

Assessment of site-specific greenhouse gas emissions of chemical producers: case studies of propylene and toluene diisocyanate

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Abstract

The chemical industry accounts for 20% of global industrial greenhouse gas (GHG) emissions and the plastics production is increasing worldwide by approx. 4% annually. To reduce GHG emissions and increase GHG transparency in chemical value chains despite industrial secrets, we developed an approach to estimate the product carbon footprint of chemicals site-specifically without using classified production data. The new approach, is applied to two comparative case studies: propylene and toluene diisocyanate (TDI) production in Germany. The case study analyses 23 crackers on 17 production sites and four TDI production sites in Germany. The results indicate significant GHG emissions variations between productions sites (Cradle-to-Gate) that could not be quantified before. Among the production sites, product-specific GHG emissions range between 0.95 and 1.51 kgCO_{2e}/kg propylene (reduction potential of GHG emissions of 37.1%) and between 3.17 and 3.62 kgCO_{2e}/kg TDI (reduction potential of GHG emissions of 12.4%). This indicates massive differences in GHG efficiency in the production of propylene and TDI and an immense GHG emission reduction potential in the manufacture of plastic-intensive products (e.g. in the automotive sector) by increased transparency and informed supplier selection and procurement decision making. The method is transferable to chemical sites worldwide and indicates an even higher GHG reduction potential worldwide.

Highlights

- New, generic and transferable method to estimate the carbon footprint of basic chemicals on a site-specific basis
- Method is applicable to all chemical sites and to all basic chemicals
- German propylene production shows a reduction potential of GHG emissions of 37.1%
- German toluene diisocyanate (TDI) production shows a reduction potential of GHG emissions of 12.4%

Keywords: LCA; CO_{2e}; carbon footprint; chemical industry; petrochemistry; product assessment; energy efficient production

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Abbreviations

BAT	Best-Available Technique
CO ₂ e	CO ₂ equivalent
DNT	Dinitrotoluene
ECCO ₂	Evaluation tool to Compare CO ₂ e emissions
FCC	Fluid catalytic cracking
GHG	Greenhouse gas
GWP	Global warming potential
HVCs	High value petrochemical products from crackers (High Value Chemicals)
LCA	Life Cycle Assessment
LCI	Life Cycle Inventory
LCIA	Life Cycle Impact Assessment
NI	Nelson index
PCF	Product Carbon Footprint
SC	Steam cracking
SEC	Specific energy consumption
SEF	Specific emission factor
TDA	Diaminotoluene
TDI	Toluene diisocyanate
VBA	Visual Basic for Applications

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Nomenclature

Symbol	Definition	Symbol	Definition
$p \in \{1, \dots, P\}$	Set of all products. P is the final product up to which the emissions can be calculated product-specifically	$GWP_{p,s}^{\text{Steam}}$	GWP resulting from steam consumption for the production of p at site s [kgCO ₂ /kg]
$s \in \{1, \dots, S\}$	Set all modelled production sites.	$GWP_{p,s}^{\text{Elec}}$	GWP resulting from electricity consumption for the production of p at site s [kgCO ₂ /kg]
$c \in \{1, \dots, C\}$ $C \subseteq \{1, \dots, P\}$	Set of all cracker products	$GWP_{p,s}^{\text{Fuel}}$	GWP resulting from fuel consumption for the production of p at site s [kgCO ₂ /kg]
$b \in \{1, \dots, B\}$	Set of all types of fuels	$SEC_{p,s}^{\text{Steam}}$	Specific energy consumption of steam generation to produce product p in site s [GJ/t p]
$x \in \{1, \dots, X\}$	Set of all modelled crackers	$g_{b,s}^{\text{Steam}} \in [0; 1]$	Share of a fuel b at production site s to generate steam [%]
$x \in X_{FCC}$ $X_{FCC} \subseteq \{1, \dots, X\}$	Set of all FCC-crackers	$SEF_{b,s}^{\text{Steam}}$	Emission factor of fuel b used to generate steam at site s [kgCO ₂ e/kWh]
$f \in \{1, \dots, F\}$	Set of all raw types of feedstock in cracker	$\eta_s^{\text{Steam}} \in [0; 1]$	Efficiency of steam generation in site s [%]
$e \in \{1, \dots, E\}$	Set of all educts	$EFF_{p,s}^{\text{Site, Prod}} \in [0; 1]$	Efficiency of production of product p at site s [%]
$z \in \{1, \dots, Z\}$	Set of all intermediate products (intermediates)	$SEC_p^{\text{Steam, Ecoinvent}}$	Specific energy consumption of steam generation for the production of p based on Ecoinvent database (natural gas) [MJ/kg]
$h \in \{1, \dots, H\}$	Set of all main products	SEF_s^{NG}	Emission factor of natural gas at site s [kgCO ₂ e/kWh]

$y \in \{1, \dots, Y\}$	Set of all by-products	$SEC_p^{Steam, max}$	Maximum specific energy consumption of steam generation to produce product p [MJ/kg]
$E \subseteq Z, H$ $\subseteq \{1, \dots, P\}$	Educts are a subset of all intermediates and main products. Intermediates and main products are a subset of all products.	$SEC_p^{Steam, min}$	Minimum specific energy consumption of steam generation to produce product p [MJ/kg]
$Edc_e \in Edc$	Set of all educts in which all educts are described by name	$SEC_{p,s}^{Elec}$	Specific energy consumption of electrical energy for the production of product p in site s [GJ/t]
$CrPr_c \in CrPr$	Set of all cracker products in which all cracker products are described by name	$w_s^{Elec, selfprod}$	Share of self-generated electrical energy at the site s [%]
$ImPr_z \in ImPr$	Set of all intermediates in which all intermediates are described by name	$SEC_p^{Elec, Ecoinvent}$	Specific energy consumption of electricity generation for the production of p according to Ecoinvent database [kWh/kg]
$MPr_n \in MPr$	Set of all main products in which all main products are described by name	$SEF_{b,s}^{Elec}$	Emission factor of the fuel b used to generate electricity at site s [kgCO ₂ e/kWh]
$GWP^{Ecoinvent}$	Set containing the GWPs [in kgCO ₂ e/kg] of educts and products from the Ecoinvent V.2.2 database	$GWP_s^{CountryElecMix}$	Emission factor of the electricity mix of the country where the production site s is located [kgCO ₂ e/kWh]
$GWP^{Ecoprofiles}$	Set that contains the GWPs [in kgCO ₂ e/kg] of products and products from PlasticsEurope's Eco-profiles	η_s^{Elec}	Efficiency of the power plant at production site s [%]
$SEC_p^{Elec, min}$	Minimum specific consumption of electrical energy to produce product p [GJ/t]	$SEC_p^{Elec, max}$	Maximum specific consumption of electrical energy to produce product p [GJ/t]
$SEC_p^{Fuel, Ecoinvent}$	Specific energy consumption of fuels for production of p according to Ecoinvent [MJ/kg]	$SEC_{p,s}^{Fuel}$	Specific energy consumption of fuels for production of product p at site s [GJ/t]
$GWP_{p,s}^{Prechain}$	GWP of processes in the upstream chain for the production of product p at site s [kgCO ₂ e/kg]	$GWP_{c,x,s}^{Prechain, Cr}$	GWP of the upstream chain of product c produced in cracker x at site s [kgCO ₂ e/kg]
SEF_s^{Fuel}	Emission factor of the fuels which are used in site s [kgCO ₂ e/kWh]	$SEC_{c,x,s}^{HVC}$	Specific energy consumption for the production of a product c in cracker x in site s in [GJ/t]
$SEF_s^{Fuel, SuppData}$	Emission factor for fuels of site s , if primary data are available [kgCO ₂ e/kWh]	$SEC_x^{HVC, max}$	Maximum specific energy consumption of cracker x to produce HVCs in [GJ/t]
$SEF_{x,s}^{HVC}$	Emission factor of the raw materials used in cracker x in site s for the production of HVCs in [kgCO ₂ e/kWh]	$SEC_x^{HVC, min}$	Minimum specific energy consumption of cracker x to produce HVCs in [GJ/t]
A	Sum of crackers in all production sites implemented in the model (equals X)	$EFF_{c,x,s}^{Cr}$	Production efficiency of product c in cracker x in site s in [%]
A_s	Number of crackers in production site s	$SEC_f^{FCC, max}$	Maximum specific energy consumption for FCC crackers, if raw material f is the cracker feed [GJ/t]
β	Correction value ($\beta = 10^6$) for the calculation of A_s , if $SEF_{x,s}^{HVC}$ has very small values	SEC_f^{max}	Maximum specific energy consumption for steam crackers, if raw material f is the cracker feed [GJ/t]
$SEC_p^{Fuel, max}$	Maximum specific energy consumption of fuels for the production of p [GJ/t]	f	Raw material/feed type (e.g. ethane, propane, etc.)
$SEC_p^{Fuel, min}$	Minimum specific energy consumption of fuels for the production of p [GJ/t]	$SEC_f^{FCC, min}$	Minimum specific energy consumption for FCC crackers, if raw material f is the cracker feed [GJ/t]
w^{INTL}	Weighting of the level of integration to calculate production efficiency [%]	SEC_f^{min}	Minimum specific energy consumption for steam crackers, if raw material f is the cracker feed [GJ/t]
w^{INNL}	Weighting of the level of integration to calculate production efficiency [%]	$w_{x,f}$	Relative shares of the feed f in the cracker x [%]

$w^{LF,FA,UR,etc..}$	Weighting of the factors/criteria of cracker and production efficiency [%]	$v_x^{UR, Cr}$	Utilization rate of the cracker x [%]
$LF_s \in [0; 10]$	Location factor of site s	$CrPrC_{c,x}$	Production capacity of product c in cracker x [t/a]
FA_s	Area of the site s [km ²]	$FC_{s,x}$	Total production Site capacity of all products of site s to which cracker x belongs [t/a]
$Output_{p,s}$	Production quantity of product p in site s [t/a]	$FA_{s,x}$	Site area of site s to which the cracker x belongs [km ²]
$PC_{p,s}$	production capacity of product p in site s [t/a]	$NI_{s,x}$	Nelson index of the production site s where the cracker x is located
FL_s	Flexibility of production at site s [number of production plants at the site]	$CrAge_x$	Age of the cracker x
$PY_{p,s}$	Production yield of the product p at site s [%]	$SEF_x^{HVC, min}$	Minimum emission factor of the raw materials used in cracker x to produce HVCs [kgCO ₂ e/kWh]
LL	Lower limit - is defined for each factor/criterion used to calculate the cracker or production efficiency (e.g. LF_{LL})	% $SEF_x^{HVC, max}$	Maximum emission factor of the raw materials used in cracker x to produce HVCs [kgCO ₂ e/kWh]
UL	Upper limit - is defined for each factor/criterion used to calculate the cracker or production efficiency (e.g. LF_{UL})	SEF_f^{min}	Minimum emission factor of the raw material f fed into the cracker [kgCO ₂ e/kWh]
$GWP_{c,x,s}^{CrPr}$	GWP of a high value chemical product c from cracker x in site in [kgCO ₂ e/kg]	SEF_f^{max}	Maximum emission factor of the raw material f fed into the cracker [kgCO ₂ e/kWh]
$GWP_{c,x,s}^{Energy, Cr}$	GWP of the consumed energy by cracker x to produce c in site s in [kgCO ₂ e/kg]	CR_x	Raw material conversion rate of the cracker x [%]
$GWP_{c,x,s}^{Prechain,Cr}$	GWP of the upstream chain of the product c in cracker x in site s [kgCO ₂ e/kg]	M_e^{Edc}	Molar mass of educt e [kg/mol]
$GWP_f^{Ecoprofiles}$	GWP of raw material f from the Eco-profiles of PlasticsEurope [kgCO ₂ e/kg]	$n_{y,p,s}^{byPr}$	Amount of substance of by-product y produced at site s during the production of product p [mol]
$GWP_f^{Ecoinvent}$	GWP of raw material f from Ecoinvent database [kgCO ₂ e/kg]	M_y^{byPr}	Molar mass of by-product y [kg/mol]
$GWP_{p,s}^{Pr}$	GWP of product p produced in site s [kgCO ₂ e/kg]	$m_{e,p,s}^{Edc}$	Mass of educt e required to produce the product p in site s [kg]
$GWP_{p,s}^{Energy, Prod}$	GWP of the required Energy to produce p in site s [kgCO ₂ e/kg]	$m_{p,s}^{Pr}$	Mass of product p resulting from the reaction during production in site s [kg]
$GWP_{p,s}^{Prechain}$	GWP of processes in the upstream chain for the production of product p in site s [kgCO ₂ e/kg]	$m_{y,p,s}^{byPr}$	Mass of by-product y resulting from the reaction during production of p in site s [kg]
$RE(p, s)$	Reaction equation for the production of product p in site s	M_p^{Pr}	Molar mass of product p [kg/mol]
$n_{e,p,s}^{Edc}$	Describes the amount of substance of an educt e required for production of p in site s [mol]	$n_{p,s}^{Pr}$	Resulting amount of substance of product p when produced at site s [mol]
$m_{e,y,p,s}^{Edc, byPr}$	Part of the mass of an educt e that is processed into the by-product y that is produced during the production of p in site s [kg]	$GWP_{e,s}^{Supp, Data}$	GWP of an educt e in site s if primary data from the supplier is available [kgCO ₂ e/kg]
$GWP_{PE_{p,s}^{Pr}}$	Energy-related GHG emissions caused in the "Gate-to-Gate" production system to produce product p [kgCO ₂ e/kg]		

1 Introduction

Massive anthropogenic emissions of carbon dioxide and other greenhouse gases (GHG) are regarded as one of the main causes of climate change on Earth (IPCC, 2013). The Paris Climate Convention of 2015 set the goal of limiting global warming to 1.5°C to 2°C compared to the pre-industrial level (European Commission, 2015). To ensure comparability of the GHG's impact on global warming, all emissions are standardized to CO₂ equivalents (CO₂e) (IPCC, 2013).

To achieve these objectives, transparency and appropriate incentives and instruments must be created such as a CO₂ emission tax¹ or an effective emissions trading system (Mihatsch, 2014).

According to Christian Doppler Research Association in Vienna, approximately 100 large international corporations already use internal CO₂ prices as a preliminary stage to take account of this possible financial "risk" in their operations or investments (Mihatsch, 2014). A fixed pricing of CO₂ for all industrial companies will have a major influence on the decision making along the entire value chain, e.g. in material selection or the planning of the production chain. By taking a CO₂ tax into account, procurement or manufacturing costs could suddenly be reduced by choosing other suppliers or manufacturing processes that were previously too expensive from a purely economic point of view. Especially in the automotive industry, there are specific considerations to reduce CO₂ emissions in the value chain of vehicle production. Possible approaches are, for example, the consideration of CO₂ emissions in transport, in supplier development or in supplier selection (MHP, 2016). In addition, the European Commission has identified the embedded emissions for vehicle manufacturing as one future field of activity (EPSC, 2016).

Particularly, the global annual production of steel (6.7%), aluminium (2%) and chemical products (7%) constitute around 16% of the global annual GHG emissions (Hasanbeigi, 2018; Saevarsdottir et al., 2020; World Steel Association, 2014). Basic chemicals and plastics contribute with 20% to the global industrial GHG emissions (Hasanbeigi, 2018) and doubly rely on fossil resources – from a material and energy point of view. Furthermore, the plastics production is increasing worldwide by approx. 4% annually (Statista, 2018).

In order to reduce CO₂e emissions within the manufacturing of products, the selection of more efficient² raw material suppliers in procurement may constitute a promising opportunity (MHP, 2016, Schiessl et al., 2020). Especially in procurement, social and ecological factors are becoming increasingly important, apart from cost and quality targets (Büyükožkan, 2012; Guinée et al., 2011; Roy et al., 2009; Schiessl et al., 2020; Zimmer, 2016; Zimmer et al., 2015). A change in current procurement and supplier selection practices is unavoidable, once transparency as well as specific limits and exceedance penalties are established for CO₂e emissions from production. Thus, information on site³-specific GHG emissions of raw material production (e.g. steel and chemical production sites) is required (Schiessl et al., 2020). For steel, an approach is available to estimate the carbon footprint of steel production sites (CO₂e/t crude steel) in Europe (Schiessl et al., 2020).

However, for the chemical industry such transparency and site-specific assessment does not exist, yet. This is highly needed due to the increasing relevance of basic chemicals and plastics in global production volumes and their associated GHG emissions. This is a particular challenge due to the complexity of chemical sites, the large number of different products and co-products (Saygin, 2012) and a respective allocation of GHG emissions. In recent decades, chemical sites have grown to integrated chemical parks to increase energy and resource efficiency. For example, the radiating residual heat or by-products of one process is used to preheat, fuel or feed another process to save energy or raw materials (Fleiter et

¹ For example, in Germany a CO₂ tax of 25 €/tCO₂ will apply to petrol, diesel, heating oil and gas starting from 2021 onwards, affecting all citizens and companies in Germany (BMW, 2019).

² Efficient production is defined here as low greenhouse gas emissions and energy-efficient production.

³ In this study, "site" is the short form for "production site". The term "production site" refers to the entire "plant"/"factory" of a producer. "Plant", "site" and "factory" are therefore used synonymously. However, "plant" must be distinguished from "production plant". In this study "production plant" is one specific production plant (e.g. a cracker) out of many production plants in a chemical plant/site.

94 al., 2013; Saygin, 2012). In contrast to other industries, not just one but several different products can
95 be produced at the same time (Saygin, 2012), e.g. in steam cracking or chloralkali electrolysis (Fleiter
96 et al., 2013).⁴ This increases complexity of GHG emission assessment and assignment considerably.
97 The use of company internal primary data⁵ could increase transparency of the complex integrated
98 production systems and thus simplify calculations, but the primary data are usually confidential and not
99 accessible to the public (Saygin, 2012).

100 This study aims at developing a highly innovative approach to estimate the carbon footprint of basic
101 chemicals on a site-specific basis, without the need of primary producer data. The new generic
102 calculation logic and assessment model is transferable to all chemical sites and to all basic chemicals.
103 The main advantage of the developed assessment model is the provision of transparency regarding GHG
104 emissions within specific chemical value chains on product and site level that is not available today.
105 This transparency can have a game-changing influence in procurement and resulting embodied GWP of
106 manufactured products of the chemical industry. The main contributions of this paper can be
107 summarized as follows:

- 108 • Novel, transparent, site-specific and generic assessment method to quantify a site-specific
109 product carbon footprint (instead of average values based on confidential data)
- 110 • Transferable assessment method to all chemical sites and to all basic chemicals produced
111 worldwide
- 112 • Establishment of a valuable, open database for chemicals PCF assessment
- 113 • Increase of transparency in chemical value chains and procurement networks regarding GHG
114 emissions per production site

115 In the following, the relevant literature on the assessment of GHG emissions in the chemical industry is
116 discussed (chapter 2). This is followed by the research approach and the model concept (chapter 3). The
117 focus of the paper lies in the development of the model (chapter 4), its application on producers for
118 selected basic chemicals as well as the obtained results (chapter 5). Finally, we summarize the most
119 important conclusions (chapter 6).

120

121 **2 Literature review**

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123 A widely used method for evaluating GHG emissions and other environmental impacts of products is
124 Life Cycle Assessment (LCA) (e.g. Rieckhof and Guenther, 2018; Rebitzer, 2002; Klöpffer and Renner,
125 2008). LCA is standardized in ISO 14040 and 14044 standards and is defined as an environmental
126 management method that systematically assesses the environmental aspects and potential environmental
127 impacts of product systems throughout their life cycle (from raw material acquisition through
128 production, use, end-of-life treatment, recycling and final disposal, i.e. "from Cradle-to-Grave") (ISO,
129 14040:2006; ISO, 14044:2006).

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131 **2.1 Review of LCA and bottom-up analysis methods**

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133 In literature, two main LCA methods are distinguished and frequently discussed: the process method
134 (bottom-up) and the input-output analysis (top-down). Both methods can also be combined to so-called
135 hybrid methods (Guinée et al., 2011), which use both bottom-up and top-down data (Zimmer, 2016). In
136 the process method, all environment-related input and output flows of product-specific processes are
137 determined and quantified by a process flow diagram and the defined functional unit (Kndungu and
138 Molavi, 2014; Sonnemann et al., 2004). In contrast, the input-output approach assumes an

⁴ This so-called joint production (integrated production) is characteristic of the chemical industry. In chlor-alkali electrolysis for the production of caustic soda, the co-products chlorine and hydrogen are produced. In addition to main products such as ethylene and propylene, steam cracking produces a wide range of other products such as butadiene and pyrolysis benzene (BASF, 2017d; Saygin, 2012; Fleiter et al., 2013).

⁵ Company internal primary data are defined here as technically and economically production-related raw data of a producer.

139 interdependence between the sectors of an economy and uses national economic input–output data in
140 combination with sector-level environmental impacts (Bilec et al., 2006; Leontief, 1936; Suh et al.,
141 2004). A whole economy as a system boundary and aggregated data at sector level is unsuitable for
142 direct comparisons of specific products (Bilec et al., 2006; Suh et al., 2004; Zimmer et al., 2017). The
143 process LCA, however, has the disadvantage that results of the entire LCA depend significantly on the
144 data availability and quality (Bilec et al., 2006; Yellishetty et al., 2011). In addition, researching the
145 required data is very time-consuming and restricted as primary data of the processes are often not or
146 hardly accessible (Saygin, 2012). Due to the lack of primary data (cf. chapter 1), Life Cycle Inventory
147 (LCI) and Life Cycle Impact Assessment (LCIA) databases such as Ecoinvent (e.g. Ecoinvent V2.2,
148 2007-2013) or GaBi (Thinkstep, 2019) are often used and represent industry average values for specific
149 processes. But, this approach is being criticised as average values can significantly affect LCA results
150 (Lang-Koetz et al., 2006).

151 In LCA, the evaluation of the environmental impact of a system under study (e.g. life cycle of a product)
152 is carried out in the LCIA using different impact categories (ISO, 14040; 14044), e.g. global warming
153 potential, acidification, ozone depletion (Heijungs and Guinée, 1992). For the LCIA, various impact
154 models⁶ exist in literature.

155 To reduce the above-mentioned time and economic effort of LCA's, various simplification approaches
156 were developed, so-called "simplified LCAs" (S-LCA⁷) or "streamlining" approaches (Graedel and
157 Saxton, 2002; Weitz et al., 1996).⁸ Mainly, S-LCA consciously excludes specific phases of the life cycle
158 or focuses on specific impact categories (Hochfeld and Jenseits, 1998; Schrack, 2016), such as the
159 impact category *Global Warming Potential* (GWP) (Schrack, 2016). The GWP is typically considered
160 over a period of 20 or 100 years and expressed in CO₂ equivalents (CO₂e). In the Kyoto Protocol, the
161 GWP over a period of 100 years was defined as the key performance indicator. (IPCC, 2013) When
162 assessing the environmental impact of a products' production, simplification results automatically due
163 to the reduced scope by exclusion of the life cycle phases of *use* and *end-of-life*. Thus, the system
164 boundary of the assessment is usually set to "Cradle-to-Gate", from raw material acquisition to the final
165 product ready for distribution at the producer's factory exit gate.

166 The association PlasticsEurope⁹ publishes regularly updated "Eco-profiles" for many chemical products
167 with LCA "Cradle-to-Gate" information (PlasticsEurope, 2018). PlasticsEurope uses primary data on
168 production processes provided by participating chemical sites in Europe. The individual LCA results
169 (e.g. GWP100 of a product) are confidential and only the average value of the different producers is
170 provided. Furthermore, numerous other "Cradle-to-Gate" studies assess the required energy input and
171 resulting direct and indirect GHG emissions from the production of chemical products (e.g. for
172 olefins) based on detailed techno-ecological analyses. For instance, Ren et al. (2006) assessed and
173 compared different naphtha cracking technologies for olefin production, Xiang et al. 2014 the oil-to-
174 olefins and coal-to-olefins production, Chen et al. 2017 the natural gas-to-olefins (ethylene) and coal-
175 to-olefins (ethylene) production and Amghizar et al. 2017 several different technologies of olefin
176 production based on naphtha, ethane, methane, biomass and coal.

⁶ A detailed consideration of possible models can be found in Prammer (2009), who carried out an extensive analysis of available scientifically based environmental impact models. For this study, it should be sufficient to mention the impact assessment method of the "Centrum voor Milieukunde" (CML method), based in Leiden, as this method is also used in the ISO 14040 series. Since the mid-1990s, the CML method has become established in industry and is also the most widely accepted impact assessment model in the scientific community (Prammer, 2009; Schrack, 2016).

⁷ Do not confuse this abbreviation with social life cycle assessment approaches (SLCA) in which social impact categories are integrated into the assessment (e.g. Chhipi-Shrestha et al., 2015, Zimmer, 2016 and Zimmer et al., 2017).

⁸ Arzoumanidis et al. (2017) showed that the demand from industry and science for simplified LCA is very high: In 2016, there were about 80 new contributions in Scopus and Web of Science, while in 2006 there were only 12. An analysis of almost 20 simplified LCA approaches was published in Pigosso and Sousa (2011), to which reference is made for more detailed information.

⁹ PlasticsEurope is a leading pan-European association and represents its member plastics manufacturers (Plastics Europe, 2020).

177 A very substantial study by Fleiter et al. (2013) investigated the energy consumption for the production
178 of many various basic chemicals via bottom-up analyses. The actual chemical process was regarded as
179 a black box by Fleiter et al. (2013); the focus was on the so-called "secondary energy", i.e. the measuring
180 of input and output flows for electricity, steam and fuel. The input and output flows were transformed
181 into the original form, as primary energy, using up-to-date conversion factors¹⁰. But in none of the
182 mentioned publications the results are assigned to specific plants or sites of a chemical producer.
183

184 **2.2 Review of site-specific assessment methods**

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186 Literature on the site-specific assessment of GHG emissions shows that there are already numerous
187 studies¹¹ on site-specific LCAs: Pereira et al. (2013) model and assess the GHG emissions of a
188 petrochemical plant in Brazil in detail with specific producer data (primary data), which rarely are
189 reported/available (see chapter 1). Hannouf and Assefa (2017) conducted an LCA for the production of
190 high density polyethylene for a site in Alberta, Canada, also based on primary data. Kanchanapiya et al.
191 (2015) developed a CO₂ assessment model for seven Thai chemical sites also based on primary data.
192 Although they used site-specific primary data for the calculation, no site-specific but average results
193 were published, which indicates that the site-specific data were classified. Thus, only national Thai
194 average values for selected products are available for international comparison. Zhao et al. (2017)
195 evaluate GHG emissions from four propylene production pathways in China (catalytic cracking (FCC),
196 steam cracking (SC), coal-to-olefins and coal-to-propylene, with CC and SC being petroleum-based
197 processes and coal-to-olefins and coal-to-propylene being coal-based processes). A simplified LCA is
198 carried out for the production phases of raw material extraction, raw material transport, raw material
199 preparation and propylene production. These calculations provide a good comparison of the possible
200 production paths of propylene and show that FCC and SC have the lowest GHG emissions. Zhao et al.
201 (2017) used primary data from several Chinese production sites and average values from the literature
202 (e.g. from Ou et al., 2011 and Wang, 2014).

203 Although there are many site-specific assessments of GHG emissions of chemical products in literature,
204 there is no site-specific approach that works independently of confidential producer data (primary data).
205 Moreover, by making the primary data anonymous, it is not possible to allocate the studies' results to
206 specific production sites.

207 Also, numerous studies¹² on site-specific LCAs of basic chemicals have been conducted using
208 flowsheeting simulations. Flowsheeting simulation is a widely used computer-aided instrument to
209 simulate chemical engineering processes (Bauer et al., 2015). The starting point are flow diagrams of
210 the process to be analysed with its basic operations and their material and energy flows (Trippe, 2013).
211 A common software is for example Aspen Plus, which already contains numerous basic operations¹³.
212 Due to the complex parameterisation of these simulation models to realistically simulate the
213 characteristics of the processes under study, the application of flowsheeting simulation is usually limited
214 to smaller observation levels such as individual production plants, processes or production sites (Breun,
215 2016). A site-specific but at the same time generic calculation logic for the simulation of all chemical
216 sites as desired in this study is not feasible with this instrument (see also Breun, 2016; Fröhling et al.,
217 2009).
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219 **2.3 Review of generic site-specific assessment methods**

220

¹⁰ Fleiter et al. (2013) do not provide any further details on the conversion factors. We assume that this refers, for example, to the efficiency of electricity generation, e.g. by a specific power plant (e.g. gas and steam combined cycle power plant).

¹¹ e.g. Pereira et al. (2013), Hannouf and Assefa (2017), Kanchanapiya et al. (2015) or Zhao et al. (2017)

¹² e.g. Mendivil et al. (2006), Nitzsche et al. (2016), Kikuchi et al. (2017), Bello et al. (2020) or Keller et al. (2020).

¹³ such as reactors or those for splitting or heating flows, which can be manually adjusted if necessary.

221 Only a few site-specific approaches use a generic¹⁴ methodology. Kanchanapiya et al. (2015) developed
222 such a generic CO₂ assessment model, but the model requires primary data. Posen et al. (2015)
223 developed an approach using Monte-Carlo simulation to estimate the GHG emissions from the
224 conventional polyethylene production route via natural gas in the US. However, this approach only
225 provides country-specific values for the US. Therefore, it would be applicable to other countries but not
226 to specific sites. Patel (2003) calculated the cumulative energy demand and the cumulative CO₂
227 emissions "Cradle-to-Gate" for organic chemical products. He mainly used industrial averages to
228 calculate the energy demand of production processes; to estimate the energy demand of energy
229 generation he integrated a site-specific approach. Furthermore, Patel investigated power plants on
230 German chemical sites to identify which portion of steam and energy is produced for own consumption
231 and how much is purchased. Based on the power plant type, the efficiency of the self-produced
232 electricity is calculated to better estimate the specific energy demand (Patel, 2003).
233 Only Breun (2016) and Schiessl et al. (2020) developed both a site-specific and generic approach, which
234 also does not require confidential original production data from manufacturers. Breun (2016) developed
235 a model to simulate metal plants on individual process level in order to evaluate future climate policies.
236 The approach combines a non-linear programming model with an input–output model by Leontief
237 (1936) and uses technological restrictions as well as plant specific data on GHG emissions.
238 Schiessl et al. (2020) follow the model of Breun (2016), but use a sequential step-by-step calculation in
239 contrast to a simultaneous calculation applied by Breun (2016). They developed a combined model
240 based on the process LCA method using both bottom-up and top-down site-specific publicly available
241 data to fill data gaps (Schiessl et al., 2020). However, both methods only cover the iron and steel industry
242 and only work if the reported top-down producer data refer to a single product (e.g. the crude steel
243 produced by a steel mill).¹⁵ In the chemical industry, many different products are produced
244 simultaneously (Saygin, 2012; Fleiter et al., 2013), hence the approach of Breun (2016) cannot be
245 transferred.
246

247 **2.4 Research gaps**

248
249 Despite many available product-specific and site-specific LCA studies, only very few approaches with
250 a generic site-specific model have been developed. Such models are heavily dependent on confidential
251 data and therefore cannot be used publicly.

252 A major research gap is the lack of a generic methodology that enables customers, companies and the
253 public to assess the GHG efficiency of different chemical sites on a product-specific basis using only
254 publicly available data. Particularly, companies in the packaging, construction or automotive sectors,
255 currently have no means to integrate site-specific carbon footprints of products as a criterion in their
256 purchase decision. Site-specific product carbon footprints (PCF) are not reported by chemical producers;
257 only average PCF are available. Thus, it is the question how such a generic evaluation approach could
258 be methodically structured, fed with respective approximate and publicly available data and
259 implemented within real value chains of highly integrated chemical production sites. This arises further
260 challenges of adequate system boundary definition and environmental and economic impact allocation.
261 Furthermore, it is not apparent from the literature whether site-specific assessments play a major role in
262 product carbon footprints (PCF) and how big the site-specific PCF differences of chemical products are.
263 Answers to these questions will play a major role for an increased transparency and the reduction of
264 GHG emissions in industrial value chains in the future by a more informed supplier selection. This
265 contribution is intended to close this research gap.
266

¹⁴ i.e. one that could be applied to several different sites or different products.

¹⁵ Such top-down data used in Schiessl et al. (2020) are for example the reported GHG emissions of a site, which are published in the EPRTR (European Pollutant Release and Transfer Register of the European Environment Agency e.g. EEA (2007-2016)) and in this case can be linked to the single product "crude steel".

3 Research approach and method

3.1 Energy-based assessment and focus on basic chemicals

In the chemical industry, GHG emissions are almost proportional to final energy consumption and its energy consumption accounts for about 85% of its total GHG emissions (McKinsey, 2006). The calculation of the GHG emissions of a production system for the production of a chemical product is therefore based on an energy-related approach. The required energy is then transformed into GWP respectively into CO₂e via emission factors (e.g. [kgCO₂e/GJ] or [kgCO₂e/kWh]).

The products of the chemical industry are divided into six chemical divisions according to EU Regulation No. 1893 (EU, 2006)¹⁶. Among these divisions, basic chemicals represented 60.4% of total EU chemical sales in 2018 (cefic, 2020). In addition, basic chemicals are also the main cause of GHG emissions, as they consume 460.1 PJ/year of energy and account for around 84% of the chemical industry's total energy consumption (AGEB, 2009; Fleiter et al., 2013). Basic chemicals include "other organic basic chemicals" (with a share of 57%), "plastics in primary forms" (also called polymers) (24%), "fertilisers and nitrogen compounds" (7%), "other inorganic basic chemicals" (6%), "dyes and pigments" (3%), "industrial gases" (2%) and "synthetic rubber in primary forms" (1%) (EU, 2006); own calculation based on VCI (2018). Basic chemicals supply the entire manufacturing industry with chemicals and plastics in primary forms (polymers) (Fleiter et al., 2013) and is therefore of particular interest to purchasing units of companies, e.g. from the automotive, electronic, construction or packaging industry. For these reasons, the model is primarily developed for basic chemicals.

Organic basic chemicals are mainly produced in the petrochemical industry (VCI, 2018), by cracking natural gas and petroleum fractions such as naphtha (Behr et al., 2010). For this purpose, stream crackers are primarily used. Since the 1950s, however, FCC (fluid catalytic cracking) crackers have also been increasingly used (Behr et al., 2010). Thus, both cracking variants are modelled.

We distinguish between energy-related and process-related GHG emissions. Energy-related GHG emissions are the amount of CO₂e released during combustion. Process GHG emissions, are generated by certain industrial production processes as by-products, for example from a chemical reaction (Fleiter et al., 2013; Weber, 2014). Only approx. 15% are emitted process-related (McKinsey, 2006); of these approx. 74% relate to ammonia, approx. 13% to carbon black and approx. 8% to sodium carbonate production (own calculation according to data from EEA (2017)). The entire petrochemical industry only contributes to approx. 4% of these process-related emissions, and the production of high value petrochemical products from crackers (HVCs)¹⁷ do not cause any process-related CO₂ emissions according to EEA (2017). Therefore, HVCs will be in the focus of this approach. For other organic or inorganic chemicals, apart from those mentioned above (e.g. ammonia), as well as for polymers, no process-related emissions are listed (EEA 2017). Therefore, an energy-based approach can be used without restriction for HVCs and most other organic and inorganic chemicals (e.g. toluene diisocyanate (TDI) or chlorine) as well as for polymers (e.g. polyethers)¹⁸.

3.2 Model structure

As described in chapters 1 and 2, the availability of primary data is a major problem, as these data are usually highly confidential and it is very unlikely that they will ever be made public (Saygin, 2012). Therefore, this approach aims to enable the estimation of site-specific product carbon footprints (PCFs) independent of classified primary data. In addition, the new methodology is intended to be as generic as

¹⁶ For classification and description of economic divisions in the chemical industry and weighting of the share of the production index in the chemical industry in Germany see appendix **Figure A. 1**.

¹⁷ HVCs (high value chemicals) denotes higher value chemicals produced by the cracking process. The best known and most commonly produced HVCs are propylene and ethylene (Amghizar et al., 2017).

¹⁸ In plastics processing, for example, TDI and polyethers are processed into polyurethane (Europur, 2015).

312 possible so that it can be applied to many different chemical sites and basic chemicals. This allows, for
313 example, to identify the site with the lowest PCF to produce a specific product. Established software
314 models such as Aspen Plus are not suitable for such a generic approach, so that new models are required.
315 The new model for calculating the site-specific PCFs of HVCs is called "ECCO₂-HVC" (Evaluation
316 tool to Compare CO₂e emissions of HVCs). Analogously, the model for other basic chemicals is called
317 "ECCO₂-Basic Chemicals". **Figure 1** illustrates the basic model concept.

318 To assess the product carbon footprint of a chemical product, a calculation of the GHG emissions is
319 carried out for a "Cradle-to-Gate" system as an S-LCA (see chapter 2) in accordance with the LCA
320 guidelines in ISO 14040 and 14044. GHG emissions are assessed bottom-up along the processes
321 (process LCA) and technologies used. This includes on the one hand the "Cradle-to-Gate" GHG
322 emissions caused in upstream processes (upstream chain) and on the other hand the "Gate-to-Gate" GHG
323 emissions of a production system to the final product. The functional unit of the model is defined as the
324 production of one ton of a specific basic chemical product (e.g. propylene) at a specific production site.
325 The impact assessment of the product carbon footprint [in CO₂e] is carried out according to the
326 CML2001 method for the impact category global warming using the characterization factor "Global
327 Warming Potential" (GWP) over 100 years (GWP100). The characterization factors or CO₂ equivalence
328 factors [kgCO₂e/kg] of the gases used in the CML2001 method are derived from Ecoinvent (e.g. for 1
329 kg carbon dioxide (CO₂) = 1 kgCO₂e; 1 kg methane (CH₄) = 25 kgCO₂e and 1 kg nitrous oxide (N₂O) =
330 298 kgCO₂e (Ecoinvent V2.2, 2007-2013).

331 The Life Cycle Impact Assessment (LCIA) values for products of the upstream chain, i.e. in the "Cradle-
332 to-Gate" system, by Ecoinvent are used to determine the GWP according to CML2001. For products
333 produced in the "Gate-to-Gate" production system, GHG emissions are assessed using the energy-based
334 approach as described above (see section 3.1).

335
336 To calculate the GWP not only product-specific but also site-specific, a site-specific energy efficiency
337 is estimated for the "Gate-to-Gate" production system, which is integrated into the LCA model. For
338 high-value cracker products (HVCs), this is done by estimating the energy efficiency of the cracker used
339 onsite, and analogously for other basic chemicals (except for cracker products), the energy efficiency of
340 the respective other required production plant at the site is estimated. In the following, the energy
341 efficiency of a cracker is also called cracker efficiency and the energy efficiency of the production of
342 other basic chemicals (not produced in the cracker) is called production efficiency.

343 The new approach requires detailed research in technical literature in order to identify and select suitable
344 criteria¹⁹ for estimating the energy efficiency of production sites. The challenge in selecting these criteria
345 is on the one hand that the influence of a criterion on the energy efficiency of a production plant must
346 be significant and scientifically proven. This means that there must be a close correlation between the
347 energy consumption of the plant (e.g. cracker) and the criterion (the proxy variable). On the other hand,
348 a criterion can only be used if the required data are publicly available. In addition to the available
349 technical literature (e.g. Best Available Techniques (BAT) reference documents of the European
350 Commission), we use producer-specific reports and studies (e.g. annual reports, sustainability reports,
351 company websites and registered patents). Possible and finally selected criteria (see section 4.1 for
352 HVCs and section 4.2 for other basic chemicals) were discussed, verified and weighted with experts²⁰
353 from the chemical industry. Once the criteria had been defined, an extensive data research was carried
354 out to find site-specific data. Furthermore, a site-specific emission factor for electricity generation is
355 calculated. For this purpose, existing power plants on a chemical site are examined with regard to its
356 type, efficiency and amount of electricity generated.

357

¹⁹ In the following also called "factors".

²⁰ In 2017, three expert interviews were conducted with large chemical companies as well as a telephone interview with a management consultancy.

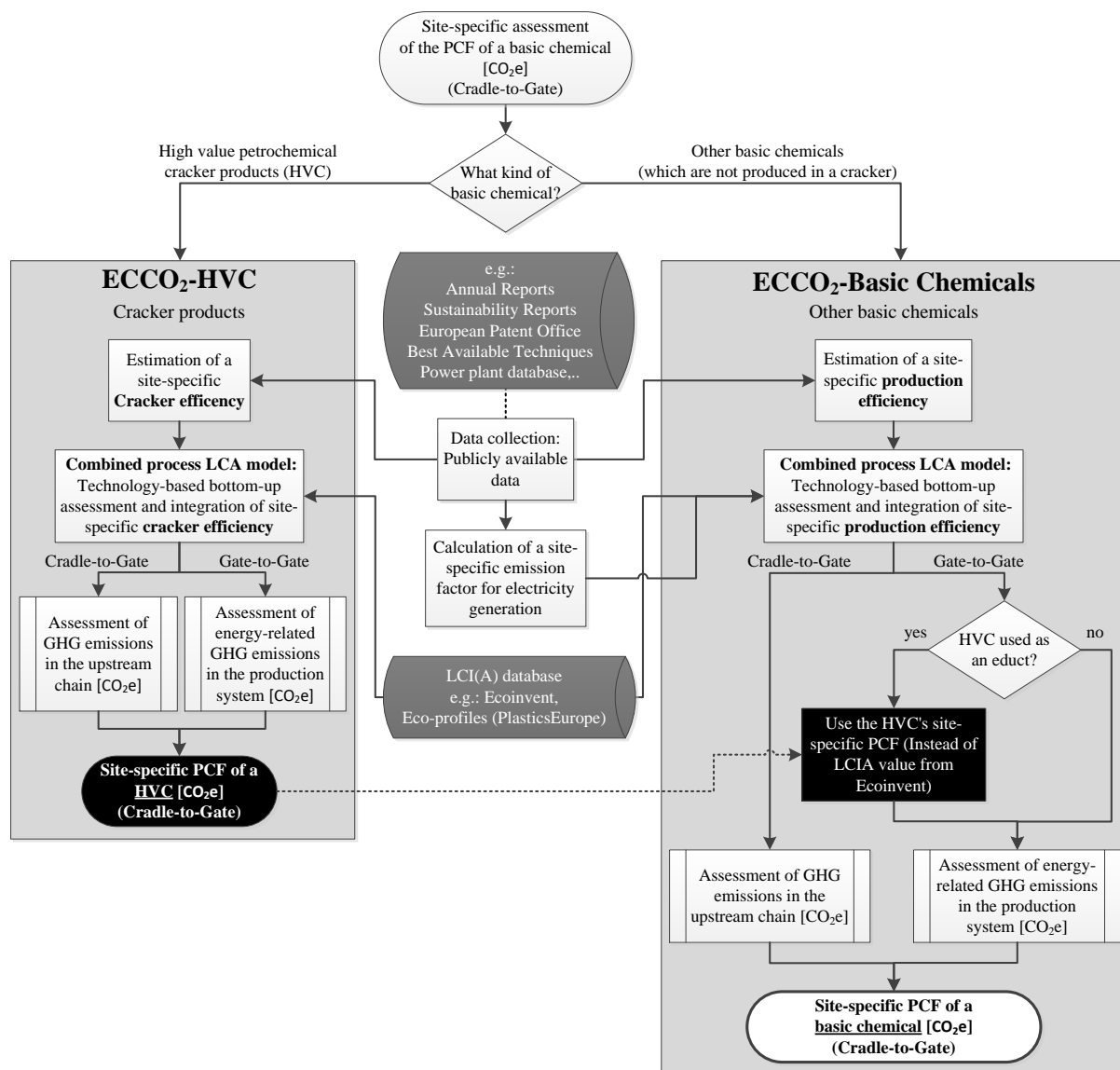


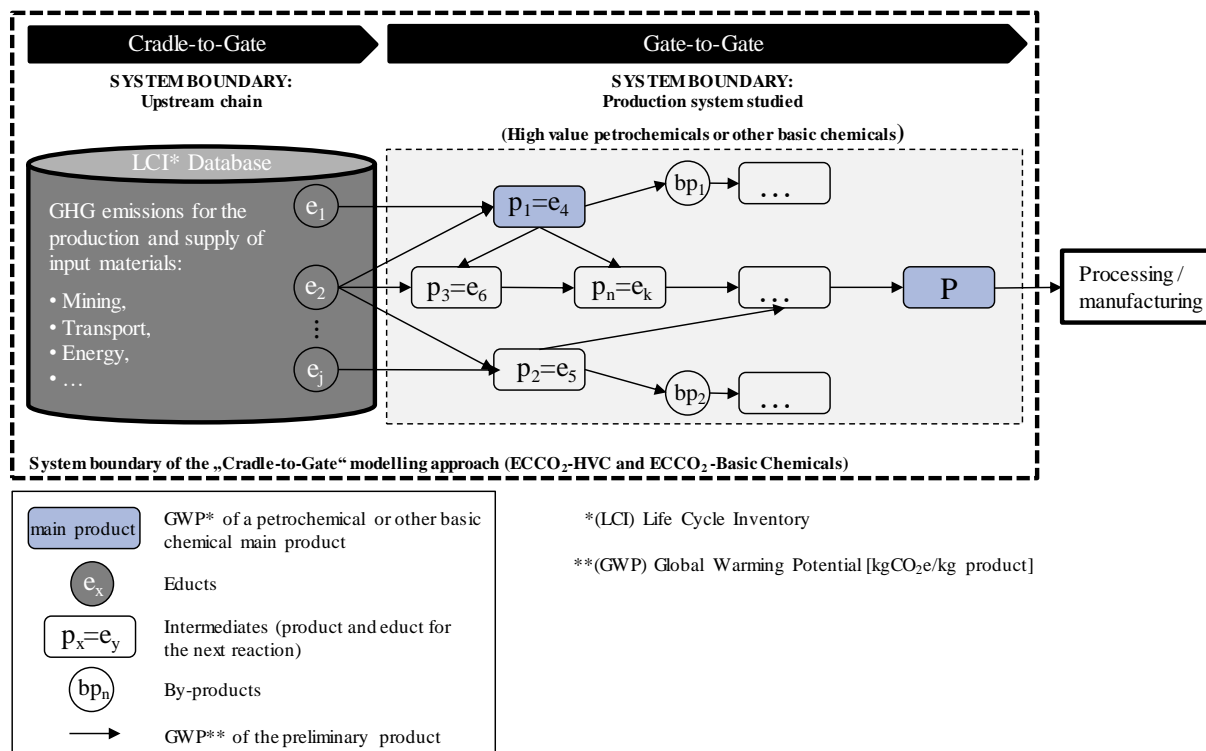
Figure 1: Model concept for estimating site-specific product carbon footprints.

358
359

3.3 System boundaries and modelling approach

360
361

362 A big challenge for the assessment of the GWP of the production of specific chemical products is the
 363 analysis of the existing energy data on the production of chemical products in the literature. The analyst
 364 is often confronted with the fact that different sources provide different values for the energy or steam
 365 required to produce a product. Thus, deviations of up to 50% occur between different sources. This is
 366 due to the fact that authors set different system boundaries, use different data sets or investigate different
 367 regions with different production technologies (Ayres, 1995; Worrell et al., 1994). Therefore, it is
 368 important to ensure that the sources and data obtained are used diligently and consistently, especially in
 369 the area of system boundaries, in order to avoid double counting due to overlapping system boundaries.
 370 Therefore, our model approach sharply separates the system "upstream chain" from the system
 371 "production system studied" (see Figure 2). All products that are not produced in the production system
 372 of a chemical site under study, but in upstream processes are allocated to the upstream chain. Production
 373 processes and logistics of the upstream chain are assumed to be unknown, since it is unclear to the model
 374 user in which country, for example, crude oil was extracted or by which modes of transport it was
 375 delivered. Therefore, the GWP of upstream products can only be assessed using average data from LCI
 376 or LCIA databases (e.g. Ecoinvent). Emissions from transports in the upstream chains are included in
 377 these average values and are taken into account (see Figure 2).



379
380
381

Figure 2: System boundaries of the modelling approach and distinction between main-product, intermediate-product and by-product.

382 In the model, main, intermediate and by-products are defined. **Main products** are defined as products
383 where the specific energy consumption (SEC) for their production (production energy) are publicly
384 available. This energy includes the required amount of electrical energy, steam and fuels. Often, the
385 SEC is given in intervals with minimum and maximum required quantities (cf. IEA, 2009; Enviro
386 Consulting, 2006; European Commission, 2003). The new developed approach uses these deviations
387 resp. intervals from the SEC to integrate the site-specific energy efficiency (of the cracker or production
388 plant) into the LCA model (section 3.2). To estimate the energy consumption of a specific chemical site
389 within the interval, linear interpolation is performed based on the calculated cracker or production
390 energy efficiency onsite. In this way, it is possible to determine precisely how much energy (SEC) a site
391 requires for producing a product. Due to the dependence of the model on publicly available production
392 energy data, the calculation of a product- and site-specific PCF is therefore by definition only possible
393 for main products.

394 The model also takes into account that, depending on the boundaries of the production system, several
395 main products must be assessed. This is the case when a main product is directly or indirectly used as
396 an educt (also called reactant) in another main product (see **Figure 2**, e.g. “ $p_1=e_4$ ” is indirectly used in
397 “ P ”; compare also **Figure 1**, e.g. an HVC can be used as an educt in other basic chemicals). For example,
398 the main product p_1 could be propylene produced in a steam cracker, which is used as educt e_4 in a
399 subsequent process to produce p_n (e.g. propylene oxide) and is further processed to produce a main
400 product P . In this case, e_1 and e_2 would be the educts (the cracker feed) for the production of main
401 product p_1 . The GWP for the educts e_1 and e_2 would in this case come from a LCI database (e.g.
402 Ecoinvent). P represents the last main product to be assessed and thus also the last step of a value chain
403 under study.²¹

404 The specific energy consumption (SEC) of intermediate products is already included in the system
405 boundary of the production of a certain main product, i.e. the energy-related GHG emissions. For
406 example, this applies to the production of the basic chemical toluene diisocyanate (TDI) via the

²¹ p_2 , p_3 and p_n are not main products according to the example in Figure 2 (the SEC of the production of these products is unknown, see introduced definition) and can therefore not be assessed on a site-specific basis.

407 intermediates dinitrotoluene (DNT) and diaminotoluene (TDA). The SEC for the entire production
408 process of TDI (average value) is known from studies by the International Energy Agency (e.g. IEA,
409 2009), so that TDI can be defined as a main product. Therefore, DNT and TDA are intermediate products
410 whose SEC is included in the SEC of TDI. However, this does not yet include GHG emissions from the
411 upstream chain, i.e. all processes that occurred prior the production system for the production of TDI.
412 Thus, the GHG emissions (resp. the GWP) from the upstream processes of these intermediates have to
413 be calculated additionally. This includes the GWP of all products respectively educts of the upstream
414 chain of an intermediate product, e.g. sulphuric acid among other educts for the production of the
415 intermediate product DNT.

416 To calculate the GWP, all products in the production system are balanced on a mass basis. The mass-
417 based approach established for pragmatic reasons and is used by most energy analysis and LCA experts
418 (Kindler and Nikles, 1980; Peereboom et al., 1998; Zhao et al., 2017). This means that the proportions
419 of a reactant in the product are calculated stoichiometrically based on the chemical reaction equations.
420 So, only the part of a substance is considered that is actually further processed; it is assumed that
421 resulting substance surpluses and by-products can be fully and equivalently further used. This
422 assumption relates to today's highly integrated chemical sites, where any by-product emitted in a process
423 can be used as a fuel or raw material for another process (see chapter 1; Fleiter et al., 2013; Saygin,
424 2012).

425

426 **4 Mathematical model**

427

428 In this chapter, the developed calculation logic is presented for determining site-specific product carbon
429 footprints (based on the GWP) of chemical base materials. First, the calculation logic of the
430 methodology of the ECCO₂-HVC model for the assessment of high value cracker products (HVC) is
431 explained (section 4.1). Second, section 4.2 introduces the calculation logic of the ECCO₂-Basic
432 Chemicals model, which can be used to evaluate all those chemical products that are produced in
433 production plants except for crackers. The input for these other production plants can be the previously
434 assessed HVCs or other educts within or outside the system boundaries of a production site (cf. section
435 3.3). In our model, both approaches were formulated both generically and independently of original
436 primary production data, so that they can be applied not only to different chemical products but also to
437 all producers. Depending on the product and the availability and currentness of its data, the calculation
438 logic operates as site-specific and up-to-date as possible using case distinctions (see e.g. formula (14)).
439 Both approaches were also programmed as applicable software models: ECCO₂-HVC was programmed
440 in Microsoft Visual Basic for Applications and ECCO₂-Basic Chemicals in Mathworks Matlab. The
441 generic calculation logic of both approaches is presented in the following (see nomenclature section for
442 the notation).

443

444 **4.1 Site-specific calculation of the GWP of the production of high value**
 445 **chemicals (HVC)**
 446

447 The GWP of a high value chemical product c from cracker x in site s ($GWP_{c,x,s}^{CrPr}$) in [kgCO₂e/kg c]
 448 depends on the energy required by the cracker onsite ($GWP_{c,x,s}^{Energy,Cr}$) and the corresponding upstream
 449 chain of product c produced in cracker x at site s ($GWP_{c,x,s}^{Prechain,Cr}$) (cf. (1)).
 450

$$GWP_{c,x,s}^{CrPr} = GWP_{c,x,s}^{Energy,Cr} + GWP_{c,x,s}^{Prechain,Cr} \quad (1)$$

451
 452 The GWP of the consumed energy to produce p ($GWP_{c,x,s}^{Energy,Cr}$) is calculated according to formula (2).
 453 $SEC_{c,x,s}^{HVC}$ denotes the specific energy consumption [GJ/t c] for the production of a product c in cracker
 454 x in site s . The conversion factor for [GJ/t] to [kWh/kg] is $K=0.277778$ and constant. $SEF_{x,s}^{HVC}$ is the
 455 emission factor of the raw materials for the cracker x of the production site s for the production of HVCs
 456 in [kgCO₂e/kWh] and is calculated according to (4).
 457

$$GWP_{c,x,s}^{Energy,Cr} = SEC_{c,x,s}^{HVC} \cdot K \cdot SEF_{x,s}^{HVC} \quad (2)$$

458
 459 To calculate $SEC_{c,x,s}^{HVC}$ [GJ/t] site-specifically, the cracker efficiency $EFF_{c,x,s}^{Cr}$ is used to interpolate
 460 linearly between the minimum ($SEC_x^{HVC,min}$) and maximum specific energy consumption
 461 ($SEC_x^{HVC,max}$) (cf. (3)). $EFF_{c,x,s}^{Cr}$ is a site-specific factor between [0;1] to estimate the efficiency of the
 462 production of product c in cracker x in site s (cf. (5)). $SEC_{FCC,max}^f$ defines the maximum and
 463 $SEC_{FCC,min}^f$ the minimum specific energy consumption for FCC crackers. X_{FCC} is the set of FCC
 464 crackers in the model and is a subset of all crackers. SEC_{max}^f is the maximum and SEC_{min}^f the minimum
 465 specific energy consumption for steam crackers (SC). The variable f denotes the feed, i.e. the raw
 466 material feed of the cracker (e.g. ethane, propane, etc.). Accordingly, w_x^f indicates the relative shares of
 467 the feed f in the cracker x . To keep the mass balance for all calculations, the sum of the shares of the
 468 feed streams must be 1 ($\sum_{f \in F} w_x^f = 1$).
 469

$$SEC_{c,x,s}^{HVC} = SEC_x^{HVC,max} + (SEC_x^{HVC,min} - SEC_x^{HVC,max}) \cdot EFF_{c,x,s}^{Cr}$$

$$\text{with} \quad SEC_x^{HVC,max} = \begin{cases} \sum_{f \in F} w_x^f \cdot SEC_{FCC,max}^f & , x \in X_{FCC} \\ \sum_{f \in F} w_x^f \cdot SEC_{max}^f & , otherwise \end{cases} \quad (3)$$

$$\text{and} \quad SEC_x^{HVC,min} = \begin{cases} \sum_{f \in F} w_x^f \cdot SEC_{FCC,min}^f & , x \in X_{FCC} \\ \sum_{f \in F} w_x^f \cdot SEC_{min}^f & , otherwise \end{cases}$$

470 In the calculation of the raw material emission factor $SEF_{x,s}^{HVC}$ in (4), $SEF_x^{HVC,min}$ is the minimum and
 471 $SEF_x^{HVC,max}$ is the maximum emission factor [kgCO₂e/kWh] of the raw materials for the cracker x
 472 producing HVCs. SEF_{min}^f denotes the minimum and SEF_{max}^f the maximum specific emission factor
 473 [kgCO₂e/kWh] of the raw material f fed into the cracker.
 474

$$SEF_{x,s}^{HVC} = SEF_x^{HVC, \max} + (SEF_x^{HVC, \min} - SEF_x^{HVC, \max}) \cdot EFF_{c,x,s}^{Cr}$$

$$\text{with } SEF_x^{HVC, \max} = \sum_{f \in F} w_x^f \cdot SEF_{max}^f \quad (4)$$

$$\text{and } SEF_x^{HVC, \min} = \sum_{f \in F} w_x^f \cdot SEF_{min}^f$$

475 In the following, the calculation logic of the cracker efficiency $EFF_{c,x,s}^{Cr}$ (cf. (5)) is explained. The energy
 476 consumption of a cracker depends on several factors such as the mixture of the feed (cf. (4)), the age of
 477 the plant (cracker), the utilization rate or the heat integration efficiency (Benchaita, 2013; European
 478 Commission, 2017; Fleiter et al., 2013; IEA, 2007). While some factors, such as the age of a cracker,
 479 can be researched specifically, heat integration efficiency is not specifically researchable and has to be
 480 represented by other factors that have a scientifically proven influence on heat integration efficiency and
 481 have publicly available data (cf. section 3.2). Such factors include the production capacity of the
 482 production plant, the production capacity of the entire site, the area of the site or the Nelson index of the
 483 site. The used factors to determine the cracker efficiency $EFF_{c,x,s}^{Cr}$ are:

- 484 1. $CrPrC_{c,x}$, the production capacity of HVC product c in cracker x [t/a], since CO₂e emissions per
 485 ton are decreasing with increasing cracker output (Benchaita, 2013).²²
- 486 2. $FC_{s,x}$, the total production site capacity to process all products of site s to which the cracker x
 487 belongs [t/a]. The total site capacity is taken into account, since a higher production volume offers
 488 a higher potential for energy savings through economies of scale (Fleiter et al., 2013).
- 489 3. $FA_{s,x}$, the site area of site s to which the cracker x belongs [km²]. It is assumed that the size of the
 490 area of the site generally correlates with the number of production plants or facilities at the site.
 491 Therefore, a large chemical production site is assumed to have more product diversity and
 492 production flexibility than a small site where co-products and heat can be better re-integrated into
 493 the production of other products (cf. Fleiter et al., 2013).
- 494 4. $NI_{s,x}$, the Nelson index of the production site s where the cracker x is located. The Nelson index
 495 (NI)²³ is an index to measure the complexity of a refinery. The complexity of a refinery is defined
 496 by its ability to process crude oil into higher-value products. The simple crude oil distillation is
 497 defined with a complexity factor of 1.0 and serves as the reference value. Further plant components
 498 and thus longer value chains increase the complexity and consequently the NI . For example, a NI of
 499 10 means that a refinery is ten times more complex than a pure crude oil distillation plant. The
 500 world's highest NI s are between 14 and 15 (see Reliance Industries Ltd. (2009) and literature used
 501 for Table A. 2 in the appendix). The index is also used in industry as a measure of the investment
 502 costs and value added potential of a refinery. A refinery with a high NI is therefore more expensive
 503 to build and operate, but produces higher value products (Nelson, 1976a, 1976b, 1976c, 1961,
 504 1960a, 1960b; Reliance Industries Ltd., 2009). Based on the definition of the NI and analogous to
 505 3. that large and highly integrated sites have better possibilities for energy supply and heat
 506 integration (Fleiter et al. 2013), it is deduced that a high NI of a site also implies a high degree of
 507 integration.
- 508 5. $CrAge_x$, the age of the cracker x . According to the Best Available Techniques (BAT) in the chemical
 509 industry, no correlation can be detected between the age of a cracker and its energy consumption.
 510 This is due to the fact that crackers are constantly being upgraded to save energy costs (European
 511 Commission, 2003). According to Yao et al. (2016), however, new crackers are usually more
 512 energy-efficient than older crackers due to the adoption of state-of-art technologies for the entire
 513 processes. Considering that the energy efficiency of a cracker depends on many different technical
 514 systems and parameters (e.g. steam turbine efficiency, compressor efficiency or steam system
 515 efficiency) (cf. Yao et al., 2015), it also seems unlikely that older crackers will be state-of-the-art in
 516 all related technical systems despite investments. In this study, it is therefore assumed that older
 517 crackers tend to have a higher energy consumption than newer ones. However, due to the vague

²² This is illustrated in appendix **Figure A. 2** using the example of the HVC ethylene.

²³ Also known as Nelson complexity index (NCI).

518 correlation between the age of a cracker and its energy efficiency, the age is weighted less in the
 519 model application (cf. chapter 5).

520 6. $v_x^{UR, Cr}$ denotes the utilization rate of the cracker x . The specific energy consumption is lowest if the
 521 plant operates close to the design capacity (cf. section 4.2.2. and Fleiter et al., 2013).

522
 523 A lower limit (LL) and an upper limit (UL) are defined for all factors, representing a minimum and a
 524 maximum possible value. For example, the lower limit for the Nelson index is 1 ($NI_{LL} = 1$) and the
 525 upper limit is 14 ($NI_{UL} = 14$). The cracker efficiency for product c of cracker x in site s ($EFF_{c,x,s}^{Cr}$) is
 526 determined in formula (5) by linear interpolation and weighting (w) the factors.

$$\begin{aligned}
 527 \quad EFF_{c,x,s}^{Cr} = & w^{CrPrC} \cdot \max\left(0; \min\left(1; \frac{CrPrC_{c,x} - CrPrC_{c,LL}}{CrPrC_{c,UL} - CrPrC_{c,LL}}\right)\right) \\
 & + w^{FC} \cdot \max\left(0; \min\left(1; \frac{FC_{s,x} - FC_{LL}}{FC_{UL} - FC_{LL}}\right)\right) \\
 & + w^{FA,Cr} \cdot \max\left(0; \min\left(1; \frac{FA_{s,x} - FA_{LL}}{FA_{UL} - FA_{LL}}\right)\right) \\
 & + w^{NI} \cdot \max\left(0; \min\left(1; \frac{NI_{s,x} - NI_{LL}}{NI_{UL} - NI_{LL}}\right)\right) \\
 & + w^{CrAge} \cdot \max\left(0; \min\left(1; \frac{CrAge_x - CrAge_{LL}}{CrAge_{UL} - CrAge_{LL}}\right)\right) \\
 & + w^{UR, Cr} \cdot v_x^{UR, Cr}
 \end{aligned} \tag{5}$$

528
 529 Finally, to calculate the total GWP for the production of a cracker product c according to formula (1)
 530 the GWP from upstream processes is needed. The GWP of the upstream chain of the product c in cracker
 531 x in site s ($GWP_{c,x,s}^{Prechain, Cr}$) is calculated according to formula (6) and is indicated in [kgCO₂e/kg c].
 532 $GWP_f^{Ecoprofiles}$ specifies the GWP of the raw material f from the Eco-profiles of PlasticsEurope
 533 [kgCO₂e/kg f]. $GWP_f^{Ecoinvent}$ denotes the GWP of the raw material f from Ecoinvent [kgCO₂e/kg f]
 534 and is used if no GWP exists for f in the Eco-profiles. The variable CR_x is used to describe the raw
 535 material conversion rate of the cracker x ($CR_x = Input/Output$). This defines the total yield of the
 536 cracker by indicating how much of the total input can be used or reused for later products.

$$537 \quad GWP_{c,x,s}^{Prechain, Cr} = \left(\sum_{f \in F} w_x^f * \begin{cases} GWP_f^{Ecoprofiles} & , \exists GWP_f^{Ecoprofiles} \\ GWP_f^{Ecoinvent} & , otherwise \end{cases} \right) * CR_x \tag{6}$$

538 4.2 Site-specific calculation of the GWP of the production of other basic 539 chemicals

540
 541 In section 4.2.1, we present the entire generic calculation logic to determine the GWP of a basic chemical
 542 on a site-specific level. Essential components are the site-specific energy consumption for the production
 543 process of the assessed basic chemical and the complex calculation of the corresponding energy-related
 544 site-specific GWP. For reasons of clarity, the calculations regarding the specific production energy are
 545 presented separately in section 4.2.2.

546 547 4.2.1 Calculation of the total GWP

548
 549 The total GWP of a product is calculated based on an iterative matrix calculation depending on the
 550 value-adding steps i to calculate the i -th product ($i=1, \dots, P$) in a value-added chain (formula (7)). B_i
 551 is the CO₂e balance of step i and includes the GWP "Cradle-to-Gate" of all previous steps [kgCO₂e/kg p].
 552 Matrix \bar{A} represents the mass proportions of educts in the products, up to the i -th product. Matrix B_{i-1}
 553 consists of the products' GWPs of the previous value chain step. Vector a_i (12) ensures that only the

554 corresponding GWPs of the value chain of the i -th product are added to the resulting vector B_i in each
 555 iteration. The vector t_0 (13) stores the PCF (respectively the GWP) of each product that is used to
 556 produce a product p in site s , but cannot be calculated site-specifically ($GWP_{e,p,s}^{\text{Edc}}$). This does not apply
 557 to educts which are cracker products, as their GWP can be calculated according to the developed cracker
 558 specific approach (section 4.1). The vector PE (15) contains the calculated GWP of the required
 559 production energy for each product that can be calculated site-specifically. PE is calculated in the
 560 following section 4.2.2. A detailed description of the formula components is given below.

$$561 \quad B_i = (\bar{A} \cdot B_{i-1}) \circ a_i + (t_0 + PE) \circ a_i + B_{i-1} = (\bar{A} \cdot B_{i-1} + t_0 + PE) \circ a_i + B_{i-1} \quad (7)$$

562 Methodically, in this calculation the Hadamard product is used to multiply two matrices component-
 563 wise. For this, the Hadamard product requires that the dimensions of two matrices are equal
 564 (Chakrabarty, 2017). Matrices B_{i-1} B_i , describe the GWP of i -th product in the production process and
 565 matrix \bar{A} the mass proportions of the chemical reaction. By applying formula (7) iteratively along the
 566 chemical reaction steps to the final product P (which we want to assess), the vector B_P (8) with the GWP
 567 of the desired product is obtained. Due to the iterative application, this resulting vector contains all the
 568 GWPs of the previous educts or products of all chemical processing steps i from site s ($GWP_{p,s}^{\text{Pr}}$) to the
 569 end product P ($GWP_{P,s}^{\text{Pr}}$) in [kgCO₂e/kg].

$$571 \quad B_P = \begin{pmatrix} GWP_{1,s}^{\text{Pr}} \\ \vdots \\ GWP_{p,s}^{\text{Pr}} \\ \vdots \\ GWP_{P,s}^{\text{Pr}} \end{pmatrix}; B_P: \{1, \dots, P\} \times \{1\} \quad (8)$$

572 To produce a product p , different educts e are needed. Matrix \bar{A} (9) represents the mass proportions of
 573 educts in the products, whereby all products (except the end product), can also be educts. The mass
 574 fraction of an educt e is in the produced product p in site s is calculated (cf. an entry in matrix \bar{A}). $m_{e,p,s}^{\text{Edc}}$
 575 denotes the mass of the educt e required to produce the product p in site s . $m_{p,s}^{\text{Pr}}$ specifies the mass of
 576 the product p resulting from the reaction during production in site s . During the production of p , the by-
 577 products $y \in Y$ are also produced. The part of the mass of an educt e that is processed into the by-
 578 product y that is produced during the production of p in site s ($m_{e,y,p,s}^{\text{Edc,byPr}}$) is subtracted, since by-
 579 products can be fully and equivalently further used (cf. chapter 3).

$$581 \quad \bar{A} = \begin{pmatrix} \frac{m_{e,p,s}^{\text{Edc}} - \sum_{y=1}^Y m_{e,y,p,s}^{\text{Edc,byPr}}}{m_{p,s}^{\text{Pr}}} & \dots & \frac{m_{E,p,s}^{\text{Edc}} - \sum_{y=1}^Y m_{E,y,p,s}^{\text{Edc,byPr}}}{m_{p,s}^{\text{Pr}}} & 0 \\ \vdots & \ddots & \vdots & \vdots \\ \frac{m_{e,P,s}^{\text{Edc}} - \sum_{y=1}^Y m_{e,y,P,s}^{\text{Edc,byPr}}}{m_{P,s}^{\text{Pr}}} & \dots & \frac{m_{E,P,s}^{\text{Edc}} - \sum_{y=1}^Y m_{E,y,P,s}^{\text{Edc,byPr}}}{m_{P,s}^{\text{Pr}}} & 0 \end{pmatrix}; \bar{A}: \{1, \dots, P\} \times \{1, \dots, E + 1\} \quad (9)$$

582 Matrix \bar{A} is generated from mass balances (10) based on product-specific reaction equations (11). These
 583 product-specific reaction equations depend on producer-specific recipes (e.g. accessible at the European
 584 Patent Office).

586

$$\sum_{e=1}^E m_{e,p,s}^{\text{Edc}} = m_{p,s}^{\text{Pr}} + \sum_{y=1}^Y m_{y,p,s}^{\text{byPr}} \quad (10)$$

587

$$\sum_{e=1}^E n_{e,p,s}^{\text{Edc}} \cdot M_e^{\text{Edc}} \xrightarrow{=} n_{p,s}^{\text{Pr}} \cdot M_p^{\text{Pr}} + \sum_{y=1}^Y n_{y,p,s}^{\text{byPr}} \cdot M_y^{\text{byPr}} \quad (11)$$

588

589 Analogue to $m_{p,s}^{\text{Pr}}$, $m_{y,p,s}^{\text{byPr}}$ denotes the mass of the by-product y resulting from the reaction during
 590 production of p in site s . $n_{e,p,s}^{\text{Edc}}$ describes the amount of substance [mol] of an educt e required for
 591 production of p in site s and M_e^{Edc} indicates the molar mass [kg/mol] of the educt e . Similarly, $n_{p,s}^{\text{Pr}}$
 592 denotes the amount of substance of p produced at site s and M_p^{Pr} the molar mass of p . Likewise, $n_{y,p,s}^{\text{byPr}}$
 593 is the amount of substance of the by-product y produced at the production site s during the production
 594 of product p and M_y^{byPr} the molar mass of the by-product y .

595

596 The vector a_i (12) in formula (7) ensures that in each iteration only the corresponding GWPs of the
 597 considered value chain of the i -th product are added to the resulting vector B_i .

598

$$a_i = \begin{pmatrix} f_1 \\ \vdots \\ f_k \\ \vdots \\ f_P \end{pmatrix}; a_i: \{1, \dots, P\} \times \{1\} \text{ with: } f_1 = \begin{cases} 1, & 1 = i \\ 0, & 1 \neq i \end{cases}, f_2 = \begin{cases} 1, & 2 = i \\ 0, & 2 \neq i \end{cases}, \dots, f_k = \begin{cases} 1, & k = i \\ 0, & k \neq i \end{cases} \quad (12)$$

599

600 In vector t_0 (13), the $GWP_{e,p,s}^{\text{Edc}}$ is determined according to the case distinction in Equation (14).

601

$$t_0 = \begin{pmatrix} GWP_{1,1,s}^{\text{Edc}} \\ \vdots \\ GWP_{e,p,s}^{\text{Edc}} \\ \vdots \\ GWP_{E,p,s}^{\text{Edc}} \\ \vdots \\ GWP_{E,P,s}^{\text{Edc}} \end{pmatrix}; t_0: \{1, \dots, E + P\} \times \{1\} \quad (13)$$

602

Cases:

$$GWP_{e,p,s}^{\text{Edc}} = \begin{cases} GWP_{e,s}^{\text{Supp, Data}}, & 1. \\ \frac{1}{A_s} \sum_{x=1}^X GWP_{c,x,s}^{\text{CrPr}}, & 2. \\ \frac{1}{A} \sum_{s=1}^S \sum_{x=1}^X GWP_{c,x,s}^{\text{CrPr}}, & 3. \\ 0, & 4. \\ 0, & 5. \\ GWP_e^{\text{Ecoprofiles}}, & 6. \\ GWP_e^{\text{Ecoinvent}}, & 7. \end{cases} \quad (14)$$

1.: $\exists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}}$
 2.: $A_s \geq 1 \wedge \text{Edc}_e = \text{CrPr}_c \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}}$
 3.: $A_s = 0 \wedge \text{Edc}_e = \text{CrPr}_c \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}}$
 4.: $\text{Edc}_e = \text{ImPr}_z \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}}$
 5.: $\text{Edc}_e = \text{MPr}_h \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}}$
 6.: $\exists GWP_e^{\text{Ecoprofiles}} \in GWP^{\text{Ecoprofiles}} \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}} \wedge \nexists \text{Edc}_e = \text{ImPr}_z \wedge \nexists \text{Edc}_e = \text{MPr}_h \wedge \nexists \text{Edc}_e = \text{CrPr}_c$
 7.: $\nexists GWP_e^{\text{Ecoprofiles}} \in GWP^{\text{Ecoprofiles}} \wedge \nexists GWP_{e,s}^{\text{Supp, Data}} \in GWP^{\text{Supp, Data}} \wedge \nexists \text{Edc}_e = \text{ImPr}_z \wedge \nexists \text{Edc}_e = \text{MPr}_h \wedge \nexists \text{Edc}_e = \text{CrPr}_c$

603 In Equation (14), $GWP_{e,s}^{\text{Supp, Data}}$ describes the GWP of an educt e in site s if primary data from the
 604 supplier is available (case 1). A_s specifies the number of crackers in production site s and A the sum of
 605 crackers in all production sites implemented in the model (equals X). $GWP_{c,x,s}^{\text{CrPr}}$ is the GWP of a cracker
 606 product c from the cracker x in site s . Case 2 occurs if at least one cracker exists at site s , educt e is a
 607 cracker product and no primary data on the GWP of this educt is given. Case 3 covers the GWP of an
 608 educt e (=cracker product) when no cracker is located onsite. In this case, the GWP of the cracker

609 product is the average value of all modelled crackers ($GWP_{c,x,s}^{CrPr}$) (section 4.1). In cases 4 and 5,
610 $GWP_{e,p,s}^{Edc}$ is 0 if the educt is an intermediate or main product because in this case the site-specific
611 approach has to be applied. $CrPr$ stands for the quantity of cracker products, $ImPr$ for the quantity of
612 intermediate products and MPr for the quantity of main products that are included in the model. If no
613 primary data is available and none of cases 2 to 5 occurs to specifically determine the educt, the GWP
614 of an educt is taken from PlasticsEurope's Eco-profiles ($GWP_e^{Ecoprofiles}$) (case 6). If no Eco-profile of
615 p is available either, data from the Ecoinvent database V2.2 is used ($GWP_e^{Ecoinvent}$) (case 7). The Eco-
616 profiles are preferred because they are usually more up-to-date.
617 Vector PE (15) contains the GWP of the required production energy (PE) for each intermediate or main
618 product that is produced at site s . $GWP_PE_{p,s}^{Pr}$ is determined according to the case distinction in formula
619 (16). $GWP_PE_{p,s}^{Pr}$ is 0 if the product is not an intermediate and main product, but an educt and has
620 therefore already been calculated in $GWP_{e,p,s}^{Edc}$ (case 1). If the product p is not produced at site s , the
621 mean value of the GWP of the production energy of all locations producing p is used for this site (case 3).
622 $GWP_{p,s}^{Energy, Prod}$ determines the specific energy needed to produce p in site s (section 4.2.2).
623

$$623 \quad PE = \begin{pmatrix} GWP_PE_{1,s}^{Pr} \\ \vdots \\ GWP_PE_{p,s}^{Pr} \\ \vdots \\ GWP_PE_{p,s}^{Pr} \end{pmatrix}; PE: \{P\} \times \{1\} \quad (15)$$

624

$$624 \quad GWP_PE_{p,s}^{Pr} = \begin{cases} 0 & , GWP_{e,p,s}^{Edc} \neq 0 \\ GWP_{p,s}^{Energy, Prod} & , \exists GWP_{p,s}^{Energy, Prod} \in GWP^{Energy, Prod} \\ \frac{1}{|\tilde{s}|} \sum_{\tilde{s} \in \tilde{S}} GWP_{p,\tilde{s}}^{Energy, Prod} & , \nexists GWP_{p,s}^{Energy, Prod} \in GWP^{Energy, Prod} \end{cases} \quad (16)$$

with

$$\tilde{S} = \{s \mid p \text{ is produced at } s\}$$

625 4.2.2 Sub-calculation: GWP of the production energy used onsite

626

627 The total GHG emissions (Cradle-to-Gate) resulting from the production of the product p at production
628 site s ($GWP_{p,s}^{Pr}$) are calculated according to formula (7) or (8). $GWP_PE_{p,s}^{Pr}$ in vector PE (15) includes
629 the energy-related GHG emissions caused in the production system to produce the product p “Gate-to-
630 Gate”. All other GHG emissions that occur in preliminary stages onsite or in upstream chains off-site
631 are included in the GWPs of the pre-products B_{i-1} (7) or in vector t_0 (13).

632 GHG emissions from the production of p at site s ($GWP_{p,s}^{Energy, Prod}$) are calculated based on the required
633 steam, electricity and fuels according to formula (17). Here, only the production energy used for the
634 product to be balanced is calculated (mass-based approach, cf. section 4.2). m_p^{Pr} is the mass of the
635 product p and $m_{y,p,s}^{byPr}$ is the mass of a by-product y resulting from the production of p .

$$635 \quad GWP_{p,s}^{Energy, Prod} = (GWP_{p,s}^{Steam} + GWP_{p,s}^{Elec} + GWP_{p,s}^{Fuel}) \cdot \left(\frac{m_p^{Pr}}{m_p^{Pr} + \sum_{y=1}^Y m_{y,p,s}^{byPr}} \right) \quad (17)$$

636

637 The GWP triggered by the generation of the required steam for the production of p at site s ($GWP_{p,s}^{Steam}$)
638 is calculated according to formula (18).

$$GWP_{p,s}^{\text{Steam}} = \begin{cases} \left(SEC_{p,s}^{\text{Steam}} \cdot K \cdot \sum_{b=1}^B (g_{b,s}^{\text{Steam}} \cdot SEF_{b,s}^{\text{Steam}}) \right) \cdot \frac{1}{\eta_s^{\text{Steam}}} & ,1. \\ SEC_p^{\text{Steam, Ecoinvent}} \cdot K \cdot SEF^{\text{NG}} \cdot \frac{1}{\eta_s^{\text{Steam}}} & ,2. \\ 0 & ,3. \end{cases} \quad (18)$$

- 1.: $\exists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h$
2.: $\nexists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h$
3.: $\nexists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h \wedge \nexists SEC_p^{\text{Steam, Ecoinvent}} \in SEC^{\text{Steam, Ecoinvent}}$

639 $SEC_{p,s}^{\text{Steam}}$ describes the specific energy consumption of steam generation to produce product p in
640 production site s in [GJ/t p]. $g_{b,s}^{\text{Steam}}$ is the share of a fuel b [%] at production site s to generate steam.
641 To comply with mass balances, shares of all fuels used must sum up to 1 ($\sum_{b \in B} g_{b,s}^{\text{Steam}} = 1$). $SEF_{b,s}^{\text{Steam}}$
642 stands for the emission factor of fuel b used to generate steam at production sites in [kgCO₂e/kWh].
643 η_s^{Steam} denotes the efficiency of steam generation onsite. The specific energy consumption of steam
644 generation in [MJ/kg p] for the production of p based on Ecoinvent data is labelled $SEC_p^{\text{Steam, Ecoinvent}}$.
645 SEF^{NG} in [kgCO₂e/kWh] is the emission factor of natural gas, which is generally used for steam
646 generation (according to Ecoinvent). The calculation distinguishes between a main product with
647 publicly available product-specific energy data (case 1), and no defined main product (case 2). In case 2,
648 Ecoinvent data is used. If Ecoinvent does not provide any information either, it is assumed that no steam
649 is required (case 3). This case distinction applies analogously to the specific GWP of the required
650 electricity ($GWP_{p,s}^{\text{Elec}}$) in formula (23) and the required site-specific GWP from fuel use for production
651 of product p in site s ($GWP_{p,s}^{\text{Fuel}}$) described in the following equations (19, 20, 21).
652

$$GWP_{p,s}^{\text{Fuel}} = \begin{cases} SEC_{p,s}^{\text{Fuel}} \cdot K \cdot SEF_s^{\text{Fuel}} & ,1. \\ SEC_p^{\text{Fuel, Ecoinvent}} \cdot K \cdot SEF_s^{\text{Fuel}} & ,2. \\ 0 & ,3. \end{cases} \quad (19)$$

1.: $\exists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h$
2.: $\nexists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h$
3.: $\nexists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p = MPr_h \wedge \nexists SEC_p^{\text{Fuel, Ecoinvent}} \in SEC^{\text{Fuel, Ecoinvent}}$

with

$$SEF_s^{\text{Fuel}} = \begin{cases} SEF_s^{\text{Fuel, SuppData}} & , \exists SEF_s^{\text{Fuel, SuppData}} \in SEF^{\text{Fuel, SuppData}} \\ \frac{1}{A_s} \cdot \sum_{x=1}^X SEF_{x,s}^{\text{HVC}} & , A_s \geq 1 \wedge \nexists SEF_s^{\text{Fuel, SuppData}} \in SEF^{\text{Fuel, SuppData}} \\ \frac{1}{A} \cdot \sum_{\tilde{s}=1}^{\tilde{S}} \sum_{x=1}^X SEF_{x,s}^{\text{HVC}} & , A_s = 0 \wedge \nexists SEF_s^{\text{Fuel, SuppData}} \in SEF^{\text{Fuel, SuppData}} \end{cases} \quad (20)$$

and

$$A = \sum_{s=1}^S \sum_{x=1}^X \min\{1; SEF_{x,s}^{\text{HVC}}\} \text{ and } A_s = \sum_{x=1}^X \min\{1; SEF_{x,s}^{\text{HVC}} \cdot \beta\} \quad \forall s \in \{1, \dots, S\} \quad (21)$$

653
654 To calculate $SEC_{p,s}^{\text{Steam}}$ site-specifically (22), $EFF_{p,s}^{\text{Site, Prod}}$ is used to interpolate linearly between the
655 minimum ($SEC_p^{\text{Steam, min}}$) and maximum specific energy consumption ($SEC_p^{\text{Steam, max}}$) based on
656 consistent literature (e.g. the Best Available Techniques (BAT) of the European Commission (2003,
657 2017)). The production efficiency $EFF_{p,s}^{\text{Site, Prod}}$ is a site-specific factor (cf. (26)) between [0;1] to
658 estimate the efficiency of production of product p at site s .
659

$$SEC_{p,s}^{Steam} = SEC_p^{Steam, max} + (SEC_p^{Steam, min} - SEC_p^{Steam, max}) \cdot EFF_{p,s}^{Site, Prod} \quad (22)$$

662
660
661
663

The GWP resulting from the electricity consumption for the production of p at site s ($GWP_{p,s}^{Elec}$) is calculated in (23):

$$GWP_{p,s}^{Elec} = \begin{cases} SEC_{p,s}^{Elec} \cdot K \cdot \omega & ,1. \\ SEC_p^{Elec, Ecoinvent} \cdot \omega & ,2. \\ 0 & ,3. \end{cases}$$

$$\begin{aligned} 1.: \exists p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p &= MPr_h \\ 2.: \forall p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p &= MPr_h \\ 3.: \forall p \in \{1, \dots, P\}, h \in \{1, \dots, H\}: Pr_p &= MPr_h \wedge \nexists SEC_p^{Elec, Ecoinvent} \in SEC^{Elec, Ecoinvent} \end{aligned} \quad (23)$$

with

$$\omega = \left(\left(w_s^{Elec, selfprod} \cdot \sum_{b \in B} (g_{b,s}^{Elec} \cdot SEF_{b,s}^{Elec}) \right) \cdot \frac{1}{\eta_s^{Elec}} + (1 - w_s^{Elec, selfprod}) \cdot GWP_s^{CountryElecMix} \right)$$

664 $SEC_{p,s}^{Elec}$ is the specific energy consumption of electrical energy for the production of product p at site s
665 [GJ/t p]. $SEC_p^{Elec, Ecoinvent}$ stands for the specific energy consumption of electricity generation in
666 [kWh/kg p] for the production of p according to Ecoinvent. ω is used to calculate a site-specific emission
667 factor for electricity [kgCO₂e/kWh]. $w_s^{Elec, selfprod}$ indicates the share of self-generated electricity onsite
668 [%] and $SEF_{b,s}^{Elec}$ denotes the emission factor of the fuel b used to generate electricity onsite. To comply
669 with mass balances, the sum of the shares of all fuels used to produce energy must be 1 ($\sum_{b \in B} g_{b,s}^{Elec} =$
670 1). The efficiency of the power plant at production site s is indicated with η_s^{Elec} . $GWP_s^{CountryElecMix}$ in
671 [kgCO₂e/kWh] denotes the emission factor of the electricity mix of the country where the production
672 site s is located. If electricity is not produced onsite, the GWP of the respective national average
673 electricity mix is assumed.

674 For the site-specific calculation of $SEC_{p,s}^{Elec}$ (24), the factor $EFF_{p,s}^{Site, Prod}$ is used analogously to (22) to
675 interpolate linearly between the minimum and maximum consumption of electrical energy. $SEC_p^{Elec, max}$
676 is the maximum and $SEC_p^{Elec, min}$ the minimum specific consumption of electrical energy to produce
677 product p [GJ/t].

678

$$SEC_{p,s}^{Elec} = SEC_p^{Elec, max} + (SEC_p^{Elec, min} - SEC_p^{Elec, max}) \cdot EFF_{p,s}^{Site, Prod} \quad (24)$$

679

680 In analogy to the calculation of the GWP resulting from the use of steam and electrical energy, a site-
681 specific calculation logic for the corresponding GWP of fuel use $GWP_{p,s}^{Fuel}$ is formulated in (19). In
682 formula (19), $SEC_{p,s}^{Fuel}$ describes the specific energy consumption of fuels for the production of product
683 p at site s in [GJ/t]. $SEC_p^{Fuel, Ecoinvent}$ stands for the specific energy consumption of fuels for the
684 production of p according to Ecoinvent in [MJ/kg], if no site-specific value is available. SEF_s^{Fuel}
685 quantifies the emission factor of the fuels in [kgCO₂e/kWh], which are used in production site s .
686 $SEF_s^{Fuel, SuppData}$ indicates the emission factor for fuels of the production site s , if primary data are
687 available. $SEF_{x,s}^{HVC}$ denotes the emission factor [kgCO₂e/kWh] of the raw materials or fuels used in
688 cracker x at site s for the production of high value chemical products (HVC). The use of $SEF_{x,s}^{HVC}$ as an
689 emission factor for the used fuels assumes that the used fuels are mainly those that are also used in the
690 cracker (if there is a cracker onsite). $SEF_{x,s}^{HVC}$ is described in formula (4) (section 4.1). A is the number
691 of crackers considered in the model and A_s is the number of crackers at site s . \tilde{s} is an auxiliary variable

692 and is equivalent to s . β is a necessary correction value ($\beta = 10^6$) for the calculation of A_s if $SEF_{x,s}^{HVC}$
 693 has very small values, but has no influence on the result.

694

695 As in (22) and (24), the factor $EFF_{p,s}^{\text{Site, Prod}}$ is also used in (25) to calculate the specific energy
 696 consumption of a site for the production of product p ($SEC_{p,s}^{\text{Fuel}}$). $SEC_{p,s}^{\text{Fuel, max}}$ is the maximum and
 697 $SEC_p^{\text{Fuel, min}}$ the minimum specific energy consumption [GJ/t] of fuels for the production of p .

698

$$SEC_{p,s}^{\text{Fuel}} = SEC_p^{\text{Fuel, max}} + (SEC_p^{\text{Fuel, min}} - SEC_p^{\text{Fuel, max}}) \cdot EFF_{p,s}^{\text{Site, Prod}} \quad (25)$$

699

700 The production efficiency $EFF_{p,s}^{\text{Site, Prod}}$ according to formula (26) is composed of various factors that
 701 estimate both the level of integration and the level of innovation of a production plant. Like for crackers
 702 (section 4.1), the factors are put into relation to a lower limit (LL) and an upper limit (UL) and weighted
 703 (w) in order to determine the site-specific efficiency of the production of a product.

704

705 Factors for determining the level of production integration are:

- 706 1. LF_s , the location factor of the production site s . This serves as an indicator of how suitable the
 707 location of a site is for contributing to a low PCF. The more upstream products are produced
 708 onsite or nearby, the shorter the transport distances. Therefore, the assessment of the LF_s takes
 709 into account whether a refinery is located on the site and with which Nelson index it is rated,
 710 how short the transport distances to refineries and other chemical sites are and which modes of
 711 transport (e.g. river, road, sea, pipeline) can be used to supply a site. LF_s is evaluated with a
 712 value between 0 (bad location) and 10 (very good location) [0;10].
- 713 2. FA_s , the area of the site s [km²]. (Explanation is identical like for factor $FA_{s,x}$ used to calculate
 714 cracker efficiency cf. (5)).
- 715 3. The utilization rate of the production plant depends on the production quantity of product p in
 716 site s [t/a] ($Output_{p,s}$) and the production capacity of product p in site s [t/a] ($PC_{p,s}$). The
 717 utilization rate is taken into account, as energy consumption is lowest when the plant operates
 718 at its design capacity. Capacities above and below the design capacity increase the specific
 719 energy consumption of the plant. (Fleiter et al., 2013)
- 720 4. FL_s , the flexibility of production at site s [number of production plants at the site]. Analogous
 721 to the explanation of the factor of the factory area $FA_{s,x}$ (cf. cracker efficiency (5)), the number
 722 of production facilities is used to estimate the heat integration potential.
- 723 5. $PC_{p,s}$, the production capacity of the product p at site s [t/a] is taken into account, as a higher
 724 production volume leads to a higher potential for energy savings due to economies of scale
 725 (Fleiter et al., 2013).

726

727 Factors for describing the level of innovation are:

- 728 1. $PY_{p,s}$, the production yield of the product p at site s [%]. The use of innovative production
 729 processes, solvents or, for example, nanoscale catalysts increase the yield and result in a higher
 730 output quantity with the same energy input (UBA, 2009).
- 731 2. TE_s , the technical equipment (software and hardware) of site s . This involves evaluating the
 732 state of the art of the machines used. For example, the use of frequency-controlled pumps
 733 increases energy efficiency (Leimkühler, 2010). In general, new or modernised production
 734 plants are generally more energy-efficient than older plants (Schönbucher, 2002; BMLFUW,
 735 2010). A very high state of the art is rated 10 and a very low one 0 [0;10].

736

$$\begin{aligned}
EFF_{p,s}^{\text{Site, Prod}} = & \left[\left(\max \left(0, \min \left(1, \frac{LF_s - LF_{LL}}{LF_{UL} - LF_{LL}} \right) \right) \cdot w^{\text{LF}} \right) + \left(\max \left(0, \min \left(1, \frac{FA_s - FA_{LL}}{FA_{UL} - FA_{LL}} \right) \right) \cdot w^{\text{FA}} \right) \right. \\
& + \left(\frac{\text{Output}_{p,s}}{PC_{p,s}} \cdot w^{\text{UR}} \right) + \left(\max \left(0, \min \left(1, \frac{FL_s - FL_{LL}}{FL_{UL} - FL_{LL}} \right) \right) \cdot w^{\text{FL}} \right) \\
& + \left. \left(\max \left(0, \min \left(1, \frac{PC_s - PC_{p,LL}}{PC_{p,UL} - PC_{p,LL}} \right) \right) \cdot w^{\text{PC}} \right) \right] \cdot w^{\text{INTL}} \\
& + \left[(PY_{p,s} \cdot w^{\text{PY}}) + \left(\max \left(0, \min \left(1, \frac{TE_s - TE_{LL}}{TE_{UL} - TE_{LL}} \right) \right) \cdot w^{\text{TE}} \right) \right] \cdot w^{\text{INNL}}
\end{aligned} \tag{26}$$

5 Model application and case study results

In this chapter, the models developed in sections 4.1 and 4.2 are applied to the production of basic chemicals propylene and TDI in German production sites.

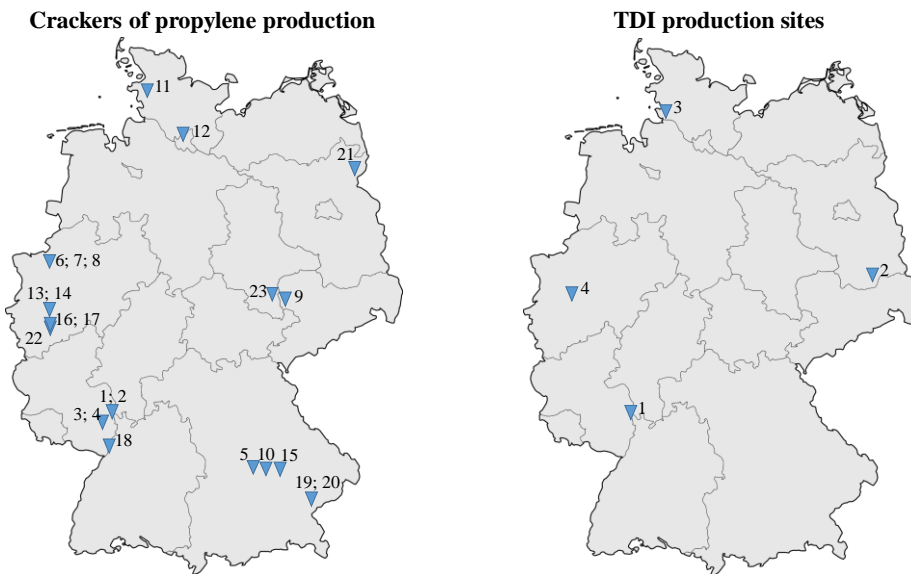
In Germany, 21% of GHG emissions are industry-related, i.e. caused by the production and processing of products (UBA, 2018a). The majority of these emissions are caused by the production of raw materials (GFSO, 2018a). These are mainly steel and basic chemicals which can be processed into end products such as vehicles (Bauer et al., 2015; Ducker, 2015). The steel and chemical²⁴ industries account for 23% and 25% respectively of the industry-related GHG emissions in Germany. Particularly the production of basic and mass chemicals requires a high level of energy input and therefore induces high energy-related GHG emissions. In 2016, the chemical industry in Germany²⁵ accounts for approx. 27% of the energy consumption in the manufacturing sector (GFSO, 2018b).

After ethylene, propylene is the most important petrochemical basic chemical worldwide and is used for the production of bulk chemicals such as propylene oxide, cumene, acrylic acid and especially polypropylene (Ceresana Market Research, 2014). Polypropylene has the largest share (32%) of all plastics used in the automotive industry (Patil et al., 2017), making the results of this case study particularly interesting for the automotive industry. To assess the site-specific product carbon footprint (Cradle-to-Gate) [CO₂e] of propylene production, the ECCO₂-HVC model (section 4.1) is used, since propylene is mainly produced in steam and FCC crackers (Lei and Bao, 2014; Zhao et al., 2017). The propylene case study (section 5.1) includes the assessment of 23 crackers at 17 production sites in Germany (see **Figure 3**).

TDI is chosen as an example for the application of the ECCO₂-Basic Chemicals model because TDI is an important basic chemical for the production of polyurethane (Kaiser, 2015), which has the second largest share (17%) among the plastics used in the automotive industry (Patil et al., 2017). In addition, polyurethane is also used in the production of mattresses and upholstered furniture as well as for acoustic noise reduction purposes, carpet underlays, sponges, clothing and packaging (Kaiser, 2015). The assessment of the site-specific product carbon footprint (Cradle-to-Gate) [CO₂e] of TDI production is carried out for four chemical sites in Germany (see **Figure 3**).

²⁴ The latter covers the production of mineral oil and chemical products in Germany (GFSO, 2018b).

²⁵ The German chemical industry is the largest in Europe with a 25% share of turnover. That is about 8% of global chemical production (Fleiter et al., 2013).



766
767 **Figure 3:** Assessed crackers of propylene production sites and assessed TDI production sites²⁶ in Germany.

768 **5.1 Case study on propylene production**

769 5.1.1 Background and assumptions

770
771 For the GWP calculation it is necessary to define the interval for the specific energy consumption (SEC)
772 for the production of one ton of propylene and the specific emission factor (SEF) of the raw
773 materials/fuels used in the model (cf. **Table 1**). A good approximation for the SEC can be found in the
774 BAT (Best Available Technique) documents on Large Volume Chemicals. The BAT documents
775 specifies the SEC for steam crackers in Gigajoule per ton of HVC [GJ/t HVC] (European Commission,
776 2003, 2017). Propylene is one of the main HVCs, produced by the cracking process (Amghizar et al.,
777 2017). Since there is no specific data for propylene in the BAT document or elsewhere, the BAT data
778 per ton of HVC is the best approximation. There are sources with more recent SEC data, e.g. Enviro
779 Consulting (2006), but these values are derived from the same used European Commission (2003) and
780 European Commission (2017) sources. However, the BAT documents only contain values for ethane,
781 naphtha and gas oil. Data are missing for the liquid gases butane and propane, which are also used as
782 raw materials in stream crackers. According to Worrell et al. (2008), the real SEC values of butane and
783 propane are somewhere between the SEC values of ethane and naphtha. Based on this information, the
784 SEC values for butane and propane for this study are generated by taking the mean value of the SEC
785 values of ethane and naphtha. For the SEC of FCC crackers, the data are taken from Ren et al. (2006,
786 2008). Catalytic cracking is assumed to consume between 8-12 [GJ/t HVC], depending on the
787 technology. Typical raw materials are ethane, naphtha and mainly gas oil (Ren et al., 2008, 2006). The
788 data for the specific emission factors of the feedstock fractions in the cracker are derived from a study
789 by Enviro Consulting (2006). Similar values for the emission factors could also be found in Neelis et
790 al. (2005), who also calculated specific emission factors for naphtha- and ethane-based steam crackers.²⁷
791

²⁶ Site 3 was transformed to an MDI production site in 2018.

²⁷ Enviro Consulting (2006) gives these specific emission factors in the unit [kgCO₂e/kWh] and Neelis et al. (2005) in [kgCO₂e/GJ]. For ethane, for example, Neelis et al. (2005) calculate a specific emission factor of 0.047 [kgCO₂e/GJ], which corresponds to approx. 0.169 [kgCO₂e/kWh].

792 **Table 1:**
 793 Specific emission factors and specific energy consumption of the cracker feed (European Commission, 2003, 2017; Ren et
 794 al., 2006, 2008; Enviros Consulting, 2006; Neelis et al., 2005).

	Ethane		Propane		Butane		Naphtha		Gas oil		Natural gas	
	min	Max	min	max	min	max	min	max	min	max	min	max
Specific emission factor (SEF) [kgCO₂e/kWh]	0.115	0.192	0.133	0.200	0.147	0.220	0.153	0.245	0.158	0.198	0.171	0.209
SEC SC [GJ/t HVC]	12.50	21.00	13.25	21.50	13.25	21.50	14.00	22.00	18.00	23.00	12.50	21.00
SEC FCC [GJ/t HVC]	8.00	12.00	-	-	-	-	8.00	12.00	8.00	12.00	-	-

795
 796 As described in section 4.1, the SEC of a cracker results from the feedstock mix (section 5.1.2) and the
 797 cracker efficiency (section 0), which is estimated on the basis of different factors. The raw material
 798 conversion rate CR_x (Input/Output) is set to 1=(100%) for all crackers, since almost no emissions are
 799 released during cracking (EEA, 2017) (cf. section 3.1). This is also confirmed by the company BASF,
 800 as unusable substances such as methane, propane and butane are refeed as raw materials or used for
 801 heating (BASF, 2019).

802
 803 **5.1.2 Data of the feedstock mix**

804
 805 Information on the feedstock mix of some of the crackers under consideration can be found in the
 806 Ethylene Reports of the Oil & Gas Journal, e.g. in Koottungal (2015). For 2015, these are listed in
 807 appendix **Table A. 1**. Missing values for the remaining crackers are filled with average values for
 808 European steam crackers according to PlasticsEurope (2012a). The values of 12% for Liquefied
 809 Petroleum Gas, are split into 6% propane and 6% butane. Due to lacking values for FCC crackers, gas
 810 oil is assumed to be the only raw material, as is usually the case (Vogt and Weckhuysen, 2015).
 811 Data on the GWPs of feedstock fractions (Cradle-to-Gate) are listed in **Table 2**.²⁸ Since Ecoinvent does
 812 not contain a GWP for ethane, the value of propane and butane is assumed instead. Also, for the missing
 813 value for gas oil, the average of the medium distillates diesel and light heating oil from Ecoinvent v.2.2
 814 is used as an approximation.

815
 816 **Table 2:**
 817 GWP of the feedstock fractions (Cradle-to-Gate).

	Naphtha	Natural gas	Ethane	Propane	Butane	Gasoil
GWP (kgCO ₂ e/kg Product)	0.34	0.52	0.60501	0.60501	0.60501	0.48539
Source	(PlasticsEurope, 2005a)	(PlasticsEurope, 2005b)	(assumed)-	(Ecoinvent v.2.2)	(Ecoinvent v.2.2)	(Ecoinvent v.2.2)

818
 819 **5.1.3 Data to calculate the cracker efficiency**

820
 821 The factors for the calculation of the cracker efficiency are listed in appendix **Table A. 2** for each site.
 822 However, producers provide information about their crackers or production sites to the public to a
 823 different extent. For this, expert interviews and discussions were conducted to close data gaps. For
 824 example, it was possible to obtain information about propylene capacities on a producer-specific basis
 825 (light grey cells in **Table A. 2**). If a data gap could not be filled by expert interviews, average values
 826 were used (dark grey cells in **Table A. 2**). For the average site capacity, the average of the considered
 827 sites was calculated. The average for the Nelson index was set to 6.5, which is according Raffinerie
 828 Heide GmbH (2012) the European average. The average value for the year of construction of the
 829 crackers is taken from the BAT document of the European Commission (2003). The average capacity
 830 utilization of the crackers corresponds to the German average value for the year 2015 (VCI, 2018). The
 831 lower and upper limits of the interval of the factors as well as for their selected weightings (cf. section
 832 4.1) were defined on the basis of the literature and in consultation with experts (**Table 3**).

²⁸ According to the calculation logic in section 4, the GWP for products outside the system boundary is obtained from the Eco-profiles. If it is not available there, the value from Ecoinvent is used.

833 Due to diverging opinions in the literature, the year of construction of the cracker and the propylene
 834 capacity is less weighted in the calculation (10%) (cf. section 4.1). A weighting of 15% is assumed both
 835 for the site area and the site capacity. The Nelson index is weighted higher (25%), as it is a well-founded
 836 and established indicator for assessing the complexity and innovation of a site. The cracker utilization
 837 rate is also taken into account, as energy consumption correlates directly with it (cf. section 4.1).

838
 839 **Table 3:**
 840 Weightings and lower and upper limits for calculating the cracker efficiency (BASF, 2017c; BAYERNOIL, 2016; Enviros
 841 Consulting, 2006; European Commission, 2017, 2003; Reliance Industries Ltd., 2009; Ren et al., 2006).

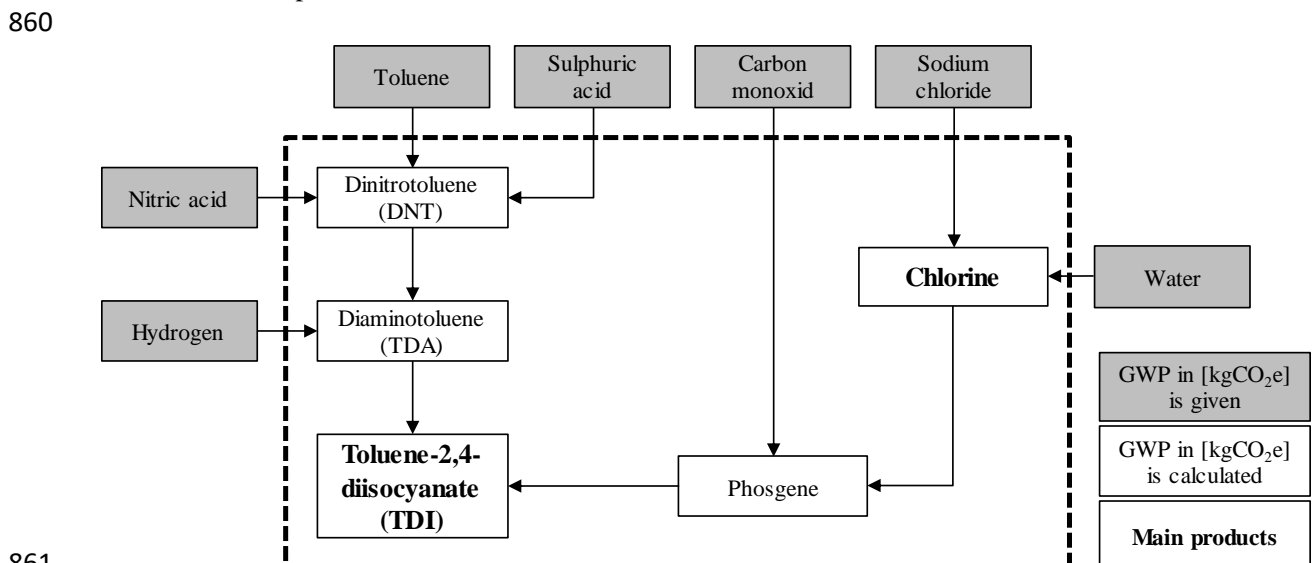
	Propylene capacity [t/a]	Site area [km ²]	Site capacity [kt/a]	Nelson-index	Year of construction (age) of the cracker	Cracker utilization rate
Weightings	10%	15%	15%	25%	10%	25%
Lower limit	30,000	0.82	2,800,000	1	1955	
Upper limit	395,000	10.00	11,840,000	14	2003	

842
 843 The lower and upper limits for propylene capacity, site area and site capacity are based on the minimum
 844 and maximum values of European propylene producers.²⁹ For the Nelson index, the minimum value of
 845 1 is chosen as the lower limit and the upper limit is set at 14, as the most innovative and complex
 846 production sites worldwide are rated at this level (Reliance Industries Ltd., 2009).
 847 For the year of construction of the cracker, the year 1955 was chosen as the lower limit assuming that
 848 even older crackers are by now at least as efficient as a cracker from 1955. The upper limit was set to
 849 2003, because the most recent data on the cracker's energy consumption originate from that year (cf.
 850 European Commission, 2003; Ren et al., 2006; Enviros Consulting, 2006).

851 852 5.2 Case Study on toluene diisocyanate (TDI)

853 5.2.1 Background and assumptions

854
 855 The production scheme for TDI production is illustrated in **Figure 4**. The individual production
 856 processes, such as the processing of Dinitrotoluene (DNT), depend on the recipes of the individual
 857 producers, which can be modelled based on patents. As an example, the production process of DNT is
 858 examined in detail (**Figure A. 3**, appendix). For the DNT production, the same recipes are assumed for
 859 all four German production sites.



861
 862 **Figure 4:** Simplified input-output production scheme for toluene-2,4-diisocyanate (TDI) (based on PlasticsEurope, 2012b).

²⁹ For the definition of the limits, the European producers are considered both here and also for TDI in the following section, since the BAT documents (European Commission, 2003, 2017) refer to all European producers as well.

863 For this assumption, current patents for the production of DNT were compared and show the same raw
 864 materials and similar mixing ratios (cf. patents Büttner et al., 2005 and Lorenz et al., 2006). In any case,
 865 differences in the mixing ratios would remain without consequence in the calculation, since in the highly
 866 integrated chemical sites in Germany it is assumed that all surpluses are reused without losses (see
 867 section 3.3).

869 5.2.2 Data on the production process and recipes

871 According to the production scheme (**Figure 4**) and the individual production recipes (e.g. DNT
 872 production, in appendix **Figure A. 3**), reaction equations and mass balances are set up in order to create
 873 matrix \bar{A} . The resulting matrix \bar{A} [fraction of an reactant for the production of a product] of this case
 874 study is shown in **Figure 5**.

875

	Sulphur-ic acid	Nitric acid	Toluene	DNT	Hydro-gen	TDA	Sodium chloride	Chlorine	Carbon monoxid	Phos-gene	TDI
Sulphuric acid	0	0	0	0	0	0	0	0	0	0	0
Nitric acid	0	0	0	0	0	0	0	0	0	0	0
Toluene	0	0	0	0	0	0	0	0	0	0	0
DNT	0.39	0.36	0.25	0	0	0	0	0	0	0	0
Hydrogen	0	0	0	0	0	0	0	0	0	0	0
TDA	0	0	0	0.967	0.033	0	0	0	0	0	0
Sodium chloride	0	0	0	0	0	0	0	0	0	0	0
Chlorine	0	0	0	0	0	0	1	0	0	0	0
Carbon monoxid	0	0	0	0	0	0	0	0	0	0	0
Phosgene	0	0	0	0	0	0	0	0.71	0.29	0	0
TDI	0	0	0	0	0	0.678	0	0	0	0.322	0

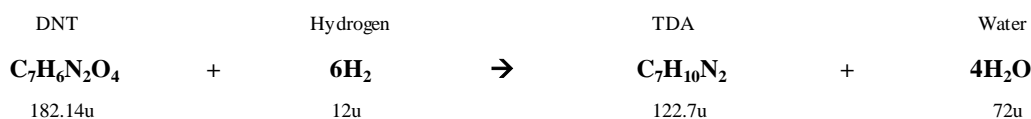
876
877

Figure 5: Mass proportions of educts in products along the production process of TDI [kg/kg].

878

879 DNT is further processed with hydrogen to TDA (**Figure 4**). According to formula (9), the proportions
 880 of DNT and hydrogen for the production of TDA are calculated according to the reaction equation in
 881 **Figure 6**.

882



883
884

Figure 6: Reaction equation and mass balance of TDA production.

885

$$886 \frac{182.14u - 64u}{122.17u} = 0.967 \text{ (see column 4, line 6)}$$

887

$$888 \frac{12u - 8u}{122.17u} = 0.033 \text{ (see column 5, line 6)}$$

889 **Figure 7:** Exemplary calculation of the input values for matrix \bar{A} on the basis of the reaction equation DNT to TDA.

890 Four oxygen atoms (4×16 - atomic mass of oxygen (O) = 16u) are split off from DNT and four hydrogen
 891 atoms (H = 1u) are additionally taken up. The split oxygen atoms also react with hydrogen to form water.
 892 Under the assumption of integrated sites (see above), i.e. that by-products can be reused completely, the
 893 mass fractions of educts that become by-products are not taken into account. Therefore, the mass of
 894 oxygen atoms is subtracted from DNT (cf. **Figure 7**). For hydrogen, only the four hydrogen atoms (12u-
 895 8u) that react with DNT to form Diaminotoluene (TDA) are taken into account, since the remaining

896 hydrogen reacts with oxygen to form water. The other values of the matrix \bar{A} are calculated analogously
 897 depending on the respective reaction equation.
 898 Based on the GWPs of the reactants and the system boundaries, vector t_0 is generated for site no. 4³⁰ as
 899 an example (see **Table 4**).³¹

900
 901
 902

Table 4:
 Vector t_0 exemplary for production site 4.

Educt/Reactant	[kgCO _{2e} /kg]	Source	Vector t_0
Sulphuric acid	0.12395	Ecoinvent V2.2	$t_0 = \begin{pmatrix} 0.12395 \\ 3.1742 \\ 0.87 \\ 0 \\ 4.2 \\ 0 \\ 0.06 \\ 0 \\ 1.5541 \\ 0 \\ 0 \\ 0 \end{pmatrix}$
Nitric acid	3.1742	Ecoinvent V2.2	
Toluene	0.87	Supp.Database	
DNT	0	To be calculated	
Hydrogen	4.2	Eco-profiles	
TDA	0	To be calculated	
Sodium chloride	0.06	Eco-profiles	
Chlorine	0	To be calculated	
Carbon monoxid	1.5541	Eco-profiles	
Phosgene	0	To be calculated	
TDI	0	To be calculated	

903
 904
 905
 906
 907

The vector PE of the production energy is calculated according to section 4.2.2 and the resulting vector is shown for production site 4 (**Table 5**).

908
 909

Table 5:
 Vector PE exemplary for production site 4.

Educt/Reactant	Vector PE [kgCO _{2e} /kg]
Sulphuric acid	$PE = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0.7369 \\ 0 \\ 0.3019 \\ 1.9471 \\ 0 \end{pmatrix}$
Nitric acid	
Toluene	
DNT	
Hydrogen	
TDA	
Sodium chloride	
Chlorine	
Carbon monoxid	
Phosgene	
TDI	

910
 911

912 5.2.3 Data to calculate the production efficiency

913

914 The data for calculating the production efficiency ($EFF_{p,s}^{\text{Site, Prod}}$) for TDI by formula (26) for all sites
 915 located in Germany are listed in **Table 6**. The capacity, production volume, site area, the number of
 916 production facilities and the proportion of electricity generated at the respective site could be obtained
 917 from publicly available sources. For the site area, the size of the entire chemical park is used, since local
 918 companies are integrated with each other in order to jointly use the advantages of logistics and heat
 919 integration (ChemCoastPark Brunsbüttel, 2018b; CHEMCologne, 2018). The location factor of a site
 920 was presented, discussed and determined within the expert interviews with three chemical companies

³⁰ The TDI production plant in site no. 4 represents one of the four modelled TDI plants (cf. map in **Figure 3**) and serves as an example for the calculations.

³¹ The GWP for hydrogen (4.2 [kgCO_{2e}/kg]) refers to reformer hydrogen (according to the expert interviews, steam reforming is the most economical and widely used method).

921 and a management consultancy. The evaluation focused primarily on the aspects presented in section
 922 4.2.2 (e.g. transport distances, possible modes of transportation, refinery on-site). The efficiency of
 923 electricity production is based on data from the Federal Grid Agency (2018). For the efficiency of steam
 924 production, no site-specific information could be found, so that an average value was assumed according
 925 to Ren et al. (2006).

926
 927 **Table 6:**

928 Data basis for calculation of site-specific production efficiency of TDI (BASF, 2017a, 2017c, 2017e, 2015; Bundesnetzagentur
 929 Deutschland, 2018; ChemCoastPark Brunsbüttel, 2018a; CHEMPARK, 2017, 2016; Covestro Deutschland AG, 2018a, 2018b,
 930 2018c; Hüthig GmbH, 2018b; Ren et al., 2006; SWR, 2018; UBA, 2016; Wirtschaftsregion Lausitz GmbH, 2018).

Site	Capacity TDI [t]	Production volume TDI [t]	Site area [km ²]	Location factor [0;10]	Number of production plants at the location	Self-produced electricity	Electricity efficiency	Steam Efficiency
1	300,000	300,000	10.00	10	110	100%	42.41%	85%
2	80,000	80,000	2.90	6	10	100%	33.00%	85%
3	150,000	150,000	4.20	8	14	0%	34.34%	85%
4	300,000	300,000	3.60	9	60	0%	34.00%	85%

931
 932 Similarly, the site-specific data for calculating the production efficiency of chlorine was collected, since
 933 chlorine is a pre-product in the value chain of TDI (see **Figure 4**) and also a main product that can be
 934 calculated site-specifically (see section 3.3). However, only the production capacity and the
 935 corresponding lower (4,000 t Kapachim, Greece) and upper limit (480,000 t) change in the calculation
 936 logic. In this case study, the site-specific approach to chlorine production can only be applied to sites 1
 937 (capacity: 385,000 t) and 4 (capacity: 480,000 t), since no chlorine is produced at sites 2 and 3 (BASF,
 938 2017b; Euro Chlor, 2015; NGZ, 2017). For sites 2 and 3, the value of the GWP for chlorine is taken
 939 from the Eco-profiles (0.9 kgCO₂e/kg chlorine) (PlasticsEurope, 2013) and listed in vector t_0
 940 accordingly.

941 The lower and upper interval limits as well as the selected factors weightings for calculating the
 942 production efficiency of TDI (according to section 4.2.2) were defined taking into account the literature
 943 and experts consultation (**Table 7**). In the expert interviews, no weightings preferences could be
 944 determined so that an equal weighting of all factors was assumed following Laplace and his principle of
 945 indifference. The weightings of the degree of integration (71%) and innovation (29%) were adjusted
 946 according to the equal weighting within each category (0.71·0.2 ≈ 0.29·0.5). The interval restrictions for
 947 the TDI capacity, the number of production plants and the site area are based on the minimum and
 948 maximum values of European sites producers. For the technical equipment (e.g. frequency-controlled
 949 pumps), the maximum value of 10 is assumed for all German sites, since the German TDI production is
 950 located at highly integrated production sites. For the production yield, 98% is assumed for all locations
 951 on the basis of expert interviews.

952
 953 **Table 7:**

954 Weightings and interval limits for calculating production efficiency (BASF, 2017c, 2017e, 2015; Hüthig GmbH, 2018a;
 955 Merchant Research & Consulting, 2013).

	Production capacity TDI [t]	Production flexibility (Amount of production plants at location)	Site area [km ²]	Location factor [0;10]	Utilization	Yield	Techn. Equipment [0;10]
	Integration level					Innovation level	
	71%					29%	
Weightings	20%	20%	20%	20%	20%	50%	50%
Lower Limit	80,000	10	2.9	0			
Upper Limit	300,000	110	10.00	10			

956
 957 For the SEC of electricity for the production of TDI there is only one specific value in the literature
 958 (2.76 [GJ/t TDI] in IEA (2009) (**Table 8**). For the required steam, the maximum value is calculated
 959 (31.68 [GJ/t]) by adding the difference between the BAT value of the IEA (21.7 [GJ/t] (IEA, 2009)) and
 960 the mean value of the Fraunhofer ISI (26.69 [GJ/t] (Fleiter et al., 2013)) to the latter. The International

961 Energy Agency explicitly mentions that the data on the required energy do not contain any information
 962 on the feedstock, since the energy used there has already been taken into account in the necessary pre-
 963 products (IEA, 2009). Emissions from the upstream chain are calculated and taken into account in
 964 accordance with section 4.2.1.

965 The SEC for the chlorine production focuses in this study on the state-of-the-art technology (membrane
 966 process).³² The SEC of electrical energy required for the chlorine production with the membrane process
 967 ranges between 2,347 kWh/t and 3,796 kWh/t and includes 2,279 to 3,000 kWh/t for electrolysis and 68
 968 to 796 kWh/t for auxiliary “equipment” or “processes” (Euro Chlor, 2010; European Commission,
 969 2014). This corresponds to a total of 8.449 to 13.666 GJ/t chlorine. According to European Commission
 970 (2014) and Euro Chlor (2010), the SEC for steam in the membrane process is derived from the steam
 971 required for caustic evaporation (0.46 to 1.5 t steam/t caustic) and from auxiliary processes (0.138 to
 972 2.1 t steam/t chlorine). Then, the steam consumption for caustic evaporation must be converted to the
 973 reference value for chlorine by multiplying it by the stoichiometric factor of 1.128 t caustic/t chlorine in
 974 accordance with BAT (European Commission, 2014). This means that 0.519 to 1.692 t steam/t chlorine
 975 are required for the caustic evaporation (European Commission, 2014). In total, a minimum of 0.657
 976 and a maximum of 3.792 t steam/t chlorine are required. This corresponds to an SEC between 1.632 -
 977 9.48 GJ/t chlorine, since according to European Commission (2014) and Euro Chlor (2011) an exergy
 978 of 2.5 GJ/t steam is assumed.

979 The emission factor of the electricity mix and the emission factor for natural gas for Germany are used
 980 for the year 2016 according to the Federal Environment Agency (UBA, 2018b, 2016).

981

982 **Table 8:**
 983 Specific energy consumption of TDI and chlorine production and emission factors for electricity and natural gas (Fleiter et al.,
 984 2013; IEA, 2009; UBA, 2018b, 2016).

	Electricity		Steam	
	min	max	min	max
SEC TDI [GJ/t TDI]	2.76	2.76	21.70	31.68
SEC Chlorine [GJ/t Chlor]	8.45	13.67	1.63	9.48
Emission factor electricity mix [kgCO ₂ e/kWh]	0.516			
Emission factor natural gas [kgCO ₂ e/kWh]	0.199			

985

986 5.3 Case study results and discussion

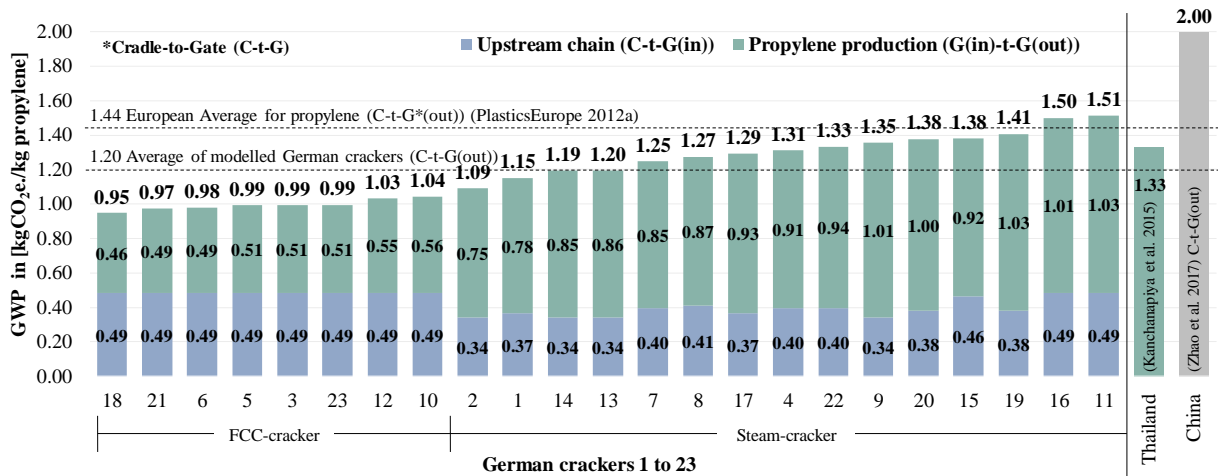
987

988 This study showed for the first time the variability of product carbon footprints within production site
 989 of the same country. In this study, 2 products of 23 chemical production sites in Germany were
 990 investigated. We found that the product carbon footprint (PCF) (Cradle-to-Gate(out)) of propylene
 991 produced in 23 German crackers ranges between 0.95 (cracker 18) and 1.51 (cracker 11)
 992 [kgCO₂e/kg propylene], depending on the installed cracker technology and the operators knowhow
 993 (**Figure 8**). Referring to the motivation of this study, this means that companies can reduce their GHG
 994 emissions from propylene in their upstream supply chains by up to 37.1% by revising their procurement
 995 decisions and by procuring their future propylene from selected German producers with low or lowest
 996 PCF. It confirms the necessity of site-specific assessment models and more generally the need to foster
 997 technological improvements at chemical productions sites from a sustainable perspective. As currently
 998 not all customers can possibly source the material from the “best in class” supplier due to capacity
 999 restrictions, it represents only an individual perspective. However, a rising demand for ‘greener’
 1000 produced basic chemicals from several customers will urge suppliers to rethink and optimize existing
 1001 processes in order to maintain or extend competitive advantages and market share.

1002 A comparison with Zhao et al. (2017) and Kanchanapiya et al. (2015) reveals that the GHG emission
 1003 reduction potential in global propylene supply chains is even higher. Zhao et al. (2017) calculated a PCF

³² The membrane process has been used in the construction of new plants for more than 20 years and also, older diaphragm and amalgam plants are successively being converted to membrane plants Behr et al. (2016).

1004 (Cradle-to-Gate(out)) of approx. 2.00 kgCO₂e/kg propylene for a single Chinese steam cracker. In this
 1005 case, a reduction of the PCF by up to 52.5% would be possible (0.95 vs. 2.00 kgCO₂/kg propylene)
 1006 compared to the most efficient cracker in Germany. Kanchanapiya et al. (2015) calculated average GHG
 1007 emissions from Thai crackers (Gate(in)-to-Gate(out)) of 1.33 kgCO₂/kg propylene. Compared to the
 1008 Gate(in)-to-Gate(out) GHG emissions calculated in this study (system: "propylene production")
 1009 indicates a reduction potential of 65.5% (0.46 vs. 1.33 kgCO₂/kg propylene).
 1010 If we compare the German crackers under study only with regard to the Gate-to-Gate system "propylene
 1011 production", the differing CO₂e efficiencies of the crackers can be highlighted even more (green bars,
 1012 **Figure 8**). Cracker 18 with 0.46 [kgCO₂e/kg propylene] has the lowest CO₂e emissions and crackers 11
 1013 and 19 with 1.03 [kgCO₂/kg propylene] the highest CO₂e cracker-specific emissions. Thus, in the
 1014 cracking process for the production of propylene alone, there is a massive CO₂e reduction of 55.4%
 1015 possible within German crackers.
 1016 The CO₂e emissions in the upstream chain (blue bars, **Figure 8**) range between 0.34 and 0.49
 1017 [kgCO₂e/kg propylene] and depend on the feedstock of the cracker (**Table 2**). Emissions in the
 1018 upstream chain of a cracker are comparatively low if the cracker mainly processes the feedstock naphtha,
 1019 as the GWP of naphtha production is lower than that of other cracker feedstock fractions such as natural
 1020 gas, propane or butane (see **Table 2**).
 1021



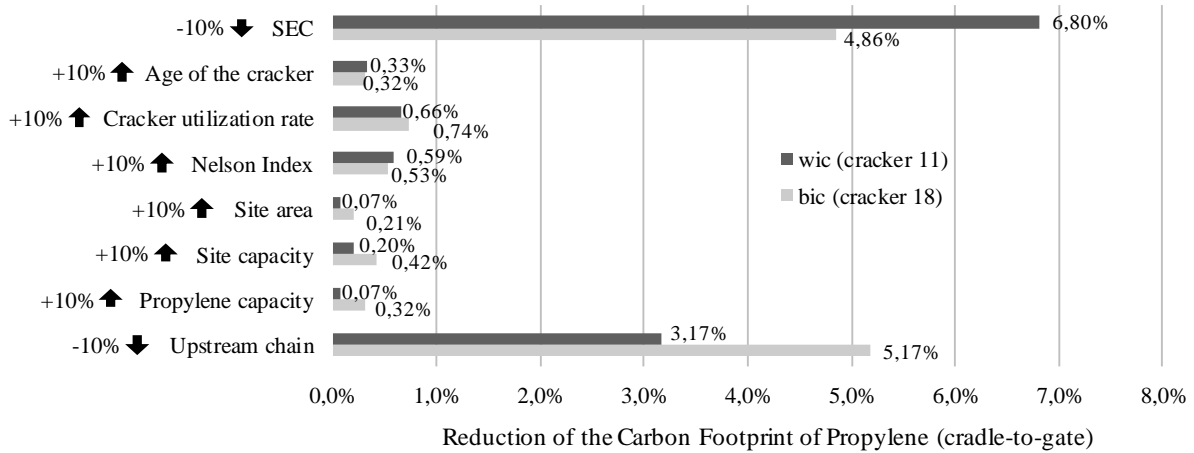
1022 **Figure 8:** Cracker-specific CO₂e emissions from propylene production of 23 crackers in Germany and a cracker in China,
 1023 together with European, German and Thai average values.
 1024

1025 The average value for all modelled crackers in Germany is 1.20 [kgCO₂/kg propylene]. The comparable
 1026 European average value in the Eco-profiles is 1.44 [kgCO₂/kg propylene] (Cradle-to-Gate(out)), based
 1027 on 50 European steam crackers, whose data were collected in 2007 (PlasticsEurope, 2012a). The
 1028 Ecoinvent V.2.2 value refers to the Eco-profiles and therefore also amounts to
 1029 1.44 [kgCO₂/kg propylene] and also applies only to steam crackers. For better comparability and
 1030 validation purposes, the mean value is therefore also calculated specifically for the modelled steam and
 1031 FCC crackers in this study and results in 0.99 (FCC crackers) and 1.31 (steam crackers)
 1032 [kgCO₂/kg propylene]. In Germany, propylene produced in FCC crackers has a lower PCF than
 1033 produced in steam crackers. Within the German FCC crackers, there is potential for improvement of
 1034 8.7% (0.95 = bic³³; 1.04 = wic³⁴). Within the German steam crackers, there is a higher potential for
 1035 improvement of 27.3% (1.09 = bic; 1.5 = wic). The difference of 0.13 [kgCO₂/kg propylene] (9%)
 1036 between the mean value of the steam crackers from the Eco-Profiles (1.44) and from this study (1.31)
 1037 can be explained by more recent data used in this study for the energy consumption and the raw material
 1038 mix of the feedstock (e.g. European Commission, 2017; Koottungal, 2015) than used for the Eco-
 1039 Profiles (from 2007). Moreover, this study only refers to German crackers. The results could lead to the

³³ Best in class

³⁴ Worst in class

1040 hypothesis that German crackers tend to be more efficient than the European average. However, no
 1041 references are known to the authors that could support this hypothesis.
 1042 In 2017, 4,243 [kt] of propylene were produced in Germany (VCI, 2019a) and 14,432 [kt] in Europe
 1043 (Cefic, 2019). Using the average value calculated in the model (1.20 [kg CO₂e/kg propylene]) and the
 1044 European average according to PlasticsEurope (1.44), the propylene production emitted approx.
 1045 5,092 [kt CO₂] in Germany and approx. 20,783 [ktCO₂e] in Europe. If all crackers were as GHG or as
 1046 energy-efficient as the best German cracker 18, approx. 1,061 [ktCO₂e] could be saved annually in
 1047 propylene production in Germany and approx. 7,072 [kt CO₂] respectively in Europe.
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1050
 1051 **Figure 9:** Sensitivity analysis results of the PCF of propylene from best (18) and worst in class cracker (11) when selected
 1052 input variables are improved by 10%.

1053 In a sensitivity analysis, important input parameters of the site-specific approach for HVC (see section
 1054 4.1) were examined. In this analysis, each value was individually improved by 10% (for the reference
 1055 values see Table 1 and Table 3). As a consequence, the SEC and upstream chain PCF value were reduced
 1056 by 10% each while the utilization rate, Nelson index, site area, site capacity and propylene capacity were
 1057 increased by 10% and the cracker was assumed to be five years younger than originally built.
 1058 The sensitivity analysis was applied to the bic-cracker (18) and the wic-cracker (11) in order to identify
 1059 GHG reduction potentials for both (see **Figure 9**). The result shows that energy saving measures
 1060 (reduction of SEC) have the greatest impact on the PCF of propylene; a 10% reduction of the specific
 1061 energy consumption (SEC) results in a 4.86% PCF reduction for cracker 18 and a 6.80% PCF reduction.
 1062 Secondly, GHG emissions reduction in the upstream chain by 10% have the second largest impact and
 1063 result in a 5.17% reduction in propylene PCF in cracker 18 and 3.17% in cracker 11. The influence of
 1064 all other parameters is significantly lower (<<1%). The third largest influence would be an increase in
 1065 the utilization rate by 10% that would reduce the PCF by 0.74% (Cracker 18) and by 0.66%
 1066 (Cracker 11). A weakness of the model and thus also of the sensitivity analysis results is the dependency
 1067 on the weightings of some of the input parameters that were defined with experts (see table 3). A
 1068 misjudgement or another weighting of the experts could lead to different result and conclusions. In
 1069 further research, a large survey among experts would be conceivable to in order to specify the currently
 1070 used weightings.
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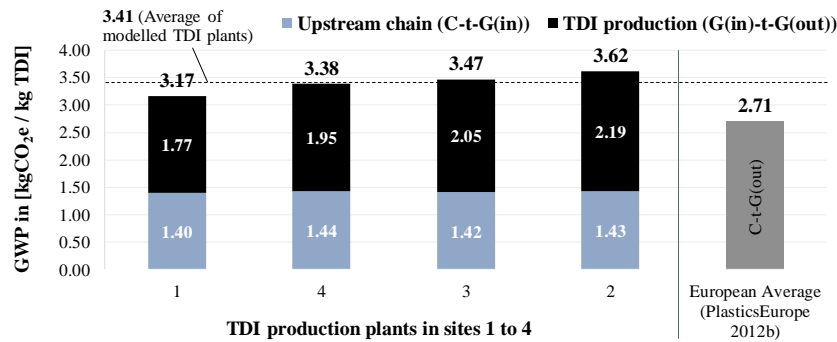


Figure 10: Site-specific CO₂e emissions from TDI production in Germany.

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1074 The results of the case study on TDI (Figure 10) show that TDI in Germany is produced with a PCF
1075 between 3.17 [kg CO₂e/kg TDI] (site 1) and 3.62 [kg CO₂e/kg TDI] (site 2), depending on the
1076 production plant. Thus, in Germany there is a PCF-specific reduction potential in TDI production of up
1077 to 12.4%.

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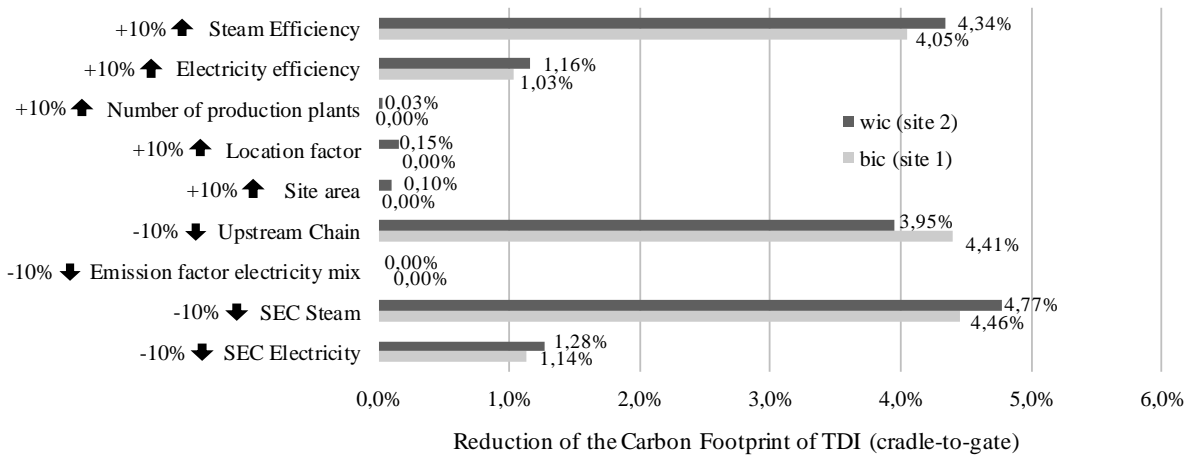


Figure 11: Sensitivity analysis results of the PCF of TDI from the best (located site 1) and worst in class plant (located site 2) when selected input variables are improved by 10%.

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1083 Likewise, a sensitivity analysis is performed for TDI for the best (site 1) and worst (site 2) site (see
1084 Figure 11). For this purpose, the values of most relevant input parameters of the site-specific model for
1085 other basic chemicals (see section 4.2) are improved by 10% and the model results are shown and
1086 analysed. Thus, the values of steam and electricity efficiency, upstream chain, emission factor of the
1087 electricity mix and the specific energy consumption (SEC) of steam and electricity are reduced by 10%,
1088 while the number of production plants onsite, the location factor and the plant area are increased by
1089 10%. The sensitivity analysis results show that in particular energy savings in the generation of the
1090 required steam (SEC Steam) (PCF reduction by 4.46% at site 1 and by 4.77% at site 2) and an increased
1091 steam generation efficiency have a major impact on the PCF (PCF reduction by 4.05% at site 1 and by
1092 4.34% at site 2). The third largest influence lies in the upstream chain: a reduction of GHG emissions in
1093 the upstream chain by 10% would reduce the PCF of TDI by 4.41% at site 1 and by 3.95% at site 2. This
1094 is followed by the amount of electrical energy required (SEC Electricity): a 10% reduction would reduce
1095 the PCF by 1.14% at site 1 and by 1.28% at site 2. The emission factor of the country-specific electricity
1096 mix has no influence in this case (0%), since the two TDI plants under consideration are each operated
1097 in sites that produce 100% of their own electricity (see Table 6). In this case, the sites should therefore
1098 aim to increase the efficiency of their power plants. An increase of 10% will result in a TDI-PCF
1099 reduction of 1.03% at site 1 and 1.16% at site 2. The number of production plants onsite, the size and
1100 the location factor of the site have almost no influence. In the HVC model (section 4.1), a main weakness
1101 remains in the calculation logic for other basic chemicals (section 4.2) since it also uses weightings for
1102 some of the input parameters that were defined by a small number of experts (see Table 7). Cumulating

1103 the improvements of the individually analysed factors in **Figure 9** and **Figure 11** might lead to higher
1104 PCF reductions.

1105 The average Carbon Footprint of TDI of the four production sites is 3.41 [kgCO₂e/kg TDI]. This value
1106 is 0.70 higher (26%) than the value stated in the Eco-profiles from 2012 (2.71 [kgCO₂e/kg TDI])
1107 (PlasticsEurope, 2012b).

1108 This difference can be traced back to the calculation of the GWP of the upstream chain, as the data used
1109 for the calculation was obtained from the Ecoinvent V.2.2 database, which is partly outdated. For
1110 example, the GWPs determined in Ecoinvent V.2.2 for sulphuric and nitric acid, which have a major
1111 impact on emissions in the upstream chain of TDI (see appendix **Figure A. 3**), mainly date back from
1112 studies in the 1990s and 2000-2001 where technologies were less integrated and efficient and where
1113 national energy mixes had higher carbon and GHG emission factors. This assumption is supported by
1114 the high value of the GWP from Ecoinvent V.2.2 of 6.39 [kgCO₂e/kg TDI]. This value is based on three
1115 European sites in Germany, France and Italy with data from 1995-2001 (Ecoinvent V.2.2). Thus, it
1116 becomes obvious how strongly the result varies depending on the currentness of the data, the
1117 technologies onsite and the emissions factors related to the national energy mix.

1118 Furthermore, it should be noted, that the comparison of our results with LCA databases is limited
1119 because the used allocation methods may differ depending on the LCA database, resulting in
1120 inaccuracies and widely differing results. However, a comparison with the Eco-Profiles of
1121 PlasticsEurope is in any case valid, since both apply the same mass allocation method (see
1122 PlasticsEurope, 2012b). Furthermore, a comparison of the PCF of TDI with other studies is not possible,
1123 as no similar site-specific studies exist in literature.

1124 The influence of the currentness of the data on the result is further demonstrated by an exemplary
1125 calculation on nitric acid. For this purpose, the current PCF of nitric acid 2.63 [kgCO₂e/kg] (Ecoinvent
1126 V.3) was inserted in the model instead of the previous value of 3.17 [kgCO₂e/kg] (Ecoinvent V.2.2).
1127 This change (-17%) alone reduces the average value of the modelled sites from 3.41 to
1128 3.28 [kgCO₂e/kg TDI] by -3.8%. Another reason for the deviation in the results are the different
1129 emission factors used for the electricity supply. For example, if the emission factor of the German
1130 electricity mix (0.516 kgCO₂/kWh) is replaced by the European value (0.296 kgCO₂/kWh) (EEA, 2018)
1131 and thus reduced by -43%, the average value of the modelled sites changes also from 3.41 to
1132 3.28 [kgCO₂e/kg TDI]³⁵. With these two changes alone, the deviation between our results and Ecoinvent
1133 V.2.2 PCF data on TDI can be reduced from 26% to 16%. The remaining deviation can be explained by
1134 the different data basis and different production processes. For example, some sites feed the required
1135 hydrogen into the TDI production process not only from reformers but also from chloralkali electrolysis.
1136 Due to the applied mass allocation method, hydrogen from chloralkali electrolysis has a PCF of only
1137 1.14 [kgCO₂e/kg] (PlasticsEurope, 2013) compared to the PCF of 4.2 [kgCO₂e/kg] produced by the
1138 steam reformer. With 100% hydrogen supply from the more efficient chloralkali electrolysis, the
1139 modelled average value would be reduced from 3.41 to 3.34 [kgCO₂e/kg TDI] (by 2%). Also, biomass
1140 could be used as an energy source in the reformer to further reduce site-specific GHG emissions.
1141 However, since in our model the same data respectively GWPs were used for the inputs in the upstream
1142 chains for all sites, the absolute differences between the production sites remain constant and are
1143 comparable with each other. Due to the outdated data basis of Ecoinvent V2.2. in the upstream chain,
1144 we focus on assessing the processes within the system boundary "TDI production" (Gate(in)-to-
1145 Gate(out)). Within this system boundary "TDI production", we reveal site-specific variations in GHG
1146 emissions between 1.77 and 2.19 [kgCO₂e/kg TDI]. This corresponds to a possible PCF reduction
1147 potential of 19.2% between site 2 and site 1).

1148 In addition, our model "ECCO₂-Basic Chemicals" calculates the result vector B_P (cf. section 4.2) for
1149 each considered site in tabular form (**Figure 12**), which contains the GWP of all precursors,
1150 intermediates and the end product. This way, not only end products but entire value chains can be
1151 compared on a site-specific basis.

³⁵ But as shown above, this has no effect on the plants in sites 1 and 2, as they use 100% self-produced electricity. The reduction in the average value is therefore achieved by improving the PCF of TDI plants from sites 3 and 4.

1152

Produkt	GWP	Einheit
Schwefelsaure	0.1240	kg CO2e / kg
Salpetersaure	3.1742	kg CO2e / kg
Toluol	0.8700	kg CO2e / kg
DNT	1.4086	kg CO2e / kg
Wasserstoff	4.2000	kg CO2e / kg
TDA	1.5007	kg CO2e / kg
Natriumchlorid	0.0600	kg CO2e / kg
Chlor	0.7969	kg CO2e / kg
Kohlenmonoxid	1.5541	kg CO2e / kg
Phosgen	1.3184	kg CO2e / kg
TDI	3.3890	kg CO2e / kg

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Figure 12: Result vector BP, exemplary for site 4 (“Standort 4”)³⁶.

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1156 6 Conclusion and outlook

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1158 In this study, a new assessment model was developed to estimate the CO₂e emissions (Cradle-to-Gate)
1159 of basic chemicals from crackers (ECCO₂-HVC model) and other chemical production plants (ECCO₂-
1160 Basic Chemicals model) on a site-specific basis.

1161 The new model combines the method of a technology-based bottom-up life cycle assessment with a
1162 newly developed method for estimating the energy efficiency of chemical production plants to assess
1163 the plant-specific product carbon footprint (PCF) of specific basic chemicals.

1164 A novelty of this combined approach is that it allows a model application and PCF calculation only
1165 based on publicly available data, in order to avoid the limitation of confidential company internal
1166 production data that are not available to the public (cf. Saygin, 2012). However, if internal company
1167 production data is available, it can be easily integrated into the model to further improve the accuracy
1168 of the results. Similarly, data sets can be updated at any time as soon as they are available. Thus, this
1169 study results and the developed model are highly interesting and useful for a wide range of stakeholders
1170 along the basic chemical and plastic value chains (e.g. in the automotive, packing or construction
1171 industries), as well as authorities, policy makers and the interested public.

1172 Two case studies on the bulk chemicals propylene and toluene diisocyanate (TDI) were performed and
1173 showed a considerable GHG emission reduction potential. The case studies’ results are plausible and
1174 could be validated with LCA databases and literature sources. In the case of propylene, the product
1175 carbon footprint (Cradle-to-Gate) of propylene production in Germany shows variations between
1176 production sites from 0.95 to 1.51 [kgCO₂e/kg propylene]. In the Gate-to-Gate assessment, site-specific
1177 GHG emissions range between 0.46 to 1.03 [kgCO₂e/kg propylene]. This indicates a GHG reduction
1178 potential of 37% produced propylene (Cradle-to-Gate) by selection of the most efficient production site
1179 and upstream supplier. As currently not all customers can possibly source the material from the “best in
1180 class” supplier due to capacity restrictions, it represents only an individual perspective. If all crackers
1181 were as GHG or as energy-efficient as the “best in class” cracker, approx. 1,061 [ktCO₂e] could be saved
1182 annually in propylene production in Germany and approx. 7,072 [kt CO₂] in Europe, respectively.
1183 Worldwide, the GHG savings’ potential is even much higher when considering that currently about 12%
1184 (14 kt) of the worldwide propylene production capacity is located in Europe (worldwide production
1185 capacity: 120 kt) (GlobalData, 2019).

1186 The results calculated for TDI vary depending on the production sites between 3.17 and
1187 3.62 [kgCO₂e/kg TDI] (Cradle-to-Gate) and between 1.77 and 2.19 [kgCO₂e/kg TDI] (Gate-to-Gate).

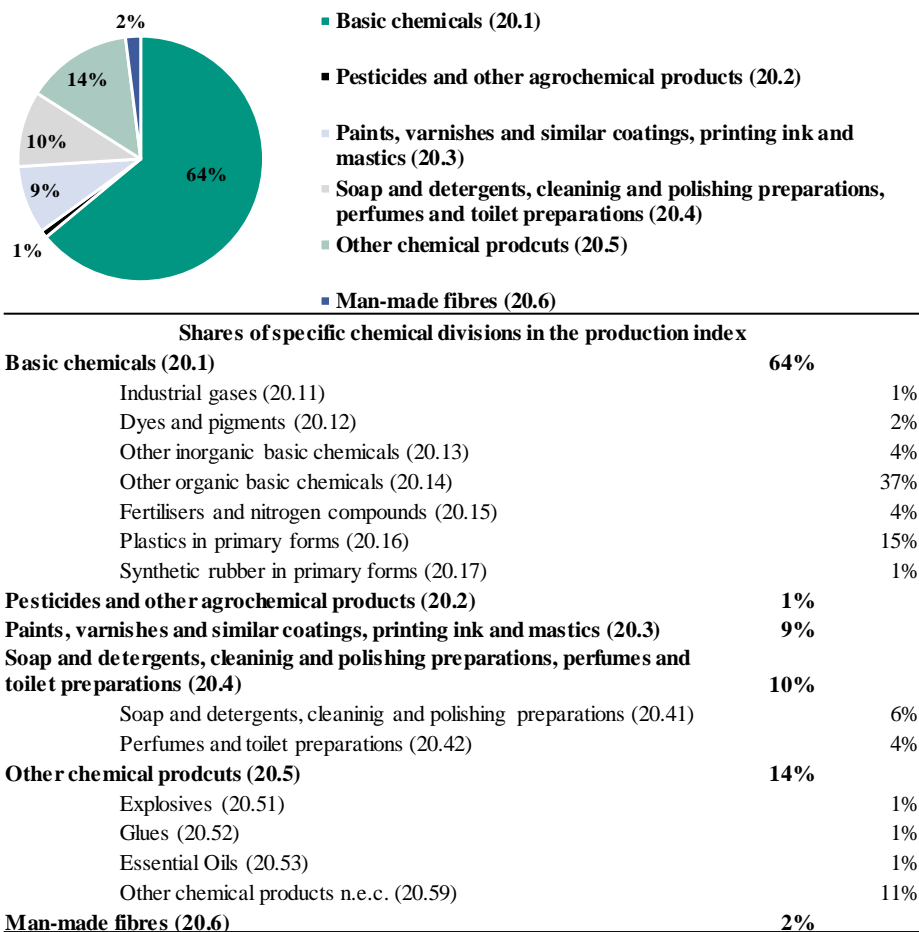
³⁶ The figure is in German, because it is a screenshot from the “ECCO₂-Basic Chemicals” model implemented in German (programmed in MATLAB).

1188 From an ecological point of view, the case studies show that it makes a huge difference from which site
1189 chemical products are procured and delivered. Thus, for manufacturers of plastic-intensive products,
1190 such as companies in the automotive, packaging or construction industries, there is an immense GHG
1191 emission reduction potential by changing procurement decisions on basic chemicals and plastics in
1192 favour of CO₂e efficient producers respectively suppliers. Therefore, these companies should integrate
1193 the site-specific PCF of their suppliers as a decision criterion in their supplier selection process.
1194 In total, this new site-specific assessment approach aims at making a contribution to the scientific field
1195 of sustainability management and enables a comparability among chemical production sites. Thus, it
1196 allows for informed purchase selection decisions and to reduce the GHG emissions within supply chains.
1197 The case study applications do not only confirm the necessity for a site-specific evaluation, but also
1198 show that a purchasing decision in favour of the most efficient producer can make a crucial contribution
1199 to the reinforcement of and investment in economically viable sustainable supply chains.
1200 The presented model also opens up further research activities to extend and revise current decision
1201 support systems, for example by Multi Criteria Decision Making (MCDM) approaches. Worldwide, the
1202 GHG emissions from the production of basic chemicals could thus be reduced immensely if purchasing
1203 decisions are not only based on price, delivery time and quality, but also on the site-specific carbon
1204 footprint of the product.
1205 In addition, current limitations of the model could also be explored in future research activities. Input
1206 raw materials and chemicals for the production system under study that were extracted or produced
1207 outside the system boundaries were considered with an average GWP taken from LCA databases. As
1208 well, for these input materials a site-specific approach would be desirable in the future to assess GHG
1209 emissions in the upstream chains as well (e.g. oil production) and provide further transparency along the
1210 value chains. Accordingly, an extension of the site-specific approach to downstream value chains in
1211 which basic chemicals are further processed is worthwhile, e.g. to assess a value chain-specific Product
1212 Carbon Footprint for the production of polypropylene or polyurethane.
1213 Also, analysis of production sites in the EU or worldwide is required to make GHG emissions from
1214 basic chemicals production conditions more transparent in global supply chains. Furthermore, our new
1215 site-specific approach was primarily developed based on a simplified LCA and with a focus on the
1216 impact category GWP. Analogously, this approach could be transferred to other impact categories of
1217 the LCA and lead to even more ecological transparency in global and national supply chains.
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1222 **Appendix**

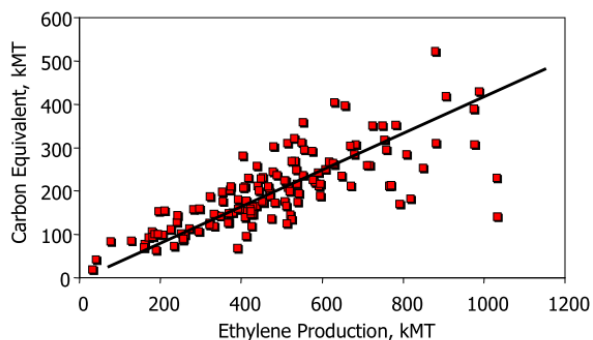
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Figure A. 1: Classification and description of economic divisions in the chemical industry (data from EU (2006)) and weighting of the share of the production index in the chemical industry in Germany (based on data from VCI (2018)).



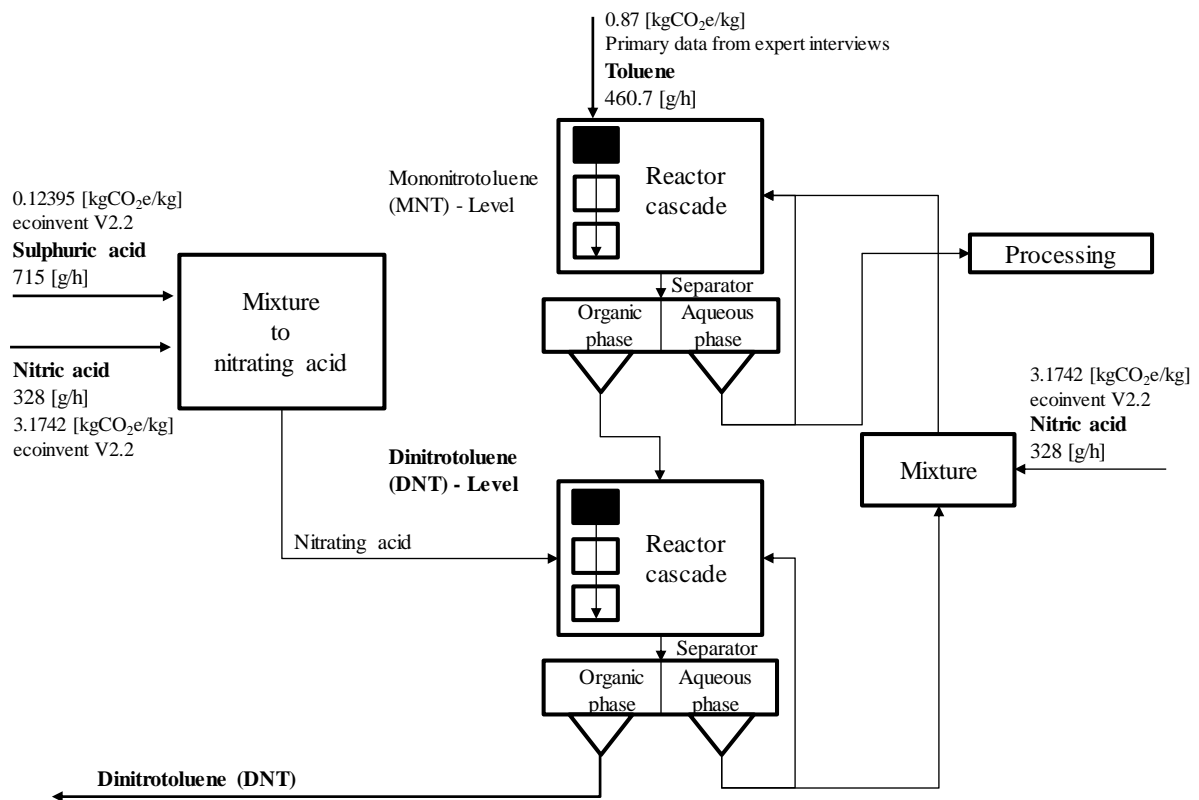
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Figure A. 2: CO₂e emissions from crackers using the example of ethylene production (cefic, 2008).



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Figure A. 3: Production of DNT according to Lorenz et al. (2006).



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Table A. 1: Feedstock mixture of the selected crackers (Data from Koottungal (2015)).

Cracker	Production path	Feedstock				
		Ethane	Propane	Butane	Naphtha	Gasoil
1	SC	0.0%	5.0%	5.0%	90.0%	0.0%
2	SC	0.0%	0.0%	0.0%	100.0%	0.0%
3	FCC					100.0%
4	SC	4.0%	6.0%	6.0%	74.0%	10.0%
5	FCC					100.0%
6	FCC					100.0%
7	SC	0.0%	2.0%	12.0%	73.0%	13.0%
8	SC	1.0%	1.0%	11.0%	64.0%	23.0%
9	SC	0.0%	0.0%	0.0%	100.0%	0.0%
10	FCC					100.0%
11	SC	0.0%	0.0%	0.0%	0.0%	100.0%
12	FCC					100.0%
13	SC	0.0%	0.0%	0.0%	100.0%	0.0%
14	SC	0.0%	0.0%	0.0%	100.0%	0.0%
15	SC	13.0%	17.0%	17.0%	53.0%	0.0%
16	SC	0.0%	0.0%	0.0%	0.0%	100.0%
17	SC	0.0%	0.0%	10.0%	90.0%	0.0%
18	FCC					100.0%
19	SC	2.5%	6.0%	6.0%	84.0%	1.5%
20	SC	2.5%	6.0%	6.0%	84.0%	1.5%
21	FCC					100.0%
22	SC	4.0%	6.0%	6.0%	74.0%	10.0%
23	FCC					100.0%
SC	No site-specific data available: Average feedstock of European steam crackers					
FCC	No site-specific data available: 100% gas oil					

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Table A. 2:

Data basis to calculate the cracker efficiency (BASF, 2017d, 2016; BAYERNOIL, 2016, 2014; bp, 2008, 2008; bp Deutschland, 2018a, 2018b, 2018c; Burghausen, 2018; Chemie Technik, 2016; CHEMPARK, 2017; DOW, 2015; Evonik Industries AG, 2018; Gunvor Raffinerie Ingolstadt, 2018, 2017, 2016; Holborn Refinery, 2018; Hustede, 2018; Industriepark Schwedt, 2018; INEOS Köln GmbH, 2007; Lynondellbasell, 2017; MiRO Mineraloelraffinerie Oberrhein, 2018; OMV Deutschland GmbH, 2016; PCK, 2018; PKN ORLEN Capital Group, 2016; Raffinerie Heide GmbH, 2016, 2012; Rosneft, 2016; Shell Rheinland Raffinerie, 2018; Total Raffinerie Mitteldeutschland, 2018).

Cracker	Propylene Capacity [t/a]	Site capacity [kt/a]	Site area [km ²]	Nelson-index	Year of construction of the Cracker	Cracker utilization
1	125,000	7,113,116	10.00	14.0	1965	85.66%
2	220,000	7,113,116	10.00	14.0	1980	85.66%
3	30,000	10,533,000	4.27	6.8	1981	85.66%
4	30,000	10,533,000	4.27	6.8	1981	85.66%
5	70,000	10,533,000	4.27	6.8	1976	85.66%
6	75,000	11,840,000	1.60	8.4	1991	85.66%
7	335,000	11,840,000	2.50	8.4	1976	85.66%
8	310,000	11,840,000	2.50	8.4	1976	85.66%
9	310,000	2,800,000	3.20	6.5	1976	85.66%
10	55,000	4,174,000	1.28	7.5	1970	85.66%
11	60,000	4,500,000	1.34	9.6	1976	85.66%
12	40,000	4,500,000	3.75	6.1	1976	85.66%
13	325,000	7,113,116	3.60	13.0	1963	85.66%
14	335,000	7,113,116	3.60	13.0	1963	85.66%
15	190,000	7,113,116	1.25	6.5	1976	85.66%
16	150,000	7,113,116	2.70	6.5	1976	85.66%
17	395,000	7,113,116	2.70	6.5	1976	85.66%
18	320,000	11,160,000	4.58	9.4	1984	85.66%
19	210,000	4,433,041	0.82	7.3	1967	85.66%
20	260,000	4,433,041	0.82	7.3	1976	85.66%
21	250,000	10,078,000	2.20	9.8	1976	85.66%
22	195,000	7,113,116	4.40	6.5	1976	85.66%
23	140,000	7,113,116	3.20	7.1	1997	85.66%

Data from expert interviews

Average values

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