

Capillary adsorption of droplets into a funnel-like structure

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Abstract

14

15 Penetration of liquid with distinct volumes into a funnel-like pore structure is widely observed
16 in nature and technical applications. However, when the droplet size is comparable with the
17 pore size, the penetration criterion, namely, under which condition the droplet can penetrate into
18 the pore, remains an open question. In this work, we present theoretical models to address the
19 penetration criteria in terms of the droplet size, the intrinsic wettability, and the opening angle
20 of the funnel-shaped structure. The proposed theoretical models are well corroborated by phase-
21 field simulations. Our findings demonstrate a critical contact angle below which a finite-volume
22 droplet can penetrate into a hydrophobic pore. This critical contact angle is intimately related
23 to the opening angle and the droplet size, which provides a complement to previous literature.
24 Noteworthy, for a certain-sized droplet, the critical contact angle becomes invariant when the
25 opening angle is greater than a certain threshold. Moreover, we find that for a constant opening
26 angle, the critical contact angle decreases with the increase of the droplet size. As the droplet
27 volume tends to be infinite, the opening angle almost has no influence to the penetration, and the
28 critical contact angle asymptotically approaches 90° , being consistent with previous works. Our
29 observations illuminate a special mechanism for a precise maneuver of droplets in pore structures
30 with potential applications in filter systems and microfluidic platforms.

31 I. INTRODUCTION

32 The penetration of liquid into a capillary tube has been studied for more than a century [1,
33 2]. The pioneering works of Lucas [3] and Washburn [4] considered the penetration of liquid
34 from an infinite reservoir into a capillary tube, establishing the famous Lucas-Washburn
35 equation. One common conclusion in the previous works is that liquid cannot spontaneously
36 penetrate into a hydrophobic capillary tube with intrinsic contact angle greater than 90° . In
37 contrast to previous consideration of infinite-volume liquid, the penetration of a finite-volume
38 droplet into a pore structure is more appealing for practical applications, such as ink-jet
39 printing, coating, and spray cooling [5]. As demonstrated by Marmur [6], when the droplet
40 diameter is comparable with the characteristic length of the capillary tube, a complete

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41 penetration of the droplet can be achieved in a capillary tube with intrinsic contact angles
42 up to 114° . Willmott *et al.* [7] have also experimentally shown that a finite-volume droplet
43 can penetrate into a capillary tube with static contact angles greater than 90° . Indeed, the
44 size effect results from the Laplace pressure induced by the droplet curvature. However, it
45 is still a knotty issue to address the penetration criterion of a finite-volume droplet into a
46 capillary tube as a function of the intrinsic wettability, which shall be discussed in this work.

47 Apart from the droplet size, the opening angle 2φ of the pore plays an important role
48 as well. Previous studies about the droplet penetration into capillaries focused on either
49 straight tubes ($\varphi = 90^\circ$) [7–9], wedge geometries [10–13], cones [14], and others [1]. These
50 geometries may deviate from the pore shape in reality with different opening angles [15, 16].
51 Some other researchers have investigated the influence of pore geometries such as liquid
52 diodes and passive microfluidic valves [17–19] to the liquid movements but the majority of
53 these works considered infinite-volume droplets. Suffice to say, the mechanisms controlling
54 the penetration behavior of a certain-sized droplet in geometrically confined capillaries have
55 not been completely identified yet. A comprehensive understanding of droplet penetration
56 into geometrically confined capillaries is of crucial significance for a further investigation on
57 the novel biocapillary problems and the development of functional materials with directional
58 water transport properties, to name a few [20–22].

59 In this work, we consider micrometer scale droplets penetrating into a funnel-like capillary
60 tube in a quasi-equilibrium way. The penetration process is considered as an interplay
61 between the capillary force and the Laplace pressure induced by the droplet curvature to
62 minimize the total free energy. Thus, the penetration behavior can be manipulated by
63 altering the substrate wettability, the opening angle, and the droplet volume. Specifically, we
64 concern limiting configurations for droplets in different sizes penetrating into a pore structure
65 with varying contact angles and opening angles. To the best of our knowledge, this is the
66 first time of comprehensively studying the impact of the opening angle and its combined
67 influence with contact angle and droplet size. In our study, we propose analytical models
68 to address the droplet penetration behavior and obtain regime maps of penetration and no
69 penetration. Phase-field simulations are carried out to confirm the theoretical predictions.

70 **II. PHASE-FIELD METHOD**

71 We apply a volume-preserved Allen-Cahn-type phase-field (PF) model [23] to simulate
 72 the droplet penetration behavior. In this model, we introduce a space and time dependent
 73 variable $\phi(\mathbf{x}, t)$ to characterize the phase state. In particular, the states: $\phi(\mathbf{x}, t) = 1$ and
 74 0 stand for the pure liquid and gas phase, respectively. Inside the liquid-gas interface, the
 75 variable $\phi(\mathbf{x}, t)$ varies between 0 and 1. The free energy functional of the system reads [24–
 76 28]:

$$\mathcal{F} = \int_{\Omega} [(1/\epsilon)w(\phi) + f_0(\phi) + \epsilon\gamma_{lg}(\nabla\phi)^2]d\Omega + \int_S f_w(\phi)dS, \quad (1)$$

77 where Ω is the spatial domain occupied by the system, ϵ is related to the width of the liquid-
 78 gas interface, and S represents the substrate in contact with the liquid phases. The obstacle
 79 potential $w(\phi)$ is formulated as $w(\phi) = (16/\pi^2)\gamma_{lg}\phi(1 - \phi)$, if $0 \leq \phi \leq 1$; and $w(\phi) = +\infty$,
 80 if $\phi < 0$ or $\phi > 1$. The bulk free energy density $f_0(\phi)$ ensures the volume preservation [23]
 81 and $\epsilon\gamma_{lg}(\nabla\phi)^2$ denotes a gradient energy density. In the last term, f_w is the wall free energy
 82 density, which is formulated as [25]:

$$f_w(\phi) = \gamma_{ls}h(\phi) + \gamma_{gs}[1 - h(\phi)]. \quad (2)$$

83 Here, $h(\phi) = \phi^3(6\phi^2 - 15\phi + 10)$ depicts an interpolation function, so that $f_w(1) = \gamma_{ls}$
 84 and $f_w(0) = \gamma_{gs}$, where γ_{ls} and γ_{gs} are respectively the surface tensions of the liquid-solid
 85 and gas-solid interfaces. By minimizing the free energy functional based on the variational
 86 approach, we obtain the following equation:

$$\tau\epsilon\partial_t\phi = -(16/\pi^2)\gamma_{lg}(1 - 2\phi)/\epsilon + 2\epsilon\gamma_{lg}\Delta\phi - f'_0(\phi), \quad (3)$$

87 where τ is a time relaxation coefficient. The free energy minimization at the fluid-substrate
 88 boundary leads to the following natural boundary condition [28, 29]:

$$2\epsilon\gamma_{lg}\nabla\phi \cdot \mathbf{n} + f'_w(\phi) = 0. \quad (4)$$

89 Here, \mathbf{n} is the normal vector of the solid-liquid boundary. From Eq. (2) and Eq. (4), we
 90 obtain

$$2\epsilon\gamma_{lg}\nabla\phi \cdot \mathbf{n} = (\gamma_{gs} - \gamma_{ls})h'(\phi), \quad (5)$$

91 which is consistent with the Young's law, $\cos\theta = (\gamma_{gs} - \gamma_{ls})/\gamma_{lg}$ [30]. The parameters γ_{lg} ,
 92 γ_{ls} , and γ_{gs} determine the contact angle θ .

93 It should be noticed that for a superhydrophobic surface, the order parameter on the
 94 substrate beneath the droplet may be smaller than 1. We use the parameters ϕ_{s0} and
 95 ϕ_{s1} to denote the order parameters on the substrate beneath and outside of the droplet,
 96 respectively. When the compositions from the substrate to the bulk of liquids are non-
 97 uniform, the surface tensions of the liquid-solid and gas-solid interfaces are modified as (see
 98 also [31–33]):

$$\gamma_{gs}^* = f_w(\phi_{s0}) + \int_0^{\phi_{s0}} 2\sqrt{\gamma_{lg}w(\phi)}d\phi, \quad (6)$$

$$\gamma_{ls}^* = f_w(\phi_{s1}) + \int_{\phi_{s1}}^1 2\sqrt{\gamma_{lg}w(\phi)}d\phi. \quad (7)$$

100 The surface compositions on the substrate ϕ_{s0} and ϕ_{s1} are obtained by solving the equation
 101 $2\sqrt{\gamma_{lg}w(\phi)} = f'_w(\phi)$. When the substrate is relatively hydrophobic, we obtain $\phi_{s0} = 0$
 102 and $\phi_{s1} < 1$. In this case, the contact angle is calculated via $\cos\theta = (\gamma_{gs}^* - \gamma_{ls}^*)/\gamma_{lg} =$
 103 $[(\gamma_{gs} - \gamma_{ls})h(\phi_{s1}) - \int_{\phi_{s1}}^1 2\sqrt{\gamma_{lg}w(\phi)}d\phi]/\gamma_{lg}$. In the next section and Appendix A, we present
 104 the validation of the wetting boundary condition and the setups of contact angles in detail.

105 In the numerical model, variables are nondimensionalized by the characteristic length
 106 $x^* = 1 \times 10^{-6}$ m, time $t^* = 1 \times 10^{-9}$ s, and energy $E^* = 1 \times 10^{-11}$ J, respectively. We
 107 choose the following modeling parameters $\tau = 1$, $\epsilon = 1$, and $\gamma_{lg} = 1$ in the simulations.
 108 This model has already been validated for the wetting phenomenon on homogeneous as well
 109 as chemically patterned substrates [25, 34, 35]. Here, we utilize the phase-field model to
 110 simulate the droplet penetration into a funnel-like pore structure, which is not only observed
 111 in nature but also used in many technical applications [36–38]. The funnel shaped structure
 112 consists of two parts: the left wedge with an opening angle of 2φ and the right channel
 113 with an inner diameter of L . As shown in Fig. 1, a circled droplet with the initial diameter
 114 D is initially released inside the left wedge. The inner wall of the wedge is tangential to
 115 the droplet profile. Note that we mainly focus on the situation of $D/L > 1$. Driven by
 116 the capillary force, the droplet spreads along the inner wall and reaches an equilibrium
 117 state eventually. It is observed that the droplet in (a) with a hydrophilic substrate ($\theta = 60^\circ$)
 118 completely penetrates into the right channel. However, the droplet in (b) with a hydrophobic
 119 ($\theta = 120^\circ$) substrate shows no complete penetration. This reveals that the wettability plays
 120 an important role for the penetration. In the following, we will address the penetration
 121 criterion in the funnel-like structure in terms of the wettability, the opening angle, and the
 122 droplet size.

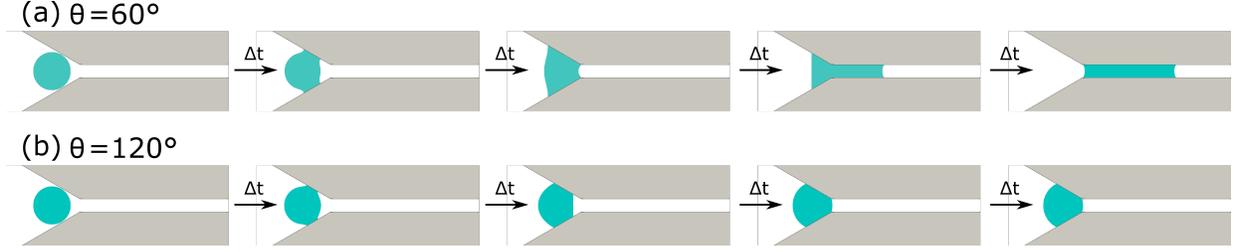


FIG. 1. Droplet evolution with time in a funnel-like structure for different contact angles θ . (a) $\theta = 60^\circ$, (b) $\theta = 120^\circ$. The opening angle of the left wedge is $2\varphi = 60^\circ$. The ratio of the droplet diameter to the channel size is $D/L = 3$.

123 III. VALIDATION OF PHASE-FIELD MODEL

124 Here, we validate the phase-field model by simulating the equilibrium states of droplets
 125 in a wedge in 2 dimensions (2D). According to Baratian et al. [12], the droplet in a wedge at
 126 equilibrium is a truncated sphere, as confirmed by the experimental snapshot (i) in Fig. 2.
 127 Based on the conclusion of Baratian et al. [12], the 2D droplet in a wedge at equilibrium
 128 should be a truncated circle. We simulate the equilibrium droplet shapes in wedges by
 129 varying the opening angle and the contact angle. The opening angle 2φ varies from 26°
 130 to 37° and the contact angle θ changes from 110° to 180° (see more details for the setup
 131 of the contact angles in Appendix A). The droplet diameter is the same as the one in the
 132 paper of Baratian et al. [12]. Initially, we release a 2D circled droplet inside the wedge
 133 and the droplet evolves to the equilibrium state via surface energy minimization. In Fig. 2,
 134 we plot the distance x_0 of the droplet center from the wedge apex as a function of the
 135 intrinsic Young's contact angle θ . The colored symbols indicate the simulation results for
 136 different setups of $(\theta, 2\varphi)$. The dashed lines present the theoretical prediction based on the
 137 assumption that the equilibrated droplet is a part of a circle, which is formulated as:

$$2x_0/D = \sqrt{\frac{\pi}{-\pi + 2\theta - \sin 2\theta} \frac{\cos(\pi - \theta)}{\sin \varphi}}. \quad (8)$$

138 Since the drop distance from the apex is larger than the droplet radius, the above equation
 139 has to meet with the condition $2x_0/D \geq 1$. The insets in the bottom right corner show the
 140 equilibrium droplet morphologies for opening angles $2\varphi = 37^\circ$ and contact angle $\theta = 162^\circ$.
 141 The snapshots (i) and (ii) indicate the experimental result from the work [12] and the present
 142 2D simulation result, respectively. The droplet shapes show a great agreement with the

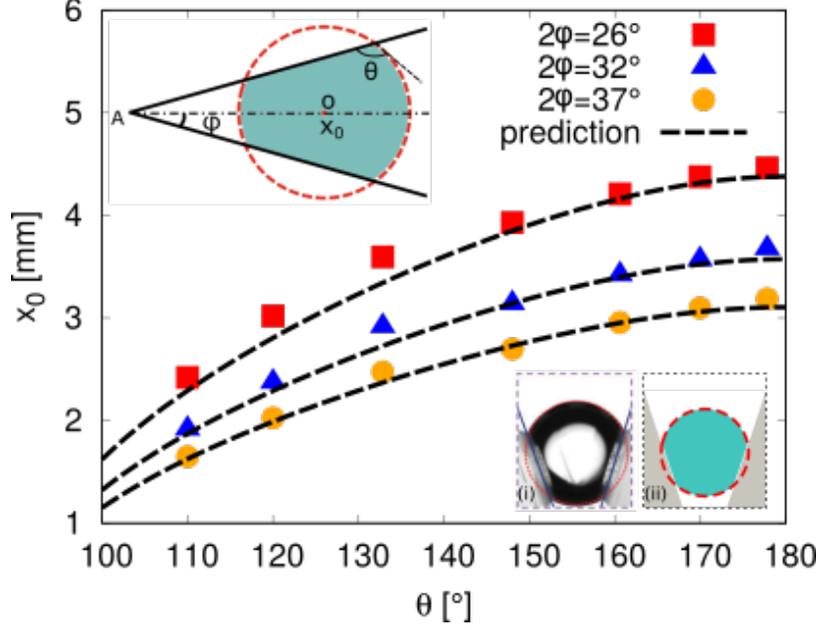


FIG. 2. Validation of the phase-field method for modeling equilibrium states of droplets in a 2D wedge. The distance x_0 of the droplet center O from the wedge apex A as a function of the contact angle θ . The colored symbols show the simulation results for droplets with a diameter of $D = 1.96$ mm (the same diameter as the $4 \mu L$ -droplet in the experiments of Ref. [12]) in three wedges with opening angles 2ϕ ranging from 26° to 37° and contact angle θ changing from 110° to 180° . The black dashed lines represent theoretical predictions in 2D (see Eq. (8)). The inset in the top left corner schematically illustrates the equilibrated state of a droplet in a wedge. The insets in the bottom right corner show the equilibrium droplet morphologies for opening angles $2\phi = 37^\circ$ and contact angle $\theta = 162^\circ$. (i) Experimental results reproduced with permission from the work [12]. Copyright 2015 Royal Society of Chemistry; (ii) Present 2D phase-field simulation results. The red dashed line is a circular fit of the droplet interface.

143 dashed circular fits. The good consistency of the simulation results with the experiments
 144 and theoretical predictions reveals the capability of the numerical model to simulate the
 145 wetting behavior in a confined geometry.

146 IV. ANALYTICAL MODEL

147 In this section, we propose two theoretical models to address the penetration criterion of
 148 a droplet into the channel of the funnel-like structure, as illustrated in Fig. 3. We consider

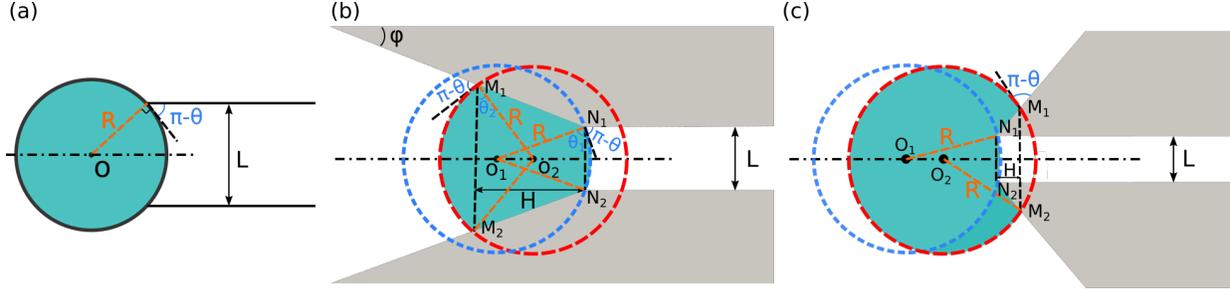


FIG. 3. Schematic of critical states that the droplet cannot completely penetrate into the channel of a funnel-like structure. The dot dashed line is parallel to the channel wall and passes through the point O (or O_1 and O_2). θ represents the static contact angle of the droplet on the substrate and the diameter of the channel is L . (a) The droplet has a point contact with the substrate. In (b) and (c), the wedge of the pore has an opening angle of 2φ . The green colored area indicates the critical state of the droplet. The red and blue dashed circles with radii R fit the left and right droplet interfaces, respectively. M_1, M_2, N_1, N_2 are contact points of the three phases. (b) $\varphi \in (0, \pi/2]$. $\theta_1 = \angle O_1 N_1 N_2$, $\theta_2 = \angle O_2 M_1 M_2$. (c) $\varphi \in (\pi/2, \pi)$.

149 a droplet with an initial diameter of D . When the contact angle $\theta \leq 90^\circ$, the droplet can
 150 always penetrate into the funnel-like structure, as studied in many references [11, 13, 39, 40].
 151 Hence, we here focus on the situation where the contact angle is greater than 90° .

152 Model 1 is described in Fig. 3(a), which shows a special penetration critical state that
 153 the droplet cannot penetrate into the channel. In this case, the droplet is a complete circle
 154 in 2 dimensions (2D) and has a point contact with the substrate. In 3 dimensions (3D), it
 155 is a sphere and has a line contact with the substrate (cylinder tube). To fulfill the wetting
 156 boundary condition in the channel, we have the expression for calculating the radius of the
 157 droplet in the channel: $R = 0.5L / \cos(\pi - \theta)$, which also equals to the initial radius of the
 158 droplet. Thus this critical state can be described by following equation both in 2D and 3D
 159 (model 1):
 160

$$\frac{D}{L} = -\frac{1}{\cos \theta}. \quad (9)$$

161 For a certain droplet size D/L , solving Eq. (9) for θ yields the critical contact angle θ_c
 162 above which penetration occurs. The curve described by Eq. (9) is called the penetration
 163 critical line. Thus we obtain the penetration criterion: when $\theta < \theta_c$, penetration occurs;
 164 when $\theta > \theta_c$, no penetration takes place. Noteworthy, φ does not appear in model 1. The

165 details for the validity of model 1 (Eq. (9)) will be discussed in the following.

166 In general cases, the left and the right interfaces of the equilibrium 2D droplet may
 167 not be on a joint circle. This fact is considered in the model 2, as presented in Fig. 3(b)
 168 ($0 < \varphi \leq \pi/2$) and (c) ($\pi/2 < \varphi < \pi$). Here, the blue and the red dashed circles depict
 169 the left and the right interfaces of the 2D droplet, respectively. At equilibrium, these two
 170 circles have the same curvature radius R to be consistent with the uniform Young-Laplace
 171 pressure inside the droplet. We call this state as the (penetration) critical state for the
 172 droplet. Fulfilling the wetting boundary conditions and the volume conservation, we obtain
 173 the penetration critical state described by model 2 in 2D:

$$\frac{D}{L} = \sqrt{\frac{2(\theta - \pi) + \alpha + \sin \alpha + \sin 2\theta + \cot \varphi(\cos \alpha - \cos 2\theta)}{2\pi \cos^2 \theta}}, \quad (10)$$

174 where $\alpha = 2\theta + 2\varphi$.

175 The 3D structures are obtained by rotating the 2D geometries along the dot dashed center
 176 lines. In this case, model 2 in 3D becomes:

$$\frac{D}{L} = \frac{\sqrt[3]{0.25[\cot \varphi(\cos^3 \theta_2 - \cos^3 \theta_1) + \sum_{i=1}^2 (2 + \sin \theta_i)(1 - \sin \theta_i)^2]}}{\cos \theta_1}, \quad (11)$$

177 with the angles $\theta_1 = \pi - \theta$ and $\theta_2 = \pi - \theta - \varphi$. For certain values of D/L and φ , solving
 178 Eq. (10) or Eq. (11) for θ gives rise to the critical contact angle θ_c above which penetration
 179 occurs. The curve described by Eq. (10) or Eq. (11) is called the penetration critical line.
 180 Thus we have the penetration criterion: when $\theta < \theta_c$, penetration occurs; when $\theta > \theta_c$, no
 181 penetration takes place. See the Appendix for calculation details of model 2 in 2D and 3D.

182 Note that there is a special case for model 2 where the red and blue dashed circles in
 183 Fig. 3(b) and (c) overlap with each other, which is equivalent to a boundary state

$$\varphi_t/2 + \theta_t = \pi. \quad (12)$$

184 Here, $2\varphi_t$ and θ_t denote the opening angle and the contact angle for the boundary state,
 185 respectively. This special state corresponds to the situation where the equilibrium droplet
 186 has a point (in 2D) or line contact (in 3D) with the throat and its contact angles on the
 187 wall of the left wedge and the inner wall of the channel are the same, i.e., $\theta = \theta_t = \pi - \varphi_t/2$.
 188 Substituting Eq. (12) into Eq. (10) or Eq. (11), we obtain the same criterion as model 1.
 189 Actually, when $\varphi \geq \varphi_t = 2\pi - 2\theta_t$, the opening angle does not affect the penetration behavior
 190 anymore. In this case, the critical state of the droplet penetration is depicted by model 1.
 191 Thus model 1 and model 2 are valid for $\varphi \geq \varphi_t$ and $0 < \varphi \leq \varphi_t$, respectively.

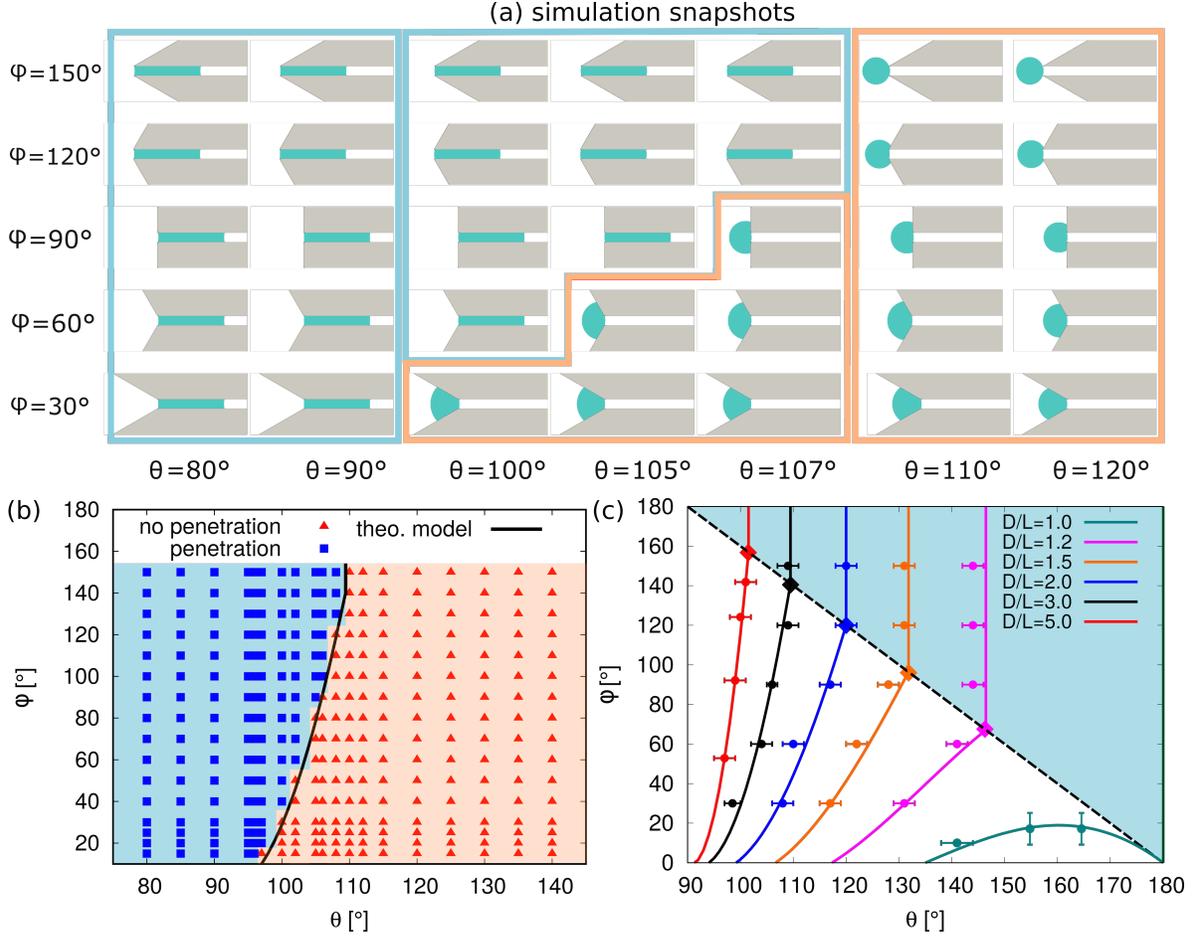


FIG. 4. Penetration criterion diagrams in funnel-like pore structures as a function of φ and θ . (a) Final states of droplets ($D/L = 3$) with varying φ and θ . (b) Regime diagram for the penetration states of the droplet with $D/L = 3$. Blue squares: complete penetration into the channel of the pore structure; red triangles: no penetration. The penetration critical line via theoretical model is indicated by the solid line. (c) The penetration critical lines predicted from the theoretical model for different D/L . The colored rhombus points are intersections of model 1 and 2. The black dashed line passing through these rhombus points is described by Eq. (12). The circle points with scattering bars via binary search algorithm indicate the simulation results for the critical state.

192 V. RESULTS AND DISCUSSIONS

193 In this part, we numerically and analytically investigate the influence of the opening
 194 angle 2φ , the contact angle θ , and the droplet size D/L to the droplet penetration behavior.
 195 In the numerical simulation, a droplet is initially released on the left side of the wedge,

196 contacting the substrate. For different opening angles and contact angles, we achieve distinct
 197 equilibrium states of the droplet. Exemplary 2D simulation results are illustrated in Fig. 4(a)
 198 for $D/L = 3$. In Fig. 4(b), we plot the penetration states from the 2D simulations in the
 199 ranges $\varphi \in [10^\circ, 150^\circ]$ and $\theta \in [80^\circ, 140^\circ]$. The squares and triangles indicate the states of
 200 penetration and no penetration into the channel of the pore structure, respectively. The
 201 theoretical prediction via model 1 ($\varphi \in [140^\circ, 150^\circ]$) and model 2 in 2D ($\varphi \in [10^\circ, 140^\circ]$)
 202 is depicted by the solid line, which is excellently consistent with the simulation results in
 203 the studied range. It is further observed that for a fixed opening angle, a large contact
 204 angle tends to prevent the penetration and that for a fixed contact angle in the range of
 205 $\theta \in (96^\circ, 110^\circ)$, a large opening angle facilitates the penetration.

206 Fig. 4(c) presents the effect of the droplet size D/L on the penetration critical lines,
 207 where different droplet sizes D/L correspond to distinct colored solid lines. The theoretically
 208 predicted penetration critical lines are obtained by solving Eq. (9) and Eq. (10) for different
 209 values of D/L . The colored circle points indicate the 2D simulation results, where the
 210 scattering bar is a result of the binary search algorithm. For instance, we initially run two
 211 simulations with contact angles θ_I and θ_{II} . The selection for the contact angles is guided by
 212 the theoretical value θ_c , such that $\theta_I < \theta_c < \theta_{II}$, and leads to penetration and no penetration
 213 states, respectively, for θ_I and θ_{II} . The so-called binary search algorithm compares these
 214 two simulation results to the one for $\theta_m := (\theta_I + \theta_{II})/2$. If penetration takes place for θ_m , we
 215 replace θ_I by θ_m ; otherwise, θ_{II} is replaced by θ_m . We repeat this procedure successively for
 216 the new θ_I and θ_{II} until the critical state is found within a relatively narrow interval. At the
 217 end of the binary search procedure, the critical state from the simulation is represented by
 218 θ_m and the scattering bar is obtained according to the difference of the final θ_I and θ_{II} . The
 219 intersections (θ_t, φ_t) between model 1 (straight part of the solid lines) and model 2 in 2D
 220 (curved part of the solid lines) are illustrated with the rhombus points. The black dashed
 221 line passing through these intersections corresponds to Eq. (12), which divides the whole
 222 region into two zones, namely, the blue zone ($\varphi \geq \varphi_t$) and the white zone ($\varphi \in (0^\circ, \varphi_t)$).
 223 The simulation results coincide excellently with model 1 in the blue zone as well as with
 224 model 2 in the white zone. This implies that the equilibrated droplet in the blue zone has
 225 only a point contact with the substrate. A typical result for the scenario of a point contact
 226 inside the blue zone is demonstrated in Fig. 4(a) for the setup ($\theta = 120^\circ, \varphi = 150^\circ$).

227 For a certain-sized droplet, the critical contact angle increases with the opening angle

228 until a certain value φ_t is achieved. Above φ_t , the critical contact angle is a constant value.
 229 The value of φ_t increases with the droplet size D/L , implying that the penetration behavior
 230 of a larger droplet can be manipulated by adjusting the opening angle in a wider range (φ
 231 $\in (0^\circ, \varphi_t)$). Moreover, the critical lines become more and more steep with an enlargement
 232 of the droplet size, which reveals that the changes in the opening angle have more profound
 233 impact on smaller droplets. It is to be expected that when $D/L \gg 1$, the curvature is
 234 not largely affected by the opening angle anymore. In this case, the critical contact angle
 235 asymptotically approaches 90° . This is consistent with the classic conclusion in literature
 236 that a large droplet cannot spontaneously penetrate into a hydrophobic capillary tube. It
 237 should be noticed that the critical line of droplet penetration for $D/L = 1$ still exists, under
 238 which the droplet cannot completely penetrate into the channel. For instance, when $\phi = 10^\circ$,
 239 the droplet with $D/L = 1$ at $\theta = 160^\circ$ cannot completely penetrate. In this case, the droplet
 240 forms a liquid bridge with aspect ratio (width/height) smaller than 1. It is highly possible
 241 that the small droplet may touch only one side of the wall and finally obtains a shape of
 242 spherical cap. Our model is not valid for this situation anymore. Our current study mainly
 243 focuses on the situation of $D/L > 1$, thus the situation of tiny droplets with $D/L < 1$ is
 244 neglected.

245 In Fig. 5(a)-(c), we keep the opening angle constant ($\varphi = 30^\circ$) and study the combined
 246 influence of the droplet size and the contact angle on the droplet penetration. Fig. 5(a)
 247 and (b) illustrate the 2D simulation snapshots of the final droplet states by varying the
 248 contact angle and the droplet size, respectively. As shown in Fig. 5(a) for $\varphi = 30^\circ$ and
 249 $D/L = 3$, the droplet completely penetrates into a hydrophilic channel ($\theta = 30^\circ, 60^\circ$, and
 250 90°), whereas this is not possible for a hydrophobic substrate with $\theta = 120^\circ$ and 150° . For
 251 a hydrophobic substrate ($\theta = 110^\circ$), the droplet moves into the channel when the droplet is
 252 sufficiently small, as demonstrated in the first three panels in Fig. 5(b). These states can
 253 be obtained because we initially release the circled droplets inside the left wedge and the
 254 inner wall is tangential to the droplet profile (see e.g. Fig. 1(a) or (b) the first snapshot).
 255 It is also possible that small droplets may touch only one side of the wall and stay on the
 256 flat wall. So both cases are stable states but they are dependent on the initial states of
 257 droplets, but our model only addresses the former case. With an increase in the droplet
 258 size, a complete penetration cannot be achieved, as depicted by the last two panels in
 259 Fig. 5(b). The penetration states from the 2D simulation results are displayed in Fig. 5(c)

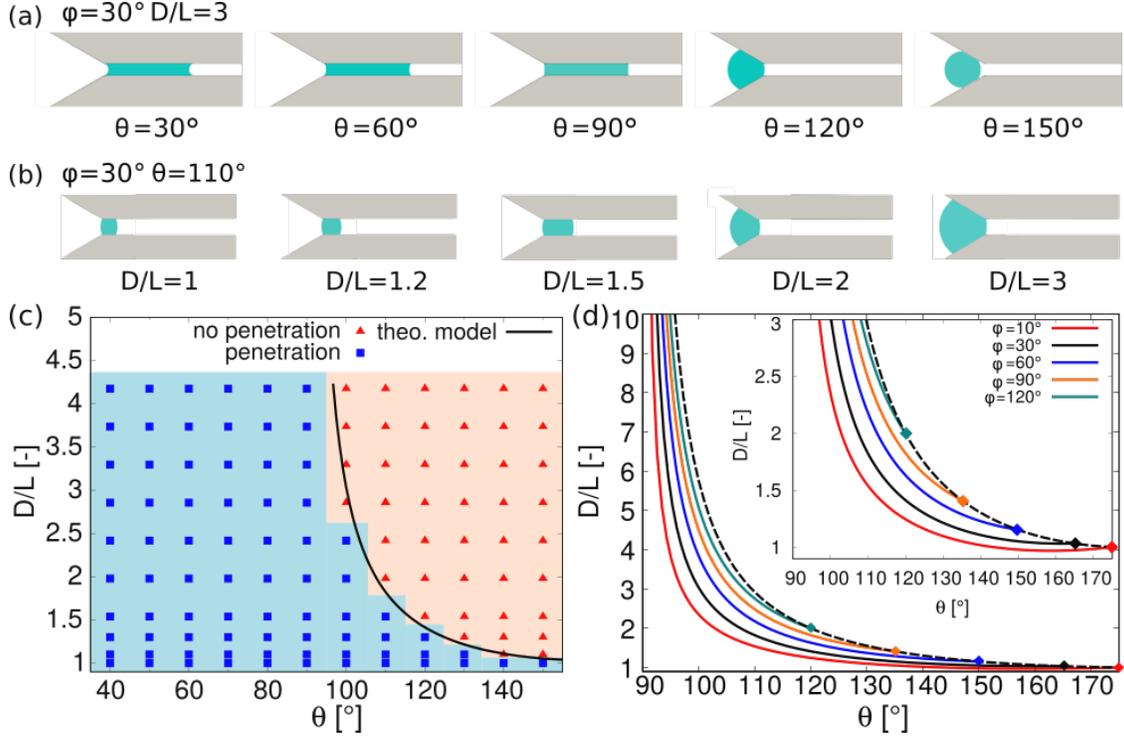


FIG. 5. Regime diagram for the end-state of droplets in funnel-like structures with different D/L and θ . The opening angle ($\varphi = 30^\circ$) is constant in (a)-(c). (a) and (b) The final droplet states influenced by θ and D/L , respectively. In (c), the blue squares and the red triangles indicate a complete penetration and no penetration, respectively. The solid curve describes the penetration critical line from the theoretical model. In (d), different colored curves show the results from the theoretical model with φ varying from 10° to 120° . The inset displays a magnification of the diagram. The black dashed curve (Eq. (12)) intersects the colored curves with different points which are highlighted by rhombus points.

260 for $D/L \in [1, 4.2]$ and $\theta \in [40^\circ, 150^\circ]$. The theoretical prediction of the penetration critical
 261 line is represented by the solid line, which shows a very good agreement with the simulation
 262 results. As described by the penetration state map, a low volume droplet with a small
 263 contact angle is more prone to penetrate into the channel of the funnel structure. In the
 264 hydrophilic region ($\theta \leq 90^\circ$), penetration is inevitable irrespective of the droplet volume.

265 Fig. 5(d) presents the 2D theoretical predictions via model 1 (black dashed line, $\theta \in$
 266 $[\theta_t, 180^\circ]$) and model 2 (colored lines, $\theta \in (90^\circ, \theta_t]$) for a series of opening angles. The
 267 intersections $(\theta_t, (D/L)_t)$ of model 1 and model 2 are highlighted by colored rhombus points.
 268 The subscript t indicates the boundary state and $(\theta_t, (D/L)_t)$ meets with both Eq. (9) and

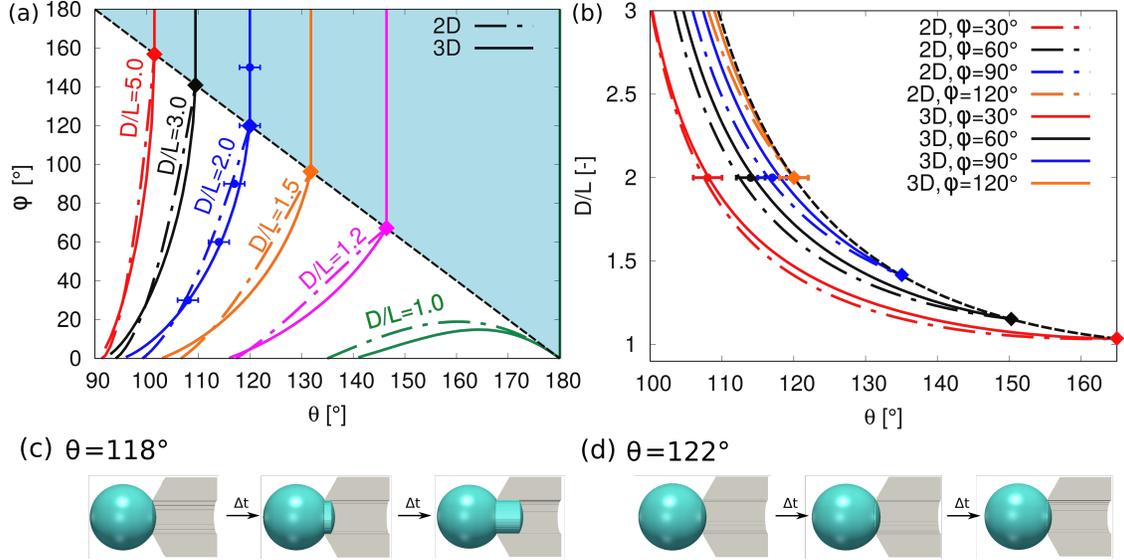


FIG. 6. Comparison of 2D and 3D theoretical predictions for the penetration critical lines. (a) The penetration critical lines as a function of φ and θ . (b) The penetration critical lines as a function of D/L and θ . The penetration critical lines via 2D model (dot-dashed line) and 3D model (solid line) for different values of D/L in (a) (or φ in (b)) are displayed in different colors. The black dashed line in (a) is described by Eq. (12). The black dashed curve in (b) shows model 1 (Eq. (9)). The colored circle points with scattering bars are results of the binary search algorithm via 3D simulations. (c) and (d) show 3D simulations for $\theta = 118^\circ$ and 122° respectively. For both cases, $\varphi = 120^\circ$ and $D/L = 2$.

Eq. (10). All the penetration critical lines show the same tendency that the critical contact angle increases with a decrease in the droplet size but in two stages: (i) decreasing along the colored line in the range of $\varphi \in (90^\circ, \theta_t]$; (ii) decreasing along the black dashed line in the range of $\theta \in [\theta_t, 180^\circ)$. Moreover, it is observed that a small droplet is more sensitive to the change in the opening angle, while a large droplet is more robust to the influence of the opening angle. All the penetration critical lines asymptotically approach 90° as the droplet becomes extremely large.

The above discussions are based on 2D analysis. Here, we further consider the 3D conical structures, which are obtained by rotating the 2D geometries along the axis of symmetry. Fig. 6(a) and (b) present the comparison of 2D (dot dashed lines, Eq. (10)) and 3D (solid lines, Eq. (11)) theoretical predictions of the penetration critical lines. The circle points with scattering bars via binary search algorithm indicate the 3D simulation results for the

281 critical states, which confirms the 3D theoretical model for $D/L = 2$. As shown in Fig. 6(c)
 282 and (d), the 3D droplet penetrates into the channel for $\theta = 118^\circ$ but stays outside of the
 283 channel for $\theta = 122^\circ$. To avoid repeated confirmations of the similar situation for other
 284 droplet sizes, additional 3D simulations are not further performed. It is found that there
 285 is only slight difference between the 2D and 3D theoretical models in the situation where
 286 model 2 is valid. Noteworthy, model 1 in 3D is exactly the same as the 2D case. It implies
 287 that the conclusions for the 2D situation also work for the 3D scenario.

288 VI. CONCLUSIONS

289 The droplet penetration behavior into a 2D funnel shaped structure has been investigated
 290 for a wide range of droplet sizes, contact angles, and opening angles. Based on the geometric
 291 analysis of droplet shapes and the pore structures, we proposed two theoretical models to
 292 address the regime maps of penetration and no penetration, which are confirmed by the
 293 comprehensive phase-field simulations. It is found that for a fixed droplet size D/L , the
 294 critical contact angle increases with the opening angle until a certain value of the opening
 295 angle $2\varphi_t$ is achieved. Above the opening angle $2\varphi_t$, the critical contact angle is not affected
 296 by the opening angle anymore. Furthermore, for a certain opening angle, the critical contact
 297 angle increases with decreasing the droplet size and a relatively small droplet is more sensitive
 298 to the influence of the opening angle. Additionally, we considered the 3D conical structures
 299 by rotating the 2D geometries along the axis of symmetry and only tiny difference exists
 300 between 2D and 3D predictions, thus similar findings were observed in 3D scenario. It is
 301 noteworthy that the equilibrated droplet in a hydrophobic wedge with a certain range of
 302 different opening angles tends to be a truncated sphere (in 3D) or a truncated circle (in
 303 2D), as discussed in Ref. [12] and Fig. 2. However, the equilibrium shape of the droplet in a
 304 funnel-like structure is highly dependent on the opening angle. Only when the opening angle
 305 is larger than a certain threshold, the equilibrium shape of the droplet becomes a sphere (in
 306 3D) or a circle (in 2D).

307 As previously demonstrated by Marmur [6], a complete penetration into a capillary can
 308 be achieved for contact angles up to about 114° for sufficiently small droplets, but this
 309 conclusion is based on a straight tube. In the current work, by changing the opening angle
 310 of the funnel-like structure together with the droplet size, we have extended this contact

311 angle limit for the complete penetration of droplets. To sum up, our findings demonstrate
 312 that the droplet penetration behavior can be accurately controlled through the droplet
 313 volume, the opening angle, and the wettability of the pore structure. The thorough study of
 314 the combined influence of these three factors to the droplet penetration paves a novel way to
 315 better understand wetting behaviors in a pore structure. As a future perspective, appropriate
 316 modifications to our current model would permit the study of deformable substrates, leading
 317 to a smart way of directional transport of droplets. Our endeavours in this direction may
 318 provide essential guidelines for practical applications including microfluidics, filter system,
 319 drainage system, oil recovery system, and so on.

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327 Appendix A: Setups of contact angles in hydrophobic region

328 Table AI lists the setups of different contact angles via the interfacial energy parameters
 329 γ_{gs} and γ_{ls} , which appear in the wall free energy density in Eq. (2). The contact angles
 330 are calculated through $\cos \theta = (\gamma_{gs}^* - \gamma_{ls}^*)/\gamma_{lg}$, with $\gamma_{gs}^* = f_w(\phi_{s0}) + \int_0^{\phi_{s0}} 2\sqrt{\gamma_{lg}w(\phi)}d\phi$ and
 331 $\gamma_{ls}^* = f_w(\phi_{s1}) + \int_{\phi_{s1}}^1 2\sqrt{\gamma_{lg}w(\phi)}d\phi$. The surface compositions from theory $(\phi_{s0}, \phi_{s1})_{theo}$ and
 332 from simulation $(\phi_{s0}, \phi_{s1})_{sim}$ are obtained by solving the equation $2\sqrt{\gamma_{lg}w(\phi)} = f'_w(\phi)$ via
 333 Newton’s iteration method and by measuring the value in simulations, respectively. As
 334 shown in Fig. A1, when $\theta < 132.5^\circ$, the curves $g_1(\phi) = 2\sqrt{\gamma_{lg}w(\phi)}$ and $g_2(\phi) = f'_w(\phi)$
 335 always intersect at (0,0) and (1,0). However, when $\theta > 132.5^\circ$, two other intersections
 336 $[\phi_{s1}, g_1(\phi_{s1})]$ and $[1 - \phi_{s1}, g_1(1 - \phi_{s1})]$ appear, relating to additional energy minimum states.
 337 According to Refs. [31–33], the left intersection $[\phi_{s1}, g_1(\phi_{s1})]$ corresponds to a hydrophobic
 338 surface, while the right solution $[1 - \phi_{s1}, g_1(1 - \phi_{s1})]$ corresponds to a hydrophilic setup. In

Table AI. Setups of interfacial energies and the resulting surface compositions for different contact angles.

θ	$(\gamma_{gs}, \gamma_{ls})$	$(\gamma_{gs}^*, \gamma_{ls}^*)$	$(\phi_{s0}, \phi_{s1})_{theo}$	$(\phi_{s0}, \phi_{s1})_{sim}$
100°	(1, 1.174)	(1, 1.174)	(0, 1)	(0, 1)
110°	(1, 1.342)	(1, 1.342)	(0, 1)	(0, 1)
120°	(1, 1.500)	(1, 1.500)	(0, 1)	(0, 1)
132°	(1, 1.669)	(1, 1.669)	(0, 1)	(0, 1)
148°	(1, 1.700)	(1, 1.848)	(0, 0.429)	(0, 0.419)
161°	(1, 2.500)	(1, 1.943)	(0, 0.180)	(0, 0.176)
170°	(1, 6.000)	(1, 1.985)	(0, 0.071)	(0, 0.073)
178°	(1, 101.0)	(1, 1.999)	(0, 0.009)	(0, 0.011)

339 this work, we focus on the hydrophobic setup, thus the intersection point $(1 - \phi_{s1}, g_1(1 - \phi_{s1}))$
340 is not considered. We have examined the equilibrium surface composition on hydrophobic
341 substrates through phase-field simulations. It is found that when $\theta > 132.5^\circ$, the solutions
342 $(0,0)$ and $(\phi_{s1}, g_1(\phi_{s1}))$ are more energetically stable. However, when $90^\circ < \theta < 132.5^\circ$, the
343 intersections $(0,0)$ and $(0,1)$ are the only solutions (see the last column in Table AI). We
344 have validated the contact angles by analyzing the equilibrium states of 2D droplet in a 2D
345 wedge as illustrated in Fig. 2. The simulations show excellent agreement with the theoretical
346 predictions.

348 Appendix B: Model 2 in 2D

349 Model 2 considers the critical state, for which the left and the right interfaces of the
350 droplet are not on a joint circle. As presented in Fig. 2(b) and (c), the blue and red dashed
351 circles depict the left and right interfaces of the droplet, respectively. At equilibrium, these
352 two circles have the same curvature radius R . The volume conservation of the droplet leads
353 to the following equation

$$S_I + S_{II} + S_{III} = \pi D^2/4. \quad (\text{B1})$$

Here, S_I , S_{II} , and S_{III} are the surface areas of the segment M_1M_2 confined by the arch
and chord M_1M_2 corresponding to $\angle M_1O_2M_2$, the segment N_1N_2 confined by the arch and

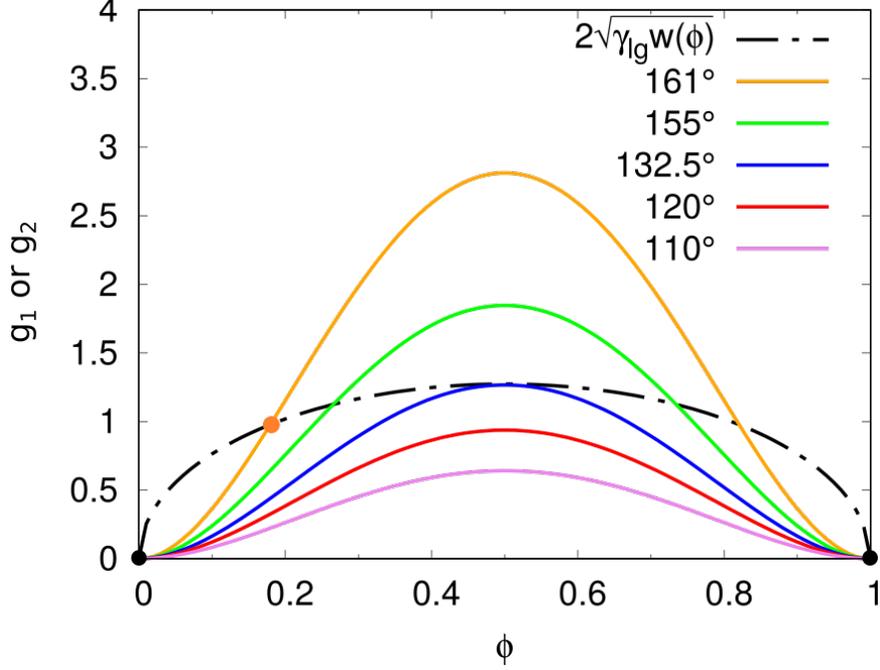


Figure A1. The intersections between the curves $g_1(\phi) = 2\sqrt{\gamma_{lg}w(\phi)}$ (dot dashed line) and $g_2(\phi) = f'_w(\phi)$ for different contact angles (colored curves). The different contact angles are controlled via the value of $(\gamma_{gs}^* - \gamma_{ls}^*)$, which is affected by the intersection point.

chord N_1N_2 corresponding to $\angle N_1O_1N_2$, and the trapezoid $M_1M_2N_1N_2$, respectively. D is the initial diameter of the droplet. Defining the angles $\theta_1 := \pi - \theta$ and $\theta_2 := \pi - \theta - \varphi$, we have the following expressions for S_{III} , S_{IV} , and S_V

$$S_I = R^2(\pi/2 - \theta_1 - \sin \theta_1 \cos \theta_1),$$

$$S_{II} = R^2(\pi/2 - \theta_2 - \sin \theta_2 \cos \theta_2),$$

$$S_{III} = 0.5(L + 2R \cos \theta_2)H.$$

354 Here, $H = (R \cos \theta_2 - 0.5L) \cot \varphi$ is the height of the trapezoid $M_1M_2N_1N_2$. In the case
 355 of $0 < \varphi < \pi/2$ (Fig. 2(b)), $\pi/2 < \varphi < \pi$ (Fig. 2(c)), and $\varphi = \pi/2$, the height H and the
 356 surface area S_{III} are positive, negative, and zero, respectively. Substituting the expressions
 357 for S_I , S_{II} , and S_{III} into Eq. (B1), we obtain the model 2 in 2D (see Eq. (10)).

358

Appendix C: Model 2 in 3D

359

In 3D situation, the volume conservation of the droplet leads to the following equation

$$V_{IV} + V_V + V_{VI} = \pi D^3/6. \quad (\text{C1})$$

Here, V_{IV} , V_V , and V_{VI} are the volumes of the geometries formed by rotating the segment M_1M_2 confined by the arch and chord M_1M_2 corresponding to $\angle M_1O_2M_2$, the segment N_1N_2 confined by the arch and chord N_1N_2 corresponding to $\angle N_1O_1N_2$, and the trapezoid $M_1M_2N_1N_2$ along the dot dashed center line, respectively. D is the initial diameter of the droplet. Defining the angles $\theta_1 := \pi - \theta$ and $\theta_2 := \pi - \theta - \varphi$, we have the following expressions for V_{III} , V_{IV} , and V_V :

$$\begin{aligned} V_{IV} &= (\pi R^3/3)(2 + \sin \theta_1)(1 - \sin \theta_1)^2, \\ V_V &= (\pi R^3/3)(2 + \sin \theta_2)(1 - \sin \theta_2)^2, \\ V_{VI} &= (\pi R^3/3)(\cos^3 \theta_2 - \cos^3 \theta_1)/\tan \varphi. \end{aligned}$$

360

In the case of $0 < \varphi < \pi/2$, $\pi/2 < \varphi < \pi$, and $\varphi = \pi/2$, the volume V_{VI} is positive, negative, and zero, respectively. Substituting the expressions for V_{IV} , V_V , and V_{VI} into Eq. (C1), we obtain model 2 in 3D (Eq. (11))

363

Model 2 (both in 2D and 3D) is valid for $0 < \varphi \leq \varphi_t$, where φ_t meets with Eq. (12).

364

Appendix D: Special cases for $\varphi = 0$

365

The above calculation in the model 2 does not consider the case $\varphi = 0$. When the opening angle is zero, we obtain the classic straight capillary tube, where the droplet forms

366

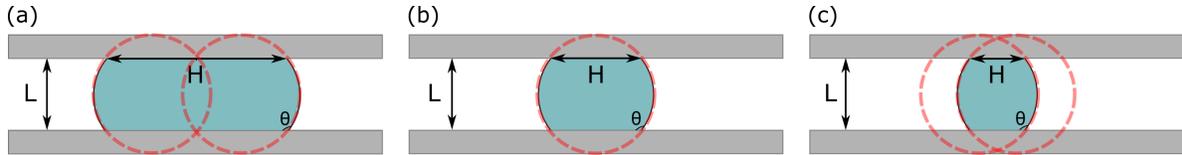


Figure D1. When $\varphi = 0$, the funnel-like structure becomes a classic straight capillary tube, where the droplet forms a symmetric liquid bridge. (a) $H > L \tan(\pi - \theta)$. (b) $H = L \tan(\pi - \theta)$. (c) $H < L \tan(\pi - \theta)$. The red dashed line is a circular fit of the droplet interface.

367 a symmetric liquid bridge. In this case, the height H is independent of the opening angle
 368 2φ . When $H = L \tan(\pi - \theta)$ (see Fig. D1(b)), we obtain the relationship in 2D

$$\frac{D}{L} = \sqrt{\frac{2\theta - \sin 2\theta - \pi}{\pi \cos^2 \theta}}. \quad (\text{D1})$$

369 In 3D (cylinder tube), the relationship becomes

$$\frac{D}{L} = \sqrt[3]{\frac{(2 + \sin \theta)(1 - \sin \theta)^2 + 3 \sin \theta \cos^2 \theta}{2 \cos(\pi - \theta)}}. \quad (\text{D2})$$

370 The above equations are valid for $\theta > 90^\circ$. Specially, when $\theta = 180^\circ$, we obtain $D/L = 1$,
 371 which corresponds to the situation where the 2D circular droplet or 3D spherical droplet
 372 is tangent to the inner wall of the capillary tube. The calculation $H = L \tan(\pi - \theta)$ is
 373 based on the assumption that the left and right interfaces of the droplet are on a joint
 374 circle. The circumstance where the interfaces of the droplet are not on a common circle,
 375 i.e., $H > L \tan(\pi - \theta)$ (large droplet) and $H < L \tan(\pi - \theta)$ (small droplet) are indicated in
 376 Fig. D1(a)(c) respectively. For more details of liquid bridge on different structures, we refer
 377 to the publications [1, 41, 42].

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