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# Thermochemical and Catalytic Conversion Technologies for the Development of Brazilian Biomass Utilization

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Abstract: The social, economic, and environmental impacts of climate change have been shown to affect poorer populations throughout the world disproportionally, and the COVID-19 pandemic of 2020–2021 has only exacerbated the use of less sustainable energy, fuel, and chemical sources. The period of economic and social recovery following the pandemic presents an unprecedented opportunity to invest in biorefineries based on the pyrolysis of agricultural residues. These produce a plethora of sustainable resources while also contributing to the economic valorization of first-sector local economies. However, biomass-derived pyrolysis liquid is highly oxygenated, which hinders its long-term stability and usability. Catalytic hydrogenation is a proposed upgrading method to reduce this hindrance, while recent studies on the use of nickel and niobium as low-cost catalysts, both abundant in Brazil, reinforce the potential synergy between different economic sectors within the country. This review gathers state-of-the-art applications of these technologies with the intent to guide the scientific community and lawmakers alike on yet another alternative for energy and commodities production within an environmentally sustainable paradigm.

**Keywords:** biomass; fast pyrolysis; catalytic conversion; hydrodeoxygenation; catalysts; catalytic pyrolysis; agriculture residues; biorefineries; bioeconomy

# 1. Introduction

The year 2021 is a landmark in human history. The COVID-19 pandemic claimed, to date, more than 5 million lives throughout the globe [1] and led governments, institutions, and individuals to adopt safety measures that promoted drastic changes in social behavior, habits, and consumption patterns. Many countries and municipalities adopted social isolation as an intent to avoid viral dissemination rates that could collapse their health systems. Meanwhile, the suspension of many economic activities led to an inevitable economic crisis. Unemployment and loss of purchasing power are some of its facets and affected, largely, the most vulnerable classes of society. According to the International Monetary Fund, the worldwide unemployment rate increased from 6.9% in 2019 to 9.5% in 2020 [2]. The situation in Brazil, which is currently unfavorable, with an unemployment rate of 11.9%, is expected to soar to 14.7% (according to data from the International Monetary Fund).



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This unprecedented situation draws attention to the vulnerability of our human systems to a biological agent. Not only this disease, but also many others that (even being treatable) kill millions of people annually, and could be prevented by the provision of basic sanitation conditions, or controlled by assertive diagnosis and treatment, open our eyes to the weaknesses of the structures that compose our relationship with our habitat and community. These weaknesses can be related to environmental, social, and economic aspects that are all objects of intervention in the context of the bioeconomy.

The relation between climate change and the pandemic was addressed by Pinner, Rogers, and Samandari [3], who highlighted similarities and differences between the two phenomena and demonstrated how these two threats could be combated together. Regarding the similarities, both represent physical shocks that will cause socioeconomic impacts and could only be solved by acting against the physical causes. The main differences, on the other side, are represented by the timeframe to observe the effects (which is much shorter in the case of pandemics) and the nature of their progression. While the effects of a public health crisis are imminent and directly noticeable, the consequences of climate change are cumulative and gradual and will be observable in years, decades, or centuries.

Recently, climate change was associated with the spread of infectious diseases. The urbanization of forest areas or their conversion to croplands is one of the risk factors for the spread of zoonotic infectious diseases, either by inducing animal species to migrate or by keeping humans, animal reservoirs, and vectors in close contact. In this sense, the same factors that reduce environmental impacts (conservation, optimization of land use, reduction of animal protein consumption, mitigation of pollution) are likely to avoid the dissemination of infectious diseases [3].

Concerning economy and socio-economic development, as pointed out by Chiaramonti and Maniatis [4], one big lesson to be learned in the post-COVID scenario is the importance of the security of supply and storage. This became evident, along the first months of 2020, for health and protective material. However, controlling strategic supply chains through domestic channels and developing strategic storage is an important action to be adopted in other spheres, such as food and energy security. According to the authors, domestic sources, such as renewable and recycled carbon fuels, are an alternative to be pursued to ensure a secure energy supply in the European Union.

In this sense, each country should focus on the conversion of domestic resources to generate energy and other goods and services. This is especially valid for those countries that generate expressive amounts of biomass (either as dedicated crops or as industrial coproducts) that are, to a great extent, subtilized. Examples include South American countries that, according to Magalhães et al. [5], will generate about 900 million tons of lignocellulosic biomass (sugarcane bagasse, cereal straws, bananas, and oil-palm biomass) by 2025, which could potentially generate, annually, more than 550 million tons of fermentable sugars, 670 million tons of bio-oil, or 4000 TWh of thermal energy.

Bioproducts and energy should be obtained from domestic renewable resources not only for supply security reasons but also to promote the creation of jobs, stimulate innovation, and sustainably boost the economy. Minimizing long-distance transportation, particularly of materials of low density, promotes the utilization of the local availability of biomass resources to be valorized in customized biorefineries co-producing food, feed, materials, and/or energy by full utilization of the used biomass in cascaded process chains. As stated by the International Labour Organization, a greener economy could result in the loss of around 6 million jobs worldwide, as 24 million new positions would be created by 2030. Considering that an estimated 1.2 billion jobs positions depend on good environmental conditions, like in agriculture, forestry, and even tourism, this is an advantageous change of the paradigm [6]. So, local partnerships and international cooperation should be pursued to synergistically unite competencies and common goals, aiming at the accelerated transition to a bio-based economy.

Therefore, based on the requirement for renewable and sustainable sources of energy for a post-COVID economic recovery, this manuscript presents a review of the current Catalysts **2021**, 11, 1549 3 of 51

Brazilian energy production and biomass availability in the country. After introducing lignocellulosic biomass as a feedstock, a review of biomass available in Brazil, as well as the state-of-the-art of thermochemical conversion methods with potential for biofuels production, is provided, mainly focused on sugarcane-based materials. Thermochemical processes, specifically related to fast pyrolysis are introduced for the production of biooils followed by catalytic hydrotreatment. Based on this, a pyrolysis-centered sugar cane biorefinery is suggested as well as alternative bio-based process chains.

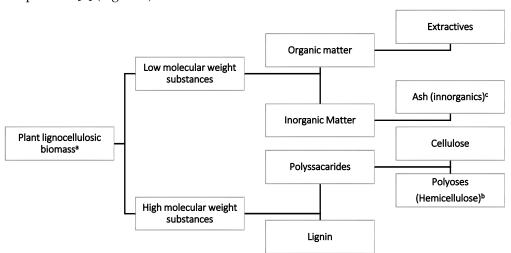
## 2. Biomass and Lignocellulosic Biomass

Biomass is a renewable resource considered abundant, and manageable worldwide, which can be transformed into valuable commodities using different processes, being mechanical (e.g., pelletizing), biochemical (e.g., fermentation), or thermochemical (e.g., pyrolysis). The use of biomass or its derived commodities for the production of energy can minimize the reliance on petroleum-based fuels and greenhouse gas (GHG) emissions while promoting energy access and economic independence for communities and countries [7]. In terms of energy production, biomass is often considered the amount of organic matter that can be converted into fuel or heat.

Often, one can categorize biomass into four categories: woody, herbaceous, algal, and waste-based. Woody biomass comprises wood itself, and residues derived from trees and shrubs, as well as forestry industries (including branches, leaves, bark, sawdust). Herbaceous biomass comes from crops and plant species, such as wheat, barley, corn, maize, switchgrass, sugarcane, and many others.

Lignocellulosic biomass is essentially a combination of natural polymers arranged in matrix building blocks, being hemicellulose, lignin, and cellulose. Different types of biomass, such as grasses, softwood, and hardwood, have different concentrations of these units.

In general, lignin corresponds to approximately 10 to 25 wt.% of the biomass, hemicellulose corresponds to around 20 to 30 wt.%, while cellulose corresponds to 40 to 50 wt.% [8]. Other substances, such as inorganics and extractives, might also be part of the biomass composition [9] (Figure 1).



**Figure 1.** Overview of the lignocellulosic biomass components. Adapted with permission from Mohan, D. et al. [9] (2006). Copyright 2006 Copyright ACS Publications.

Every building block has a particular function in the lignocellulosic biomass. Cellulose, which is mainly composed of cellobiose units (a disaccharide of  $\beta$ -glucose units), gives strength to the plant. At the same time, hemicellulose shows a heteropolysaccharide structure composed of a variety of carbohydrates including but not limited to glucose, mannose, xylose, and galactose [9,10]. Lignin, which is composed of sinapyl, p-coumaryl,

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and coniferyl alcohol units, is responsible for the microbial and fungal resistance of the plant and also and gives stability to the cell walls [8–10].

Extractives are associated with plant defense mechanisms and include components such as essential oils, pectins, terpenes, waxes, and mucilages [9,11]. Some lignocellulosic biomasses, such as corn stover, wheat straw, and switchgrass, do not contain extractives while forest residues, pine needles, and eucalyptus might have a high concentration of extractives, from 5 to approximately 12.6 wt.% [11]. The ash is composed of inorganic substances, such as Mg, K, Na, P, Si, and P [9,11,12]. The concentration and composition of this ash depend on the lignocellulosic biomass and the cultivation conditions and may affect the valorization pathway chosen. The ash concentration is usually higher in fast-growing lignocellulosic biomasses, like miscanthus (12.9 wt.%), while in wood, i.e., pine (1.2 wt.%), the concentration of ash is usually lower [13,14].

The carbon concentration in the lignocellulosic biomass remains in the range of 42.8 to 49.6 wt.%, hydrogen around 5 to 6 wt.%, while oxygen remains in the range of 39 up to approximately 43.2 wt.% db. [13]. The higher heating is usually in the range of 16.6 to 18.7 MJ/kg [13].

#### 2.1. Renewable Energy and Biomass Availability in Brazil

First-generation biofuels concern the use of energy crops (soy, Jatropha, among others) for the production of biofuels. However, there is concern about the use of arable land for fuel production instead of food/feed production, and other limitations [15,16]. The processing of these herbaceous crops produces a high amount of wastes, and biofuels produced from these wastes are part of the second generation of biofuels [15]. Algal biomass produces the so-called third-generation biofuels with higher energy outputs [17]. Biological wastes, such as farming residues, paper industry residues, food production waste, and water treatment sludge, are also considered a form of biomass [18].

Yet, the application of biomass as a carbon/energy source is not without controversy. Many problems associated with agricultural practices and expansions are already a factor of concern, such as deforestation, soil and water contamination, biodiversity reduction, and GHG emissions. The conversion of so-called energy crops into first-generation biofuels would increase the extent of these problems, as their market value can sway many landowners to stop producing food and feed products, increasing their price, and limiting availability. In this sense, the use of biomass residues can provide landowners with additional sources of revenue from a low-value by-product, while not competing with the production of food/feed [7].

Brazil is one of the leading world biomass producers due to its large crop production; however, the country presents an estimated recovery rate for agricultural residue up to 10%, considered negligible. Although by 2050, it is forecast that up to 40% of forestry and agricultural residues may be harvestable through technological advances for bioenergy purposes [19] and being economically attractive in the country. Among the main crops grown in Brazil, the following stand out: rice, beans, soybeans, corn, wheat, and sugar cane (Table 1). Hence, estimated amounts of waste generated in 2012 reached 298 MTon for the sum of the main crops. Brazilian forests of pine and eucalyptus, estimated in 2012, produced waste up to 6,020,470 m³ [20].

 Table 1. Main agriculture crops produced in Brazil. Characterization of residual biomass.

	Residue	Annual Production 2019 Brazil (×10 <sup>6</sup> kg) [21,22]	Cellulose (wt.%)	Hemicellulos (wt.%)	se Lignin (wt.%)	Extractives (EtOH) (wt.%)	Others (wt.%)	Ash (wt.%)	Pectin (wt.%)	References
Sugarcane	Sugarcane bagasse	657,532	36.9–42.8	21.0-27.2	17.4–33.71	2.78-12.7	n/a	0.68-6.71	n/a	[23,24]
Corn	Corncob	100,566	28.0-44.1	26.8-44.4	11.9-23.9	5.8-10.5	n/a	1.1-2.1	n/a	[25–30]
Piassava	Piassava fibers	8.5 (2018)	29.6-31.6	0-11.0	45.0-48.4	n/a	n/a	n/a	n/a	[31,32]
Cassava	Cassava peel	18,990	7–37.9	4.9–37	7.6-65.0	n/a	7.6–20.11	4.5-8.33	n/a	[28,33–36]

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	Residue	Annual Production 2019 Brazil (×10 <sup>6</sup> kg) [21,22]	Cellulose (wt.%)	Hemicellulose (wt.%)	Lignin (wt.%)	Extractives (EtOH) (wt.%)	Others (wt.%)	Ash (wt.%)	Pectin (wt.%)	References
Wheat	Wheat straw	5231	31–40.4	15.5–30.6	17.7–21.5	4.6-6.5	-	7.6–7.7	n/a	[37–40]
Rice Soy	Rice peel Soy peel	10,260 113,448	30.6–52 22.4–35.8	12–21.0 13–23.1	23.9–21 7.6–17.5	2.3–2.6 6.8	- -	10–15.9 1.1–6.7	n/a n/a	[28,29,41–43] [28,43,44]
Citrus (orange)	Orange peel	17,614	11.9–26.1	11.9–14.4	0.2 – 4.8	n/a	-	n/a	17–21.3	[30,44,45]
Coconut	Coconut hull	2340 (2017)	29.2-32.4	10.3-17.6	36-49.1	1.4–14.1	-	2.96-8.39	n/a	[43,46,47]
Gramineous Crops	Elephant grass	n/a	27.5-40.3	9.19-28.13	15.6–21.7	11.5–14.4	-	7.3–13	n/a	[39,43,48,49]
Coffee	Čoffee husk	2995	35.3–36.0	29.4-30.2	24.5–31.1	4.2–16	-	2	n/a	[29,43]
Sorghum	Sorghum bagasse	2596	30-38.0	17.3–22.2	17.4–21.4	7.7	-	1.7-9.0	n/a	[50-52]
Barley	Barley straw	400	30.6–43	24-46.8	6.3-16.3	8.1-8.9	-	3.5–9.5	n/a	[40,53,54]
Açai	Açai seed	n/a	8.66	59.05	17.3	9.5	-	0.69	n/a	[43]

n/a: Not available.

#### 2.2. Sugarcane

The Brazilian sugar and alcohol industries operate in a sustainable environment when compared with other countries. The recent market fluctuations and the consequences related to the coronavirus pandemic resulted in an estimated production of 631 million metric tons of sugar harvested for the 2020/21 season, which means a 1.9% reduction compared to the volume produced in 2019/20, reducing the perspective of production in the harvest. Even so, Brazil leads the world ranking as the largest producer of sugarcane, having great importance for Brazilian agribusiness [55], and an example of a potential raw material to be adapted to the concept of a biorefinery [56].

Sugarcane (*Saccharum* spp.) is classified as a perennial grass with a height of 2–5 m height. This plant employs has a  $C_4$  carbon dioxide fixation process during photosynthesis, guaranteeing a high efficiency to convert radiant into chemical energy [57]. The composition of the useful part of the so-called aerial plant consists of stalks made up of fibers (cellulose, lignin, cellulose, and hemicellulose) and sugars (lactose, sucrose, and fructose) and the tips and leaves, constituting the cane straw. Bagasse, straw, and sugar have nearly the same proportions, representing 42.5 wt.% of the plant; water corresponds to 57.5 wt.% and an energy content of around 7400 MJ per ton of cane [58]. The main products of the sugarcane are sugar for the food market, anhydrous ethanol (fuel additive), and hydrated ethanol (non-energy use and use in flex vehicles) [59].

At the same time, the sugar and alcohol sectors are responsible for important environmental impacts, the intensive use of natural resources, and the production of large amounts of waste [60]. This waste corresponds to 30% of the input amount of raw material, the most significant of which are land, bagasse and straw, soot and ash, filter cake, and vinasse [61].

The bagasse obtained in the production of sugar (and alcohol) is the fibrous material of the cane milling, which consists of fiber vessels, parenchyma, and epithelial cells [62]. It is used for energy generation, in thermal (70%), mechanical, and electrical (30%) forms, and the energy obtained by burning this residue in boilers is sufficient to supply the demand of the production units and also generate exportable surplus for the network national [63]. Additionally considered as solid waste, straw represents between 20 and 30% of the mass of green cane and is comparable to the energy of bagasse [64]. The percentage of these straw residues, such as leaves and ends, contributes to increasing the amount of biomass available for cogeneration, giving rise not only to obtaining a surplus of electricity for sale to the grid but also to obtaining first- and second-generation biofuels [65].

The sugar and alcohol sector holds one of the largest portions of all renewable energy in the Brazilian energy mix, playing an important role as a supplier of biofuel, and a Catalysts **2021**, 11, 1549 6 of 51

prominent role as a supplier of electricity [66]. The sugarcane crop in Brazil has a huge potential for chemical and thermochemical conversion processes using waste as feedstock to obtain products with high benefits through sustainable processes. In biorefineries, biomass conversion can be integrated to allow the production of a wide range of biomaterials, biofuels, biochemical, and bioenergy [59].

According to a survey carried out in 2015, of the 11 largest biorefineries that use sugar cane as raw material, nine are included in the state of São Paulo. Most use sugarcane bagasse to generate energy and waste, such as vinasse, a filter cake, and ashes as fertilizers in the plantation (Table 2).

To improve the handling and properties of sugarcane bagasse, studies are being carried out on torrefaction. Kanwal et al. [67] roasted at four different temperatures for energy densification and increased the stability for handling and transportation. Gong et al. [68] studied torrefaction at one temperature and showed an improvement in the calorific value, energy density, and the reduction of inorganic elements. Cayhanti et al. [69] studied torrefaction from the environmental and economic aspects' perspective and concluded that some roasted biomasses emit less gas but are not yet economically competitive.

Biorefineries	Location	Net Profit (R\$ Million)	Cogeneration
Grupo São Martinho [70]	Pradópolis/SP	288.3	Fuel: biomass Fertilizer: vinasse, filter cake
Usina Colombo [70]	Ariranha/SP	118.3	<del>-</del>
Grupo Santa Terezinha Participações [71]	Maringá/PR	112.8	Fuel: biomass Fertilizer: vinasse, filter cake, ashes
Usina Ipiranga [72]	Mococa/SP	68.9	Fuel: biomass Fertilizer: vinasse, filter cake, ashes
Usina São Manoel [73]	São Manuel/SP	61.7	Fuel: biomass Fertilizer: vinasse, filter cake, ashes Fermentation: second-generation ethanol
Usina da Pedra [70]	Serrana/SP	48.6	Fuel: biomass Fertilizer: vinasse, filter cake, ashes
Cia Melhoramentos do Norte do Paraná [70]	Jussara/PR	38.4	Fuel: biomass Fertilizer: vinasse, filter cake, ashes
Usina Batatais [74]	Batatais/SP	30.7	Fuel: biomass Fertilizer: vinasse, filter cake, ashes
Grupo Balbo [75]	Sertãozinho/SP	25.3	Fuel: biomass Fertilizer: vinasse, filter cake

São Paulo/SP

Araras/SP

Adecoagro [76]

Usina São João [77]

Table 2. Some of the main companies in the sucroenergetic sector in Brazil.

Due to its structure, sugarcane bagasse cannot be fermented directly, but there are several alternatives to separate the sugar content from the lignocellulosic structure using enzymatic and/or chemical treatments, like hydrolysis and *organosolv* [78–80] obtained results from the fermentation of sugarcane bagasse producing acetone, butanol, and ethanol. Guilherme et al. [81] performed tests in a simultaneous process of saccharification and fermentation and were successful, reducing the need for oil and obtaining an ecological process. Salomão et al. [82] studied several enzymes to decrease the cost of the fermentation process and concluded that temperature has a great effect on cellulase production.

17.1

12.1

Fuel: biomass

Fertilizer: vinasse, filter cake

Fuel: biomass

The ashes of the boilers from burning sugarcane bagasse have also been utilized. Berenguer et al. [83] studied the addition of these ashes to replace Portland cement and had positive results. Mello et al. [84] used some percentages of biomass ash in self-compacting concrete subjected to high temperatures and concluded that up to 40% of ash has lower losses in strength than room temperature. Oliveira et al. [85] synthesized the ashes to

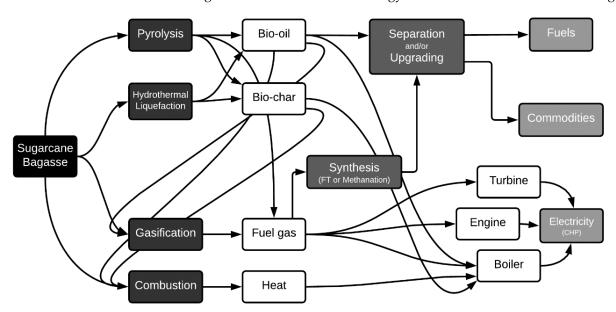
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produce low-cost zeolites and showed excellent results in the ion-exchange properties compared to commercial polymeric resins.

Current studies have considered the use of sugarcane bagasse as a source of activated carbon. Jacob et al. [86] studied three types of activated carbon from sugarcane bagasse to decontaminate the water from pesticides and concluded that it is possible to use it. Akinfalabi et al. [87] synthesized a nano-catalyst using activated carbon from sugarcane bagasse to produce biodiesel with palm fatty acid and were successful.

# 3. Thermochemical Conversion Routes of Lignocellulosic Biomass

Several conversion routes, including thermochemical conversion, biotechnological, and physicochemical, have been considered to convert biomass into valuable products [88,89], such as combustion, gasification, hydrothermal liquefaction, pyrolysis, catalytic pyrolysis, and others [9,90,91]. These can be directed towards different products and product yields (heat, synthesis and producer gas, bio-oils, and bio-char). The thermal processes available to convert lignocellulosic biomass into energy or chemicals are summarized in Figure 2.



**Figure 2.** Products of the thermal conversion of lignocellulosic biomass. Adapted with permission from Bridgwater, A.V.V. [92] (2012). Copyright 2012 Copyright Elsevier. CHP = Combined Heat and Power, FT = Fischer-Trospch.

## 3.1. Pyrolysis

Pyrolysis is classified as a thermochemical process of energetic conversion, which occurs in an inert atmosphere, through the application of temperature rising that decomposes macromolecules [88,93]. An alternative to the high energy demand of pyrolysis, mostly to achieve and maintain the required operating temperatures, may lay in the process of autothermal pyrolysis, which is achieved by the partially exothermic combustion of the feedstock, through the controlled input of very small partial pressures of oxygen [94].

The pyrolysis conversion has been applied to raw wood for char production used in iron extraction and steel production, already before the industrial age until today. Kerosene was first obtained through the pyrolysis of coal and was widely employed for public illumination. Nowadays, pyrolysis is widely studied and employed for the production of bio-char and liquid fuels [95].

The application of this process yields three products, solid char, and liquid bio-oil, along with a gas phase, the pyrogas. The char phase is rich in carbon, and it retains the vast majority of the inorganic material present on the feedstock, the co-called ash, as well as having a high LHV [95,96]. The pyrolysis gas is mostly made up of gases that do not condensate at ambient temperatures, like CO, CO<sub>2</sub>, and light alkanes [95]. The bio-oil, its composition, and representation are further explored in Section 4.

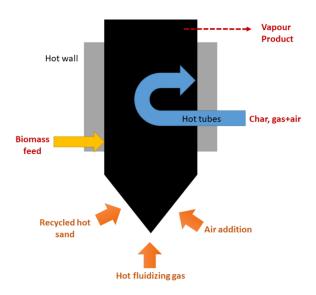
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A combination of process variables influences the thermal degradation: feedstock, temperature, residence times of both gas and solid under pyrolysis conditions, pressure, heat transfer, reactor design, sweeping gas use, and product recovery systems, among others [12,91,97]. Among these, heat transfer is regarded as the most relevant factor, as it governs the selection of the reactor type, heating method, and product recovery. Thus, a pyrolysis process is often classified based on how fast this heat transfer occurs. The most common classifications are presented in Table 3, while conventional methods of supplying heat to the reaction can be observed in Figure 3.

The heat demand associated with reactor heating, endothermic demand, or feedstock conditioning is often met with the combustion of pyrolysis by-products, mainly the gas and a fraction of the cokes [95]. Such a situation was calculated for the case of the *bioliq*<sup>®</sup> pyrolysis plant [98].

	Heating Rate	Temperature	Solid Residence Time	Vapor Residence Time
Slow pyrolysis	Low (<1 °C/s)	Low (>400 °C)	Long or very long (minutes to days)	Long (>seconds)
Intermediate pyrolysis	Moderate	Moderate	Long (minutes)	Moderate (some seconds)
Fast pyrolysis	Very high (>100 °C/s)	Moderate or High (425–600 °C)	Short (a few seconds or less)	Very short ( <seconds)< td=""></seconds)<>

**Table 3.** Different pyrolysis classifications based on the heat transfer method [16,92,95,99].

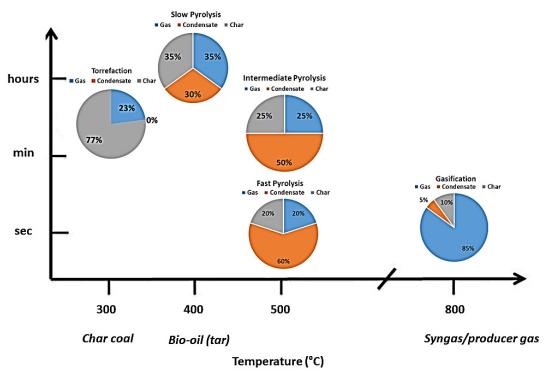


**Figure 3.** Methods of heating commonly employed. Adapted [100] with permission from IEA Bioenergy. Copyright 2021 IEA Bioenergy.

Fast pyrolysis processes often report high liquid yields, up to 75 wt.% db., along with sensitively equal yields in gases and char, which are considered by-products. In this kind of process, heat transfer must occur extremely rapidly within the individual feedstock particles; hence, the feedstock is usually supplied ground to the order of millimeters. Additionally, to make the heat transfer fast and efficient, heat carrier systems are often employed [13]. As the bio-oil (Fast Pyrolysis Bio-oil, FPBO) is the main target, the feedstock's moisture content (MC) is usually kept below 10% wt. to minimize the amount of water in the liquid [92]. Above 550 °C, the formation of non-condensable gases in the products is favored [12]. To reach liquid yields, over 75% wt. db., a process named flash pyrolysis is in development, featuring residence times that are kept in the range of 30–1500 ms [95].

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Other relevant processes that can be considered comparable to pyrolysis are *torrefaction* and *pyrolytic gasification* (Figure 4). Both take part in the absence of oxygen, but the former happens at temperatures under 300 °C, and a residence time of around 2.5 min, thus targeting the production of carbon-rich solid fuels [101]. The latter performs gasification in two stages, employing steam as a fluidizing agent, aiming for the production of a *biosyngas* with a heating value between 1 and 20 MJ/m³, mainly owing to a high methane fraction [88].



**Figure 4.** Distribution of char, condensate, and gas products for different types of pyrolysis. Adapted with permission from Dahmen [102] (2019). Copyright 2019 Copyright KIT.

A great variety of vegetable biomasses has already been submitted to fast pyrolysis to determine the product distribution (liquid, gas, and char), with a growing focus on agricultural residua, including wheat straw, eucalyptus, barley straw, olive pits, nutshells, *Miscanthus*, sorghum, etc. [9,97,103]. On the other hand, wood biomass has historically been the main feedstock for fast pyrolysis due to higher reproducibility and commercial interest [9]. These tests in different scales have resulted in considerable differences in product distribution. Presently, research efforts have focused on the valorization of other types of residua, namely sewage, which is often made difficult by an ash-rich composition, leading to catalytic effects that lead to lower production of liquid, as well as slagging during operation [104].

## 3.2. Factors Affecting the Behavior of Pyrolysis

# 3.2.1. Degradation Reactions and Their Kinetics

According to Kan et al. [91], the pyrolytic decomposition mechanism is very complex because of a high number of competitive reactions (dehydration, decarboxylation, isomerization, depolymerization, and charring) taking place. A common approach assumes that the degradation of the different polymers that comprise the cell wall structure takes place in parallel decomposition networks for each lignocellulosic polymer (hemicellulose, cellulose, lignin). Different authors disagree slightly at which temperatures the degradation of the different components occurs, and two proposed ranges are shown in Table 4.

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**Table 4.** Thermal decomposition temperature ranges (°C) for lignocellulosic components, according to two different sources. Adapted with permission from Di Blasi et al. (2008), Yang et al. (2007). Copyright 2007, 2008 Copyright Elsevier [105,106].

Component	Di Blasi	Yang
Hemicellulose	225–325	220–315
Cellulose	325–375	315–400
Lignin	250–500	Up to 900

The decomposition of hemicellulose involves the breakage of the glycoside bond that holds the xylan matrix, and the subsequent production of sugars and pyrans, which further degrade into low-molecular-weight compounds. Common products observed are acetic and propionic acids, as well as non-aromatic ketones, such as hydroxypropanone, and furfural [9,107].

Due to the economic interest and availability, the degradation of cellulose has been a widely studied topic [108]. It involves the depolymerization of cellulose into glucose via retro-aldol condensation, followed by  $\beta$ -elimination with the production of levoglucosan. The latter is commonly found in fast pyrolysis oils in relative abundance, as further ring fragmentation is promoted by secondary gas-phase pyrolysis, which can be hindered by keeping low vapor residence times during the reaction. Additional commonly reported are anhydrosugars other than levoglucosan, as well as ring fragmentation products, such as hydroxyacetaldehyde, acetic and propionic acids, 5-hydroxymethylfurfural (HMF), and furfural [107].

Lignin in high concentrations in a feedstock has been linked to a more significant formation of char [9,91,97,109]. The degradation of lignin seems to follow two parallel principles: the thermal cleavage of inter-unit linkages and charring reactions. The former induces the formation of phenols, guaiacols (methoxyphenols), and syringols (dimethoxyphenols), which are thought to stem directly from the ratios between p-coumaryl, sinapyl, and coniferyl alcohol units in the lignin fraction. The presence of side-chains on the lignin matrix provokes the formation of light oxygenates, like acetic acid [107].

#### 3.2.2. Influence of the Feedstock Properties

Various kinds of pyrolysis reactors have been developed, in which the design and optimization require a deep comprehension of the reaction and transport phenomena participating in the degradation of the solid feedstock. One area of direct influence that requires further research is the meaning of both the shape and size of the feedstock particles on the final product distribution [110].

It is established that setups featuring very small particles and very low vapor residence times lead to high bio-oil yields, by mitigating the incidence of secondary reactions [92,111]. Wang et al. [112] demonstrated that a varying diameter on wood cylinders has a secondary effect on the liquid formation, but thicker cylinders led to higher water production. Shen et al. [113] proposed that mechanical particle size reduction (shredding, milling) leads to higher oil yields due to disruption of the internal particle structure, leveling out after a specific particle size. The fact is corroborated by Salehi et al. [114], who noticed a drop of 10% in the bio-oil yield when expanding the sieve fraction from <0.59 mm to 0.59–1 mm and kept constant for higher fractions. Decreases in coal yield with smaller particle sizes were found by Demirbaş [115] using olive husks and Luangkiattikhun et al. [116] using oil palm solid waste, while Pütün et al. [117] found no correlation for sunflower pressed bagasse, in contrast to coal and oil shales.

The heating rate of the biomass particles is of utmost importance for the pyrolysis process, impacting process control, product yields, and product quality [110,111,118–120]. Kersten et al. [118] affirm that the individual particle decomposition is controlled by external heat transfer and thermal diffusivity for wood particles larger than 1 mm, where this decomposition takes place very close to the reactor temperature for smaller particles,

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being, therefore, kinetics driven, an opinion shared with other authors [121–124]. Funazukuri et al. [125] estimated a heat transfer rate of  $\sim$ 100,000 K/s for cellulose particles of 0.06 mm vs.  $\sim$ 1000 K/min for 0.6 mm, using microfluidized bed flash pyrolysis.

Another critical factor that determines the product distribution is the residence time of the depolymerized biomass amidst the reacting particle, whether in gas/vapor (vaporization/sublimation, sweeping) or liquid/solid states (physical entrainment to form aerosols) [113,126,127]. Haas et al. [127] performed real-time microscopic analyses of poplar wood pyrolysis of single particles, where liquid droplets of partially depolymerized material were formed throughout the heating process. These either escaped via structural channels inherent to the biomass (anisotropic vapor flow) or were trapped within the particle, eventually cross-linking into chars. The authors also found that these droplets mostly originate on the middle lamella of the wood material, where the majority of the lignin fraction is situated. Teixeira et al. [128] employed a fast-speed camera to prove that the collapse of a bubble of liquid intermediates is the primary mechanism in the formation of jets, which break down to form aerosols.

Westerhof et al. [110] explored the significance of both the particle geometry and microstructure on the fluidized bed fast pyrolysis of beech wood. Both wood cylinders (2–14 mm) with longitudinal channels, as well as milled (<0.08–2.4 mm) particles were employed, with the latter being free or contained within metal cylinders of different diameters with longitudinal channels, either with a solid wall (anisotropic vapor flow) or different wire mesh sizes (isotropic vapor flow). Studies with uncontained milled wood, composed only of cell walls, at rising sieve sizes indicate a decline of organic liquid yield due to a diminished release of levoglucosan and water-insoluble substances, and an increase of water, char, and gas production. Larger particles, either pellets or filled metal cylinders, showed minimal variation in yields and behavior, as the release of vapor is not impacted by the microstructure and the pattern of vapor outflow, rather essentially by internal heat transfer limitations.

Based on the findings by Westerhof et al. [110], Zhou et al. [129] studied the effect of the particle size on the production and removal of water-insoluble oil (henceforth named 'pyrolytic lignin'—PL). For widening biomass particle sizes, the yield of PL diminishes greatly until a diameter of 3 mm, after which a negligible variation is seen. For a larger particle size, the authors propose a mechanism in which PL jets contact other sections of the cell wall and lead to a higher formation of char, due to higher heat transfer limitations. Smaller particle sizes seem to lead to higher yields of methoxyl groups (guaiacols and syringols), as indicated by <sup>1</sup>H-NMR and Py-GC/MS results, which are highly diminished in higher particle size samples.

Bridgeman et al. [130] showed that the inorganic content of the smaller-sized particles ( $<90~\mu m$ ) was approximately double that of larger particles. The authors proposed that size reduction, in its different forms, does not distribute the mineral composition of the biomass uniformly, causing uneven dissemination of both organic and inorganic fractions into different sized particles, with distinct properties and thermal degradation behaviors.

The chemical composition of biomass plays a significant role during pyrolysis. Inorganics present in the lignocellulosic matrix, including calcium and magnesium, but strongly potassium and sodium compounds, can have a catalytic effect on lignocellulosic degradation. Among other effects, this so-called ash content promotes cracking secondary pyrolysis, shrinking the organic liquid yield and augmenting the water content, leading to lower storage stability of the produced oil, as well as increasing char and gas formation [9,12,13,97,131]. The presence of alkali earths in solution in the bio-oil may negatively affect the use of upgrading measures, due to catalyst poisoning [131]. Apart from the aforementioned impact on bio-oil composition and yield, this ash accumulates in the accrued char fraction and may preclude its downstream valorization.

Biomass washing, using water or acid solutions, leads to a reversal of the adverse effects described in the previous paragraph. Krutof and Humboldt [131] compiled several of the most relevant results on this topic. However, washing leads to the formation of

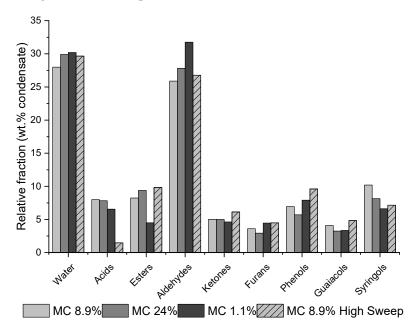
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a spent effluent with a high metal content, therefore requiring special attention when considering large-scale materializations.

#### 3.2.3. Feedstock Moisture Content and Vapor Residence Time

The moisture content of a lignocellulosic feedstock will primarily impact the water content of the condensate (bio-oil), leading to phase separation in the liquid phase for high enough water contents and lowering the energy efficiency of the process [91,97,98]. Accordingly, biomass is usually utilized in fast pyrolysis if its moisture content is below 10 wt.% [92], a factor that can be achieved via drying [98].

Fonseca et al. [98] studied the effect of the feedstock moisture content and the throughput of sweeping gas  $(N_2)$  on the product distribution of fast pyrolysis of wheat straw (continuous operation,  $10\,\mathrm{kg/h}$ ) (Figure 5). One can observe the effect of the moisture content (MC) and vapor residence time (low sweeping =  $1.3\,\mathrm{Nm^3}\,h^{-1}$ , high sweeping =  $3.2\,\mathrm{Nm^3}\,h^{-1}$ ) in the functional group distribution (based on GC/MS analyses, discounting undetected fraction) of the organic condensate obtained at the Python PDU, KIT Campus Nord, Karlsruhe, Germany. The presence of water vapor, mostly from drying of the biomass, further dilutes the mixture and promotes thermal cracking reactions. Aldehydes are known for being especially vulnerable to this situation, explaining the trend [132]. On the other hand, the lowering of the vapor residence time (due to the increased sweeping rate in the reaction) within the reactor can lead to a more significant recovery of higher molecular weight compounds (furans, guaiacols, syringols), which would otherwise undergo cracking, leading to higher condensate production [98].



**Figure 5.** Functional group distribution of FPBO of wheat straw at different feedstock moisture contents (MCs). Results were normalized to account for the presence of water and non-detectable heavy compounds. Adapted with permission from Fonseca, F. G. et al. [98] (2019). Copyright 2019 Copyright Elsevier.

Kim et al. [133] focused on the influence of the volume of sweeping gas used in the reactor on the oil yields and compositions of a three-condenser system (85, 45, 10 °C). The authors reported lower water contents and higher viscosities for condensates produced at higher volumes of sweeping gas. The recovery of glycolaldehyde, acetic acid, and guaiacol, among others, seems to increase at larger volumes of sweeping gas, especially in the intermediate condensate.

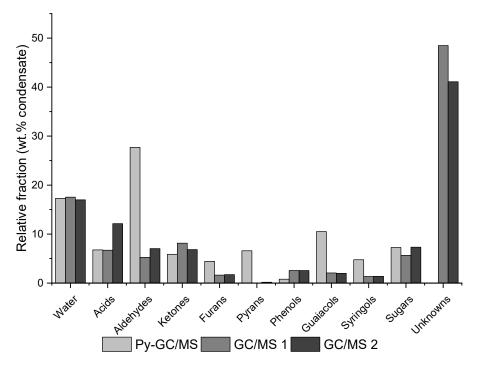
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#### 3.2.4. Effect of Condensation and Aging

After exiting the reactor, the produced vapors, still at a very high temperature, must be quickly separated from the solids, and cooled down, to further diminish the occurrence of secondary reactions. An overview of already implemented condensation concepts was compiled by Papari and Hawboldt [134].

In an industrial context, lab-scale apparatuses, such as cold traps, are not viable, due to the energetic requirements of maintaining static cooling. Hence, condensation of vapors is achieved through the use of quenching systems that consist of showering the hot vapors with a relatively cold liquid in significant excess, and electrostatic precipitators to promote aggregation of liquid droplets, especially relevant at higher gas flow rates [93]. The relationship between the water content and quenching temperature was already the subject of several studies [135,136].

When quenching is performed using cooled-down bio-oil (assuming steady-state operation, during which any starting quenching medium used for operation start-up is assumed to have been wholly replaced) as the quenching medium, the latter is kept at the desired quenching temperatures during extensive periods. In the context of a publication by Schmitt et al. [137], which employs nickel-based catalysts for the improvement of the properties of sugar cane bagasse FPBO, the effect of this maintenance at high temperatures (also known as 'aging') was compared to results from Py-GM/MS, as shown in Figure 6.



**Figure 6.** Comparison of Py-GC/MS and conventional GC-MS separated by some months. Results were normalized to account for the presence of water and non-detectable heavy compounds. Adapted with permission from Schmitt et al. [137] (2020). Copyright 2020 Copyright Elsevier.

In Figure 6, one can observe the effect of the analysis method and the timespan between gathering and analysis. While differences in the GC/MS results can be expected for duplicates, or even between trials employing the same experimental conditions, a clear trend can be observed between immediate analysis of the vapors, and that of a stored condensate. Organic acids are expected to be mostly stable during homogeneous secondary pyrolysis reactions and are also expected to be formed by these same reactions, explaining their increased yield. At the same time, the molecular weight (MW) of sugars may be above the cutoff of the Py-GC/MS method, as these are relatively stable compounds often quantified in conventional fast pyrolysis condensates. Aldehydes and pyrans are known to

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be readily degraded at pyrolysis temperatures, hence the considerable diminution in yield when comparing the two methods [132].

#### 3.2.5. Reactor Design

Different reaction designs have been considered for pyrolysis of biomass. A detailed description of some of these design in presented in the sequence.

#### Entrained flow reactors

Entrained flow reactors are vertical tube reactors perfused by a hot gas stream to maintain the temperature, into which a mixture of heat carrier and particulate feedstock is introduced, usually laterally. It is a pyrolysis application of a well-researched method, meaning that technical, control, and up-scaling are simple. While high gas flow rates ensure minimal vapor residence time, they lead to hefty operating costs due to the heating and cooling of masses of gas and require a large condensation system to deal with such volumes [88,138,139].

#### Stationary fluidized bed reactors

Similar to the entrained flow reactors, fluidized flow reactors feature an otherwise fixed bed of heat carrier, such as sand, that is heated and fluidized by hot gas but uses low velocities or solid barriers to avoid entrainment. Biomass is introduced directly into the solid bed, and the solid product helps to regenerate the sand bed, although attention must be paid to the accumulation of ash. Vapors are removed and readily condensed, and the resulting non-condensable gas is used either as a fluidizing gas or burned to provide heat to the fluidized bed [138,139].

The process is characterized by a solid technical background and ease of scale-up, plus excellent heat transfer and distribution, and low vapor residence times. Adjusting the flow rate of fluidization gas permits easy control of the solid residence time. However, similarly to the entrained flow reactors mentioned before, it requires the heating and manipulation of high amounts of gas. Although the ash content of the feedstock can help replenish solid loss in the bed, the amount of unburned coke must be accounted for, due to both of these having a catalytic effect during pyrolysis. Heat transfer and fluidization quality are also dependent on the particle size of the bed, which is prone to sintering and baking over time under high temperatures.

# Circulating fluidized bed reactors

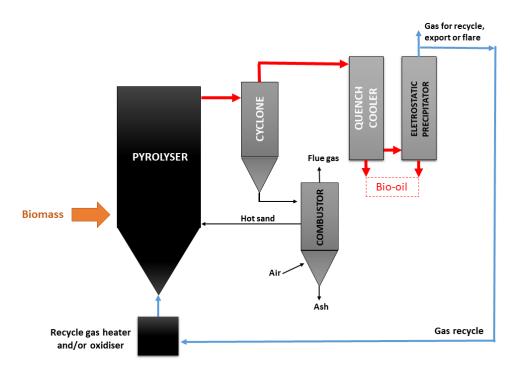
Similar to the stationary bed materialization, circulating fluidized bed reactors (Figure 7) make use of high gas flow rates to fluidize the bed to such an extent that it overflows. The feedstock is introduced directly into the hot bed. The overflowing bed mixed with cokes is spent in a secondary vessel by mixture with air mixed with air, and the hot bed material is reintroduced into the primary system, in a process akin to the FCC (fluidized catalytic cracking) one, widely employed in oil refineries for the production of light and medium distillates. Pyrolysis gas is either discarded or recycled as fluidizing gas [140].

Advantages and disadvantages of this system are similar to the stationary bed. Again, while scale-up and operation are technically simple, and low vapor residence times lead to good liquid yields, the manipulation of a high amount of hot gas is energetically disadvantageous. Other technical issues stem from the mechanical abrasion stemming from the bed material, as well as the accumulation of ash in the solid bed, which may lead to a greater incidence of secondary reactions.

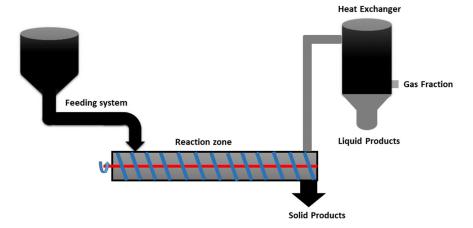
# Screw reactors

Screw reactors (Figure 8), also named augers, are very often used for continuous processing, providing axial conveying, and promoting radial mixing along the reactor. This type of equipment has been used since the beginning of the 20th century for conveying and drying solids, and the first known reference to pyrolysis of coal using auger reactors dates to 1969. It has also met application in the thermal cracking of refinery residues, or the processing of shale oil [138,141].

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**Figure 7.** Scheme of circulating fluidized bed pyrolysis employing an electrostatic precipitator. Adapted with permission from IEA Bioenergy [100]. Copyright 2021 Copyright IEA Bioenergy.



**Figure 8.** Scheme of a screw reactor for the pyrolysis of solid feedstocks. Adapted with permission from Campuzano, F. et al. [142] (2019). Copyright 2020 Copyright Elsevier.

Often, instead of a single screw, two articulated concordant screws are employed. Heat carriers supply the majority of the heating to ensure the desired heating rates, although external heating is also common to minimize fluctuations and ease process control. The vapors exiting the reactor are subjected to cooling, producing the liquid and gas products [143].

Some advantages for the use of such types of reactors include feedstock handling and operation, as well as a compact design, which allows reactors of this type to be easily transportable. Such a compact design would enable the installation of small-scale units close to biomass/residua production sites, or even mobile units. Auger reactors are relatively flexible regarding the dimensions of the feedstock, and the moment and forceful contact between the solids ensures the brittle char is crushed finely. Some disadvantages stem from the mechanical movement of the screw shafts and the abrasiveness of the materials conveyed within [138,144].

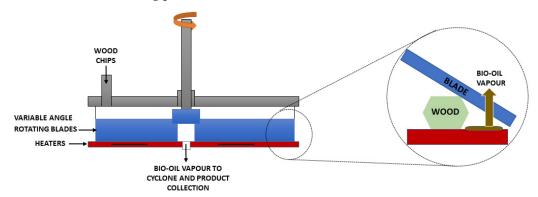
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#### Rotating cone reactors

In this type of materialization, biomass is introduced simultaneously with a heat carrier into a revolving cone. The said cone features a system, which propels solids up its wall. The solids take a spiral orbit due to friction and centrifugal force and are collected under the cone, while the gases and the entrained fine cokes are separated conventionally. Pyrolysis gas and mixed-in coke are burned to heat the heat carrier that is returned to the top. This process shows a great advantage of minimal vapor residence times and the lack of requirement of fluidization gas. However, reliance on moving parts is a technical disadvantage, and the wear and tear are exacerbated by the use of solid heat carriers that cause abrasion. Due to the lack of fluidization, the process is limited in terms of the feedstock particle size [92].

## Ablative pyrolysis

A novel process named ablative pyrolysis (Figure 9) has been developed recently. In this process, biomass is pushed against a hot rotating surface, which promotes rapid heat transfer, leading to fast degradation and vaporization. It allows for the use of feedstocks of a broader range of sizes and shapes, which are usually disregarded during conventional pyrolysis processes, avoiding the considerable energy costs associated with grinding/shredding. The high heat transfer rates allow for very fast vaporization of the degradation product, decreasing the requirements for a sweeping gas, and the mechanical force fosters an efficient removal of the solid product from the reactor site. Due to the nature of the process, no heat carrier is used, allowing for a more effortless mass balance, process separation, and maintenance of the plant [145,146]. However, the equipment features a series of parts that are simultaneously heated and moving, as well as heat transfer issues and scale-up problems, as the heat demands and size demands are proportional to the amount of material being processed.



**Figure 9.** Scheme of rotating disk ablative pyrolysis. Adapted with permission from IEA Bioenergy [100]. Copyright 2021 Copyright IEA Bioenergy.

#### Vacuum reactors

While not conventionally a fast pyrolysis system, as heating takes place relatively slowly, a slight vacuum (-15 kPa) is applied to the system to ensure the swift removal of the pyrolysis vapor, not requiring gas fluidization or sweeping. As the biomass is conveyed horizontally, and no sweeping is applied, the fine cokes are not entrained and are quickly recovered without requiring separation systems. The process can accept bigger particle sizes compared to fluidized beds that typically require very small grinds [138].

#### Vortex reactors

In a vortex reactor, hot gas or steam is fed tangentially to a feedstock input. The flow conditions and the presence of fixtures and surface modifications on the cyclone cause the particles to impact the heated reactor walls and spiral down while decomposing. The principle is similar to ablative pyrolysis, in the sense that impact and abrasion are an important part of the vaporization of material from the feedstock particles. While the

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technology presents fewer limitations to particle size than conventional methods, and the design allows for constant recycling of particles to ensure complete degradation, the very high fluidization gas and indirect heating make it economically unfeasible, and scale-up is difficult [138,139].

## • Rotating kiln reactors

Kilns have been operated for centuries, and are suitable for processes requiring both heat and high miscibility between phases. Units range from small-scale ovens for the drying of cereal, leaves, or timber, for longer storage times and better fuel properties; to very large-scale units, such as the production of clinker at  $1450\,^{\circ}\text{C}$  on rotary kilns during the production of Portland cement.

Pyrolysis for the production of biochar and heat often makes use of this kind of system, due to simple scalability, versatility (in regards to feedstock), and ease of operation. The diverse uses of biochar vary throughout different communities and available raw materials. In Brazil, biochar is an essential component of *terra preta do índio* (also known as Amazonian dark earth), which is used to facilitate agriculture in deforested lands, mainly in the Amazon Basin, due to the low fertility of the reclaimed soils [147].

Small/medium-scale (10 kg–10 Ton/10 h of operation) units operate using the "flame cap" technology, in which solid fuel is fed to a kiln (rotating or not), which is currently fired. The intense heat stemming from the combustion promotes the volatilization of the fuel, creating an area of pyrolysis just below the burning layer, which transforms the material into biochar that is collected at the bottom [148]. Non-governmental organizations, namely *WarmHeart Worldwide* [149] and the *Juntos NFP* [150], work on the dissemination of flame-cap kiln technologies for impoverished communities to enable self-sustainability [148].

### 3.3. Research Facilities and Large-Scale Materializations

Apart from the reactor, a fast pyrolysis system includes biomass handling and preprocessing, condensation and product collection, and, whenever relevant, upgrading, or product processing [151].

Despite representing a relatively minor fraction of the total capital cost of a whole system (according to Meier et al. [151]), the majority of the R&D in the field of fast pyrolysis has been dedicated to reactor configurations and feedstock testing, and only more recently has focus switched to process control and improvement of condensation systems. The type of reactors most often employed for fast pyrolysis is fluidized (fixed, circulating, transported) beds and variants, due to facilitating heat transfer, vapor collection, and heat carrier re-heating [152]. Processes in which a heat carrier is circulated and externally heated can be considered as circulating bed systems. In these processes, the residence time of the solids and vapors is minimized to avoid secondary reactions, as the char and ash are catalytically active [151], maximizing the extraction of condensable volatiles from the system [92]. For this purpose, considerable flow rates of carrier gas are often used to keep the vapor residence time under 2 s, while aiding with keeping the system free of molecular oxygen; this factor also leads to gas dilution and further lowers its heating value.

Four in-depth reviews of fast pyrolysis research and materialization throughout the world were published by Bridgwater et al. [92], Venderbosch and Prins [153], Maier et al. [151], and Garcia-Nunez et al. [154]. Oasmaa and Peacocke [155] also reviewed the state-of-the-art for pyrolysis liquid formation as of 2010. PyroWiki [156], a Wikipedia-style encyclopedia maintained by experts of fast pyrolysis, keeps a listing of commercial-scale throughout the world. The IEA Bioenergy consortium [100], in their Task 34 website, also offers a small introduction to diverse types of reactors, including explanatory schematics.

Listed in Table 5 is a non-extensive list of installed research pyrolysis units and in Table 6 a list of larger-scale units.

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 Table 5. List of research pyrolysis units.

Location	Type	Capacity	Reference
Aston University, UK	Ablative	20 kg/h	[92] [157]
Aston University, UK	Ablative	2 kg/ȟ dry	[157]
BBC, Canada	Ablative	10–25 kg/h	[154]
DTU, Denmark	Ablative	1.5 kg/h	[92]
Institute of Engineering Thermophysics, Ukraine	Ablative	15 kg/h	[92]
Latvian State Institute, Latvia	Ablative	150 g/h	[92]
NREL, USA	Ablative	35 kg/h	[92]
PYTEC, Germany	Ablative	15 kg/h	[154]
University of Hamburg, Germany	Ablative	20 kg/h	[154]
Shandong University of Technology, China	Ceramic ball drop flow	110 kg/h	[92]
BEST—Bioenergy and Sustainable Technologies GmbH,	•	_	
Austria	Fixed bed	100–400 g	[158]
Lisbon Tech, Portugal	Fixed bed	30 g	[159]
University of Science & Technology of China	Fixed bed	500 g	[92]
Aston University, Birmingham, UK	Fluidized bed	5 kg/h	[92]
Biomass Engineering Ltd., UK	Fluidized bed	200 kg/h	[92]
Cirad, France	Fluidized bed	2  kg/h	[92]
Curtin University, Australia	Fluidized bed	2 kg/h	[92]
Energy Research Centre of the Netherlands	Fluidized bed	1 kg/h	[92]
Guangzou Institute, China	Fluidized bed	10 kg/h	[92]
Iowa State University, USA	Fluidized bed	10 kg/11	
		6 kg/h	[92]
Karlsruhe Institute of Technology, Germany	Fluidized bed	100 g/h	
Monash University, Australia	Fluidized bed	1 kg/h	[92]
Netherlands Organisation for Applied Scientific Research (TNO)	Fluidized bed	10 kg/h	[92]
NREL, USA	Fluidized bed	10 kg/h	[92]
PNNL, USA	Fluidized bed	1 kg/h	[92]
RTI, Canada	Fluidized bed	20 kg/h	[92]
Shanghai JiaoTong University, China	Fluidized bed	1 kg/h	[92]
Shenyang University, China	Fluidized bed	1 kg/h	[92]
South East University, China	Fluidized bed	1 kg/h	[92]
Texas A&M University, USA	Fluidized bed	42 kg/h	[92]
Universidade de Campinas, Brazil	Fluidized bed	$100 \mathrm{kg/h}$	[92]
University of Adelaide, Australia	Fluidized bed	1 kg/h	[92]
University of Adetaide, Australia University of Ghent, Belgium	Fluidized bed	0.3 kg/h	[92]
•		60–3000 g/h	
University of Hamburg, Germany	Fluidized bed	30 kg/h	[160]
University of Maine, USA	Fluidized bed	$100 \mathrm{g/h}$	[92]
University of Melbourne, Australia	Fluidized bed	100 g/h	[92]
University of Naples, Italy	Fluidized bed	1 kg/h	[92]
University of Twente, Netherlands	Fluidized bed	1 kg/h	[92]
USDA, ARS, ERRC, USA	Fluidized bed	1 kg/h	[92]
Virginia Tech, USA	Fluidized bed	$100\mathrm{g/h}$	[92]
vTI, Germany	Fluidized bed	6 kg/h	[92]
VTT, Finland	Fluidized bed	6 kg/h 1 kg/h	[92]
Zhejiang University, China	Fluidized bed	2 kg/11	[92]
		3 kg/h	
Zhengzhou University, China	Fluidized bed	2 kg/h	[92]
CPERI, Greece	Circulating fluid bed	1  kg/h	[92]
Anhui U. of Science & Technology, China	Sprouted fluid bed	5 kg/h	[92]
Ikerlan, Spain	Sprouted fluid bed	$10 \mathrm{kg/h}$	[92]
European Biomass Research Institute, Aston University, UK	Stationary fluidized bed	7  kg/h	[151]
Georgia Tech, USA	Entrained flow	56.7 kg/h	[161]
VTT, Finland	Entrained flow	20 kg/h	[151,155]
Auburn U. USA	Screw	$1 \mathrm{kg/h}$	[92]
Michigan State University, USA	Screw	500 g/h	[92]
Mississippi State University, USA	Screw	2  kg/h	[92]
Texas A&M University, USA	Screw	30 kg/h	[92]
European Biomass Research Institute, Aston University, UK	Twin-screw	20 kg/h;	[151]
-	Twin-screw	100 kg/h	
Karlsruhe Institute of Technology, Germany		10 kg/h	[162]
TNO, Netherlands Federal University of Sergipe	Vortex Rotary kiln	30 kg/h 0.3 kg/h	[92] [163]
	NOIATV KIID	U.5 K9 / H	LIDOL

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**Table 6.** List of pyrolysis plant industrial-pilot, commercial-scale, or expertise providers.

Enterprise	Location	Type	Feedstock	Product	Maximum Capacity	Status	Reference
ABRI-Tech	Canada	Mobile screw	Sewage sludge	Bio-oil	1 Ton/day	Demonstration, know-how provider	[151]
Agri-THERM/Western University	London, Canada	Mobile fluidized bed with novel heating system	Agricultural residues	Bio-oil, char	10 Ton/day	Demonstration, know-how provider	[155,164]
Anhui Yineng Bio-energy Ltd., China	Hefei City, China	Moving bed	Biomass	Bio-oil	2083 kg/h	Operating	[165]
Biogas Energy	Richmond, USA	Ablative	Demolition wood, forestry/agricultural residues	Bio-oil	500 kg/h	Expected to have started in summer 2020	[165]
bioliq <sup>®</sup>	Karlsruhe Institute of Technology, Germany	Twin-screw reactor	Forestry/agricultural residues	Biosyncrude for posterior gasification	500 kg/h	Industrial pilot, operating semiannually	[93]
BP <sup>a</sup>	Grangemouth, Scotland	Fluidized bed	Mixed waste plastics	Waxes	5000 Ton/year	Ceased operation (as of 1991)	[166]
Castle Capital <sup>b</sup>	Halifax, Canada	Ablative	Biomass	Bio-oil	1–2 Ton/h	Ceased operation (as of 1996)	[167]
CarbonScape Ltd.	Several, based in Blenheim, New Zealand	Microwave	Pine sawdust, fossil coal	Coke for graphitization	Variable	Operating, consulting services	[168]
DRP/ABB <sup>a</sup>	Ebenhausen, Germany	Fluidized bed	Waste plastics	Pyrolysis liquid, carbon black	800 kg/h	Ceased operation (as of 1991)	[166]
DRP/ABB a	Grimma, Germany	Fluidized bed	Waste tires	Carbon black	5000 Ton/year	Ceased operation (as of 1991)	[166]
Dynamotive's Integrated Pyrolysis Combined Cycle Biomass Power System	West Lorne, Canada	Stationary fluidized bed	Lignocellulosic biomass	Bio-oil, blended liquid fuels	4200 kg/h [156]	Ceased operation (as of 2008 [169])	[170]
Dynamotive's Integrated Pyrolysis Combined Cycle Biomass Power System	Guelph, Canada	Stationary fluidized bed	Lignocellulosic biomass	Bio-oil, blended liquid fuels	8400 kg/h [156]	Ceased operation (as of 2008 [169])	[170]
EGEMIN	Belgium	Flash entrained flow	Biomass	Bio-oil	200 kg/h	Unknown (as of 2021)	[171]
Energolesprom <sup>c</sup>	Kazan, Russia	Ablative	Forestry/agricultural residues	Bio-oil	500 kg/h	Industrial pilot	[172]
Envergent Technologies' RTP <sup>TM</sup> (Rapid Thermal Processing) <sup>d</sup>	Rhinelander, Wisconsin, USA	Circulating fluidized bed	Forestry residues	Liquid smoke, preservatives	45 Ton/day	Operating	[173]
Envergent Technologies' RTP™ (Rapid Thermal Processing) <sup>d</sup>	Côte Nord, Canada	Circulating fluidized bed	Forestry residues	Heating fuel	65,000 dry Ton/year	Operating	[174]

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Table 6. Cont.

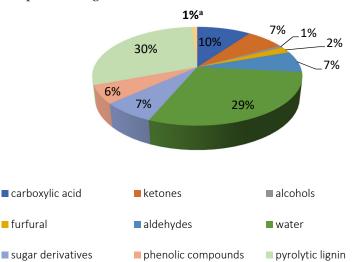
Enterprise	Location	Type	Feedstock	Product	Maximum Capacity	Status	Reference
Envergent Technologies' RTP <sup>TM</sup> (Rapid Thermal Processing) <sup>d</sup>	Aracruz, ES, Brazil	Circulating fluidized bed	Eucalyptus residues from pulp production	Bio-oil	2.3 MTon/year	Under project	[175]
Fortum Orso <sup>®e</sup>	Joensuu, Finland	Circulating fluidized bed	Forestry residues	Bio-oil for district heating	7 Ton/day	Operating	[151,176]
Interchem <sup>c</sup>	Kansas, USA	Ablative	Biomass	Bio-oil	1360 kg/h	Ceased operation (early 1900s)	[167]
Lurgi LR	Ingolstadt, Germany	Twin-screw	Biomass, plastic	Light and heavy bio-oil for catalytic refining	500 kg/h [92]	Unknown (as of 2021)	[92,177]
MRIGlobal <sup>b</sup>	Lutherville-Timonium, USA	Vortex	Biomass	Bio-oil, coke	3 cm/s	Patent	[178,179]
Pyrovac/Corigin	Jonquière, Canada	Vacuum pyrolysis using horizontal conveyers (molten salt system)	Biomass	Bio-oil	3000 kg/h dry	Demonstration, know-how provider	[180]
РуТЕС	Cuxhafen, Germany	Ablative	Biomass	Bio-oil	250 kg/h [92,156]	Unknown (as of 2021)	[181]
Renewable Oil International <sup>®</sup>	Florence, USA	Augur	Chicken litter	Bio-oil, char	5 Ton/day	Unknown (as of 2006)	[182]
Thermo-Catalytic Reformer (TCR) <sup>®</sup>	Fraunhofer UMSICHT, Sulzbach-Rosenberg, Germany	Catalytic screw	Sewage sludge, organic waste	Drop-in fuel, fuel oil additive, gasoline	300 kg/h	Industrial pilot	[183]
Toshiba	Sapporo, Japan	Rotating kiln	Mixed plastic waste	Bio-oil, gas, HCl from PVC	14 kTon/year	Operating	[184]
Twence/Empyro BV <sup>f</sup>	Hengelo, Netherlands	Rotating cone	Forestry residues	Bio-oil	20 M liters bio-oil/year	Operating	[185]
Twence/Empyro BV <sup>f</sup>	Genting, Malaysia	Rotating cone	Palm tree residues	Bio-oil	6 wet Ton/h	Dormant	[186]
University of Hamburg	Hamburg, Germany	Ablative	Biomass	Bio-oil	250 kg/h	Research pilot	[154]
University of Science & Technology of China	Hefei City, China	Fluidized bed	Biomass	Bio-oil	650 kg/h	Research pilot	[92]
Virginia Tech, USA	Blacksburg, USA	Fluidized bed	Poultry litter	Bio-oil, coke	250 kg/h [156]	Mobile demonstration pilot	[187]
Woodgas Pyrolytics <sup>®</sup> Rotatable Covered Cavity Kiln	Normal, USA	Rotating kiln	Forestry/agricultural residues	Coke, heat	250 kg/day	Demonstration, know-how provider	[148]

a: Based on technology developed at the University of Hamburg, Germany. b: Based on technology developed by the National Renewable Energy Laboratory, Denver, CO, USA. c: Based on technology developed by PyTEC, Germany. d: Consortium between Ensyn and Honeywell UOP. e: Consortium composed of Metso Power, Fortum, UPM Kymmene, and VTT Technical Research Centre of Finland. f: Based on technology developed by the Biomass Technology Group (BTG), based out of Enschede, Netherlands.

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#### 4. The Pyrolysis Liquid, i.e., the Bio-Oil

Bio-oil is the name given to any condensed product of biomass pyrolysis. As previously stated, fast pyrolysis maximizes the formation of bio-oil (FPBO) in comparison to similar technologies (Figure 4). FPBO characteristically presents a dark brown color and high viscosity. Its significant environmental advantages in comparison with petroleum-derived liquid fuels lay in the fact that its combustion produces nearly half of the  $NO_x$  generated by diesel and meager amounts of  $SO_x$ , as well as the fact that it is considered almost  $CO_2$  neutral [9]. In terms of chemical composition, FPBO is a mixture of a variety of organic compounds, of which most are oxygenated, including carboxylic acids, ketones, aldehydes, alcohols, furans, sugars, phenolics, guaiacols, and partially depolymerized lignin (often referred to as *pyrolytic lignin*); as well as water, ash minerals, and occasionally pyrolysis coke [90,109,188]. The distribution of the main compounds observed in an FPBO is depicted in Figure 10.



**Figure 10.** Distribution of some of the main constituents of the FPBO. Adapted with permission from Schmitt et al. [137] (2020). Copyright 2020 Copyright Elsevier.

Different biomasses often lead to different chemical compositions of the produced FPBO. FPBO derived from wood biomass usually results in single-phase bio-oil due to a negligible nitrogen and ash concentration, and agricultural residues and waste may lead to a multiphasic FPBO due to excessive formation of water [11,93,103]. Moreover, different biomass types often lead to different functional group distributions, as agricultural residues often lead to FPBOs with higher concentrations of phenolic compounds and lower concentrations of aliphatic oxygenates, in comparison to wood [97].

Some undesired characteristics are common to all FPBOs, which can be seen in Table 7. An important focus is given to the oxygen composition and the acidity (low pH, high TAN). The former contributes to a low energy density (roughly half of that of petroleum-derived fuels) in comparison to crude oil and petroleum derivates, makes it more reactive during storage, promoting aging phenomena [189], and often makes it polar, thus immiscible with petroleum-based oils, making it difficult to co-process directly in petroleum refineries. The latter can be attributed to a high concentration of organic acids, making it challenging to use in conventional motors and boilers.

Other relevant drawbacks include a high concentration of pyrolytic lignin oligomers (nearly 30 wt.%), which tends to repolymerize during storage [109], as well as a high viscosity and complexity of the oil, leading to poor distillability (Table 7) [190,191].

Despite all those drawbacks, Fast Pyrolysis Bio-oil (FPBO) has been directly employed as fuel for several appliances, including furnaces, boilers, diesel engines, gas turbines, and Stirling engines [190]. Nowadays, the primary application of FPBO is as boiler fuel for heat

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and power [97,103,130]. FPBO can also be thought of as a source of platform chemicals, such as anhydrosugars, liquid smoke, and wood preservatives, among others [130].

However, if the ultimate intention is the replacement of fossil-based sources for liquid fuels, chemicals, and other applications, an additional upgrading step is necessary. The changing of properties over time, i.e., higher viscosity and phase separation during storage [11,192], also motivate the progress in upgrading technologies.

**Table 7.** Elemental composition and physicochemical properties of wheat straw, wheat straw FPBO, generic wood FPBO, and crude oil. Adapted with permission from Funke et al. [13] (2017), Negahdar et al. [193] (2016), and Wang et al. [194] (2013). Copyright 2013, 2016, 2017 Copyright ACS Publications, Elsevier.

	Wheat Straw [13]	Wheat Straw FPBO [193]	Generic Wood FPBO [194]	Crude Oil [194]
Carbon (wt.%)	42.8	45.9	40–50	85
Hydrogen (wt.%)	5.4	7.69	6.0–7.6	11–13
Oxygen (wt.%)	38.9	41.3	36–52	0.1-1.0
Sulfur (wt.%)	n/a	n/a	0.00-0.02	1.0-1.8
Nitrogen (wt.%)	n/a	2.19	0.00-0.15	0.1
Water (wt.%)	5.7	28.4	17–30	0.02 - 0.1
Solid (wt.%)	n/a	n/a	0.03-0.7	1
рН	n/a	n/a	2.4–2.8	n/a
Viscosity at 323 K (cP)	n/a	n/a	13–30	180
HHV (MJ/Kg)	16.6	21.0	16–20	40
Density (kg/m <sup>3</sup> )	n/a	n/a	1.2–1.3	0.9–1.0

n/a: Not available.

#### 4.1. Bio-Oil Upgrading

To increase the range of FPBO applications, an effort must be put into increasing storage stability, while simultaneously reducing corrosiveness, oxygen, and water content. Thus far, different upgrading techniques have been proposed for use in FPBOs, including filtration, supercritical esterification, addition of solvents, emulsification, extraction, distillation, non-catalytic thermal treatment at high pressures, and catalytic cracking [90,109,192,195]. Depending on the intended properties/applications of the final product(s), one or more upgrading techniques may be combined.

Filtration can target inorganic compounds suspended in FPBO. This can be achieved by using a hot gas filtration system (HGF) that can be installed immediately downstream of the reactor in a fast pyrolysis unit, or just before the condensation of the vapors. Elliott et al. [196] applied this technique and showed a substantial reduction in ash content in filtered FPBO (namely K, Ca), as well as higher storage stability [197].

Supercritical esterification is intended to reduce FPBO viscosity and increase its energetic density [90,109]. Water is the most commonly used supercritical medium [90], but Zhan et al. [198] employed supercritical ethanol and methanol while employing acid catalysts for the complete esterification of organic acids. Xu et al. [199] employed supercritical 1-butanol and Ru/C catalyst to hydrogenate FPBO, leading to a less oxygenated product of increased energetic density and minimized coke formation. Tang et al. [200] performed hydrogenation of FPBO combined with applying supercritical ethanol as a medium, reporting the virtually complete conversion of aldehydes and ketones, and organic acids to a lesser degree.

Solvent addition can assist in bettering the homogeneity, viscosity, and stability of FBPO while being undemanding and effective, leading to better miscibility with petroleum-based products, a reduced acidity, and a higher energetic density [11,90,109,201]. Alcohols, such as methanol, isopropanol, and ethanol, are the most commonly used solvents, as esterification during storage stabilizes labile compounds (carboxylic acids, aldehydes, and ketones) [11,201,202]. While the acids present in the FPBO may catalyze this phenomenon [203], the incorporation of solid acid catalysts can accelerate the process [90].

Instead of solvents, a concurrent process employs mineral fuels and surfactants to emulsify FPBO, aiming at the use of the blend in boilers and combustion engines [11,90].

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While Oasmaa et al. [201] reported successful emulsions containing 5–30% FPBO, Xiu et al. [90] stated the need to improve the corrosive properties, energetic value, and cetane number of the emulsions.

Non-catalytic thermal treatment requires temperatures higher than 250 °C and pressure above the partial pressure of water, during which condensation reactions mainly take place, leading to polymerization and phase separation [192,204]. Significant amounts of oxygen and water are removed from the organic-rich product, while the energetic content of this phase is increased in comparison to the feed [192], but a higher viscosity limits its downstream processability [205].

Catalytic cracking demands temperatures of 300 to 600 °C, and employs acid catalysts like silica-alumina, alumina, or zeolites [206]. The target of this process is the deoxygenation of light organics [90], while a considerable amount of char is formed [192,195,207,208].

#### 4.2. Bio-Oil Catalytic Hydrotreatment

FPBO may undergo a hydrotreatment (HDT) process analogous to conventional catalytic HDT that takes part in petroleum refineries for desulfurization and denitrogenation [208]. In the case of PFBO, the ultimate objective is its deoxygenation to comply with desired fuel specifications, stabilize reactive compounds, and depolymerize remaining oligomers. The HDT performance may be affected by the concentration of sulfur (0.3 wt.% to 0.02 wt.%) and nitrogen (1.2 to 0.1 wt.%) in the FPBO, although these elements are not the target of the treatment [189,208–210].

Due to the notable differences in the composition between crude petroleum cuts and FPBO (Table 7), different ranges of operating conditions, as well as catalysts, must be applied during HDT of these feedstocks (Table 8) [189]. HDT of bio-oils is a less mature technology when compared to its applicant to petroleum cuts, thus leading to a higher range of temperatures (175–450 °C) and  $H_2$  pressures (80–230 bar); the reaction duration may vary (1–6 h) [208,211].

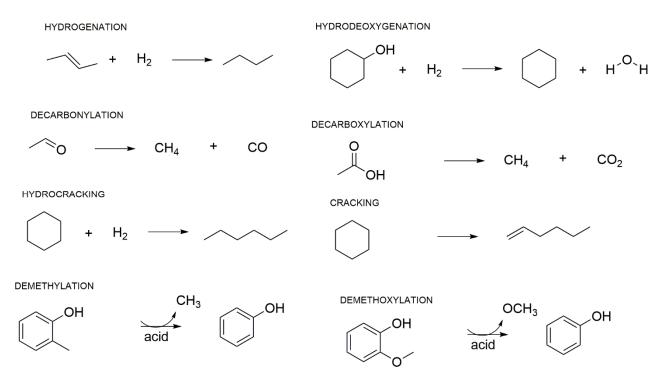
**Table 8.** Hydrotreatment conditions employed to upgrade crude oil fractions and FPBO. Data obtained from Venderbosch et al. [192], Talmadge et al. [212], and Dabros et al. [189].

	Temperature (°C)	H <sub>2</sub> Pressure (Bar)
Naphta	260–350	15–35
Light oil	290-400	17–35
Heavy oil	350-425	70–140
Residuum hydrocracking	400–425	140-200
Fast pyrolysis bio-oil	175–450	80-230

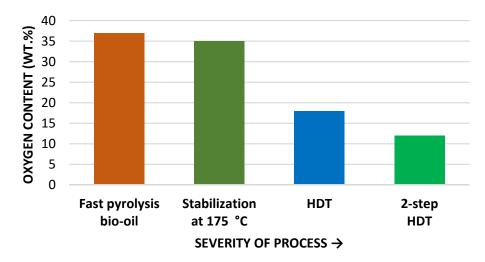
HDT of FPBO comprises a series of concurrent reactions, including decarboxylation, decarbonylation, cracking, hydrocracking, hydrogenation, hydrodeoxygenation, demethylation, demethoxylation, and deoxygenation (Figure 11) [189]. During the hydrotreatment, polymerization and dehydration phenomena might take place in competition with hydrotreatment reactions, with sugars being an example of molecules that can undergo polymerization [192].

The severity and number of HDT stages can be adjusted based on the required properties of the upgraded bio-oil and degree of deoxygenation to be achieved. Hydrotreatment processes can be classified as stabilization as well as deep (simply called HDT) and also in two/multi-step HDT (Figure 12).

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**Figure 11.** Representation of the main reaction pathways taking place during the HDT of FPBO. Adapted with permission from Dabros et al. [189] (2018). Copyright, 2018 Copyright Elsevier.



**Figure 12.** The oxygen content as a function of the hydrotreatment severity. Adapted with permission from Oasmaa et al. [213] (2010). Copyright 2010 Copyright ACS Publications.

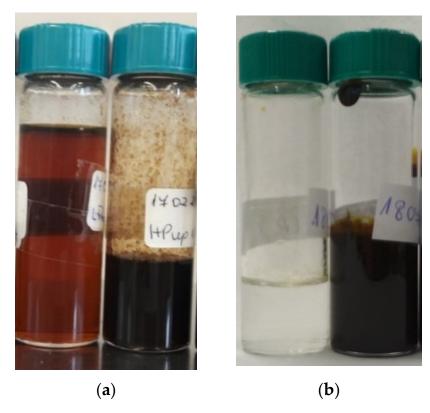
Mild hydrotreatment, sometimes also referred to as 'stabilization', is performed at low temperatures, (175–225 °C), mostly targeting labile components, such as ketones, aldehydes, and olefins [192,213,214]. Phase separation is not expected during the stabilization, but it might take place depending on the hydrotreatment temperature selected [192]. The upgraded product, when compared to FPBO, shows higher storage stability, reduced plugging of lines, and an ability to be co-processed in conventional refineries together with heavy petroleum cuts [188,204].

Deep hydrotreatment is performed at more severe conditions (up to 450  $^{\circ}$ C and 230 bar), aiming to complete hydrodeoxygenation. These severe conditions lead to high H<sub>2</sub> consumption and the formation of low-molecular-weight vapors, thus greatly reducing the yield of the liquid product; the costs are considered high [214].

Higher deoxygenation levels are possible through serialized upgrading of FPBO, employing either the same catalyst or different catalysts with differing selectivity [215]. The

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first step aims at the stabilization of the oil and reduction of the tendency of char formation, allowing the second step aiming at hydrodeoxygenation at a higher temperature [195,216]. Venderbosch et al. [192] reported higher deoxygenation levels after two-step upgrading, with the O-content dropping from 52.1 wt.% to 23.9 wt.% to 14.2 wt.% (wb.); the authors reported a final upgraded oil with a lower density than water, and an aqueous phase mainly comprised of water. Schmitt et al. [217] reported similar results, with a colorless water-rich (97 wt.%) aqueous phase (Figure 13).

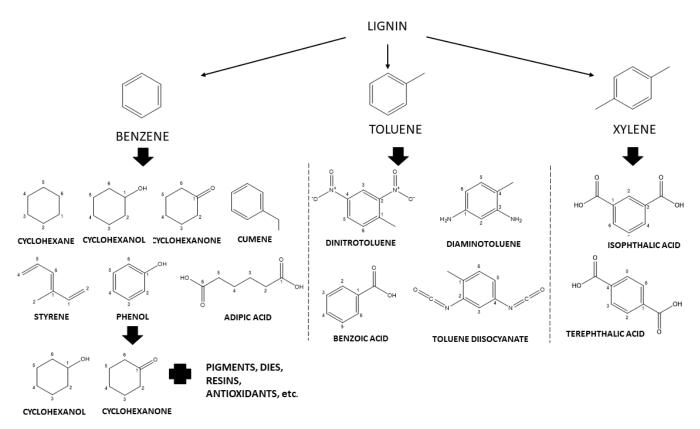


**Figure 13.** Upgraded liquid fractions separated after centrifugation. (a) From the left to the right: Upgraded aqueous phase and upgraded oil (b) Upgraded fractions after 2-step upgrading. From the left to the right: Colorless upgraded light phase and upgraded oil.

Van Krevelen plots are often used to depict the efficiency of HDT, which plots O/C and H/C molar ratios, allowing for a visual comparison of the feedstock and products [192]. A reduction of the oxygen concentration is observed by a lowering of the O/C ratio, while the H/C ratio can be adjusted depending on the specifications (1.5–2.0 for fuels). Another common concern while analyzing the viability of this technology is the loss of liquid product during treatment; a higher degree of deoxygenation correlates to a lower upgraded oil yield due to the production of water and low-molecular-weight gases [208].

If deep deoxygenated levels can be viably achieved, the upgraded product could either substitute fossil fuels or serve as feedstock for the production of commodities. For example, phenolic compounds in FPBO may be used for the production of resins, binders, BTX, phenol, etc. [4,7,16,65] (Figure 14).

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**Figure 14.** Summary of compounds derived from lignin and the range of chemical products from benzene, toluene, xylene, and phenol. Drawn based on Bozell et al. [218].

Pires et al. [219] presented a variety of compounds derived from FPBO. The authors highlighted that food additives, antioxidants, carbon fibers, olefins, hydrogen, surfactants as well as sugars, and surfactants are some of the products that can be derived from FBPO.

# 4.2.1. Parameters Impacting the Bio-Oil Hydrotreatment Reactions

Reaction parameters, such as the heating rate, type of catalyst used in the HDT reaction, hydrogen pressure, and reaction temperature, will affect the overall hydrotreatment efficiency independently as well as synergistically. Each one of these factors is detailed below.

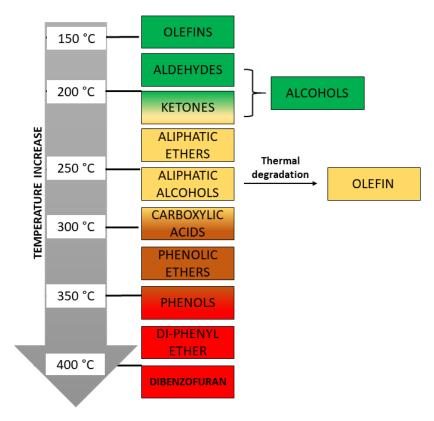
#### Temperature

The hydrotreatment reaction is usually conducted in the range of 175–450 °C. In general, the higher the temperature, the higher the hydrodeoxygenation and the lower the upgraded bio-oil yield. In some cases, i.e., above 350 °C, higher amounts of gaseous products are expected with a minor contribution to the hydrodeoxygenation of the upgraded oil [208]. Overall, the temperature affects the reactivity of the molecules. Oxygenated compounds with low molecular weight react at lower temperatures while molecules with higher complexity are converted at higher temperatures [189]. A simple analysis of this fact can be performed by use of the reactivity scale proposed by Elliot et al. [220], for different FBPO compounds at different temperatures (Figure 15) employing a sulfided NiMo catalyst.

#### • Hydrogen pressure and consumption

High pressure of  $H_2$  is required during the HDT reactions to minimize the formation of coke and increase the solubility of  $H_2$  in the FPBO and its availability in the catalytic site [189,208]. The  $H_2$  consumption already starts at mild temperatures, around 80 °C, and is increased at higher temperatures [204], due to reactions, such as hydrogenation and hydrodeoxygenation.

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**Figure 15.** Reactivity scale of FPBO compounds under HDT using sulfided NiMo catalyst at different temperatures. Adapted with permission from Elliott, D. C. [220] (2007). Copyright 2007 Copyright ACS Publications.

When a higher level of deoxygenation is expected, the reaction is conducted at higher severity; therefore, the  $H_2$  pressure and its consumption are also higher [212,221]. However, if the reaction is conducted at a significantly high temperature, the adsorption of  $H_2$  molecules in the catalyst active site can be negatively impacted, reducing the hydrogenation activity and reaction pathways, such as hydrogenolysis, may take place [221].

#### Heating rate

Just a few numbers of publications discuss the influence of the heating rate on the hydrotreatment of FPBO. In one of the very few investigations about this topic, Mercader et al. [204] reported that at a higher heating rate, low  $\rm H_2$  consumption is observed, which might be linked to polymerization taking place and being favored at these conditions. The authors also reported that hydrogenation mainly takes place at mild temperatures, but higher heating rated to temperatures above 200 °C might have an opposite effect, meaning polymerization would be favored and hydrogenation would be unfavored.

#### Reaction duration time in batch reactions

Similarly to the heating rate, a small number of studies addressed the impact of the reaction duration over the hydrotreatment. Wildschut et al. [211] studied the impact of the hydrotreatment duration with Ru/C at 350 °C and 20 bar of  $H_2$ . The duration of the reaction ranged from 1 up to 6 h of duration. The authors reported that the lowest bio-oil yields and higher gas yields were obtained with longer reactions, and proposed an optimum reaction time of 4 h.

## Catalysts

Together with the parameter previously presented, the catalyst selection is extremely relevant for HDT reactions [109]. The catalyst composition directly affects the conversion of different chemical groups, the reaction selectivity, as well as the cost of the hydrotreatment

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reaction, which overall impacts the HDT performance. Formulation parameters, such as active metal, support, metal concentration, and addition of promoters, play a significant role in the upgraded FPBO composition.

In general, the main catalysts tested in hydrotreatment of FPBO are noble metal catalysts, non-noble transition catalysts, as well as sulfided catalysts.

## 4.2.2. Catalysts: Sulfided Catalysts

The very first HDT of FPBO were conducted with sulfided catalysts, due to their use in petroleum refineries in desulfurization and denitrogenation reactions [195]. Catalysts, such as CoMo and NiMo, have been tested in several studies. Şenol et al. [222] studied the conversion of methyl hexanoate and methyl heptanoate with sulphided CoMo/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and NiMo/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> in a continuous flow reactor. Both molecules (used as model compound in this study) were converted to hydrocarbons. The highest catalytic activity was observed with NiMo/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. The authors observed carbon formation and loss of active sites with both catalysts, which affected the composition of the upgraded products.

Auersvald et al. [223] conducted the hydrotreatment of wheat straw fast pyrolysis biooil with sulphided NiMo/Al $_2$ O $_3$  in a fixed bed reactor at different pressures (20–80 bars) and temperatures in the range of 240–360 °C. The authors reported that 85% of the energy content of the biomass was recovered in the upgraded bio-oil and reported reduced acidity and viscosity for most of the conditions evaluated. The HDT at 360 °C produced an upgraded oil fully miscible with gas oil, which shows potential for co-processing in refineries. Joshi et al. [216] performed HDT reactions of sawdust pyrolysis oil using sulphided NiMo/Al $_2$ O $_3$ . The reactions were conducted in a packed bed microreactor testing different process parameters, such as H $_2$  partial pressure, residence time, and reaction temperature.

The authors reported that during HDT, hydrodeoxygenation and hydrogenation occurred simultaneously. Due to the formation of coke at temperatures above 270 °C, a stabilization step at milder conditions might convert reactive molecules, leading to lower coke formation. French et al. [215] conducted the HDT of oak fast pyrolysis bio-oil with sulphided NiMo/Al<sub>2</sub>O<sub>3</sub> and noble metal catalysts in a semi-batch hydrotreatment reactor. Reactions with NiMo/Al<sub>2</sub>O<sub>3</sub> showed the lowest consumption of hydrogen and highest conversion of acid compounds in comparison to noble metals. The highest formation of coke was reported with the sulphided catalysts. As distinct selectivity has been observed with both catalysts, the authors suggested a two-step optimized HDT: the first step HDT with noble metal catalysts in order to minimize coke formation while the second step should be conducted with sulphided NiMo/Al<sub>2</sub>O<sub>3</sub> targeting hydrodeoxygenation. Gholizadeh et al. [224] studied the HDT of mallee wood bio-oil with sulphided NiMo/Al<sub>2</sub>O<sub>3</sub> at 375 °C and 70–80 bar. The reactions were conducted in a continuous fixed-bed reactor. The authors reported that high-molecular-weight compounds contributed to the catalyst deactivation while low-molecular-weight molecules seem to have minor contribution.

The hydrodeoxygenation mechanism of 2-ethylphenol over a sulfided CoMo/ $Al_2O_3$  was detailed by He and Wang [225] and Romero et al. [226] (Figure 16).

At first, a  $H_2S$  molecule is formed by the presence of  $H_2$ , leading to the formation of a catalyst vacancy site. The  $H_2$  is dissociated, leading to the formation of Mo-H and S-H bondings. The molecule of 2-ethylphenol is adsorbed in the catalytic site by the atom of oxygen. A hydrogen proton is donated to the 2-ethylphenol from the S-H bond, resulting in a carbocation; the C-O bond is cleaved, and the oxygen-free compound is formed. By the formation of  $H_2O$ , the active site is then recovered (Figure 10). Promoters, such as Ni and Co, are commonly applied and used to increase the catalytic activity for hydrotreatment reactions [208,225]. The weakening of S-Mo bonding promoted by the electrons donated from Mo atoms results in the formation of a sulfur vacancy site. Other benefits, such as resistance against catalyst deactivation, have been attributed to promoters (Co and Ni) [189].

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**Figure 16.** Mechanism of 2-ethylphenol hydrodeoxygenation over a sulfided CoMo/Al<sub>2</sub>O<sub>3</sub> catalyst. Adapted with permission from Romero, Y. et al. [226] (2010). Copyright 2010 Copyright Elsevier.

One of the key characteristics of FPBO hydrotreatment employing sulphided catalysts is the need for a continuous sulfur supply to the HDT reactor in order to keep the catalysts' activity [195,221]. Together with environmental concerns attributed to sulfur, the increased process cost and poor resistance to water [189] are considered the main limitation attributed to the use of these catalysts in FPBO HDT.

## 4.2.3. Catalysts: Noble Metal Catalysts

Noble metal catalysts are another group of catalysts tested in FPBO hydrotreatment reactions. Ruthenium, palladium, and platinum, and some of the noble metals have already been evaluated for this application [206]. Usually, the high cost of noble metal catalysts combined with the significant high consumption of  $H_2$  [195], and high yield of gaseous products [215] are considered the main limitations to establishing a hydrotreatment with these catalysts. Therefore, it motivates the search for cheaper catalysts, most of them non-noble transition elements.

French et al. [215] compared the catalytic activity of NiMo/Al $_2$ O $_3$  with Pt/C, Pd/C and Ru/C. The hydrotreatment reaction took place in a semi-batch reactor with oak fast pyrolysis bio-oil. Pt/C showed the highest yield of gaseous products, with this fraction mainly composed by methane. A significant amount of methane formation was also observed with Ru/C. This catalyst showed low activity for hydrodeoxygenation but hydrogenation activity higher than NiMo/Al $_2$ O $_3$ . Pd/C showed minor hydrodeoxygenation activity and the highest H $_2$  consumption among the catalysts tested.

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Wan et al. [227] evaluated the hydrotreatment of acetic acid in water and n-heptane and the impact of p-cresol over the acetic acid hydrotreatment with  $Ru/Al_2O_3$ , Ru/C,  $Pt/Al_2O_3$ , Pt/C, and Pd/C. Among all catalysts tested, the highest activity was observed with Ru/C. Acetic acid was mostly converted to ethanol at reactions conducted at 150 °C; at 300 °C,  $CH_4$  and  $CO_2$  are the main products. The esterification of ethanol to ethyl acetate is favored by replacing water with n-heptane. When p-cresol is included in the model mixture, the reaction pathways previously mentioned are suppressed while formation of methylcyclohexane from hydrodeoxygenation of p-cresol takes place.

Gholizadeh et al. [228] evaluated Pd/C for the HDT of malle wood pyrolysis oil at  $375\text{-}450\,^{\circ}\text{C}$  and 70 bar of H<sub>2</sub>. The long-term HDT was impacted by coke formation (attributed to polymerization), especially at higher temperatures, even with a stabilization step taking place in the upstream stage.

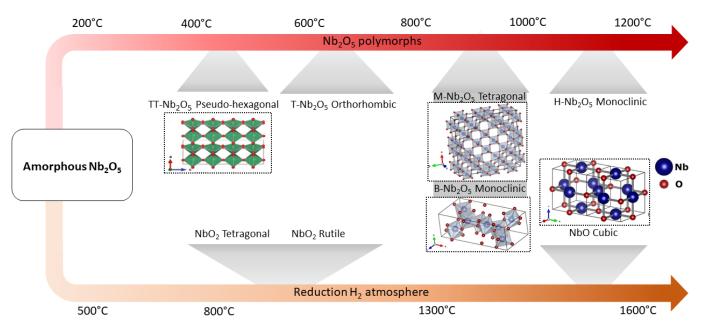
## 4.2.4. Niobium-Based Catalysts

Brazil has circa 85% of the niobium world reserves and in 2018, the country was the global main niobium producer. Circa 68,000 tons of contained niobium were mined in Brazil, corresponding to 88% of the global production, followed by Canada with 7700 tons produced [229].

Niobium can be found in several compounds as oxides, sulfides, halides, and others. Niobium oxides exhibit oxidation states +5, +4, and +2, respectively, with Nb<sub>2</sub>O<sub>5</sub>, NbO<sub>2</sub>, and NbO; among them, +5 is the most stable. NbO<sub>2</sub> can be obtained by reduction of Nb<sub>2</sub>O<sub>5</sub> under hydrogen atmosphere at high temperatures (800–1300 °C); however, this transformation is reversible. At even higher temperatures (1300–1600 °C), NbO is formed and presents a cubic basic unit [230] (Figure 17).

Niobium pentoxide ( $Nb_2O_5$ ), the most thermodynamically stable structure, can be amorphous or found in one of the dozens of polymorphic crystalline phases already studied, with different physical properties. The complexity of niobate polymorphs reflects on the several nomenclature systems proposed until now, increasing confusion and contradiction about these crystalline polymorphs [231]. One of the most applicable nomenclature systems was suggested by Schäfer et al. [232]. Based on the German language, Schäfer and colleagues classified the most common phases regarding the temperature they were obtained: TT (Tief-tief; low-low), T (Tief; low), M (Mittel; medium), and H (Hoch; high). Some other polymorphs were also named regarding their particle shape: B (Blatter; leaves), N (Nadeln; needles), and R (Prismen; prisms). Ko and Weissman [233] summarized the most commons crystalline phases obtained from heating the amorphous Nb<sub>2</sub>O<sub>5</sub>. However, besides calcination temperature, the final structure is also affected by impurities, the starting material, and the method of catalyst preparation [234]. Therefore, as previously emphasized, the transition temperature should be seen only as an indicator and not as absolute due to the impact of the many factors mentioned before [233]. The TT phase is formed by calcination at low temperatures (400–500 °C) for a long-term heating and exhibits a pseudo-hexagonal structure. At temperatures between 600 and 800 °C, the T phase is obtained, which presents orthorhombic unit cells [232]. The M phase can be obtained for calcination temperatures of around 800 °C with a tetragonal structure. This phase is normally obtained together with other polymorphs, as the B phase. Calcination at high temperatures (>1000 °C) transforms the amorphous niobium oxide in the H phase, the most stable thermodynamically phase, presenting a monoclinic structure.

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**Figure 17.** Common niobium oxides and niobates polymorphs. The temperature scale is only indicative, not absolute. The crystalline structures were reproduced from Nico et al. [231] except the TT-Nb<sub>2</sub>O<sub>5</sub> reproduced from Meng et al. [235]. Adapted with permission from Nico, C. et al. [231] (2016), Meng, J. et al. [235] (2016). Copyright 2016, 2019 Copyright Elsevier, Wiley.

In summary, the niobium pentoxide polymorphs are composed of NbO<sub>6</sub> octahedra with different distortion degrees and combined by one of several types of linkage regions, all keeping the O/Nb ratio at 2.5. Such variety explains the several polymorphs observed, as highlighted by Schäfer et al. (1966) [232]. Since the distortion degree of octahedral NbO<sub>6</sub> rests on the polymorph structure, it results in varied textural and structural abilities. Porosity, surface area, and acidity typically decrease with higher crystallization temperatures. The polymorphism affects the overall catalytic activity of the mentioned crystal phases. The TT phase, for example, is the highest distorted structure and presents the highest number of oxygen vacancies in the structure, which leads to high numbers of Bronsted and Lewis acid sites [236].

Niobium oxides have been previously investigated as catalysts for many reactions, such as dehydration, hydration, etherification, hydrolysis, condensation, dehydrogenation, alkylation, photochemical and electrochemical polymerization, and oxidation [230,237–239]. When combined with different metals and metal oxides, niobium oxides showed strong support and promoter effects and were able to improve the catalysts' activity and selectivity [230,237].

#### 4.2.5. Niobium Catalysts for Hydrotreatment Reactions

Niobium-based catalysts have been studied in multifunctional systems for HDT of biomass-derived feed, such as model compounds (phenol, anisole, diphenyl ether, furfural, 4-heptanone, and others) [230,236,240], extracted lignin [241,242], lignin oil (prepared by reductive depolymerization) [243], enzymatic lignin, and pyrolytic bio-oil (gas phase) [244]. The high stability, presence of water-tolerant Lewis acidity, ability of C-O bond cleavage, and the synergism between metal sites, acid sites, and niobium oxide sites are positive characteristics attributed to niobium-containing catalysts. Bifunctional catalysts (noble metal + niobium oxides) are especially promising for hydrotreatment reactions, due to the cleavage ability of Nb and the hydrogenation ability of noble metals, boosting the catalytic activity on HDT reactions.

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#### 4.2.6. HDT of Model Compounds with Niobium-Containing Catalysts

Most of the studies of niobium-containing catalysts for HDT applied model compounds as representatives for lignin or biomass pyrolytic decomposition products, allowing fundamental studies on the catalyst behavior and the reaction mechanism (Table 9). Nb<sub>2</sub>O<sub>5</sub> has been used as a support in HDT studies of model compounds. Jing et al. [245] converted furfural and 4-heptanone into branched alkanes using Pd/Nb<sub>2</sub>O<sub>5</sub> for aldol condensation followed by hydrodeoxygenation. The results showed that the Nb<sub>2</sub>O<sub>5</sub> promoted aldol condensation (activation of C=O bond of carbonyl molecules), C-O bond cleavage, and the dehydration reaction. Barrios et al. [240] compared the activity of Pd/SiO<sub>2</sub> and  $Pd/Nb_2O_5$  towards the HDT of phenol in a fixed bed reactor. The activity and selectivity were higher for niobium-supported catalysts in comparison to silica-supported catalysts, due to the interaction between the oxophilic sites on Nb oxides and the phenolic oxygen. Rezende et al. [246] observed that this interaction decreases the energy required for C-O cleavage. The authors performed phenol gas-phase HDT using several niobium-containing catalyst formulations: Ni/Nb<sub>2</sub>O<sub>5</sub>, Ni/CeO<sub>2</sub>, Ni/Ce<sub>0.30</sub>Nb<sub>0.70</sub>O<sub>2</sub>, and Ni/Ce<sub>0.80</sub>Nb<sub>0.20</sub>O<sub>2</sub>. The increasing niobium concentration improved the catalyst activity and the selectivity towards benzene.

Niobium has also been investigated as a promoter for HDT of model compounds in different catalyst formulations. Jin et al. [247] applied different molar ratios of Nb-Ni oxide catalyst for anisole HDT. The selectivity to oxygen-free products increased with Ni $_{0.92}$ Nb $_{0.08}$  due to the acid sites from amorphous Nb $_2$ O $_5$ . However, the addition of niobium to bulk Ni catalysts reduced the superficial area, which slightly decreased the anisole conversion. Furthermore, a higher niobium concentration reduced the deoxygenation degree due to the formation of inactive NiNb $_2$ O $_6$ .

Xue et al. [248] observed lower conversion of 2,5-dimethyltetrahydrofuran (DMTHF) with higher niobium loadings on Pd/SBA-15 catalyst (niobium concentration in the range of 1–14 wt.% Nb). According to their results, the catalytic performance depends on the state of niobium species, which correlates to the niobium loading. Although increasing the niobium concentration from 1 to 14 wt.% increased the activity, the turnover frequency based on niobium atoms (TOF<sub>T</sub> [h<sup>-1</sup>], defined as the quotient of the total of converted molecules generated per hour and the number of niobium atoms) decreased drastically from 7.7 to 1.4. This compromise is related to the decrease of Nb species with lower coordination numbers that are able to adsorb oxygen from DMTHF.

Besides the loading impact, Shao et al. [249] evaluated the impact of the catalyst synthesis. The authors synthesized  $Pd/Nb_2O_5/SiO_2$  catalysts for HDT of several model compounds: (4-(2-furyl)-3-buten-2-one; palmitic acid; tristearin and diphenyl ether). Three different  $Nb_2O_5$  loadings in silica support (5, 10, and 20 wt.%  $Nb_2O_5$ ) and two methodologies (wet impregnation and sol-gel) were tested. According to them, the catalyst with 10 wt.% of  $Nb_2O_5$  and 4 wt.% of Pd prepared by sol-gel presented the highest activity for furan-based HDT and showed an octane yield of 95.5%, in contrast to the low octane yield (16%) obtained with Nb-free catalyst. They observed that the sol-gel method resulted in more niobium oxide species exposed on the surface, due to the better dispersion, while the wet impregnation led to larger  $Nb_2O_5$  crystallized at the support. The stability of  $Pd/Nb_2O_5/SiO_2$  was tested for palmitic acid and the activity was almost constant in a 150 h time-on stream test.

Infantes-Molina et al. [250] analyzed the support effect on PdNb/SiO<sub>2</sub> and PdNb/PPH (zirconium phosphate heterostructures) for HDT of dibenzofuran. The PdNb/SiO<sub>2</sub> showed the best HDT conversion: between 50 and 80% of the initial amount of reagent was converted into products free of oxygen, compared to PdNb/PPH (between 20–40%). SiO<sub>2</sub>-supported catalysts were also more selective to deoxygenated products (circa 70%) compared to PPH-supported catalysts (circa 26%). Although the silica support presented less surface area (218 m²/g) than the PPH (534 m²/g) and consequently less metal dispersion, it had higher stability under impregnation in acid conditions. Regarding the preparation procedure impact, the calcination between Nb and Pd incorporation resulted in catalysts

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with higher activity, due to a higher dispersion of the active phase. However, the remaining Cl from the palladium precursor, PdCl<sub>2</sub>, was directly correlated to the carbon deposition, related to lower HDT conversion.

Guan et al. [251] studied the multifunctionality of Nb-promoted catalysts. The authors synthesized and applied Pt/CN (carbon nanotube) catalysts modified with solid acid nanosheets (HNbWO $_6$ , HNbMoO $_6$ , HTaWO $_6$ ) to the HDT of diphenylether. According to the authors, the selectivity towards cyclohexane improved from 19.5% to 96.4% due to the modification with HNbWO $_6$ . The nanosheets were active in the deoxygenation process, while Pt was involved in the hydrogenation step. The support also had a significant role in the metal dispersion, leading to a conversion of 99.7% compared to 16% without the carbon nanotubes.

Zhang et al. [252] discussed the multifunctionality of niobium-modified catalysts supported on carbon composites. They applied  $Ru/Nb_2O_5$ -MC (micromesoporous carbon) composite on phenol HDT to benzene in biphasic solvents (water and decalin). According to them, the Nb-modified support was responsible for dehydration as well as for the activation of the C-O bond of aromatics. A full conversion of phenol leading to an 80% selectivity to benzene was achieved due to the synergistic effect between Ru and the Nb $_2O_5$ -MC support. The authors assumed that the water phase limited the saturation of benzene molecules.

Jeon et al. [253] performed an aqueous-phase HDT of glycerol with Ru-Nb/Al $_2$ O $_3$  catalysts. Synergistic effects between Ru/Al $_2$ O $_3$  and the promoter Nb $_2$ O $_5$  were responsible for the higher catalytic activity and stability. According to the authors, niobium oxide promoted the stabilization of the boehmite and promoted high dispersion of metallic Ru nanoparticles, as well as less formation of hard coke precursors.

	•	•	•
Niobium Function	Author	Catalysts	HDT Feed
Support	Jing et al. (2019) [245]	Pd supported on CaO, MgO, MgAl-HT, ZrO <sub>2</sub> , Al <sub>2</sub> O <sub>3</sub> , and Nb <sub>2</sub> O <sub>5</sub> .	Furfural and 4-heptanone
Support	Barrios et al. (2018) [240]	Pd/SiO <sub>2</sub> and Pd/Nb <sub>2</sub> O <sub>5</sub>	Phenol
Support	Rezende et al. (2018) [246]	Ni/Nb <sub>2</sub> O <sub>5</sub> , Ni/CeO <sub>2</sub> , Ni/Ce0.30Nb0.70O <sub>2</sub> , Ni/Ce0.80Nb0.20O <sub>2</sub> ,	Phenol
Promoter	Xue et al. (2018) [248]	Pd-Nb/SBA-15	2,5-dimethyltetrahydrofuran
Promoter	Jin et al. (2017) [247]	Nb-Ni oxide catalyst	Anisole
Promoter	Guan et al. (2019) [251]	Pt/CN with HNbWO6, HNbMoO6, HTaWO6 nanosheets	Diphenyl ether
Promoter	Infantes-Molina et al. (2016) [250]	Pd-Nb/SiO <sub>2</sub> and Pd-Nb/PPH	Dibenzofurane
Promoter	Shao et al. (2015) [249]	Pd/Nb <sub>2</sub> O <sub>5</sub> /SiO <sub>2</sub> and Ni/Nb <sub>2</sub> O <sub>5</sub> /SiO <sub>2</sub>	4-(2-furyl)-3-buten-2-one; palmitic acid; tristearin and diphenyl eter
Promoter	Promoter Zhang et al. (2019) [252]		Phenol
Promoter	Jeon et al. (2018) [253]	Ru-Nb/Al <sub>2</sub> O <sub>3</sub>	Glycerol

Table 9. Summary of studies with niobium-based catalysts for HDT of model compounds.

#### 4.2.7. HDT of Biomass-Derived Feedstock with Nb-Containing Catalysts

As highlighted by Leal et al. [254], model reactions lead to experimental limitations on the HDT catalyst design for lignin-containing feedstocks, but very few studies have provided valuable information considering real/realistic biomasses, especially applying Nb-containing catalysts. To minimize the interactions between the sugar-rich and the lignin

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matrix of the biomass, the great majority of the studies are performed with *organosolv* lignin, i.e., lignin extracted from ground biomass (mostly wood) using methanol and HCl.

Shao et al. [241] and Dong et al. [242] performed HDT of extracted raw birch lignin with Nb-supported catalysts. Shao et al. [241] studied the selectivity and stability in four consecutive tests of Ru/Nb<sub>2</sub>O<sub>5</sub> catalyst for HDT of *organosolv* birch lignin and 4-methylphenol. Even after the fourth consecutive recycling run, the mass yield based on the theoretical monomer for C<sub>7</sub>-C<sub>9</sub> aromatics was 20.6% wt., with an aromatic selectivity of 70%. Dong et al. [242] performed HDT of extracted raw birch lignin and 4-methylphenol as a model compound using both Pd/Nb<sub>2</sub>O<sub>5</sub> and Pt/Nb<sub>2</sub>O<sub>5</sub> catalysts. Comparing the product distribution, Ru/Nb<sub>2</sub>O<sub>5</sub> mostly promoted the formation of aromatics, while Pd/Nb<sub>2</sub>O<sub>5</sub> and Pt/Nb<sub>2</sub>O<sub>5</sub> catalysts predominantly formed cycloalkanes.

Ma et al. [255] compared the performance of Ru/layered Nb<sub>2</sub>O<sub>5</sub>, Ru/NbPO, and Ru/Nb<sub>2</sub>O<sub>5</sub>. H<sub>2</sub>O (HY-340) for HDT of both enzymatic lignin and p-cresol as a model compound. The enzymatic lignin is obtained by the enzyme-catalyzed hydrolysis of cellulose from corncobs. According to their study, the layered support had the best molar yield for  $C_7$ - $C_9$  hydrocarbons (99.1%) and a selectivity of 88.1% to aromatic hydrocarbons.

Xin et al. [244] explored the effect of different synthesis routes on the activity, selectivity, and promoter effect of  $Nb_2O_5$  on  $Ru/Nb_2O_5$  for HDT of enzymatic lignin and 4-methylphenol. The different synthesis routes resulted in  $Nb_2O_5$  with different properties, such as crystallinity and morphology. Considering the model compound HDT, the layered  $Nb_2O_5$  prepared by short hydrothermal synthesis showed excellent selectivity to toluene (85.9%) and the highest conversion of 4-methylphenol (25.1%). Considering the upgrading of enzymatic lignin, a layered catalyst designed to have more unsaturated NbOx species showed 94.8% selectivity to aromatic hydrocarbons for HDT in the water phase.

Leal et al. [254] applied water-tolerant Ni-supported TT-Nb<sub>2</sub>O<sub>5</sub> (pseudohexagonal phase) nanorods of different Ni loadings as catalysts for lignin oil HDT (produced by an upstream process of catalytic biorefining), as well as using diphenyl ether as a model compound. The model reaction yielded 91% of cyclohexane after five reaction runs using the catalyst with 15% Ni. Despite the promising results for the model compound, the catalyst showed a progressive loss of activity and selectivity to the dehydration of cyclohexanol species, most likely because of accumulated side-products blocking Lewis acid sites.

Li et al. [243] applied RuFe/Nb $_2$ O $_5$  as catalyst for birch lignin oil and 3-(4-hydroxyphenonyl) propanol for integrated dehydrogenative decarbonylation (DHDT) and HDT reactions. This lignin oil was produced through reductive depolymerization with methanol, hydrogen gas, and Pd/C. Their results pointed that the inclusion of Fe in the catalyst increased the ethylbenzene selectivity and the deoxygenation degree in general.

To the best of our knowledge, only Barrios et al. [240] has applied niobium-based catalysts in the HDT reaction of real pyrolysis bio-oil, but more specifically in the vapor phase. They performed vapor-phase hydrodeoxygenation of pine pyrolysis product and model compounds with three catalysts:  $Pd/SiO_2$ ,  $Pd/Nb_2O_5$ , and  $Pd/NbOPO_4$ . The authors observed a reduction of the oxygenated yields by 59% and 43% wt.% feedstock-basis, respectively, for the catalysts  $Pd/Nb_2O_5$  and  $Pd/NbOPO_4$ . In comparison, the  $Pd/SiO_2$  presented a reduction of only 24% in the oxygenated yields. According to the authors, the extent of deoxygenated products correlates to the presence of niobium in both support and oxophilic sites  $(Nb^{4+}, Nb^{5+})$ .

A summary of HDT studies with biomass-derived feedstocks using niobium-containing catalysts is available in Table 10.

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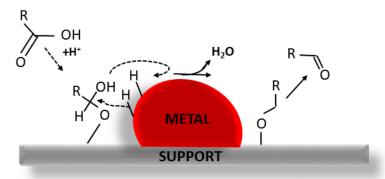
Niobium Function	Publication	Catalysts	HDT Feed
Support	Teles et al. (2018) [256]	Pd/SiO <sub>2</sub> , Pd/Nb <sub>2</sub> O <sub>5</sub> , Pd/NbOPO <sub>4</sub>	Pine pyrolysis product, phenol, anisole, m-cresol, guaiacol (vapor phase HDT).
Support	Li et al. (2020) [243]	RuFe/Nb <sub>2</sub> O <sub>5</sub>	Birch lignin oil, 3-(4-hydroxyphenyl)propanol
Support	Dong et al. (2018) [242]	$Pd/Nb_2O_5$ and $Pt/Nb_2O_5$	Extracted raw birch lignin, 4-methylphenol
Support	Shao et al. (2017) [241]	$Ru/Nb_2O_5$	Extracted birch lignin, 4-methylphenol
Support	Xin et al. (2019) [244]	$Ru/Nb_2O_5$	4-methylphenol, enzymatic lignin.
Support	Ma et al. (2019) [255]	Ru/layered Nb <sub>2</sub> O <sub>5</sub> , Ru/NbPO, Ru/Nb <sub>2</sub> O <sub>5</sub> .H <sub>2</sub> O (HY-340)	Enzymatic lignin, p-cresol
Support	Leal et al. (2019) [254]	Ni/TT- Nb <sub>2</sub> O <sub>5</sub> nanorods	Lignin oil from catalytic upstream biorefining, diphenyl ether

Table 10. List of studies conducted with niobium-based catalysts and real biomass feed.

## 4.2.8. Catalysts: Nickel-Based Catalysts

Due to their lower cost and higher availability, non-noble transition metals (which include Ni) are increasingly used in a variety of catalytic processes, including hydrogenation, and are prime candidates for the development of new catalysts for the HDT of FPBO.

Figure 18 shows the most commonly accepted mechanism of hydrotreatment over reduced transition metal catalysts. This proceeds by the adsorption of oxygenates on either the support (non-noble metal) or the noble metal particle, or even the metal/support interface and subsequent activation, while the adsorption/dissociation of  $H_2$  takes place exclusively on the metal surface. The pre-activation lowers the energy barrier enough to greatly promote the rate of hydrogenation/hydrodeoxygenation [208,225,257]. Dabros et al. [189] debate that the interaction between the metal and support may influence the overall catalytic mechanism.



**Figure 18.** Mechanism of hydrotreatment over reduced transition metal catalysts. Adapted with permission from Mortensen et al. [208] (2011). Copyright 2011 Copyright Elsevier.

Ardiyanti et al. [258] studied eight different nickel-based catalysts (NiCu and NiPd supported on  $SiO_2$ ,  $La_2O_3$ , kaolin, and  $ZrO_2$ ) with different loadings (29–58 wt.% Ni) for the batch hydrotreatment of pine wood bio-oil. The NiPd catalyst with 58 wt.% Ni showed the highest activity and lowest coking, leading to an upgraded product with the lowest oxygen concentration and highest solubility in hydrocarbons. Bar  $ZrO_2$ , all the other supports led to lower production of methane in comparison to Ru/C.

Ardiyanti et al. [259] investigated the performance of NiCu-based catalysts in different supports (ZrO<sub>2</sub>, CeO<sub>2</sub>-ZrO<sub>2</sub>, TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, Sibunite, and rice husk carbon), varying the concentrations of both Ni and Cu. NiCu/TiO<sub>2</sub> was shown to be the most active catalyst, based on the hydrogen consumption and the highest H/C ratio of the upgraded product.

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NiCu/Sibunite, despite not being the most active catalyst, led to an upgraded oil with the lowest O content.

Ardiyanti et al. [260] applied a range of NiCu/Al<sub>2</sub>O<sub>3</sub> catalysts with different metal loadings (0.32–0.81 wt.%) for the HDT of anisole and pine wood bio-oil. The catalyst with a metal loading ratio (Ni/Cu) of 8 led to the best results, namely the highest consumption of  $H_2$  and highest H/C in the final product.

Mortensen et al. [257,261,262] conducted a series of investigations focused on the use of Ni-based catalysts for the HDT of bio-oil or model compounds. The first concerned the degradation of phenol at 275 °C and 100 bar, and the authors classified the different employed catalysts in the following order: Ni/ZrO<sub>2</sub> > Ni-V<sub>2</sub>O<sub>5</sub>/ZrO<sup>2</sup> > Ni-V<sub>2</sub>O<sub>5</sub>/SiO<sub>2</sub> > Ru/C > Ni/Al<sub>2</sub>O<sub>3</sub> > Ni/SiO<sub>2</sub>  $\gg$  Pd/C > Pt/C [257]. An important conclusion is that oxide support is necessary to promote the heterolytic dissociation of O-H in molecules, such as phenol [257].

In another study with similar operating conditions, Mortensen et al. [262] investigated the influence of different-sized (5–22 nm) nickel particles (Ni/SiO $_2$ ) to promote the hydrodeoxygenation of phenol and observed higher deoxygenation rates when using smaller nickel particles (5 nm), attributed to a higher occurrence of step/corner active sites, while hydrogenation was promoted by larger metal particles.

Boscagli et al. [263] compared the catalytic activity during batch HDT of six nickel-based catalysts (Ni/Al<sub>2</sub>O<sub>3</sub>, NiCu/Al<sub>2</sub>O<sub>3</sub>, Ni/SiO<sub>2</sub>, Ni/ZrO<sub>2</sub>, NiW/AC, and Ni/TiO<sub>2</sub>) to that of Ru/C, at 250  $^{\circ}$ C and 8 MPa. The system under study was a light water-rich phase obtained from the fast pyrolysis of wheat straw, featuring a high concentration of low-molecular-weight compounds, such as carboxylic acids, sugar, aldehydes, and ketones, thus a simplified system compared to a single-phase FPBO. Ni-based catalysts showed slightly higher activity and selectivity to olefins when compared to the reference catalyst. The authors observed that the selectivity is highly impacted by the reaction medium: while the HDT of phenol in aqueous solution resulted mainly in cyclohexanol, its conversion in the light FPBO phase led chiefly to cyclohexanone.

Schmitt et al. [217] performed a similar test to that done by Boscagli et al. [263], focused on two Ni-based catalysts, Ni-Cr/SiO $_2$  (50 wt.% Ni) and Cr $_2$ O $_3$ -Ni/SiO $_2$  (60 wt.% Ni). A higher bio-oil yield, attributed to lower gas formation, was reported for these catalysts in comparison to Ru/C, as well as an overall higher activity and conversion of ketones, sugars, and carboxylic acids, namely when employing the Ni-Cr catalyst. The authors also reported the results of a 2-step HDT using these catalysts, resulting in a reduction of 65 wt.% of O-content and 90 wt.% of water compared to the original liquid feedstock.

Despite the validity of the results presented here, predominant factors, such as the reactor design, active metal loading, operating conditions, and feedstock, limit the direct application of results to further developments, thus demanding continuous research and scale-up application studies.

#### 4.2.9. Catalysts: Supports

The choice of the right support material is fundamental for the correct formulation of HDT catalysts, as it is the main factor responsible for the stabilization and dispersion of active metal, as well as the selectivity and activity of the catalysts [195]. Common supports include  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, ZrO<sub>2</sub>, activated carbon, and CeO<sub>2</sub>, without disregarding the possibility of combinations of support materials [109].

Alumina, mainly  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, is a commonly used acid catalyst and support (namely for S-based catalysts [215,216,223,224]), which shows higher activity than SiO<sub>2</sub> and activated carbon but is deactivated in the presence of water (converted to böhmite) and catalyzes the polymerization of phenolics into coke, leading to a fast deactivation [188,212].

Despite presenting a lower activity when compared to  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> [195], SiO<sub>2</sub> shows a lower coke formation tendency under similar conditions, therefore being considered a more viable support for HDT of FPBO [208,226]. For similar reasons, ZrO<sub>2</sub> is also considered a

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promising support, possibly promoting the activation of oxygenated compounds on its surface [226].

Activated carbon is an inexpensive alternative to other acidic supports that features a higher surface area and resistance to water and lower acidity and coke formation in comparison [212,221]. Nonetheless, calcination and other high-temperature regeneration techniques do not apply to activated carbon, thus requiring disposal or future regeneration alternatives [212].

CeO<sub>2</sub>, TiO<sub>2</sub>, MgO, and niobium oxides, less traditional supports, have been tested for HDT/HDO [109,220], and feature a higher dispersion and activity, as well as a reduced tendency for coke formation when compared to conventional options [226].

To be employed for the upgrading of FPBO, a support is required to be stable in the presence of water, due to a significant fraction present in the feedstock, in addition to the amount formed doing hydrotreatment. Other desirable attributes include a high surface area, which promotes a high dispersion of the active metal particles, and a moderate acidity that does not lead to excessive coking [189,195].

## 4.3. Catalysts: Deactivation Mechanisms of Hydrotreatment Catalysts

Deactivation of a catalyst is observed whenever its activity and/or selectivity is diminished during operation. Phenomena of deactivation include poisoning, sintering, coking, leaching, and catalyst degradation [191,208,264], of which water-promoted degradation, coking, and leaching are prevalent during HTL or FPBO [189,191]. Poisoning due to the presence of S, Cl, Ca, and K may occur due to irreversible adsorption or bonding with the active site or reaction with the active metal sites [221]. Over time, effects, such as sintering of active metal sites, are induced by high temperatures and lead to a loss of surface area [206]. The presence of carboxylic acids in the feedstock may induce the leaching of active metals into the aqueous upgraded phase [206].

Among these, coking is undoubtedly the most relevant mechanism of deactivation during FPBO hydrotreatment. The formation of coke is attributed to polymerization and condensation reactions concurrent with HDT, thus requiring the use of catalysts with moderate acidity [189,195]. Shafaghat et al. [221] reported a tendency of the formation of coke to oxygenated components containing more than one oxygen atom, as well as lignin-derived compounds [221]. This phenomenon can never be avoided but attempts to mitigate it employed high pressures of  $H_2$  and moderate temperatures [191,196].

The main challenge, therefore, is the development of a stable catalyst with high selectivity for deoxygenation, and low H<sub>2</sub> consumption, while being resistant to poisoning substances, and able to be regenerated [195]. Such a discovery would enable the production of a broad range of commodities in a fast pyrolysis/upgrading-based biorefinery.

#### 5. Biorefineries

There are several proposals of biorefinery concepts centered on the pyrolysis of lignocellulosic biomass and the upgrading of its liquid products. Agricultural biomass presents a low bulk density, which corresponds to costly transportation and storage, therefore hindering the competitiveness of any transformation process based on this premise [265–268]. The setting-up of market hubs, based on the availability of feedstock within a certain radius, for storage and trading of biomass can assist primary producers with clearing down stocks and obtaining extra revenue, thus generating market liquidity [269,270]. Another approach may be the establishment of bio-based industries, which are versatile in terms of feedstocks, as several biomasses are disregarded due to unfavorable characteristics, such as moisture and ash content [271]. However, it must be kept in mind that farmers and forestry managers often use at least a fraction of this residual biomass alike as a soil fertilizer as part of land management practices (crop rotation, tree nurseries, etc.), thus reducing the possible mass potential [272].

New opportunities, such as the so-called Bioenergy Combined with Carbon Capture, Utilization, and Storage (BECCUS), are certainly of short-term interest for further invest-

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ments. Projections show the need for the removal of up to 16 billion ton of  $CO_2$  from the atmosphere per year in 2100 [273]. Consoli [274] compiled a review of the existent and planned BECCS facilities around the world, and reinforced the relevance of this technology to achieve the target atmospheric  $CO_2$  levels. In this way, fast pyrolysis could provide liquid bio-oil and solid biochar for long-term storage, e.g., in form of soil applications. In addition, the by-produced  $CO_2$  could be separated, and either stored or employed in synthesis processes. Recent studies on the combustion of biomass in an  $N_2$ -free atmosphere may contribute to the abatement of current carbon capture costs (50–90 €/Ton  $CO_2$  to 15–25 €/Ton  $CO_2$ ) [275].

## Pyrolysis-Centered Biorefineries

Pyrolysis could be utilized in different types of biorefinery concepts. To solve the problem of low feedstock energy density and thus high transportation costs, fast pyrolysis could act for pre-treatment of biomass, yielding an energy-dense intermediate for cheaper transportation and easier handling in de-centralized plants supplying a large industrial facility for further conversion to synthetic fuels. Alternatively, the FPBO can be upgraded to different fuel qualities for co-processing in a refinery [276], or for the direct production of fuel components with or without co-production of chemicals, as exemplified by the PyRefinery concept by the Iowa State University [277].

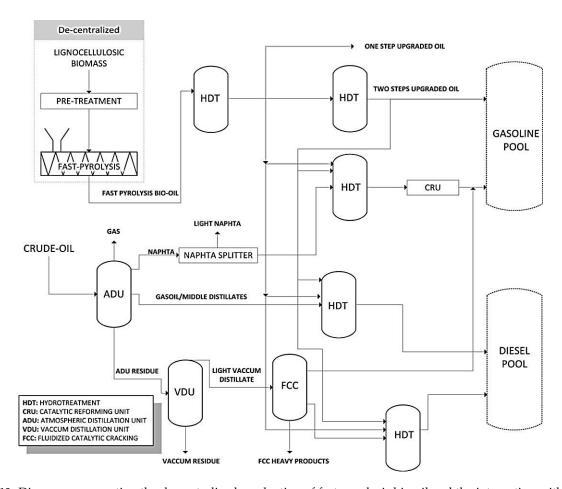
The bioliq<sup>®</sup> concept [278] is one example of the decentralized densification/central refining concept, by considering the presence of several small-scale fast pyrolysis units installed in proximity with the biomass supply, which produce a mixture of FPBO and biochar dubbed "biosyncrude" that features an energy density around 6 times that of the solid feedstock. This material can be easily stored over long periods and economically transported to large-scale biorefineries, in which it is gasified and converted to liquid hydrocarbons using the methanol-to-olefins process [189].

In this document, we would like to propose a second valorization pathway, which also begins with the fast pyrolysis of agricultural residues. In this co-processing concept, the FPBO is not mixed with the coke, but rather directly transported from the network of small pyrolysis units to the central refinery. Here, it may undergo hydrotreatment to be either fully or partially deoxygenated, or just stabilized, depending on both the feedstock properties and target oil properties. The produced oil may be integrated into a conventional petroleum refinery and make use of its structure for processing, distribution, and H<sub>2</sub> supply [205,212]. While the properties of the upgraded oil may vary significantly, miscibility with petroleum-derived products may have been acquired, allowing for co-feeding into different refinery streams (Figure 19), and not requiring a very low O/C ratio [260,279]. However, two-step HDT may be desired for deep HDO, producing final products that can be blended directly into the gasoline or diesel pools [280]. If functionalized aromatics are the desired commodities, a fraction of the first-stage product may be rerouted to another conditioning/separation route, for the production of monomers for the petrochemical, pharmaceutical, polymer, and resin industries [281–285].

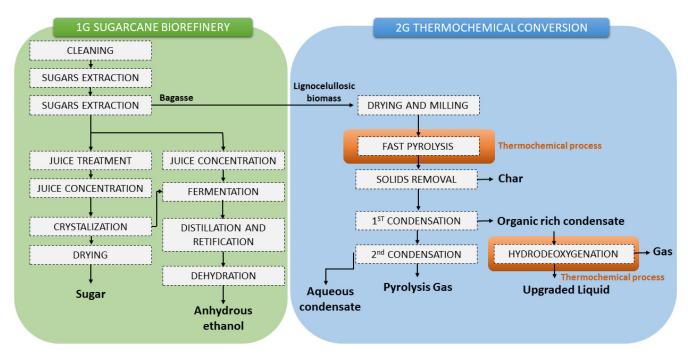
The production of sugar and anhydrous ethanol from the processing of sugarcane generates high amounts of bagasse, a lignocellulosic residue. The integration of a thermochemical conversion unit parallel to the main refinery may allow for an expanded range of products including fuels and chemicals. The annual availability of sugarcane bagasse is discussed in Section 2.2, and a scheme of the integrated concept is shown in Figure 20.

Hydrotreatment requires the supply of large amounts of hydrogen gas, a fact that must be taken into account when designing a biorefinery concept. The current state-of-the-art technology produces H<sub>2</sub> using steam reforming of natural gas, but HDT gas and biomass gasification may be feasible hydrogen sources [108,214,286,287]. Furthermore, H<sub>2</sub> can also be sustainably produced by the hydrolysis of water, using renewable electricity sources, thus valorizing the aqueous condensate of fast pyrolysis [189].

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**Figure 19.** Diagram representing the de-centralized production of fast pyrolysis bio-oil and the integration with bio-oil refining. The 2-step upgrading of fast pyrolysis bio-oil can be performed in a single 2-zone stage HDT reactor, here represented as two individual HDT units.



**Figure 20.** Integration of thermochemical conversion route into the sugarcane biorefinery. Adapted with permission from Schmitt et al. [137] (2020). Copyright 2020 Copyright Elsevier.

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Significant effort must be invested into meeting the challenges of the development, integration, and operation of biomass-based biorefinery concepts, namely when employing residual lignocellulosic biomasses. These challenges include the natural variability of properties associated with the use of biomass, the cost of producing a stable homogeneous FPBO with minimal inorganic content, and the selection/cost of upgrading techniques, depending on the final range of products desired. Other relevant challenges include the stability of oil during storage, the lack of robust catalysts for hydrotreatment, and the need for further investigation of continuous operation and product separation.

#### 6. Summary and Conclusions

The social, political, and economic impact of the current period of anthropogenic climate change has been further exacerbated by the COVID-19 pandemic of 2020/21. Social distancing in several countries disproportionately negatively affected the low socioeconomic strata of the population throughout the world and reduced the sustainability of consumption patterns and energy production while reducing the pollution production associated with personal transportation.

Biorefineries centered on thermochemical conversion methods, such as pyrolysis, are a proven method for producing energy or platform chemicals using residues, mostly biomass but increasingly plastic and mixed residues, as a renewable feedstock. The direct production of liquid products, which can be further refined or employed directly, as well as the low cost of feedstock that does not require pretreatment, lead to thermochemical conversion methods that are competitive with biochemical conversion. The investment in biorefineries also leads to the generation of jobs and the revitalization of local economies currently based on agriculture, while contributing to the economic independence of a country or community.

The contribution of agriculture to the Brazilian economy is impossible to disregard, and the volume of residues generated can further increase the manufacture of second-generation bioproducts, thus reducing the environmental impacts of agricultural activity, such as deforestation, while further valorizing this economic sector. However, pyrolysis liquid produced from biomass is highly oxygenated, thus hindering its long-term stability and usability as a fuel of a feedstock for further treatment. Upgrading using catalytic hydrogenation appreciably reduces the heteroatom content in the bio-oil, and several recent studies aimed at the use of nickel and niobium, both abundant in Brazil, as low-cost alternatives to commercial catalysts further reinforce the potential for synergy between different economic sectors within the country.

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