

Article

Modeling of an Elastocaloric Cooling System for Determining Efficiency

Nora Bachmann ^{1,2,*} , Daniel Schwarz ¹ , David Bach ¹, Olaf Schäfer-Welsen ¹ , Thomas Koch ² and Kilian Bartholomé ¹ 

¹ Fraunhofer Institute for Physical Measurement Techniques IPM, Georges-Koehler-Allee 301, 79110 Freiburg, Germany; daniel.schwarz@ipm.fraunhofer.de (D.S.); david.bach@ipm.fraunhofer.de (D.B.); olaf.schaefer-welsen@ipm.fraunhofer.de (O.S.-W.); kilian.bartholome@ipm.fraunhofer.de (K.B.)

² Institute of Internal Combustion Engines IFKM, Karlsruhe Institute of Technology (KIT), 76131 Karlsruhe, Germany; thomas.a.koch@kit.edu

* Correspondence: nora.bachmann@ipm.fraunhofer.de

Abstract: When it comes to covering the growing demand for cooling power worldwide, elastocalorics offer an environmentally friendly alternative to compressor-based cooling technology. The absence of harmful and flammable coolants makes elastocalorics suitable for energy applications such as battery cooling. Initial prototypes of elastocaloric systems, which transport heat by means of thermal conduction or convection, have already been developed. A particularly promising solution is the active elastocaloric heat pipe (AEH), which works with latent heat transfer by the evaporation and condensation of a fluid. This enables a fast and efficient heat transfer in a compression-based elastocaloric cooling system. In this publication, we present a simulation model of the AEH based on MATLAB-Simulink. The model showed very good agreement with the experimental data pertaining to the maximum temperature span and maximum cooling power. Hereby, non-measurable variables such as efficiency and heat fluxes in the cooling system are accessible, which allows the analysis of individual losses including the dissipation effects of the material, non-ideal isolation, losses in heat transfer from the elastocaloric material to the fluid, and other parasitic heat flux losses. In total, it can be shown that using this AEH-approach, an optimized system can achieve up to 67% of the material efficiency.

Keywords: elastocaloric cooling; simulation; efficiency; analytic model; latent heat transfer; shape memory alloy



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1. Introduction

Global demand for cooling power is growing [1] and is currently met almost exclusively by compressor-based cooling technology. This technology, however, often involves harmful refrigerants. Elastocaloric cooling systems offer an efficient, environmentally friendly alternative that is free from harmful refrigerants [2]. These cooling systems use elastocaloric materials (ECM) such as nickel-titanium alloys [3]. When mechanical tensile, compressive, or bending loads are applied to ECMs, their structure changes from the austenitic to the martensitic phase, releasing latent heat. If this heat is dissipated and the load subsequently removed from the ECM, it will cool down below its initial temperature. This reversible process is known as the “elastocaloric effect” [3]. Cyclically repeating this effect enables heat to be pumped from a cold to a warm reservoir and thus create a cooling system.

Essential for the performance of such an elastocaloric cooling system is the heat transfer between an elastocaloric material and heat source and sink. There are various ways of transferring this heat: in thermal conduction systems, the ECM alternately contacts the system's heat source and the heat sink [4–8]. In these systems, materials are used as thin films or wires with a large surface area for heat exchange under tensile stress. Heat can also

be transported by a fluid or gas using forced convection [9–17]. These systems are often set up as regenerators to increase the temperature range. In recent years, several system approaches with compressive stress have been presented to improve the long-term stability of these systems. Another approach used bending stress and combined both heat transfer mechanisms (conduction and convection) [18]. A third way is to use latent heat transfer, which is a very efficient and fast method of transferring heat and has been used in heat pipes for decades. The active elastocaloric heat pipe (AEH) approach, which utilizes this heat transfer method, has already been shown to be able to generate a temperature span of 5.6 K and a specific cooling power of 6.3 W/g, while showing very good long-term stability under compressive loading [19].

Simulations are an important tool for improving such elastocaloric cooling systems. Validated with experimental data, they can be used to quantify variables that are not directly measurable such as individual loss factors such as parasitic heat fluxes, pressure loss in the valves, and heat exchanger losses. Furthermore, the system's efficiency can be quantified and compared to the theoretical limit given by the properties of the elastocaloric material, enabling the quantification of further optimization potential. In the literature, different approaches of elastocaloric cooling systems have been studied regarding their efficiency with simulations [20–23]. In this publication, we present a simulation model of the AEH that was validated with experimental data. Our aims were to determine potential improvements and draw conclusions on the system efficiency that could be achieved.

To model the materials' behavior, a thermodynamically consistent model based on an approximation of the heat capacity curve by a Cauchy–Lorentz distribution was used. The adiabatic temperature change and dissipative energy of the material can be analytically derived from this equation and expressed as a function of mechanical stress and temperature [24]. Non-measurable input variables such as thermal resistance in the cooling system were determined in advance using an analytical model as described below.

2. Materials and Methods

2.1. Active Elastocaloric Heat Pipe

In the AEH concept, the heat is transferred between the elastocaloric material, heat sink, and source by evaporation and condensation. This method of heat transfer has been used in standard heat pipes for many decades. A heat pipe is a hermetically sealed container where only the fluid is present in its liquid and gaseous states and all non-condensable gases have been removed. In such a heat pipe, a small increase in temperature on one side of the heat pipe (evaporator) directly leads to evaporation of the liquid fluid, an increase in the vapor pressure, and the condensation of the gaseous fluid on the other side of the heat pipe (condenser). Due to the very large latent heat of the evaporation and condensation process, a lot of thermal energy can be transferred with very small amounts of fluid, resulting in very good thermal transport properties of heat pipes.

In the AEH, the same concept is used for heat transfer. The AEH consists of an evaporator (cold side), a segment with the ECM and a condenser (warm side). The segment is separated from the condenser and the evaporator by passive check valves to direct the flow of the gaseous fluid and thereby the heat flux. The working principle of the AEH is shown schematically in four consecutive time steps in Figure 1: (I) When a load is applied to the ECM, it warms up and the fluid on the surface evaporates, so the pressure in the segment increases; (II) the check valve on the condenser side opens and the gaseous fluid streams into the condenser; and (III) when the load is removed from the ECM, it cools down. The fluid condenses on the ECM, the pressure in the segment decreases and gaseous fluid streams from the evaporator into the segment through the check valve (IV).

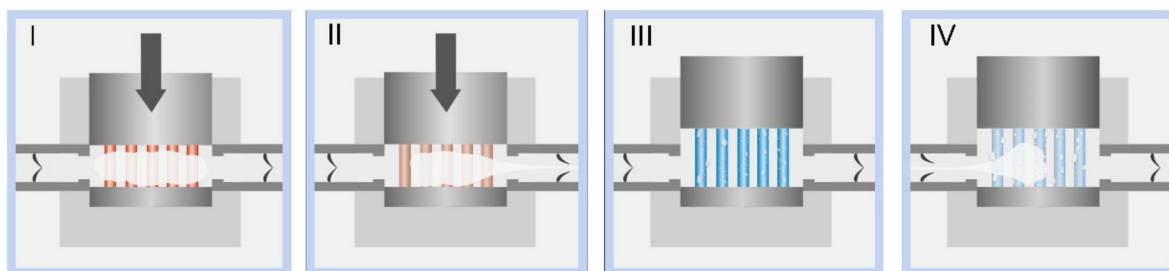


Figure 1. The AEH concept with one segment in four consecutive time steps [19]: (I) A load is applied to the ECM, causing it to heat up. The fluid on the surface evaporates. (II) The pressure in this segment increases, causing the right valve to open and steam to flow into the condenser. (III) When the load is removed from the ECM, it cools down. (IV) The pressure in the chamber decreases to a level below the pressure in the previous segment, causing the left valve to open and steam to flow from the evaporator into the segment and condense on the ECM.

Such an AEH with one segment has been built and characterized, the details are described in [19]. As the ECM, nine tubular samples of commercially available $\text{Ni}_{56.16}\text{Ti}_{43.84}$ with an outer diameter of 2.4 mm and a wall thickness of 0.3 mm and a total mass 1.32 g were used. These tubes were loaded with a compressive stress of 836 MPa by an external press. The evaporator is a copper tube that is insulated from the environment with polyethylene foam. A heating wire is wrapped around the evaporator in order to apply a defined thermal load. The condenser is attached to an external chiller unit to keep it at a constant temperature. The condensed fluid in the condenser can be led back to the evaporator through a throttle. Water was used as the fluid.

2.2. System Simulation

To calculate the efficiency and identify potential improvements of the AEH, we implemented a simulation of the AEH in MATLAB Simscape. The simulation takes into account the time-related as well as the nonlinear material behavior. Figure 2 shows a conceptual sketch of the simulation setup. The fluid loop is shown in blue using elements of the two-phase fluid model, which takes into account the fluid properties of the gaseous or liquid state. Thermal data such as heat flows were calculated using the Simscape thermal model and are shown in red. The simulation consists of three components: the evaporator (bottom), the segment with the ECM (middle), and the condenser (top). These were implemented in the simulation using the saturated fluid chamber block from the Rankine cycle MATLAB example. In this block, thermal and fluid data are combined, resulting in a transition between the liquid and gaseous states of the fluid. The material behavior was implemented using the material model previously published in [24] with the following ECM parameters: $T_0 = 273.9$ K, temperature at maximum heat capacity with no applied field; $\Delta s_{\text{iso,max}} = 42.7$ J/(kg K), maximum isothermal entropy change; $c_0 = 663.6$ J/(kg K), heat capacity far from the transformation peak; $\alpha = 26.8$ K, width of the heat capacity peak; and $\beta = 0.0845$ K/MPa, the multiplicative inverse of the Clausius–Clapeyron coefficient [24].

As in the experiments, these three components (evaporator, segment with the ECM, and condenser) were connected by passive check valves in the simulation, which were implemented using a variable local restriction. The pressure data on either side of the valve determine when the valves open in the simulation. The cross section and the proportionality constant are input parameters of the simulation and were determined by fitting the volume flow in the simulation to experimental data. In these experiments, the volume flow of gaseous fluid through these check valves was measured depending on the pressure difference [25]. In Figure 3, the volume flow rates were plotted as a function of pressure. The simulation of the valve showed good agreement with the measured data.

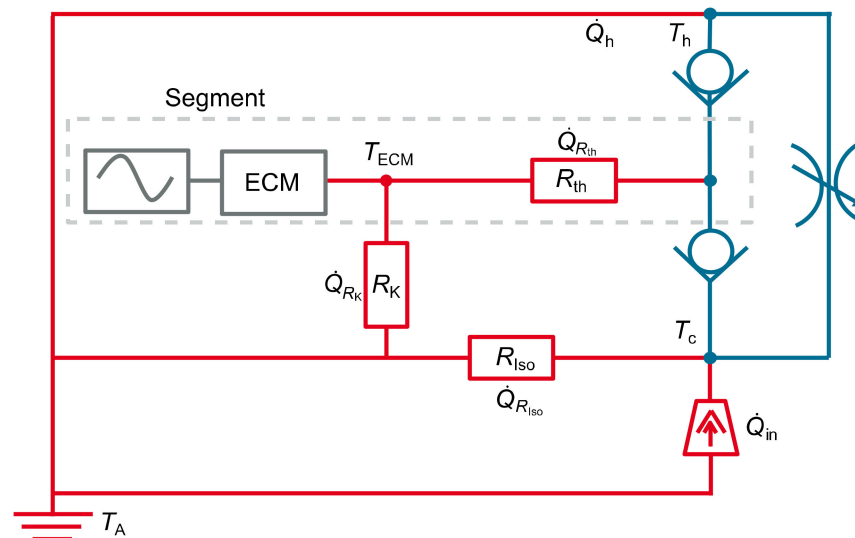


Figure 2. Schema of the simulation. Thermal data are shown in red and fluid data in blue. The evaporator has the temperature T_c and is connected to the environment via the thermal resistance R_{Iso} representing the insulation. The heat flux $\dot{Q}_{R_{Iso}}$ flows into the evaporator via this resistance. The cooling power is measured on the basis of the heat flux \dot{Q}_{in} . The evaporator is connected to the segment by a check valve. Here, the ECM is compressed along a sinusoidal stress profile. The ECM is connected to the environment via the thermal resistance R_K and the heat flux \dot{Q}_{R_K} . The ECM is connected to the fluid via R_{th} . The heat flux at this resistance is $\dot{Q}_{R_{th}}$. The fluid flows from the segment into the condenser at temperature T_h . From the condenser, the heat flux \dot{Q}_h is dissipated into the environment. The fluid flows back into the evaporator through a throttle. The temperature span of the AEH is $\Delta T = T_h - T_c$.

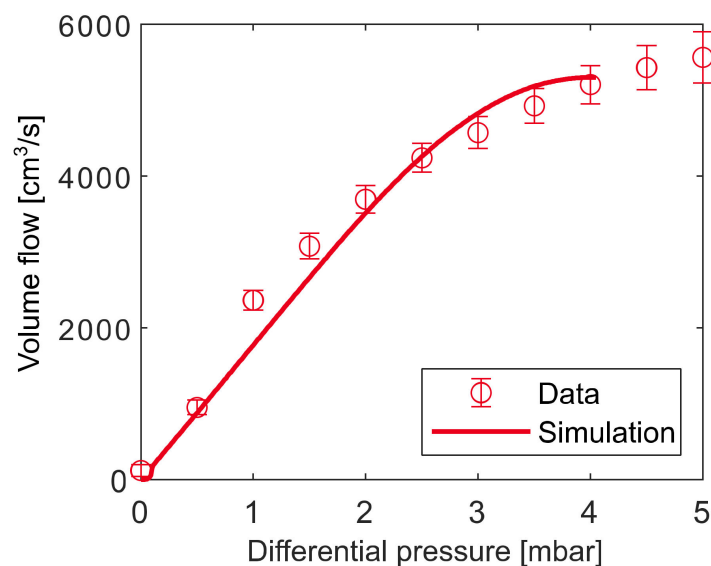


Figure 3. The simulation of the check valve and data [25] of the volume flow for the differential pressure.

The liquid fluid flows from the condenser to the evaporator through a throttle, which was implemented as a variable local restriction in the simulation. A heat flux flows from the ambience with the temperature T_A into the evaporator via the thermal resistance R_{Iso} . The heat flux of the heating wire into the evaporator for the measurements of the cooling power is \dot{Q}_{in} . A sinusoidal stress curve is applied to the ECM, which transfers heat to the fluid via the resistance R_{th} . At the same time, the temperature of the ECM is affected

by the resistance to the ambient temperature R_K since heat is also exchanged with the environment via the contact of the ECM to the outer containment. The condenser was set at ambient temperature in the simulation to reflect the temperature-controlled conditions in the experimental setup.

2.3. Analytical Determination of System Parameters

To carry out the simulations, the essential thermal resistances R_{Iso} , R_K , and R_{th} must be known. In order to attain a rough estimate of these thermal resistances, we set up a simplified, stationary analytical model (Figure 4). The temperature of the ECM is shown in black. The amplitude is $\Delta T_{ad}/2$. The temperature span between the condenser T_h and the evaporator T_c is ΔT and the temperature change available for pumping heat from the evaporator to the segment is ΔT_p .

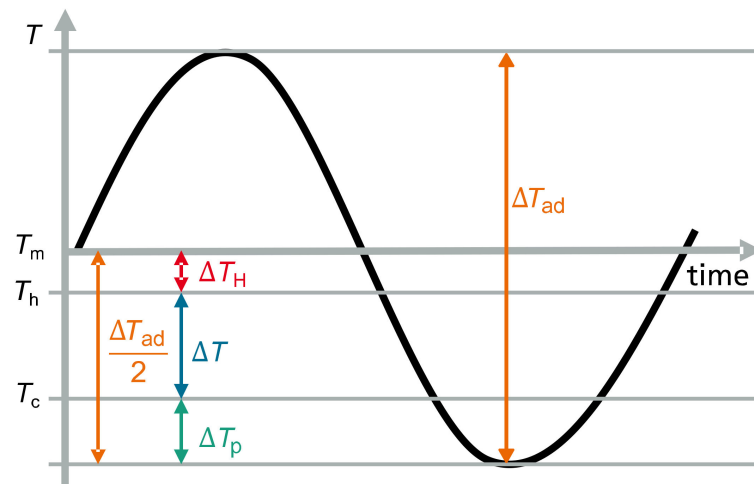


Figure 4. The temperatures for the analytical model from a stationary perspective: $\frac{\Delta T_{ad}}{2}$ is made up of ΔT_H , the difference in temperature compared with the environment; ΔT , the temperature span of the AEH; and ΔT_p , the temperature span for pumping heat.

In the AEH, the ECM absorbs heat from the evaporator and transfers it to the condenser. Dissipation effects cause the ECM to heat up further, and this heat is passed into the environment via the resistance R_K . As a result, the mean temperature of the ECM T_m is higher than the temperature of the condenser T_h . This means that no more than half of the adiabatic temperature change ($\Delta T_{ad}/2$) can be used to pump heat. In addition, as a result of the dissipative heat q_{diss} , which only flows into the environment via the resistance R_K , the usable temperature span decreases by the temperature change ΔT_H . This equates to the temperature change between the average material temperature T_m and the condenser temperature T_h , as shown in Equation (1).

$$\Delta T_H = T_m - T_h = q_{diss} m_{ECM} R_K f \quad (1)$$

In this equation, m_{ECM} is the mass of the ECM; f is the system frequency; and q_{diss} is the specific dissipative energy per cycle.

To calculate the cooling power that can be pumped using the AEH, the first step is to calculate the temperature span ΔT_p available for this purpose. ΔT_p can be calculated as a function of the other temperature changes using Equation (2).

$$\Delta T_p = \frac{\Delta T_{ad}}{2} - \Delta T - \Delta T_H = \frac{\Delta T_{ad}}{2} - \Delta T - q_{diss} m_{ECM} f R_K \quad (2)$$

Pumping performance $\dot{Q}_p = \dot{Q}_{p,\max} f / \left(\sqrt{1 + (f/f_c)^2} f_c \right)$ can generally be estimated in relation to the maximum pumping performance $\dot{Q}_{p,\max}(f \rightarrow \infty) = \Delta T_{\text{ad}} / (2\pi R_{\text{th}})$ and the cut-off frequency $f_c = 1 / (2\pi R_{\text{th}} c_{\text{eff}} m_{\text{ECM}})$ using Equation (3) [26]. Here, c_{eff} is the actual specific heat capacity. The cut-off frequency f_c is the frequency up to which point the maximum cooling power increases in proportion to the frequency. In the model, R_{th} also encompasses thermal resistances resulting from the flow resistance of the gaseous fluid, though this is small compared to the evaporation and condensation resistance and the thermal conduction resistance in the ECM. The effective specific heat capacity c_{eff} can be calculated using the specific isothermal entropy change Δs_{iso} ; the temperature T and the adiabatic temperature change of the ECM ΔT_{ad} : $c_{\text{eff}} = \Delta s_{\text{iso}} T / \Delta T_{\text{ad}} = 834 \frac{\text{J}}{\text{kgK}}$. However, only ΔT_p and not ΔT_{ad} is available in the AEH for pumping heat. The heat flux \dot{Q}_p can therefore be calculated as shown in Equation (3).

$$\begin{aligned} \dot{Q}_p &= \frac{\dot{Q}_{p,\max}}{\sqrt{1 + \left(\frac{f}{f_c}\right)^2}} \frac{f}{f_c} = \frac{\Delta T_p c_{\text{eff}} m_{\text{ECM}} f}{\sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} \\ &= \frac{c_{\text{eff}} m_{\text{ECM}} f \left(\frac{\Delta T_{\text{ad}}}{2} - \Delta T - q_{\text{diss}} m_{\text{ECM}} f R_K \right)}{\sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} \end{aligned} \quad (3)$$

To identify the temperature change ΔT , we assumed that there is a heat flux balance in the evaporator. The output of the heating wire \dot{Q}_{in} and the heat flux through the thermal insulation $\dot{Q}_{R_{\text{Iso}}}$ flow into the evaporator, while \dot{Q}_p flows into the segment through the valve.

$$\begin{aligned} -\dot{Q}_p + \dot{Q}_{\text{in}} + \dot{Q}_{R_{\text{Iso}}} &= 0 \\ -\frac{c_{\text{eff}} m_{\text{ECM}} f \left(\frac{\Delta T_{\text{ad}}}{2} - \Delta T - q_{\text{diss}} m_{\text{ECM}} f R_K \right)}{\sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} + \dot{Q}_{\text{in}} + \frac{\Delta T}{R_{\text{Iso}}} &= 0 \end{aligned} \quad (4)$$

Solving Equation (4) results in a temperature span of:

$$\begin{aligned} \Delta T &= \frac{R_{\text{Iso}} c_{\text{eff}} m_{\text{ECM}} f \left(\frac{\Delta T_{\text{ad}}}{2} - q_{\text{diss}} m_{\text{ECM}} f R_K \right) - R_{\text{Iso}} \dot{Q}_{\text{in}} \sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}}{c_{\text{eff}} m_{\text{ECM}} f R_{\text{Iso}} + \sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} \end{aligned} \quad (5)$$

The maximum temperature change without applying cooling power is shown in Equation (6).

$$\Delta T(\dot{Q}_{\text{in}} = 0) = \frac{R_{\text{Iso}} c_{\text{eff}} m_{\text{ECM}} f \left(\frac{\Delta T_{\text{ad}}}{2} - q_{\text{diss}} m_{\text{ECM}} f R_K \right)}{c_{\text{eff}} m_{\text{ECM}} f R_{\text{Iso}} + \sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} \quad (6)$$

The maximum cooling power of the system can be calculated using Equation (7).

$$\dot{Q}_{\text{max}}(\Delta T = 0) = \frac{c_{\text{eff}} m_{\text{ECM}} f \left(\frac{\Delta T_{\text{ad}}}{2} - q_{\text{diss}} m_{\text{ECM}} f R_K \right)}{\sqrt{1 + (f c_{\text{eff}} m_{\text{ECM}} 2\pi R_{\text{th}})^2}} \quad (7)$$

3. Results

3.1. Experimental Data

The temperature span for different cooling powers is shown in Figure 5 for frequencies ranging from 0.4 Hz to 8 Hz. The maximum cooling power at a temperature span of 0 K was calculated by linear extrapolation between the temperature span and cooling power [26].

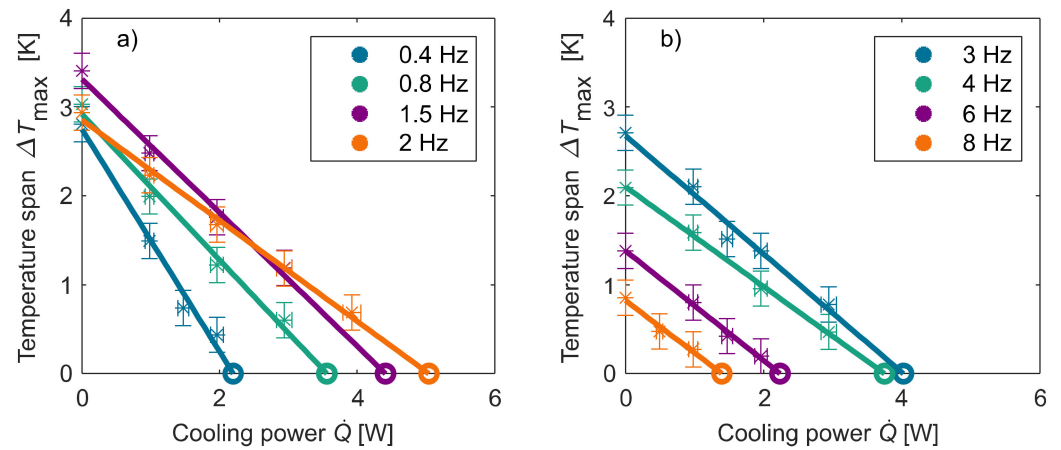


Figure 5. The temperature span between the evaporator (heat source) and the temperature-controlled condenser (heat sink) in relation to the cooling power applied for different frequencies. The maximum cooling power at a temperature span of 0 K can be deduced by means of linear extrapolation. (a) Frequencies from 0.4 Hz to 2 Hz. (b) Frequencies from 3 Hz to 8 Hz.

The maximum temperature span and cooling power in relation to frequency are shown in Figure 6. The maximum temperature span was achieved at 1.5 Hz and the maximum cooling power at 2 Hz. Due to the dissipative energy, the mean temperature of the ECM increased. Thereby, ΔT_H increased and the temperature span ΔT as well as the cooling power decreased. This effect increased at high frequencies.

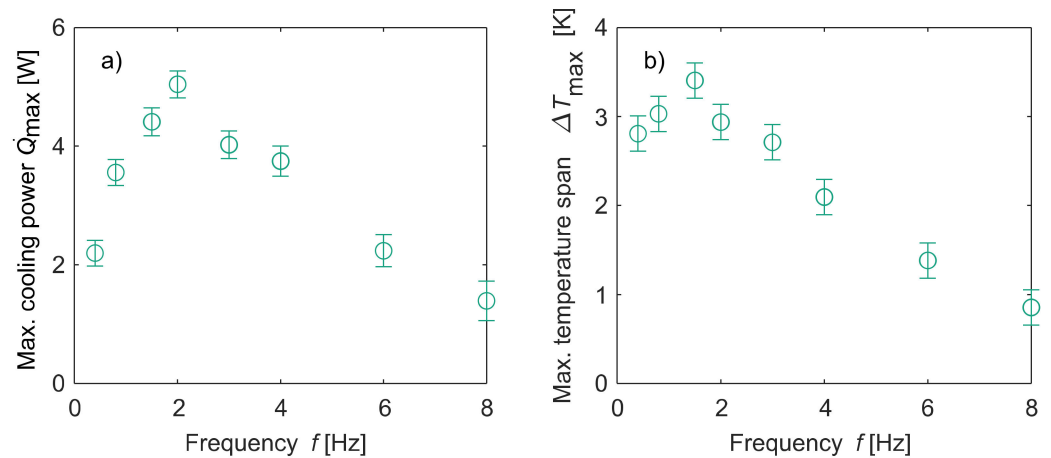


Figure 6. (a) shows the maximum cooling power of the AEH for different frequencies. (b) The maximum temperature span of the AEH for different frequencies.

3.2. Results of the Analytical Evaluation

To determine parameters R_{Iso} , R_K , and R_{th} , the equations for determining the maximum temperature span (Equation (6)) and the maximum cooling power (Equation (7)) were fitted simultaneously with a least square method to the measured values, which resulted in $R_K = (1.22 \pm 0.06)$ K/W, $R_{\text{th}} = (0.099 \pm 0.006)$ K/W, and $R_{\text{Iso}} = (4.5 \pm 0.9)$ K/W. The standard deviations resulted from entries of the main diagonal of the covariance matrix during fitting. The simplified analytical model, shown with the measurement data in Figure 7, accurately depicts the experimental data.

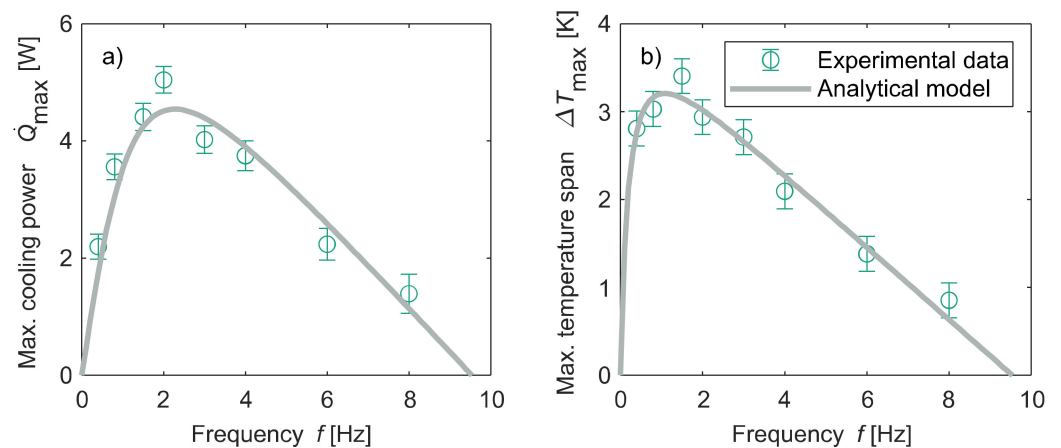


Figure 7. Measurement data and analytical model. (a) The maximum cooling power and (b) the maximum temperature span in relation to frequency.

3.3. Results of the Simulation

The thermal resistances determined in the simplified analytical model were used as a starting point in the Simscape model. Figure 8 shows the analytical model and the simulation of the AEH for individual frequencies. The results from the time-dependent two-phase system simulations deviated from those in the simplified analytical model, which was fitted to the measurement data. This is partly because the analytical model does not take into account the nonlinear behavior of the ECM. Moreover, the time-dependency of the material behavior is not considered. The simplifications in the analytical model led to an underestimation in the temperature span and the cooling capacity compared to the simulation.

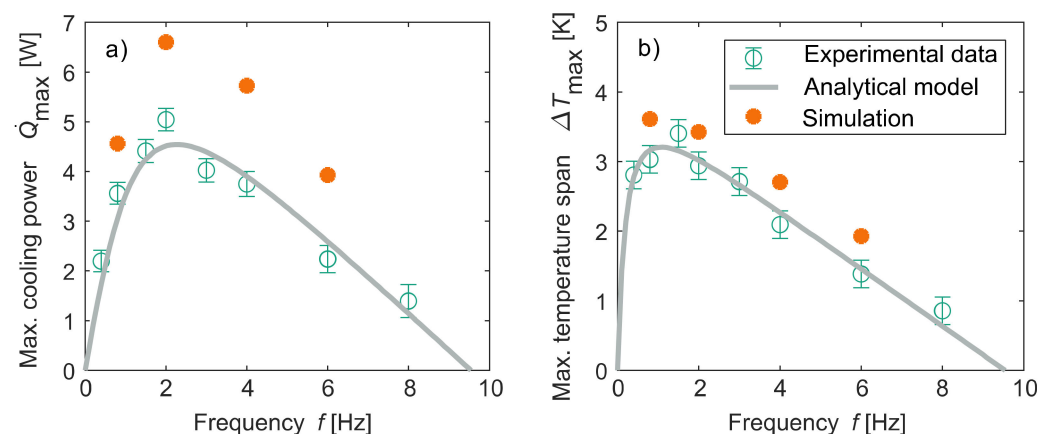


Figure 8. The analytical model and time dependent simulation of the measurement data pertaining to the maximum cooling power (a) and maximum temperature span (b). The simulation overestimated the measurement data, while the model depicts them accurately.

To reproduce the measured data with the simulation, we scaled the equations in the simplified analytic model and fitted the parameters again. This reduced R_{th} and increased R_{iso} . The parameters $R_K = (1.18 \pm 0.04)$ K/W, $R_{th} = (0.168 \pm 0.002)$ K/W, and $R_{iso} = (2.8 \pm 0.2)$ K/W were used in the simulation and verified with the measured data, as shown in Figure 9.

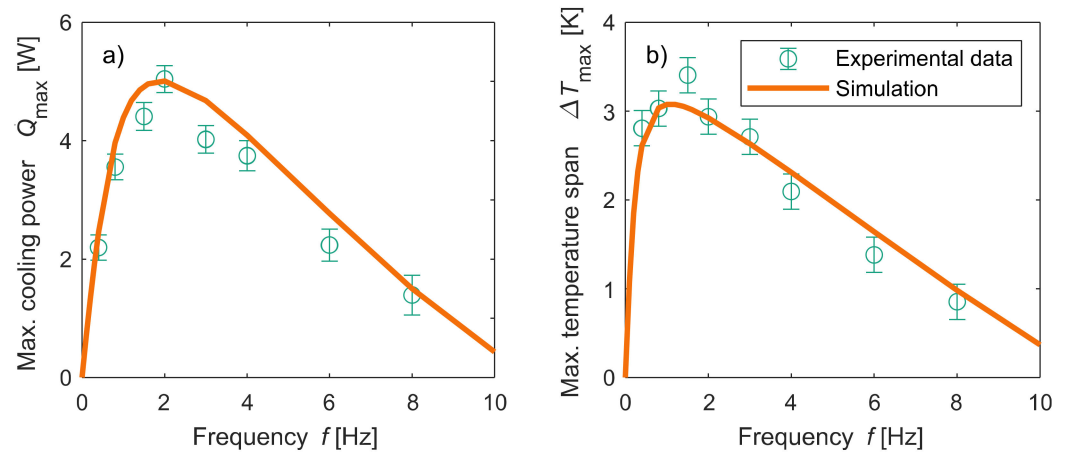


Figure 9. The results of the simulation compared with the measurement data pertaining to the maximum cooling power (a) and the maximum temperature span (b).

4. Discussion

The simulation can be used to calculate the efficiency of the AEH system. Therefore, the input power was calculated using the difference in heat fluxes flowing in and out of the system: $P = \dot{Q}_{R_K} + \dot{Q}_h - \dot{Q}_{in}$. The heat flux \dot{Q}_{in} corresponds to the cooling power.

The coefficient of performance *COP* as a function of the heat fluxes can be calculated using Equation (8):

$$COP = \frac{\dot{Q}_{in}}{P} \tag{8}$$

The coefficient of performance for a Carnot cycle without losses is determined using the temperature of the evaporator and the temperature span: $COP_{carnot} = \frac{T_c}{\Delta T}$. The exergetic efficiency of the AEH is calculated as follows: $\eta = \frac{COP}{COP_{carnot}}$.

The exergetic efficiency of the material can be calculated using Equation (9), where $a = \frac{\Delta T}{\Delta T_{ad}}$ applies [27]:

$$\eta_{mat} \approx \frac{1}{1 + \frac{1}{(1-a)a} \frac{\Delta T_{hys}}{\Delta T_{ad}}} \tag{9}$$

The maximum material efficiency was reached at $a = 0.5$ and amounted to $\eta_{mat} = 16\%$. The values for $\Delta T_{hys} = 11.9$ K and $\Delta T_{ad} = 9.0$ K were determined using the material model [24].

Figure 10 shows the maximum efficiency of the AEH in relation to the system frequency for $\dot{Q}_{max}/2$, which corresponds to $\Delta T/2$ due to the linear relationship between the temperature span and cooling power. The maximum efficiency was achieved at a frequency of 0.4 Hz. The heat that flows into the evaporator during a cycle increases at lower frequencies and reduces efficiency. Here, it can also be observed that at higher frequencies, dissipative heating causes the ECM to heat up, thus reducing the cooling power and efficiency.

Further simulations were carried out at a frequency of 0.4 Hz with the highest calculated efficiency level. Figure 11 shows a range of ideal scenarios in order to break down losses with respect to efficiency. Therefore, the maximum efficiency η_{max} and the maximum temperature span ΔT_{max} of the scenarios was plotted. The first two bars show the simulation of the experimental data. The scenario ‘without insulations losses evaporator’ is without insulation losses in the evaporator. This results in an increase in the efficiency and the maximum temperature span. There was no resistance between the ECM and the fluid in the scenario ‘without heat transfer losses’. This modification results in an improvement in the efficiency. In steady state experiments, there is not enough fluid in the segment to transfer the dissipative heat of the ECM into the condenser. In the scenario ‘extra fluid’, additional fluid is on the surface of the ECM so that the ECM does not dry out. In this

case, the mean temperature T_m is below the temperature of the condenser. The efficiency and maximum temperature span increase in this scenario. The last column shows the simulation with extra fluid in the segment, so that the surface of the ECM does not dry out, and without insulation losses of the segment ('extra fluid and without insulations losses segment'). These two aspects are presented in combination, because in simulations with a great R_K and no additional fluid, the mean temperature of the ECM increases until the heat transfer collapses. The resistance of the insulation of the evaporator R_{ISO} has the greatest impact on the maximal temperature span. The greatest efficiency is achieved by extra fluid and a high resistance R_K .

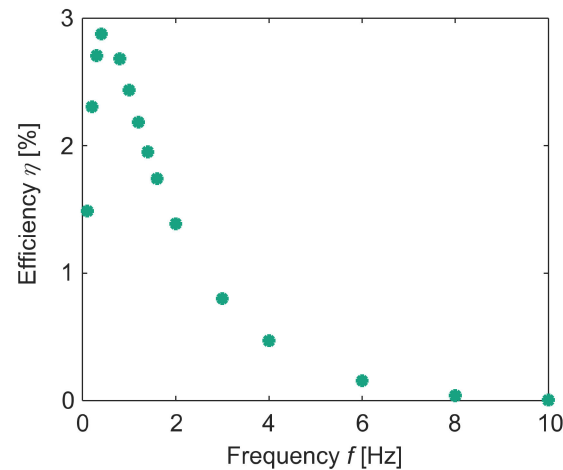


Figure 10. Efficiency of the AEH η at $\dot{Q}_{\max}/2$ in relation to the system frequency.

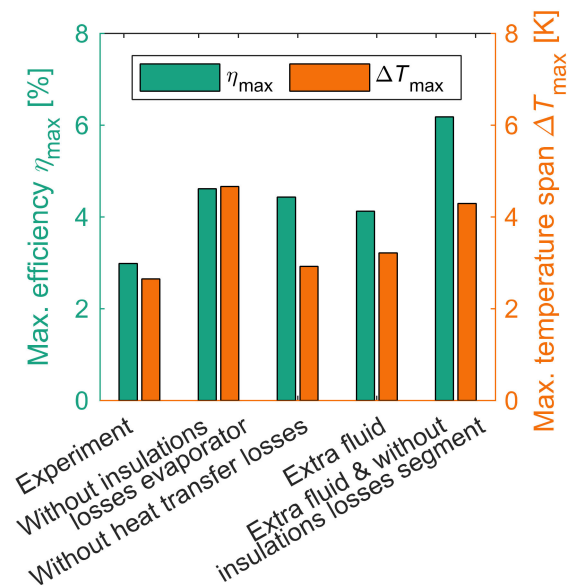


Figure 11. The maximum efficiency and maximum temperature span for different scenarios. The simulation of the experiment on the left is given as a reference. In the scenario 'without insulations losses evaporator', no insulation losses in the evaporator are assumed. 'Without heat transfer losses' represents a scenario where there is no resistance between the ECM and the fluid. In the scenario 'extra fluid', additional fluid is assumed to be on the surface of the ECM. The last column shows a scenario with 'extra fluid and without insulations losses segment' and no insulation losses in the segment.

The following section, however, strives to look at the extent to which efficiency can be improved by making realistic optimizations to the experimental setup. The resistance

of the insulation between the evaporator and environment can theoretically be increased to $R_{\text{Iso}} = 33 \text{ K/W}$, in line with the principles of heat transfer by conduction through a tube [28], by using 10 cm polyethylene foam with a thermal conductivity of 0.04 W/(m K) . The resistance between the ECM and the environment $R_{\text{K}} = 7.2 \text{ K/W}$ can be achieved by using ceramics to thermally isolate the ECM from the environment.

By adjusting the sample geometry R_{th} , the resistance between the ECM and the fluid can be reduced. This involves maximizing the surface of the ECM and reducing the wall thickness of the tube-shaped samples. The sample geometry was adapted in such a way that the samples neither failed due to the Euler buckling mode, nor due to buckling of the tube walls, and at the same time, the thermal resistance R_{th} was minimized. According to the fourth Euler's buckling mode, the critical stress is $\sigma_{\text{Euler}} = \frac{4\pi EI}{A l^2}$ [29]. Here, E is the Young's modulus and the length of the samples is l . The area moment of inertia is $I = \frac{\pi(r_a^4 - r_i^4)}{4}$. r_a and r_i are the outer radius and the inner radius of the sample, respectively. A is the cross section of the sample. The critical stress for buckling due to the thin walls of the tube is given by $\sigma_{\text{buckling}} = E \frac{(r_a - r_i)}{4r_a A}$ [30]. For the adaption of the thermal resistance, R_{th} is assumed to be $\sigma_{\text{crit}} = \sigma_{\text{Euler}} = \sigma_{\text{buckling}} = 1000 \text{ MPa}$ as the critical stress. The thermal resistance R_{th} includes the resistance due to evaporation and condensation of the fluid and the heat conduction resistance in the ECM: $R_{\text{th}} = \frac{\log(r_a) - \log(r_i)}{k 2\pi l} + \frac{1}{h 2\pi r_a l}$ [31]. $h = 7400 \text{ W/(m}^2\text{K)}$ was assumed as the heat transfer coefficient, which was calculated using the geometry data of the samples used in the experiments and the resistance R_{th} from the analytical model. The thermal conductivity was $k = 18 \text{ W/(mK)}$ [32]. In this calculation, the mass and sample length were kept constant to remain the same as in the experiments. This resulted in a sample number of 195, which had an outer diameter of 0.8 mm and a wall thickness of 0.0375 mm . Through this optimization, the resistance between the ECM and the fluid can be reduced to 0.03 K/W .

In addition, the ECM was assumed to be only minimally wet to the extent that it does not dry out during the cycle and no excess fluid is on the surface of the ECM. This can be implemented in the AEH by recirculating liquid fluid from the condenser to the ECM passively by a wick. The fluid is distributed onto the ECM by structuring and coating the surface. This is one way to distribute additional fluid on the surface and counteract warming of the ECM.

A simulation performed using the optimized parameters (Figure 12a) with this system and these material properties resulted in an efficiency level of 10.4% at $\Delta T = 3.2 \text{ K}$, resulting in 67% of the material efficiency. Figure 12b shows the COP of the AEH compared with the COP_{op} with optimized parameters and that of the material COP_{mat} . Both figures also show the increase in the maximum temperature span from 2.7 K to 6.5 K .

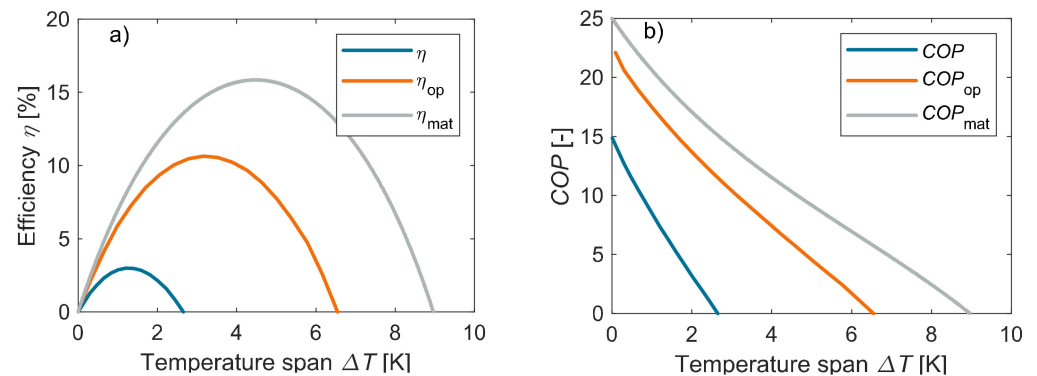


Figure 12. Simulation of the efficiency with improved system parameters. (a) The efficiency of the optimized system η_{op} compared with the efficiency of the AEH of η . The optimized system achieved 67% of the material efficiency η_{mat} . (b) The COP of the AEH compared with the COP_{op} with optimized parameters and that of the material COP_{mat} .

5. Conclusions

Using an analytical model, we determined the thermal resistances to simulate the active elastocaloric heat pipe. This simulation can be used to model system behavior, determine non-measurable variables such as heat fluxes, and on this basis, calculate the system's efficiency levels. The loss mechanisms such as efficiency losses caused by the material, non-ideal isolation of the evaporator, and resistance caused by thermal conduction in the ECM were examined individually to determine their effect on the system efficiency. By improving the system parameters to the extent technically possible, an efficiency increase to 67% of the material efficiency could be achieved. These simulation results can be used to determine measures to improve the system performance and implement such measures in future structures.

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Nomenclature

Roman symbols

a	Working point variable, -
A	Area, m^2
c	Specific heat capacity, $J\ kg^{-1}\ K^{-1}$
c_0	Specific baseline heat capacity, $J\ kg^{-1}\ K^{-1}$
COP	Coefficient of performance, -
E	Young's modulus, MPa
f	Frequency, Hz
f_c	Cut-off frequency, Hz
h	Heat transfer coefficient, $W\ m^{-2}\ K^{-1}$
I	Area moment of inertia, m^4
k	Thermal conductivity, $W\ m^{-1}\ K^{-1}$
l	Length, m
m	Mass, kg
P	Power, W
q	Specific heat, $J\ kg^{-1}$
\dot{Q}	Heat flux, W
r	Radius, m
R	Thermal resistance, $K\ W^{-1}$
R_{iso}	Insulation resistance of the evaporator, $K\ W^{-1}$
R_K	Insulation resistance between the ECM and the environment, $K\ W^{-1}$
R_{th}	Resistance between ECM and fluid, $K\ W^{-1}$
s	Specific entropy, $J\ kg^{-1}\ K^{-1}$
T	Temperature, K
T_0	Peak temperature, K

Greek symbols	
α	Half wide at half maximum of the peak, K
β	Multiplicative inverse of the Clausius-Clapeyron coefficient, K MPa ⁻¹
Δ	Finite difference, -
η	Exergetic efficiency, -
σ	Stress, MPa
Subscripts	
a	Outer
A	Ambient
ad	Adiabatic
buckling	Critical buckling
c	Cold side
carnot	Carnot cycle
diss	Dissipative
ECM	Elastocaloric material
eff	Effective
Euler	Euler's buckling mode
h	Hot side
H	Average warming in relation to the environment and condenser
hys	Hysteresis
I	Inner
in	Into the evaporator
iso	Isothermal
m	Mean
mat	Material
max	Maximal
op	Optimized
p	For pumping of the heat
R_{iso}	Insulation resistance of the evaporator
R_{K}	Insulation resistance between the ECM and the environment
R_{th}	Resistance between ECM and fluid

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