1 2

3 4

Technological aspects in blanket design: Effects of micro-alloying and thermo-mechanical treatments of EUROFER97 type steels after neutron irradiation

M. Rieth¹, <u>E. Simondon^{1*}</u>, G. Pintsuk², G. Aiello³, J. Henry⁴, D. Terentyev⁵, A. Puype⁶, C. Cristalli⁷, L. Pilloni⁸, O. Tassa⁹, M. Klimenkov¹, H.-C. Schneider¹, P. Fernandez¹⁰, T. Gräning¹¹, X. Chen¹¹, A. Bhattacharya¹¹, J. Reed¹¹, J.W. Geringer¹¹, M. Sokolov¹¹, Y. Katoh¹¹, L. Snead¹²

- 8 ¹Karlsruhe Institute of Technology, IAM-AWP, Hermann-von-Helmholtz-Platz 1, 76344 Eggenstein-
- 9 Leopoldshafen, Germany
- ¹⁰ ²Forschungszentrum Jülich GmbH, Institut für Energie- und Klimaforschung Plasmaphysik, 52425
- 11 Jülich, Germany
- 12 ³EUROfusion, PPPT, 85748 Garching, Germany
- ⁴Université Paris-Saclay, CEA, Service de Recherches Métallurgiques Appliquées, 91191, Gif-sur-
- 14 Yvette, France
- 15 ⁵Belgian Nuclear Research Centre, SCK•CEN, Mol, 2400, Belgium
- 16 ⁶OCAS NV, Pres. J.F. Kennedylaan 3, 9060 Zelzate, Belgium
- 17 ⁷ENEA, Brasimone, Camugnano, BO 40032, Italy
- 18 ⁸ENEA CR CASACCIA, Via Anguillarese 301, 00123 Rome, Italy
- 19 ⁹Centro Sviluppo Materiali S.p.A., Via di Castel Romano 100, 00128 Roma, Italy
- 20 ¹⁰National Fusion Laboratory, CIEMAT. Avenida Complutense, 40., Madrid, Spain
- 21 ¹¹Oak Ridge National Laboratory, Oak Ridge, TN 37831-6115, USA
- 22 ¹²Irradiation Materials Sciences Consulting (IMSC), 206 S. Country Road, Bellport NY 11713, USA
- 23

27

- 24 *Corresponding author: <u>esther.simondon@kit.edu</u>25
- 26 <u>Referee suggestions</u>:
 - Shuhei Nogami, <u>shuhei.nogami.d3@tohoku.ac.jp</u>
 - Christian Linsmeier, <u>ch.linsmeier@fz-juelich.de</u>
- 29 Mike Gorley, <u>mike.gorley@ukaea.uk</u>
- 30 Sergei Dudarev, <u>sergei.dudarev@ukaea.uk</u>
- 31 Eberhard Diegele, <u>eberhard.diegele@euro-fusion.org</u>
- 32 Anton Möslang, <u>anton.moeslang@kit.edu</u>
- 33 34

35 Abstract

36

37 Presently available data on neutron irradiation damage raise doubts on the feasibility of using 38 EUROFER97 steel for a water-cooled starter blanket in a DEMO reactor, since the ductile-to-brittle 39 transition temperature (DBTT) increases significantly for irradiation temperatures below 350°C. The 40 additional DBTT shift caused by H and He transmutation can only be estimated based on very few 41 results with isotopically tailored EUROFER97 steel. Conservative calculations show that the DBTT of EUROFER97 steel could exceed the operating temperature in water-cooled starter blankets within 42 43 a relatively short time period. This paper presents results from a EUROfusion funded irradiation 44 campaign that was performed in the High Flux Isotope Reactor at Oak Ridge National Laboratory. The paper compares ten newly developed reduced activation ferritic-martensitic (RAFM) steels 45 46 irradiated to a dose of 2.5 dpa at 300°C. The post-irradiation experiments using Small Specimen Test 47 Technology included hardness, tensile, and fracture mechanics tests combined with fractography and 48 microstructure analysis are presented. Results show that micro-alloying EUROFER97-type steels influenced the mechanical properties but a dominating impact on irradiation damage resistance could 49 50 not be identified. In contrast, specific thermo-mechanical treatments lead to better DBTT behavior. 51 Discussion about irradiation response to heat treatment conditions is also given. Despite requiring 52 data also at high dpa values, the results indicate that with these modified materials an increased 53 lifetime and potentially also an increased operating temperature window can be achieved compared 54 to EUROFER97. 55

56 Keywords

- 57 EUROFER97
- 58 thermo-mechanical treatment
- 59 neutron irradiation
- 60 post irradiation examination
- 61 embrittlement
- 62 fracture toughness
- 63

64 **1. Introduction**

65

The most problematic material-related challenge for DEMO and future commercial fusion power plants concerns the mitigation of neutron damage, that is, embrittlement by irradiation-induced defects and transmutation of helium and hydrogen. It has the highest impact on the design and licensing of blanket and divertor structures. As of today, the assumed design limits are highly speculative, even for a starting configuration with rather moderate performance.

Different operational environments are foreseen for the blanket and divertor of the first DEMO reactor related to their temperature handling requirements as well as the expected neutron damage level. While for the divertor, water cooling at ~180°C is foreseen, two different design options for the breeding blanket are considered. On the one hand, this is the "water-cooling" option which implies a minimum irradiation temperature for the blanket material in the range of 280-350°C. On the other hand, the "helium-cooled" and "dual-coolant" solutions imply a maximum operating temperature for the blanket material in the range of 650°C.

Concerning the water-cooling options for the divertor and the blanket, presently available data on irradiation damage limit the allowed dose to ~6 dpa and raise doubts on the feasibility of using EUROFER97 steel for a water-cooled starter blanket in a DEMO reactor. Indeed, the ductile-brittle transition temperature (DBTT) of this material increases significantly for irradiation temperatures below 350°C. This DBTT shift is caused on the one hand by created microstructural defects and on the other hand by transmutation (H and He production). While the effect of microstructural defects can be simulated by fission neutron irradiation, transmutation effects can only be estimated using
isotopically tailored EUROFER97 steel for which yet only few results from one irradiation campaign
are available [1], [2]. Conservative calculations suggest that the DBTT of EUROFER97 steel would
exceed the operating temperature in water-cooled starter blankets within a relatively short time.

88 Concerning the helium-cooling option, the moderate heat transfer of He will maintain the 89 temperatures in the EUROFER97 structures at and above 350°C, which would guarantee moderate 90 irradiation-induced DBTT shifts mainly related to material transmutation, especially in high dpa 91 regions of the blanket like the first wall. Recent R&D points to the possibility to extend the high 92 temperature operating limit of EUROFER97 to over 550°C [3]–[5]. Albeit preliminary, these results, 93 if confirmed, would allow an increase in the outlet temperature of the coolant improving the reactor 94 net efficiency [6]. Unfortunately, the improvement on the high-temperature end is often accompanied 95 by an increase of the DBTT at the lower end in the non-irradiated state, and with He-transmutation 96 induced embrittlement, the DBTT could reach room temperature comparably fast.

97 In summary, DEMO design assessment requires further experimental data on H and He 98 transmutation effects and risk-mitigation action on advanced material development is required. 99 Regarding the latter, after more than four years, the EUROfusion funded irradiation screening 100 campaign on advanced RAFM steel grades, performed in the High Flux Isotope Reactor (HFIR) at Oak Ridge National Laboratory (ORNL), was recently completed. The work focuses on the 101 102 development of innovative RAFM steels able to withstand the critical temperatures typical of 103 the different operational environments foreseen for the blanket of the first DEMO reactor. 104 Therefore, in this study, the following questions were addressed: 105

- How do specific thermo-mechanical treatments and modest changes of chemical specifications (i.e., micro alloying) influence the irradiation behavior? Which strategies are promising? Which materials or approaches can be down-selected?
 How meaningful is small specimen test technology compared to results from international
 - How meaningful is small specimen test technology compared to results from international test standards?
- How does a technologically relevant heat treatment change the irradiation properties of
 EUROFER97?
- 113

110

This campaign included ten materials of EUROFER97/2 and EUROFER-type that have been irradiated to a dose of 2.5 dpa at 300°C, in geometries yielding mechanical properties that are relevant and necessary for a comparison and possible down-selection of some grades from the advanced steels development program. For a meaningful assessment of the materials, the post-irradiation experiments were restricted to basic properties like hardness, tensile, and fracture mechanics tests combined with fractography and TEM analysis.

120 2. Experimental

121 **2.1. Materials and strategy**

122

123 Ten materials were selected to be irradiated in this study. They were derived from the optimization 124 processes, targeting to expand the in-service temperature window of EUROFER97/2. These 125 optimization processes consist of changing the processing route or the chemical composition with respect to EUROFER97/2 to improve selected, specific properties like creep strength, toughness, and 126 127 low-temperature embrittlement in the unirradiated state. The present study was performed to determine the effect of neutron irradiation on these RAFM steels. The chemical compositions (real 128 129 compositions measured by chemical analysis) of the ten steels are given in Table 1, and the details of 130 the thermo-mechanical treatments (TMT) and heat treatments of their production process are given in Table 2. The materials were produced as 100 kg lab-casts in the vacuum induction furnace at OCASNV.

Material E refers to the second industrially produced EUROFER97/2 heat 993391. However, after production in the as-received state, an austenitization at 980°C was performed, followed by a very slow cooling down to room temperature (RT) over 24 h. Then, a standard heat treatment with an austenitization at 980°C, an air quench and a tempering at 760°C were performed. This treatment is called 'technological treatment', as it simulates the worst-case technological situation, which could occur after welding or during problematic production steps. This off-normal case is not a standard EUROFER, but rather covers the lower range of EUROFER material properties.

140 Materials H, I and P varied in terms of composition compared to EUROFER97/2 as well as of the production route. The ingots were reheated to a temperature of 1150°C for 1 h after which they were 141 rolled in 4 rolling passes at pre-defined decreasing temperatures of 1100°C, 1050°C, 1000°C, 950°C 142 and a then in 4 passes in a final rolling temperature of 900°C with a reduction of 16% per pass, to a 143 final thickness of 20 mm. This TMT was followed by extreme (non-standard) austenizing and 144 145 tempering treatments. The reduction of C is meant to reduce the amount of $M_{23}C_6$ precipitation, the 146 addition of V and N is supposed to promote VN precipitation and the withdrawal of Mn is bound to 147 increase the austenite formation temperature and therefore increase the possible upper tempering temperature range. These three materials (H, I, P) have been studied in earlier works (then called 148 149 J362, J363 and J361, respectively) [3].

150 For material J, also tagged as 'lab-cast EUROFER' in earlier works [5], the production process comprised a TMT alternative to EUROFER97/2: the ingot was reheated to a temperature of 1250°C 151 152 for 1 h after which it was rolled in 6 rolling passes at pre-defined decreasing temperatures of 1200°C, 1150°C, 1100°C, 960 C, 900°C and a final rolling temperature of 850°C with a reduction of 20–30% 153 per pass, to a final thickness of ~11 mm. This TMT was followed by a tweaked quenching and 154 155 tempering treatment. This resulted in a good mechanical performance, with a DBTT of -147°C, based on the KLST Charpy impact test results [5], while maintaining similar tensile properties as 156 EUROFER97/2 in the high temperature application region. Therefore, this material delivers optimum 157 properties and can be considered as the optimum state of EUROFER in terms of fabrication, 158 159 thermomechanical treatment and heat treatment.

160 Concerning K-grade, its composition was altered w.r.t. EUROFER97/2 to improve low 161 temperature performance by eliminating elements such as Mn that contribute to dislocation loop 162 formation during irradiation due to irradiation induced segregation and trapping effects. K-material 163 has undergone similar TMT and rolling passes as the J-grade, but the post-rolling thermal treatment 164 was adjusted to avoid ferrite formation during Q&T as both carbon, chromium and manganese content 165 were reduced.

Material L received a special heat treatment. The strategy for selecting the conditions was (i) to 166 increase the austenizing temperature (up to 1150°C) in order to maximize the dissolution of 167 precipitates, and (ii) to lower the tempering temperature, so as to increase the number density of 168 carbo-nitrides which precipitate during tempering. The austenizing temperature was selected based 169 170 on THERMOCALC calculations, with the aim to avoid complete dissolution of Ta-rich carbo-nitrides 171 and therefore excessive austenite grain growth. While the applied special heat treatment was not designed to improve the behavior after irradiation at temperatures below 350°C, material L was 172 nevertheless included in the HFIR irradiation campaign in order to assess the effect of special heat 173 174 treatments on the mechanical performances after low temperature irradiation, in comparison with standard EUROFER and heats designed for low temperature applications. 175

Material M refers to the industrially produced second EUROFER97/2 batch (heat number 993391). After a standard hot rolling, it was submitted to a double normalizing at 1020°C and then tempering at 760°C. This double austenitization treatment has been chosen, after a study on multiple austenitizations at different temperatures, to optimize the prior austenite grain (PAG) size reduction. This successfully reduced the PAG size by approximately 25% compared to a standard heat treatment of EUROFER97/2, and consequently increased the toughness by decreasing the DBTT by about 7°C (KLST samples) [7]. Material N contains a reduced N content (0.002 %) and has suppressed V content, in order to reduce secondary precipitation. This makes it a 9Cr1WTa type alloy. As for M, a double austenitization stage has then also been applied, but at lower temperature (920°C), because it was noticed that the PAG size stayed stable even for markedly lower normalization temperatures. The heat treatment was then completed by a standard tempering (760°C). This alloy performed slightly better in DBTT compared to EUROFER97/2 with standard thermal treatment, but the tensile properties proved lower [8].

190 Material O is an alloy with increased content of N (up to 0.07 %) and V (up to 0.3 %) and 191 decreased content of C (0,06 %) and Ta (0,05%) in order to reduce the precipitation of $M_{23}C_6$ and to 192 foster the precipitation of V and Cr nitrides, whose dimensions are expected to be lower than the 193 previous ones [9]. The material was submitted to a processing specific to the high temperature applications alloys, consisting of three stages: (i) a normalization at 1080°C. This higher temperature 194 195 was chosen in order to keep the PAG size in a range of 50-70 microns, thought to be sufficient to grant creep resistance and, at the same time, not to be so detrimental for toughness. (ii) An ausforming 196 197 with 40% section reduction ratio rolling at 650°C, in order to gain a carbo-nitride precipitation meant 198 to "pin" the dislocations net (iii) and a final standard tempering at 760°C. Initially developed for high 199 temperature operation, material O has been discarded due to poor creep resistance (among the tested alloys) [8]. However, it is still considered as an option for low temperature applications because of 200 201 its good impact properties.

M-code	Cr	С	Mn	V	Ν	W	Та	Si	Provider
Е	8.83	0.107	0.53	0.20	0.019	1.08	0.12	0.04	
Н	8.70	0.058	0.02	0.35	0.047	1.07	0.10	0.04	КIТ
Ι	8.73	0.110	0.02	0.35	0.042	1.08	0.09	0.04	KI I
Р	8.70	0.105	0.02	0.2	0.045	1.14	0.09	0.03	
J	9.00	0.107	0.39	0.22	0.022	1.10	0.11	< 0.04	SCK CEN
K	7.84	0.017	< 0.03	0.22	0.022	0.99	0.13	< 0.04	SCR.CEN
L	9.14	0.106	0.54	0.20	0.038	1.11	0.12	0.03	CEA
Μ	8.83	0.107	0.53	0.20	0.019	1.08	0.12	0.04	
Ν	9.04	0.092	0.11	< 0.05	0.002	0.99	0.09	0.04	ENEA
0	8.8	0.06	0.50	0.3	0.07	0.97	0.05	0.15	

 Table 1: Chemical compositions of 10 different Eurofer-97 steel variants. All values are in wt.%. E and M = reference

 EUROFER97/2 (Heat 993391). L = EUROFER97/2 (Heat 994578)

M-Code	Condition				
Е	'Technological treatment' : 980°C/ + slow AC + 980°C/0.5h + AQ + 760°C + AC				
Н	TMT: 1150°C and then rolling in 8 steps down to a final rolling temperature of 900°C with a reduction of 16% for each rolling step, then WQ.				
Ι					
Р	$1000^{\circ}C/0.5h + WQ + 820^{\circ}C/2h + AC$ in air				
J	TMT:1250°C/1h and then rolling to a final rolling temperature of 850°C in 6 rolling steps with a reduction of 20-30% for each rolling pass, then AC.880°C/0.5h + WQ + 750°C/2h + AC in airTMT:1250°C/1h and then rolling to a final rolling temperature of 850°C in 6 rolling steps with a reduction of 20-30% for each rolling pass, then AC.1050°C/15min + WQ + 675°C/1.5h + AC				
K					
L	1150°C/0.5h + WQ + 700°C/1.5h+ AC				
М	$1020^{\circ}C/0.5h + AQ + 1020^{\circ}C/0.5h + AQ + 760^{\circ}C/1.5h + AC$ (double austenitization)	ENEA			

$\mathbf{O} \qquad \begin{array}{c} \text{TMT: } 1080^{\circ}\text{C/1h, cooling to } 650^{\circ}\text{C and rolling, reduction } 40\% \text{ (from } \\ 30 \text{ mm to } 18\text{mm)} \\ \text{Temperature 7} 760^{\circ}\text{C/1h} + 4C \end{array}$	Ν	920° C/1.5h + AQ + 920° C/1.5h + AQ + 760° C/1h + AC (double austenitization)	
	0	TMT: 1080°C/1h, cooling to 650°C and rolling, reduction 40% (from 30 mm to 18mm)	

 $\begin{array}{c} 207 \\ 208 \end{array}$

 Table 2: Summary of different processing conditions submitted to Eurofer-97 steel variants. AQ: air quenched, WQ: water

 quenched, AC: Air Cooling, HT: high temperature, LT: low temperature

209 **2.2.** Irradiation

210 The irradiation program has been undertaken in the High-Flux-Isotope-Reactor (HFIR) at the Oak Ridge National Laboratory (ORNL). The fast neutron (E > 0.1 MeV) fluence is 3.1×10^{25} m⁻² and the 211 fluxes are in the range 0.66 to 1.1×10^{19} n/m²/s, which approximately corresponds to 4.7 to 7.9x10⁻⁷ 212 dpa/s for 9% Cr RAFM steels (like EUROFER). HFIR is a mixed spectrum reactor in which the 213 thermal-to-fast neutron flux ratio is approximately 2.1 throughout the core including the Flux Trap. 214 Materials have been irradiated for 3 months to the average dose of 2.5 ± 0.25 dpa at the target 215 216 temperature of $300^{\circ}C \pm 30^{\circ}C$, in the shape of sub-sized tensile specimens (SS-J3) and 4-notch fracture 217 toughness bend bar specimens (M4CVN) placed in rabbit capsules. The temperature of irradiation was determined based on Finite Element Analysis (FEA). It was calibrated by the thermometry 218 219 readings from silicon carbide (SiC) temperature monitors irradiated in direct contact with the 220 specimens. The temperature monitor reading was carried out primarily by the dilatometry method. 221 Irradiation temperature measurements using SiC thermometry specimens has been described in detail by Campbell et al. [10]. The temperature of irradiation is expected to be stable within $\pm 4\%$ of of the 222 223 difference between the design temperature (T_{des}) and the coolant temperature (T_c , ~60°C) during the full power operation, i.e., $\pm 10^{\circ}$ C for rabbits designed for 300°C. It typically takes about a day for 224 HFIR to ramp up to the full power upon start-up. The shutdown process is much quicker. The holder 225 226 assembly temperature reaches the equilibrium within a few minutes after the reactor reaches each 227 power step. 228

For tensile specimens, a total of 40 specimens (4 per material) were irradiated in two different capsules. In the end, only samples with an evaluated irradiation temperature within the desired range ($300 \pm 30^{\circ}$ C) were selected for post irradiation evaluation (hardness and tensile tests). Additionally, Vickers hardness measurements were used as a cross-check on the tensile samples temperatures by highlighting any material showing abnormal behavior and therefore acted as an added guide to select appropriate samples for further analysis. For each material, the Vickers hardness did not show dependency on the irradiation temperature.

236 For bend bars, a total of 20 specimens (2 per material) were irradiated in five different capsules. The irradiation temperature for each SiC cut specimen was determined based on the deformation 237 measurements in a dilatometer [10]. For each test, the mean value of the minimum and maximum 238 239 temperatures was used as the irradiation temperature for the cut thermometry specimen. The average 240 irradiation temperatures calculated are reported in Figure 1. Considering $\pm 20^{\circ}$ C uncertainties in the irradiation temperature determination by SiC specimens (error bars), most bend bar specimens 241 242 achieved the target irradiation temperature range of $300 \pm 30^{\circ}$ C. However, materials M and N in the capsule ES34 experienced much higher irradiation temperature of ~476 °C. Therefore, these materials 243 244 were excluded from the study, i.e. the results of further examinations of these bend bars are not presented. As for materials K and L, their lower irradiation temperature must be considered and cared 245 for in the interpretation of results, even though it is not supposed to have a big influence, since 246 247 irradiation hardening/embrittlement generally is expected to saturate when T <~ 350 °C [2]. In other 248 words, as long as the irradiation temperature is lower than ~350 °C, the hardening behavior for a fixed 249 dose will not be drastically different.



Figure 1 : Irradiation temperature measurements for bend bar specimens. The blue range represents the target temperature of irradiation. The scatter band corresponds to the error on the SiC thermometry measurement of +/-20°C.

255 **2.3.** Characterizations

256

263

272

253

254

Microscopy. Microstructure of the as-received samples was characterized at multi length scales using light optical microscopy (LOM), scanning electron microscopy (SEM) and transmission/scanningtransmission electron microscopy (TEM/STEM). LOM was performed on the undeformed head/grip sections of the broken tensile samples after the tensile tests polished with mirror quality. SEM was performed on the same samples after etching in Villela's reagent. A field emission gun (FEG) based Hitachi S4800 SEM was used.

264 **TEM.** TEM specimens were lifted out by focused ion beam (FIB) from tab region of broken tensile 265 test articles. TEM/STEM characterization of the irradiated samples was performed using a XFEG based FEI F200X Talos STEM operating at 200 kV, equipped with high resolution STEM detectors 266 and a FEI 4096×4096 resolution "Ceta" CCD camera. The imaging of precipitate phases was 267 performed by high-count rate EDX mapping of the samples using FEI F200X Talos STEM. Thickness 268 269 measurements of the foils, needed to estimate defect densities, was performed using a FEG based 270 JEOL 2100F TEM equipped with a Gatan GIF EELS spectrometer. Readers are referred to [11] for more details on microstructure characterization technique. 271

273 Hardness. Vickers microhardness indentation tests were performed on irradiated tensile and toughness specimens in accordance with ASTM E384 Standard Test Method for Microindentation 274 275 Hardness of Materials, using 1 kg load, 15 s dwell time, at room temperature. These tests were conducted using a Mitutoyo HV-120 hardness tester. For the M4CVN samples, the indentations were 276 performed adjacent to the end of fatigue precrack, at least 4 indents per notch, while the indentations 277 were made on the head/grip section of SS-J3 tensile specimens. For SS-J3 specimens, a minimum of 278 6 indents per sample were measured to provide an average hardness value of each steel. All the 279 280 irradiated samples were tested because Vickers hardness measurements can serve as a cross-check on 281 the sample temperatures by highlighting any material showing abnormal hardness behavior. 282

Tensile tests. Tensile tests were carried out on type SS-J3 miniature tensile specimens using a strain rate of 10^{-3} s⁻¹ in shoulder loading configuration and using the machine stroke for estimating elongation, following the standard procedure guidelines provided in ASTM E8/E8M-15a. Tests were performed at room temperature (RT) and 300 °C using an Instron 3367 tensile machine equipped with a 5 kN load cell. Elevated temperature tests were conducted in high vacuum environment. Load and strain data were digitally recorded and analyzed. Fracture surfaces were examined using JEOL JSM-6010LA scanning electron microscope. 290

Toughness tests. Three-point bending testing was carried out on type M4CVN miniature bending bar specimens of dimension $45 \times 3.3 \times 1.65$ mm with 4 notches, i.e. 4 tests can be performed per specimen. The specimens have been pre-cracked prior to irradiation. Tests on the irradiated samples were performed in an enclosed chamber with heating bands for elevated temperature testing or liquid nitrogen cooling for low temperature testing. Fracture toughness K_{Jc} as well as the reference fracture toughness T_{0Q} were determined in the transition region according to ASTM Standard E1921 Master Curve Method. More details on toughness testing are available in [12].

298

299 **3. Results**

300 **3.1. Microstructure**

301

302 SEM and TEM investigations were performed on all materials before irradiation. Materials H, I, 303 P and K were also investigated after irradiation by TEM. Selected results here are presented to give 304 an overview of the microstructural features, linked to chemical composition and performed heat 305 treatments. Complete microstructure results can be found in [13]. Figure 2 presents the optical images 306 of etched samples of the materials. Most materials show the typical appearance of a tempered ferritic-307 martensitic (F-M) steel with PAGs, laths and precipitates decorating laths.

Material E displays larger PAGs than the standard EUROFER97, and also presents a non-uniform distribution of very coarse precipitates (> 1 μ m), expected to be carbides. Their presence is evident on Figure 3, an SEM image of a relatively deep etched surface of sample E. Such coarse precipitates are uncharacteristic of EUROFER97/2 and unexpected in any 9%Cr F-M steel under conventional heat treatment condition (980-1050°C austenitization and 700-780°C tempering) [14]. Their presence is attributed to the off-normal heat treatment that E was subjected to.

For materials H and I, the microstructures seem to be slightly over-tempered. They contain a small fraction of ferrite grains, indicated in Figure 2 by arrows. They appear much brighter in the etched steels without much carbides (black dots). Also, it is evident from Figure 2 that H series steel has larger grains as compared to I and P.

318 J-series steel displays the finest microstructure, due to the optimized ausforming and low 319 austenitization temperature of 880°C.

K series consists on the contrary of very large PAGs, with a bimodal grain size, which can be attributed to the high normalizing temperature (1050°C) and prior thermomechanical processing. Its overall microstructure appears to be under-tempered, with yet not fully developed lath structures. Figure 2 shows martensitic regions much brighter than tempered areas after etching, because the carbides have not yet formed in these regions. This is expected because the tempering temperature of material K is 675°C, which is very low compared to usually 750-760°C for F-M steels.

326 Material L has the typical microstructure expected for tempered F-M steel, but with very large 327 PAGs (>50 μ m), which is the result of the very high austenitization temperature (1150°C).

Material O is comprised of elongated grains along the plate rolling direction, which is expected due to the hot rolling at 650°C with 40% reduction.



Figure 2: Light Optical Microscopy images of the unirradiated materials after etching in Villela's reagent. RD = rolling direction. ND = normal direction.



Figure 3: SEM images of unirradiated materials a) E and b) M at the same magnification after deep etching highlighting the presence of coarse carbides in E series

Regarding the precipitation, most materials present two types of precipitate families: (i) predominantly Ta, V and N rich precipitates, which are expected to be the MX carbo-nitride precipitates and (ii) predominantly Cr, W rich phases, which are expected to be $M_{23}C_6$ carbides. In material K, however, an evident lack of precipitates is observed as a result of the very low C content of this material. Material L presents a density of precipitates comparable to most others, including $M_{23}C_6$, but previous work showed that this steel mainly contained Cr and V rich M₂X nitrides instead of MX carbo-nitrides [15].

After neutron irradiation, conventional and analytical STEM was performed on focused ion beam (FIB) lift out foils obtained yet only from irradiated samples of the materials H, I, P and K. The samples were taken from slivers cut from the irradiated and tensile tested samples. The location of the samples was taken from the head/grip section where no severe plastic deformation due to the tensile testing was expected.

The STEM-EDX mapping investigations have not revealed any significant modification of the pre-existing features (PAG, block, lath sizes and precipitation state) after the irradiation. H, I and P materials presented no significant change in the precipitate structure, and material K still presented a lack of precipitates. Medium angle annular dark field (MAADF) and associated EDX maps have also

337

shown no evidence of any chemical re-distribution or secondary phase precipitation of any sort. Hence, the pre-existing microstructures appear stable against irradiation at the given irradiation conditions. This is to be expected considering the rather low dose of 2.5 dpa. However, some particular features induced by irradiation were noticed, such as complex sponge-like structures, pure Cr-rich precipitates and Cr enriched areas. Details of these observations can be found in [16].

After performing analytical analysis of the secondary phases, STEM-BF and low angle annular 360 dark field (LAADF) imaging was used to study the irradiation induced extended defects. This 361 362 revealed that dislocation loops had formed in the steels due to irradiation. Figure 4 shows the 363 corresponding BF and LAADF images for materials H and K, imaged close to [101] zone axis. Some of the identified dislocation loops are encircled in the LAADF image. The dislocation loop 364 microstructure is quite complex as both individual and tangling loops are observed. Many loops are 365 located nearby dislocation lines pointing at the decoration effect originating from the elastic 366 367 interaction and the fact that loops exhibit diffusion during irradiation. The diffusion of small loops may also explain the presence of rather large loops, apparently formed as a result of loop coalescence. 368 Numerous individual loops were identified but many loops were seen interacting with dislocation 369 370 lines and/or with neighboring loops. Although the g.b analysis was not performed, from the considerable amount of knowledge on irradiation induced microstructure in RAFM steels and 9Cr 371 alloys, the loops with a 1/2 < 111> Burgers vector are expected. The average diameter and number 372 373 density of the dislocation loops (black dots of uncertain origin were excluded from the analysis) is 374 reported in Table 3. For materials H, I and P, the size of the loops is very small, between ~2-6 nm in 375 diameter. Such small dislocation loops are remarkably different to the results obtained in the K series 376 steel, where the average loop size (11 nm) and the number of loops were much larger. Here, one must 377 be cautious that the loop sizes in H series steel are very close to those expected from FIB damage. 378 Additional microstructural investigations with higher nanoscale resolution on newly prepared 379 samples will be carried out to confirm the observations and extend it to all the materials of the study. 380



Figure 4: STEM-BF images revealing the dislocation loops in irradiated steels (a) K-series, (b) P-series. Imaging performed close to (a) [001] zone axis using a <110> type g vector and (b) [101] zone axis, in down axis condition

Material	Average diameter	Number density
Н	~ 3 nm	$1.2 \mathrm{x} 10^{21} \mathrm{m}^{-3}$
Ι	~ 4 nm	2.3x10 ²¹ m ⁻³
Р	~ 6.7 nm	5.5x10 ²⁰ m ⁻³
Κ	~ 11 nm	$1.8 \times 10^{22} \text{ m}^{-3}$

385 386

384

Table 3: Mean size of the dislocation loops and their number density (assuming ~150 nm sample thickness)

387 3.2. Vickers microhardness

388

Vickers hardness indentation tests were performed before and after irradiation on all the steel variants on the head/grip section of SS-J3 tensile samples (Figure 5). The results before irradiation show that K- and L-series steels have the highest hardness, exceeding 300 HV, while all the other

steels did not deviate from 200-220 HV, in line with the values of unirradiated EUROFER97/2 392 (treated with 980 °C/air + 760 °C/air), i.e., around 220 HV [17]. This difference could be attributed 393 394 to the much lower tempering temperatures for both K- and L steels. L-series steel was tempered at 700°C and the K-series steel was tempered at 675°C, which are both significantly lower than the 395 tempering temperature of ~760°C used for reference EUROFER97/2 steels. Material H is the softest, 396 397 with an average Vickers hardness of only 192 HV. Compared to materials with the same heat treatment, the drop of strength could be attributed to the withdrawal of elements such as C, having a 398 399 role in precipitation hardening $(M_{23}C_6)$, as well as in solution hardening,

400 After irradiation, all the alloys, independent on their processing routes and modified minoralloying chemistries, showed hardening. The hardest steels are still the K- and L-series steels with 401 values higher than 400 HV. The hardness of all the other steels staved lower than 310 HV. In 402 403 comparison of materials E and J, which exhibit the same hardness before irradiation, E shows less 404 hardening and is in the end a bit softer, which is attributed to its off-normal heat treatment. 405

> 500 Increase +28% Unirradiated +33% 400 Vickers Hardness (HV) +40% +34% +37% +32% +32% 26% 300 200 100 0 P Е Н J Κ Μ Ν 0 L

Figure 5: Increase in hardness of the ten steels after neutron irradiation at 300°C +/-30°C, 2.5 dpa. Measured on SS-J3 samples using 1 kg load, 15 s dwell time. Error bars correspond to +/- the standard deviation

3.3. **Tensile properties** 410

3.3.1. Tensile tests 411

412 Tensile tests were performed on SS-J3 tensile specimens before and after irradiation. The measured Yield Strength (YS), Ultimate tensile Strength (UTS), Uniform Elongation (UE) and Total 413 414 Elongation (TE) are presented in Figure 6 for tests at 300°C. Data of the EUROFER97/2 reference 415 material with a standard heat treatment are added for comparison.

416 It is evident that all the steels hardened after irradiation, reflected by an increase in the YS and 417 UTS. This was accompanied by a decrease in UE and TE. As expected previously from the Vickers hardness results, K- and L-series steels are the strongest materials, with UTS above 800 MPa before 418 419 irradiation and above 900 MPa after irradiation. The rest of the material present YS in the range 330-420 460 MPa in the unirradiated state, and 510-640 MPa in the irradiated state, which proves them all softer than EUROFER97/2, especially after irradiation. After irradiation, the UE of EUROFER97/2 421 422 drops to 0.2%, when for all materials of the study it remains in the range 2.5-4.5%. As the TE does 423 not show the same tendency, these results have to be handled with care. There could be a dependency 424 of the UE results on the size and geometry of the specimens that were used for this study.

425

406 407

408

+33%

426 Materials J and E behave approximately the same, with a YS of ~420 MPa and a UTS of ~520 427 MPa in the unirradiated state. After irradiation, J shows higher TE (+ \sim 3%), and higher YS and UTS 428 (+ \sim 50 MPa), which is in correspondence with the previously shown hardness results.

The H-, I- and P-series steels are in the unirradiated state softer than the studied references E and J, especially H with a YS of ~330 MPa. This is attributed to their over-tempered microstructure seen in Figure 2, as well as to the lower level of carbon in material H.

Also, in terms of elongation, E performs clearly at the lower end compared to H, I, P and J.
However, after irradiation, there is no dramatic deviation in UE or TE between the materials, as they
all show UE between 2.5-4.5% and TE between 13-15%. The irradiated state presents no benefit of
the initial high uniform elongation. Therefore, focusing on a good tensile ductility in the unirradiated
state does not necessarily lead to a good tensile ductility after irradiation.

It could also be noted that the comparison of H, I and P tends to show that material P presents less
irradiation induced strengthening, which could be attributed to the observed lower number density
and higher size of dislocation loops compared to H and I, leading to a lower interference with the
dislocation motion.





442 443 444 445 446

Figure 6: Tensile properties of the 10 steels measured before and after irradiation at 300°C +/-30°C, 2.5 dpa: a) Yield Strength, b) Ultimate Tensile Strength, c) Uniform Elongation and d) Total Elongation. The tests were performed at 300°C. Data from EUROFER97/2 reference material are from [18], [19].

447 **3.3.2. Fractography**

448

Fractography was performed on all materials after tensile testing at room temperature in both irradiated and unirradiated conditions (Table 4). Before irradiation, most materials broke in a ductile fashion, presenting a highly dimpled fracture surface, typical of ductile metals and containing cup and cone features expected due to the presence of carbides (Figure 7). For some materials (H, I, P, J and to some extent N and O), the fracture surface showed many large dimples, suggesting the presence 454 of a low density of large (>1 μ m) inclusions in the steels (Figure 7.c). This is particularly the case in 455 material I. These particles would be large tantalum oxide inclusions, acting as fracture initiation sites 456 [13]. Their presence is the result of a non-optimized fabrication procedure. This problem is known in the fabrication of steels, as it appeared before in F82H and in the development of EUROFER97. 457

458 After irradiation, most materials show a consistent mode of fracture with their unirradiated 459 form (Figure 8). However, among the softer steels, I series steel stands out because it fails mainly in a ductile fashion, but consists of some very flat regions on the fracture surface indicating evidence of 460 cleavage fracture (Figure 8.b). This is the result of the presence of a small fraction of ferrite in the 461 462 material, as seen in Figure 2.

Contrary to the other materials, material L, exhibits a mixed mode of fracture. It appears that 463 the cracks may be running preferentially along the very large PAGs. The regions away from the brittle 464 cleavages showed dimpled fracture typical of ductile materials but showing cup and cone fracture 465 466 expected due to the presence of the carbide/nitrides. In this steel, no excessively large dimples, suggestive of inclusions, were detected on the fracture surface. After irradiation, both L and K 467 materials exhibit this mixed mode of fracture (Figure 8.c), with a higher fraction of cleavage fracture 468 469 for material K.

470

	Unirradiated	1	Irradiated
	Fracture mode	Remark	Fracture mode
Е	ductile		ductile
Н	ductile	Inclusions	ductile
Ι	ductile	Inclusions	ductile, but some flat regions indicating cleavage fracture
Р	ductile	Inclusions	ductile
J	ductile	Inclusions	ductile
Κ	ductile		mixed mode of fracture
L	Mixed mode of fracture		mixed mode of fracture
М	ductile		ductile
Ν	ductile	Inclusions	ductile
0	ductile	Inclusions	ductile

471 472



Table 4: Summary of fracture modes observed via fractography on tensile specimens



Figure 7: Fractography of J series steel after tensile tests at room temperature before irradiation (secondary electron images). (a) Inclusions on the fracture surface and (b) Ductile fracture surface with cup and cone features.





475

Figure 8: Fractography of irradiated tensile specimens after test at room temperature: (a) E series, (b) I series and (c) K series

480 **3.4.** Fracture toughness

481

Fracture toughness measurements were performed on sub-miniaturized specimens with application of the master curve approach. The values of the transition temperature T_{0Q} before and after irradiation are reported in Figure 9. Considering that the irradiation of bend bars on materials M and N failed, these results are not shown here. Data of the EUROFER97/2 reference material are added for comparison. Also, values of the DBTT from Charpy impact tests on KLST specimens of unirradiated materials are reported on the figure.

488 The results in Figure 9 show clearly the irradiation-induced upward shift in T_{0Q} . For the reference 489 material EUROFER97/2, the upward shift is expected to be of about +110°C after irradiation at 300°C 490 to 2.5 dpa [2].

491

492 Materials E (sub-optimum reference), K, and L showed a similar degree of irradiation 493 embrittlement as the standard EUROFER97/2.

494 The other materials of the study (H, I, P, J, and O), however, despite their various chemical 495 compositions and processing conditions, show less embrittlement (shift of ~50°C in T_{0Q}) and behave 496 much better than expected. In particular, Too for the irradiated J-material is found to be -58°C (for test temperature -125°C), which is rather promising, given that ToQ for EUROFER97/2 is around 497 498 20°C [1]. It is worth noting that due to the smaller size of M4CVN specimens, most tests were 499 performed at temperatures more than 50°C lower than T_{00} (T_{00} -50°C is the low test temperature limit 500 per ASTM E1921) to yield reasonable number of un-censored data. Our early studies on unirradiated 501 F82H and Eurofer 97 showed that such practice resulted in similar T_{00} compared with testing at the normal temperature range for larger size specimens [12], [20]–[22]. Further microstructure study is 502 503 needed to understand the difference in the observed fracture toughness results.

504 It can also be noted that among the KIT batches, H, which was a little softer than I and P because 505 of its lower C content, benefits of a lower T_{0Q} .

The Charpy results on KLST specimens are consistent with fracture toughness tests on miniaturized bend bars, as DBTT and T_{0Q} before irradiation follow the same tendencies for the entire test set. The DBTT for every material is about 10-60°C lower than the T_{0Q} , except for materials K and L, for which it is higher of 15-25°C, and material O for which the DBTT is close to the T_{0Q} . For EUROFER97 itself, KLST and DBTT are identical.



Figure 9: T_{0Q} measurements by fracture toughness tests with Master curve approach before and after irradiation at 300°C +/-30°C, 2.5 dpa. Materials M and N were excluded because of the failing irradiation temperature. Data of the EUROFER97 reference material from [1], [19] are included. For comparison purposes, the DBTT of the materials measured by Charpy test on unirradiated KLST samples, are also presented.

517 **4. Discussion**

518

519 520

521 <u>Technological treatment</u>

Material E is a EUROFER reference in terms of composition but was prepared in a non-522 conventional way. The solution annealing (or normalization), enabling all carbides to dissolve, was 523 524 not performed on this material. Instead, a 'technological heat treatment' at a lower temperature was 525 performed (980°C followed by slow air-cooling), leading carbides to grow through high temperature diffusion, in a ferritic microstructure (without martensite formation). Then the standard heat 526 527 treatments of austenitization at 980°C, quenching and tempering at 760°C were performed, leading to a state of relaxed martensite, with large M₂₃C₆ at grain boundaries, as seen in the microstructure 528 529 section (Figure 3). A homogeneous distribution of fine precipitates on these boundaries or inside 530 grains was not obvious. These microstructure features likely resulted in the lower strength and 531 toughness for this material.

532 This 'technological heat-treatment' simulates the worst-case technological situations, which 533 could occur after welding or during problematic production steps. This off-normal case is not a 534 standard EUROFER, but rather covers the lower range of EUROFER material properties. The results 535 give a good approximation for what has to be expected with joints (i.e. heat treated beam welds) after 536 irradiation compared to the base material. 537

538 <u>SSTT sensibility</u>

The mechanical tests performed at ORNL on SSJ3 tensile samples and bend bars on material E showed decreased properties compared to EUROFER97/2, attributed to the rather large and heterogeneous microstructure as well as the presence of the very large carbides along PAG boundaries, result of the 'technological heat treatment'. However, Charpy and tensile tests were repeated on bigger samples, showing results comparable to standard EUROFER97/2. Therefore, this shows a higher sensibility of Small Specimen Technology Tests (SSTT) to inhomogeneity in the material.

547 *Optimum state of EUROFER*

548 Material J (lab-cast EUROFER) is also very close to EUROFER97/2 in terms of composition, 549 but its processing (hot-rolling) was optimized via a specific TMT process, followed by low 550 temperature normalizing (880°C) and rather high temperature tempering (750°C). This led to 551 improved properties compared to EUROFER97/2, with lower DBTT and much better ductility. The 552 overall irradiation-induced deterioration of the mechanical properties is comparable to the other tested 553 grades, but the T_{0Q} determined from the fracture toughness samples is the lowest of the test set, 554 significantly below room temperature (-58°C).

555

546

556 <u>Tolerance against ferrite fraction</u>

557 The KIT batches H, I and P contained a low amount of Mn, which involves a higher transition temperature, and therefore a higher possible tempering treatment. This is why the tempering was 558 559 performed at 820°C. This revealed to be at the verge of the transformation, because a small fraction of ferrite was found in materials H and I, leading to some cleavage fracture surfaces in the tensile 560 tests after irradiation. However, even though it is well known that ferrite does not behave well under 561 irradiation, the mechanical properties of material H, I and P were not dramatically different from the 562 optimized reference J. Therefore, this shows that the material can accept some percentage of ferrite 563 564 without dramatic consequences. 565

566 <u>Material K</u>

567 Material K was produced via the same optimized TMT process as material J, but followed by different 568 normalizing and tempering process, and from an altered composition, with reduced Mn and Cr 569 content, and severely reduced C content.

570 The material displayed a coarse microstructure, which likely resulted from the rather high normalization temperature (1050°C), and can partly explain the poor toughness results. Also, the short 571 572 (15 min) and low temperature (675°C) tempering might not have produced a fully tempered 573 martensite structure. And the very low C content could have contributed to facilitating the decomposition of martensite into ferrite and cementite phases during tempering. This would explain 574 the higher hardness and strength of material K, but poorer fracture toughness. Also, a possible 575 576 explanation to the high hardness and strength could be that due to the low C content, W might not precipitate and would stay in solid solution, leading to higher hardness. However, the contribution of 577 578 precipitation strengthening compared to EUROFER97 would be significantly lower. The low carbon content also resulted in very few precipitates in this material. 579

580 The microstructural investigations on irradiated material K revealed an intense formation of 581 dislocation loops with high density and relatively large size. Further investigations are necessary to 582 understand what provoked this, especially as this was not the case for other TEM-probed grades (H, 583 I, P). 584

585 <u>Material L</u>

586 Material L was initially developed for elevated temperature applications. This material received a special heat treatment with higher austenitizing temperature (1150°C) and lower tempering 587 588 temperature (700°C). As in material K, this resulted in a coarse microstructure and led to higher hardness and strength but poorer toughness than the rest of the materials, even before irradiation. This 589 590 material was also shown to display high creep and fatigue resistance: the ultimate tensile strength was 591 increased by more than 200 MPa at 650°C, while the creep lifetime was improved by about 2 orders 592 of magnitude compared to EUROFER97/2 [23]. The higher nitrogen content in this material might 593 have further contributed to the increased hardness and strength.

594

595 <u>Materials M, N, O</u>

596 Unfortunately, the bend bar specimens of two of the three alloys provided by ENEA (M and 597 N) underwent a higher irradiation temperature. Therefore, it was not possible to draw any conclusion 598 concerning the irradiation embrittlement and DBTT shift at the target temperature for these alloys. 599 However, in terms of strength and hardness, these materials exhibited similar mechanical properties 600 compared to the references EUROFER97 of the study (E, J).

The last alloy, material O, has a reduced amount of C and Ta, and increased V and N, and was produced via a specific ausforming process with 40% reduction ratio rolling at 650°C. It was initially developed for high temperature applications, but its poor creep resistance discarded it for this use. However, considering its DBTT of -86°C in the unirradiated state, it was still considered for low temperature applications. The post-irradiation investigations proved indeed that it showed low irradiation induced embrittlement.

607

608 *Impact of annealing and tempering conditions on irradiation behavior*

In this work, the objective was to investigate the possibility of reducing the effect of neutron 609 610 irradiation hardening and embrittlement. The strategy was to explore methods to lower the initial DBTT of EUROFER materials by thermo-mechanical optimization and chemical composition 611 refinement. Indeed, taking previous investigations into account, the working hypothesis was that the 612 irradiation induced shift in DBTT was about proportional to the property in the unirradiated state. 613 614 That is, if a material shows a high DBTT in the unirradiated state, it will show higher DBTT shift after irradiation compared to a material with low initial DBTT. This checks out with the results of the 615 616 present study: materials showing rather poor initial toughness with high T₀ (E, K, L) also show the highest level of irradiation induced T_0 shift (Figure 9). 617

618 One strategy to lower the DBTT is to lower the normalizing temperature. Indeed, Lu et al. showed, by comparing the microstructural properties of EUROFER97 materials prepared with normalizing 619 620 temperature from 980°C to 1150°C, that a decrease in normalizing temperature involved a decrease 621 in PAG size and an increase in low angle grain boundaries [24]. Fast cooling would also lead to higher fraction of low angle boundaries. In general, fine grain size and high fraction of low angle grain 622 623 boundary are beneficial to DBTT. Fine grain sizes provide greater barriers to cleavage cracks because 624 of the large number of crack arrests that are made. Low angle boundaries have better atomic fitting on the grain boundaries planes and this results in a greater resistance to inter-granular crack 625 propagation. The authors of the study therefore conclude that EUROFER97 would benefit on these 626 627 grounds from the lower temperature solution treatment, followed by fast cooling (air cool or water quenching). In the present study, it appears clear that the material prepared with a very low 628 normalizing temperature (J, 880°C, Table 2) presents also the finest microstructure (Figure 2) and the 629 best impact properties (Figure 9) both before and after irradiation. On the other hand, the materials 630 prepared with high normalizing temperatures (K and L, 1050°C and 1150°C, respectively) present 631 632 very large microstructures and behave the worst in toughness.

633 Another strategy to reduce the irradiation induced DBTT shift of steels can also be to control the tempering conditions. Previous studies showed that F82H steels irradiated at 250°C showed 634 decreased DBTT shifts due to irradiation with increasing tempering temperature from 750°C to 635 636 780°C, as well as with increasing tempering time from 0.5 h to 10 h ([25], [26]). Indeed, after 637 quenching, i.e., in the state of hard martensite, an increase in the tempering temperature or time involves higher diffusion of captured carbon to the grain boundaries, where it forms M₂₃C₆ 638 639 precipitates. This leads to softening of the material, to lower DBTT (measured by Charpy tests or T_0 approach with fracture mechanics tests), and to higher ductility (uniform and total elongation). 640 However, in the usual time scales for tempering (1-2 hours), the influence of time is very limited, as 641 642 long as the period of tempering is sufficient to fully temper the whole sample or component. Since the diffusion is rather fast, no significant change in the microstructure after about 30 minutes would 643 644 be expected. In the present study, it can be noticed that materials K and L have been processed with 645 low tempering temperatures (675°C and 700°C, respectively, Table 2). This can further explain their 646 poor ductility and higher strength compared to the rest of the materials. Also, materials H, I and P 647 were tempered at high temperature (820°C), but a strong influence could not be identified compared 648 to the rest of the materials.

650 Micro-alloying

In the end, despite different chemical compositions, five materials (H, I, P, J, O) present good 651 652 behavior in toughness and display comparable T₀ and shift in T₀₀. Therefore, chemical compositions seem not to have a drastic impact on mechanical properties within these small variations. That is, 653 these materials are comparable, and their microstructure is rather similar. However, the lower, and 654 better, T_{00} and T_{00} shift values for J could be explained by the lower normalizing temperature and 655 higher tempering temperature. Also, concerning material O, presenting a very comparable chemical 656 657 composition with H, the slightly negative trend results could probably originate in the final rolling at a low temperature (650 °C), which would have induced a slightly different microstructure. 658

659 660

649

661 **5. Conclusions**

662

In this work, 10 newly developed advanced RAFM steels were irradiated at 300°C with 2.5 dpa in order to evaluate their embrittlement behavior. These materials are the result of development programs aiming at tailoring mechanical properties of EUROFER97 for high or low temperature applications in future fusion reactors. This paper presents and discusses results of the LOT-IV neutron 667 irradiation campaign, which are relevant to the answering of the above-mentioned questions. The
 668 results of the post irradiation examinations lead to the following conclusions:

- Compared to available EUROFER97 data, specific thermo-mechanical treatment followed by
 heat treatment leads to significantly better DBTT (measured by T₀) behavior after irradiation
 for 5 alloys.
- Micro-alloying EUROFER-type steels influences the mechanical properties. However, the
 effect is masked by the much stronger effect of heat treatment and fabrication history. The
 applied strategies of removing manganese, reducing carbon, increasing vanadium and/or
 nitrogen do not differ clearly enough to identify a dominating impact on irradiation damage.
- The only obvious choice for down-selection is material K (no manganese, very low carbon and reduced chromium content in combination with high temperature normalizing and very low final tempering temperature) that performed rather low in all aspects.
- Despite requiring data also at high dpa values, the results indicate that with these modified materials, an increased lifetime and potentially also an increased operating temperature window can be achieved compared to EUROFER97.
- The effect of the non-optimized technological relevant heat treatment on material E is clearly
 recognizable in comparison to the other alloys. But compared to the EUROFER97 data, the
 measured DBTT shift and the irradiation hardening are both in the same range.
- Small Specimen Test Technology is more sensitive to microstructural inhomogeneities.
- 687 Additionally, further observations were made:
- Microstructural examinations have proven that the initial microstructural conditions
 (PAG, block, lath structures) remained unchanged after the irradiation, as well as no
 evidence of any chemical re-distribution or secondary phase precipitation of any sort.
- 691 Comparably high elongation was obtained for H, I, and P materials before irradiation, but
 692 the benefit of it was not visible after irradiation compared to the other alloys.
- A small amount of ferrite in the material did not lead to a visible negative effect on hardening or embrittlement, showing that EUROFER is tolerant against small amounts of ferrite.
- Fractography investigations revealed that large inclusions of Ta oxides appeared in some of the materials. For future productions, the Ta should be carefully introduced during fabrication.
- Even though the materials were made in a lab-facility (OCAS N.V.) and despite the detected Ta-oxides, the modified material, compared to EUROFER97, was produced in such a way that it exhibits high potential for fine tuning via subsequent processing routes.

703 Acknowledgements

704

702

686

This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training program 2014-2018 and 2019-2020 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission. SCK CEN acknowledges the financial support of FOD grant provided for fusion R&D. The authors also wish to thank T. Bergfeld (KIT) for the chemical analysis and D. Bolich (KIT) for the material preparation and heat treatments.

711

712 **References**

[1] E. Gaganidze, H.-C. Schneider, B. Dafferner, and J. Aktaa, "Embrittlement behavior of neutron irradiated RAFM steels," *J. Nucl. Mater.*, vol. 367–370, pp. 81–85, 2007, doi: 10.1016/j.jnucmat.2007.03.163.

- E. Gaganidze and J. Aktaa, "Assessment of neutron irradiation effects on RAFM steels," *Fusion Eng. Des.*, vol. 88, no. 3, pp. 118–128, 2013, doi: 10.1016/j.fusengdes.2012.11.020.
- J. Hoffmann, M. Rieth, M. Klimenkov, and S. Baumgärtner, "Improvement of EUROFER's mechanical properties by optimized chemical compositions and thermo-mechanical treatments," *Nucl. Mater. Energy*, vol. 16, pp. 88–94, 2018, doi: 10.1016/j.nme.2018.05.028.
- [4] A. Di Schino, C. Testani, and L. Pilloni, "Effect of thermo-mechanical parameters on the mechanical properties of Eurofer97 steel for nuclear applications," *Open Eng.*, vol. 8, no. 1, pp. 349–353, 2018, doi: 10.1515/eng-2018-0040.
- A. Puype, L. Malerba, N. De Wispelaere, R. Petrov, and J. Sietsma, "Effect of processing on 724 [5] 725 microstructural features and mechanical properties of a reduced activation ferritic/martensitic 726 steel grade." Nucl. Mater.. vol. EUROFER J. 494. pp. 1–9. 2017. doi: 727 10.1016/j.jnucmat.2017.07.001.
- G. Federici, L. Boccaccini, F. Cismondi, M. Gasparotto, Y. Poitevin, and I. Ricapito, "An overview of the EU breeding blanket design strategy as an integral part of the DEMO design effort," *Fusion Eng. Des.*, vol. 141, pp. 30–42, 2019, doi: 10.1016/j.fusengdes.2019.01.141.
- [7] L. Pilloni, C. Cristalli, O. Tassa, I. Salvatori, and S. Storai, "Grain size reduction strategies on Eurofer," *Nucl. Mater. Energy*, vol. 17, pp. 129–136, 2018, doi: 10.1016/j.nme.2018.06.023.
- [8] L. Pilloni, C. Cristalli, O. Tassa, L. Bozzetto, E. Zanin, and N. Bettocchi, "Development of innovative materials and thermal treatments for DEMO water cooled blanket," *Nucl. Mater. Energy*, vol. 19, pp. 79–86, 2019, doi: 10.1016/j.nme.2019.01.026.
- 736 [9] C. Cristalli, L. Pilloni, O. Tassa, L. Bozzetto, R. Sorci, and L. Masotti, "Development of 737 innovative steels and thermo-mechanical treatments for DEMO high operating temperature 738 options," Mater. 16. blanket Nucl. Energy, vol. pp. 175–180, 2018, doi: 739 10.1016/j.nme.2018.06.016.
- [10] A. A. Campbell, W. D. Porter, Y. Katoh, and L. L. Snead, "Method for analyzing passive silicon carbide thermometry with a continuous dilatometer to determine irradiation temperature," *Nucl. Instrum. Methods Phys. Res. Sect. B Beam Interact. Mater. At.*, vol. 370, pp. 49–58, 2016, doi: 10.1016/j.nimb.2016.01.005.
- [11] X. Chen *et al.*, "Mechanical properties and microstructure characterization of Eurofer97 steel
 variants in EUROfusion program," *Fusion Eng. Des.*, vol. 146, pp. 2227–2232, 2019, doi:
 10.1016/j.fusengdes.2019.03.158.
- [12] X. Chen *et al.*, "Master Curve Fracture Toughness Characterization of Eurofer97 Steel Variants
 Using Miniature Multi-Notch Bend Bar Specimens for Fusion Applications," presented at the
 ASME 2019 Pressure Vessels & Piping Conference, San Antonio, Texas, USA, 2019, doi:
 10.1115/PVP2019-93797.
- [13] A. Bhattacharya *et al.*, "Mechanical properties and microstructure characterization of
 unirradiated Eurofer-97 steel variants for the EUROfusion project," ORNL/SPR--2018/882,
 1471901, 2018. doi: 10.2172/1471901.
- [14] R. Klueh and D. Harries, Eds., *High-Chromium Ferritic and Martensitic Steels for Nuclear Applications*. West Conshohocken, PA: ASTM International, 2001.
- [15] A. Bhattacharya, C. M. Parish, J. Henry, and Y. Katoh, "High throughput crystal structure and composition mapping of crystalline nanoprecipitates in alloys by transmission Kikuchi diffraction and analytical electron microscopy," *Ultramicroscopy*, vol. 202, pp. 33–43, 2019, doi: 10.1016/j.ultramic.2019.03.015.
- [16] A. Bhattacharya, X. Chen, T. Graening, J. Reed, J. W. Geringer, and Y. Katoh, "Post-irradiation
 examination of Eurofer97 steel variants irradiated to 2.5 dpa, 300°C in HFIR for the
 EUROfusion program," EUROfusion report Final report for task MAT-6.4.1 T001-D006, 2020.
- [17] M. Rieth *et al.*, "EUROFER 97 Tensile, charpy, creep and structural tests," Germany, 0947–
 8620, 2003. [Online]. Available: http://inis.iaea.org/search/search.aspx?orig_q=RN:35032617.
- 765 [18] "Material Property Handbook EUROFER97 Grant Deliverable MAT D25.15," EUROFusion,
 2017.

- [19] E. Gaganidze, "Assessment of Fracture Mechanical Experiments on Irradiated EUROFER97
 and F82H Specimens," Forschungszentrum Karlsruhe GmbH Germany, Final Report for Task
 TW5-TTMS 001-D14, 2007.
- [20] X. Chen, M. A. Sokolov, Y. Katoh, M. Rieth, and L. N. Clowers, "Master Curve Fracture Toughness Characterization of Eurofer97 Using Miniature Multi-Notch Bend Bar Specimens for Fusion Applications," Volume 1A: Codes and Standards, 2018, doi: 10.1115/PVP2018-85065.
- [21] M. Sokolov and H. Tanigawa, "Fusion Reactor Materials Program Semiannual Progress
 Report," p83, DOE/ER-0313/41, 2006.
- [22] M. Sokolov and H. Tanigawa, "Fusion Reactor Materials Program Semiannual Progress
 Report," p105, DOE/ER-0313/41, 2002.
- [23] G. Pintsuk *et al.*, "European materials development: Results and perspective," *Fusion Eng. Des.*,
 2019, doi: 10.1016/j.fusengdes.2019.02.063.
- [24] Z. Lu, R. G. Faulkner, N. Riddle, F. D. Martino, and K. Yang, "Effect of heat treatment on microstructure and hardness of Eurofer 97, Eurofer ODS and T92 steels," *J. Nucl. Mater.*, vol. 386–388, pp. 445–448, Apr. 2009, doi: 10.1016/j.jnucmat.2008.12.152.
- [25] E. Wakai *et al.*, "Effect of Initial Heat Treatment on DBTT of F82H Steel Irradiated by
 Neutrons," *Fusion Sci. Technol.*, vol. 47, no. 4, pp. 856–860, May 2005, doi: 10.13182/FST05A793.
- [26] E. Wakai, N. Okubo, M. Ando, T. Yamamoto, and F. Takada, "Reduction method of DBTT
 shift due to irradiation for reduced-activation ferritic/martensitic steels," *J. Nucl. Mater.*, vol.
 398, no. 1–3, pp. 64–67, Mar. 2010, doi: 10.1016/j.jnucmat.2009.10.011.
- 789