. Additionally, longer mixing times as well as higher influent X_B concentrations significantly increased X_B retention in AGS systems (all $p < 1.0E-05$).

Over 50% of the final X_B removal was achieved during the first 30 s of mixing if flocs were present in the biomass (Fig. 7). Reduced X_B retention was observed after 30 s in absence of flocs (>20% less X_B retention by 100% Granules, Fig. 7AB). However, the longer the mixing time was, the smaller were the differences in overall X_B retention between the different biomass compositions. After 3 h of mixing X_B retention was 60–85% among all biomass compositions and floc fractions were less important towards overall X_B retention ($p = 0.14$) (Fig. 7AB). It must be noted that the total biomass concentration during fully-mixed tests was held constant, independent of different granule-flocs fractions, which further highlighted the impact of flocs on X_B retention in mixes of granules and flocs. Influent X_B concentration also contributed to the overall level of X_B retention. The increased X_B influent concentration of *fully-mixed test B* (196 mgCOD L⁻¹, Fig. 7B) led to overall higher X_B retention, independent of mixing time or biomass composition, when compared to the lower X_B influent concentration of *fully-mixed test A* (94 mgCOD L⁻¹, Fig. 7A).

4. Discussion

4.1. X_B accumulates within the sludge bed during plug-flow feeding but does not attach to granules

Our first main result is that X_B accumulated predominantly within the voids at the bottom of the settled sludge bed, thus

indicating that X_B retention was governed by sedimentation and surface filtration during plug-flow feeding (Figs. 3–6). If X_B retention was governed by sedimentation and surface filtration, it is then likely that only a minor fraction of X_B is actually in contact with the granules during anaerobic plug-flow feeding (Figs. 5–6; Ranzinger et al., 2020).

We propose that X_B retention through sedimentation and surface filtration during plug-flow feeding of AGS systems is a 3-step process, consisting of (1) channel formation, (2) settling of X_B and (3) surface filtration. Firstly, influent flow causes slight redistribution of granules, which locally enlarges void space and then forms channels in upward direction within the settled sludge bed. Secondly, influent X_B settles within the channels. The channels are progressively filled up by the settling of X_B , ultimately resulting in a filter-cake. Thirdly, influent X_B is then strained by the filter-cake and surface filtration occurs (Maroudas and Eisenklam, 1965). With continuing influent WW injection, the filter-cake consisting of X_B is being pushed upwards. Attachment of X_B to the granules during plug-flow feeding is thus limited. However, our results do not allow us to conclude about actual contact between filter-cake and the granule surface, since the resolution of MRI is too coarse (Ranzinger et al., 2020). A minor fraction of X_B could thus be in contact to the granules during anaerobic plug-flow feeding.

Our results also indicated that the influent X_B concentration and upflow velocity determined the extent of X_B retention during plug-flow feeding. The upflow feeding velocities applied at pilot-scale but also in full-scale AGS system are ranging from 0.5 to 5 m h⁻¹, with a typical value of 2 m h⁻¹ when treating municipal WW (Derlon et al., 2016; Wagner et al., 2015a; Pronk et al., 2015b). The settling velocity of influent X_B particles in the size range of 45–200 μm in diameter is 0.8–16 m h⁻¹ (specific gravity 1.2 kg L⁻¹) or 4.0–75 m h⁻¹ (specific gravity 2.0 kg L⁻¹) (Stokes, 1851; Levine et al., 1985; Johnson et al., 1996). The settling velocities of X_B particles are in general larger than the values of upflow feeding velocities. However, the upflow velocity of the influent WW must be corrected for the porosity of the settled sludge bed, with a typical value of 0.52 (Van Dijk et al., 2020). The actual upflow velocity within the sludge bed pores therefore increases by a factor of 1.9, to values of 1.0–9.6 m h⁻¹. In general, the actual upflow velocities are in the same range as the settling velocities of influent X_B particles.

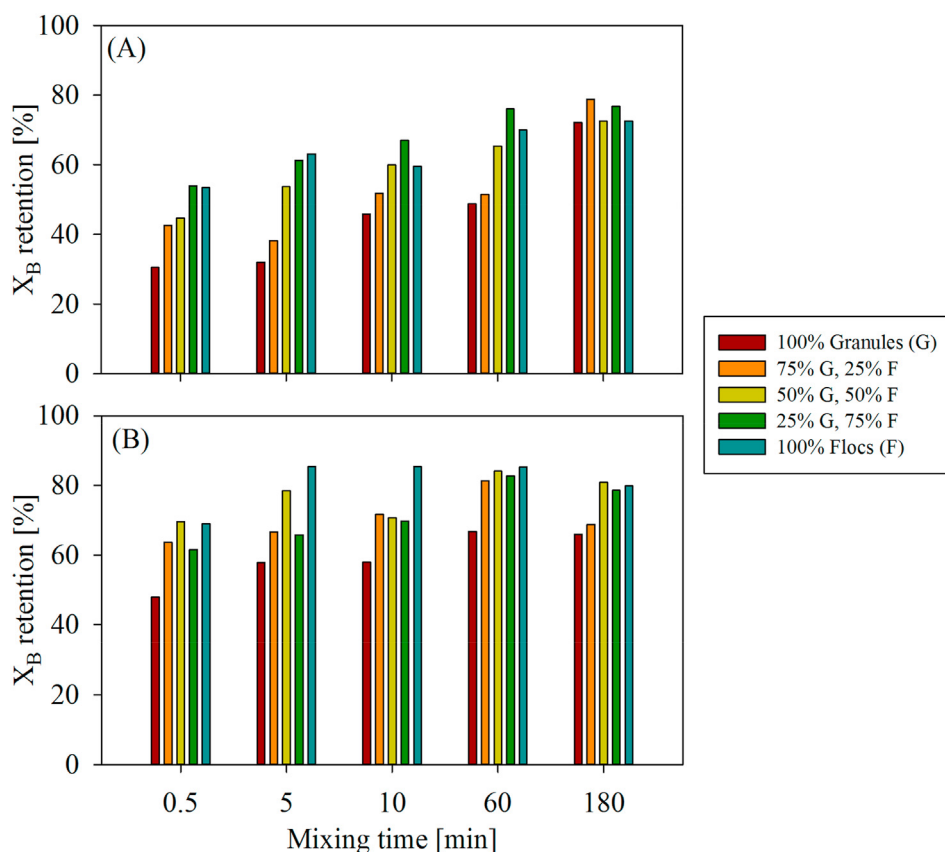


Fig. 7. X_B retention in percent COD during fully mixed conditions displaying effects of different granule/flocs fractions for two different runs (A and B). Mixing times varied from 0.5 to 180 min. Primary effluent was used as X_B source (X_B concentration = 94 and 196 mgCOD L⁻¹ for runs A and B, respectively).

However, large X_B particles are strongly affected by sedimentation and could therefore play an important role in the initial formation of a filter-cake at the bottom of the settled sludge bed. Smaller X_B particles that are transported through advection could then be retained through surface filtration by the filter-cake (Maroudas and Eisenklam, 1965). Higher X_B concentrations usually coincide with larger particle diameters (Sophonsiri and Morgenroth, 2004). Influent WW composed of high X_B concentrations will thus lead to increased settling of X_B at the bottom of the sludge bed and fast formation of a filter-cake during the anaerobic plug-flow feeding. The overall particle size distribution entering the AGS SBR is determined by whether primary treatment via primary clarification or a similar filtration or sedimentation step is implemented or not (Levine et al., 1991). Colloids (particles $d < 1 \mu\text{m}$) are prone to diffusion, and can indeed diffuse into the granules located at the bottom of the sludge bed during plug-flow feeding (Ranzinger et al., 2020). Retention of colloidal particles is therefore governed by inherently different mechanisms compared to retention of X_B particles, which cannot diffuse into the biofilm (Polson, 1950). It must be noted that MRI tests were conducted using a very high X_B loading, in order to increase the image quality. Therefore results gained from MRI (Figs. 5–6) likely overemphasised the magnitude but not the occurrence of sedimentation and surface filtration as X_B retention mechanisms during plug-flow feeding.

We therefore propose that a large fraction of X_B is retained at the bottom of the settled sludge bed through sedimentation and surface filtration and is thus not attached to biomass. Combining limited attachment to biomass and slow hydrolysis in anaerobic plug-flow feeding conditions suggests that large fractions of X_B are not hydrolysed during anaerobic plug-flow feeding conditions

(Henze and Mladenovski, 1991). Large fractions of influent X_B could therefore re-suspend once aerobic fully-mixed aerobic conditions are applied (Ranzinger et al., 2020), in analogy to particle re-suspension during backwash of granular media filters (Amirtharajah, 1985).

4.2. Large fractions of X_B are retained by flocs during fully-mixed conditions

Another main finding of our study is that X_B re-suspends during fully-mixed conditions, e.g., once aeration starts, and is available for attachment onto both granules and flocs. A main question is whether X_B will then attach preferentially to the flocs or to the granules.

During the first 60 min of mixing, the presence of flocs increased X_B retention by more than 20%, in comparison to the “100% granules” case (Fig. 7). We hypothesize that X_B retention is mostly achieved by flocs through rapid attachment, due to the very large specific surface area of flocs (flocs TSS fraction 20%, flocs-to-granules surface area ratio 939-to-1, Supplementary Information S4, Andreadakis, 1993; Mihciokur and Oguz, 2016; Jimenez et al., 2005). Granules, on the other hand, have a much smaller specific surface area and are much lower in number (Supplementary Information S4). In addition, the surface of mature granules is often rather smooth when flocs are also present in the AGS, and granules do not offer many locations for attachment in comparison to odd-shaped, ramified flocs. Reduced X_B removal and decreased X_B removal rates by biofilm systems is linked to limited active adsorption sites (Boltz and La Motta, 2007). We thus propose that flocs have a competitive advantage over granules to retain X_B

through attachment during fully-mixed aerobic conditions, due to their physical structure despite their minor fraction in AGS systems treating municipal WW (10–30% TSS-based; Layer et al., 2019). If X_B is attaching rapidly and preferentially to the flocs, only little X_B is then left for attachment onto the granules. Attachment of X_B onto the granules was much slower compared to X_B attachment to mixtures of flocs and granules, or solely flocs (Fig. 7).

Previous studies indeed indicate that the contribution of biofilms to the retention and hydrolysis of X_B is quite limited during fully-mixed conditions. Particles $> 1 \mu\text{m}$ are typically considered the most difficult to be removed in biofilm systems (Levine et al., 1991). In moving bed biofilm reactors (MBBR) used for the treatment of municipal wastewater, no reduction in TSS usually occurs in the MBBR stage (Åhl et al., 2006). In general, reduced hydrolysis of X_B has been reported for biofilm systems in comparison to conventional activated sludge systems (Janning et al., 1998; Morgenroth et al., 2002). Actually, several studies even suggested that hydrolysis in biofilm systems is carried out in the bulk phase rather than at the biofilm surface (Rohold and Harremoës, 1993; Larsen and Harremoës, 1994a, 1994b). Those findings suggest that the contribution of biofilms to X_B hydrolysis is rather small, due to the limited attachment of X_B onto biofilms. AGS systems treating municipal WW are now often regarded to as hybrid biofilm systems (Layer et al., 2019). Therefore, we hypothesize that in hybrid systems such as AGS, flocs outcompete granules in X_B retention through attachment once mixing is applied.

4.3. Practical implications

Attachment of X_B was quite limited during anaerobic plug-flow conditions, and full retention of X_B was then achieved in aerobic fully-mixed conditions. Retention of X_B during *fully-mixed tests* were performed using very high X_B -to-biomass ratios (70/30 v/v), and final X_B retention was $> 80\%$ in all tests. Thus, complete removal of X_B can be expected during the aerobic fully-mixed phase in full-scale AGS SBR operation. Flocs retained a large fraction of X_B through rapid attachment after mixing was applied. Therefore, it is very likely that (1) X_B will be fully hydrolysed within the SBR cycle (Henze et al., 2000) and that (2) the majority of hydrolysis products are consumed within the floc micro-environment, too (Martins et al., 2011). Flocs will thus always co-exist with granules in AGS systems as long as the WW contains organic substrate in the form of X_B . Aggressive wash-out of flocs via short settling times still is a common start-up and operational strategy in AGS SBR operation (Adav et al., 2008). We however propose that too aggressive wash-out of flocs is neither desirable nor expedient in AGS systems treating municipal WW, even at the cost of decreased settling performance (Layer et al., 2019). It is likely that too high wash-out of flocs in AGS systems treating X_B -rich municipal WW leads to increased X_B attachment, hydrolysis and utilisation by the granules. An increased aerobic utilisation of X_B by the granules would then result. Aerobic utilisation of organic substrate by the granules was linked to filamentous outgrowth, loss of nutrient removal performance and/or granule breakage and process failure, eventually (Sturm et al., 2004; De Kreuk et al., 2010; Derlon et al., 2016; Haaksman et al., 2020). To date, it is still under debate if flocs have other important functions in AGS systems like, e.g., if their contribution towards nutrient removal is significant or negligible, and whether their presence is desirable or not (Ali et al., 2019; Layer et al., 2019, 2020). Therefore, more research is required on the specific function of flocs in AGS systems treating municipal WW.

X_B retention can be optimised by e.g. introducing an anaerobic-mixed phase after plug-flow feeding (Layer et al., 2019). An increased attachment of X_B to flocs and granules during anaerobic conditions would be the result. However, prior research has indicated

that anaerobic hydrolysis of X_B originating from municipal WW can be limited (Jabari et al., 2016). Thus, anaerobic X_B degradation by introducing anaerobic-mixing could be limited. Another option could aim at minimising X_B in the influent to the AGS stage through advanced pre-treatment such as micro-sieving or chemically enhanced pre-treatment (Sancho et al., 2019). Pre-fermentation of captured X_B in primary treatment could indeed enhance AGS performance in low-strength municipal WW conditions (Yuan et al., 2020; Vollertsen et al., 2006). However, more research is required to identify feasible operational strategies and technologies to improve X_B retention, degradation and utilisation in AGS-based WWTP.

Reynolds numbers calculated for *plug-flow tests* indicated laminar flow conditions during plug-flow feeding at lab-scale. It must be noted that turbulent flow conditions could occur during the feeding phase of a full-scale AGS SBR, depending on the design of the influent WW distribution system, e.g., due to scarce injection nozzle distribution. In such case, two distinct zones might exist, where the first zone (e.g., bottom 10–50 cm of the settled sludge bed) experiences turbulent flow conditions and could act as a fluidized bed. Within the fluidized bed attachment of X_B to biomass could be possible. The second zone above the fluidized bed would experience laminar flow conditions, where similar X_B retention mechanisms as observed in our study likely occur. However, to date no detailed information on full-scale AGS SBR injection hydraulics are available, and thus, considerations are highly speculative.

5. Conclusions

1. During anaerobic plug-flow feeding of AGS SBR, X_B is retained within the interstitial voids of the settled sludge bed, but with minimal attachment. In the subsequent fully-mixed phase X_B then attaches preferentially to the flocs.
2. X_B retention results from the combined mechanisms of sedimentation and surface filtration that occur at the bottom of the settled sludge bed during anaerobic plug-flow feeding. Up to 70% of the final X_B retention occurred within the first 0.5 cm of the settled sludge bed. The attachment of X_B onto the granules is thus limited during anaerobic plug-flow feeding.
3. The extent of X_B retention during plug-flow feeding is determined by WW composition (influent X_B concentration), v_{WW} and sludge bed composition. High influent X_B concentrations and low v_{WW} increase X_B retention.
4. A large fraction of influent X_B likely re-suspends during aerobic fully-mixed conditions. Rapid X_B retention after 0.5–60 min of mixing occurs if flocs are present in the biomass. Therefore, X_B attaches preferentially to flocs and only a small fraction of X_B attaches to granules.
5. Flocs are an important biomass fraction in AGS systems treating municipal WW rich in X_B . Too high wash-out of flocs is not desirable in those conditions.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The authors thank Gisela Guthausen (Engler-Bunte-Institut) for advice and support during the MRI experiments. The authors thank Michael Cunningham for his initial results on the plug-flow and fully-mixed tests during his Master's Thesis at Eawag. This work was financed by the Swiss National Science Foundation (SNSF), grant numbers 200021_152963 and 200021_176022.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.wroa.2020.100075>.

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