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Operation modes of the KATRIN experiment Tritium Loop System using 83mKr

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Abstract: The KArlsruhe TRItium Neutrino (KATRIN) experiment aims to search for the effective electron antineutrino mass with a sensitivity of 0.2 eV (90 % C.L.). In order to achieve this goal, KATRIN measurement phases focusing on the neutrino mass search are alternated with phases of investigations of systematic effects. During these phases, metastable $83mKr$ is used as a calibration source. The monoenergetic conversion electrons emitted accompanying the decay of $83m$ Kr allow a direct access to the starting conditions of β-electrons produced inside the windowless gaseous tritium source (WGTS) of KATRIN. To make use of $83m$ Kr in the WGTS, the Tritium Loop System, which provides a stable flow of tritium to the WGTS, needs to be operated in special modes. This paper focuses on the technical implementation of these modes and their performance with regard to the achievable $83m$ Kr-rates, gas densities, and gas compositions inside the WGTS.

KEYWORDS: Gas systems and purification; Detector alignment and calibration methods (lasers, sources, particle-beams); Plasma diagnostics - charged-particle spectroscopy

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Contents

1 Introduction

The discovery of neutrino flavour oscillations around the turn of the last millennium [\[1,](#page-22-0) [2\]](#page-22-1) demonstrated that the neutrinos must be massive unlike originally assumed in the Standard Model of Particle Physics. The differences of the squares of the three neutrino mass eigenvalues were probed by neutrino-oscillation experiments with high precision. However, the absolute scale of the neutrino mass remains one the of fundamental questions in (astro-) particle physics and cosmology.

A direct way of assessing the neutrino mass, which is independent of cosmological models or the mass nature of the neutrino, is the high-resolution spectroscopy or calorimetry of elements undergoing β-decay or electron capture [\[3\]](#page-22-2). The KArlsruhe TRItium Neutrino (KATRIN) experiment aims to measure the neutrino mass with a sensitivity of 0.2 eV c^{-2} from the spectrum of tritium β -electrons [\[4\]](#page-22-3). The experiment combines a high resolution $(O (eV))$ Magnetic Adiabatic Collimation with Electrostatic (MAC-E)-Filter type spectrometer and an ultra-high luminosity molecular tritium source.

After the successful commissioning of the experiment with tritium in 2018 [\[5\]](#page-22-4), the KATRIN collaboration published the first upper limit for the neutrino mass of 1.1 eV c^{-2} in the following year from a data set of reduced source activity and a measuring period of only four weeks [\[6\]](#page-22-5). Recently, the first sub-eV result was published and the upper limit was further decreased to $0.8 \text{ eV } c^{-2}$ [\[7\]](#page-22-6). As

the total foreseen measurement time of 1000 days of beta-spectroscopy progresses, the statistics improves. At the same time the understanding and the quantification of systematic effects is needed to achieve the challenging final sensitivity.

One key systematic effect is related to the starting potential of the β-electrons in the source. This potential is provided by a cold and strongly magnetized plasma in the tritium source. The electron/ion generation is linked to the tritium gas profile in the tritium source. This gas profile is experimentally characterized by the integral of its molecule density along the beam, the so called column density ρd . Strong magnetic fields of $B_{\rm src} = 2.5$ T in the source confine the electron movement into the longitudinal direction. Ions behave similarly, but have a comparably larger radial drift probability due to their larger mass. The boundary conditions of this plasma are defined by the grounded beam tube and a source potential terminating gold plate. Spatial inhomogeneities and temporal fluctuations in the plasma directly affect the starting potential of the β-electrons and thus the response function of the experiment, which directly affects the uncertainty on the neutrino mass.

The most effective way to determine the impact on the experiment's response function is the cocirculation of traces of metastable $83mKr [8]$ $83mKr [8]$ within the tritium gas. The spectrum of $83mKr$ consists of discrete mono-energetic lines of the internal conversion and Auger electrons with natural linewidths and thermal Doppler-broadening. Therefore, any shift or broadening of these lines reflects the spatial and temporal inhomogeneities in the plasma potential. Calibration measurements using $83mKr$ have been performed in the past during the first two KATRIN neutrino mass campaigns [\[6,](#page-22-5) [7\]](#page-22-6). However, the optimal source conditions for the ^{83m}Kr calibration (T = 100 K, $\rho d = 1.475 \times 10^{17}$ cm⁻² [\[9\]](#page-22-8)) and for the actual tritium neutrino mass scans of β -electrons (T = 30 K, $\rho d = 1.11 \times 10^{17}$ cm⁻² [\[6\]](#page-22-5) / 4.23×10^{17} cm⁻² [\[7\]](#page-22-6)) are different. For operation with tritium, the source is ideally operated at an as low as possible temperature of 30 K, at which tritium condensation doesn't yet occur. At this lowest possible operation temperature of 30 K, 83m Kr would freeze out, thus requiring the operation of the source at higher temperatures above 80 K. In the initial measurements with $83mKr$, the source was operated at 100 K. Observed plasma effects in the calibration may therefore not represent the actual source potential scenario under measurement conditions, which leads to additional uncertainties. For this reason, a new source temperature of $T = 80$ K and a novel loop-operation concept have recently been defined. This represents the optimal compromise at which a column density of $\rho d = 3.75 \times 10^{17}$ cm⁻² can be established for both the calibration and beta-scan modes.

In this paper, we introduce the working principle and the technical implementation of the ultra-luminous windowless gaseous tritium source, its tritium circulation loop and the interface to the 83mKr generator based on 83Rb . We will describe the operational modes of the tritium source using $83m$ Kr and how the calibration measurements can be performed under the same conditions as during the neutrino mass measurements. Finally, we demonstrate the performance of the system and its impact on the quality of high-resolution spectroscopic measurements of monoenergetic $83m$ Kr lines.

2 Experiment setup

The KATRIN experiment [\[4\]](#page-22-3) is located at the Karlsruhe Institute of Technology (KIT). The decision for this location was to a large part since the Tritium Laboratory Karlsruhe (TLK) is also situated there. As a unique facility it can provide the necessarily large amounts of high-purity tritium [\[10\]](#page-22-9) required for the operation of the KATRIN experiment.

Figure 1. Windowless Gaseous Tritium Source (WGTS) schematic. Gas density profile and column density (integral of gas density along the B field axis) are not to scale.

For the sake of brevity, in this text the term "krypton" is used to refer to a mixture of the metastable $83mKr$ and $83Kr$, and not n ^{nat}Kr of naturally occurring isotope composition, which is not used in our experiment.

2.1 Windowless Gaseous Tritium Source

The KATRIN experiment uses a so-called Windowless Gaseous Tritium Source (WGTS) [\[4\]](#page-22-3). This source essentially consists of a tritium gas column inside a 10 m long tube of 90 mm inner diameter, which is coupled to a two phase cooling system [\[11\]](#page-22-10). This allows for source operation at temperature levels of 30 K, 80 K, or 100 K (using either neon, nitrogen, or argon as coolant). Gas is injected into the WGTS via circumferential orifices from an injection chamber surrounding the central part of the WGTS beam tube. Two injection capillaries (tritium injection capillary and tritium+krypton injection capillary) are connected to the injection chamber. Both are thermally coupled to the beam tube over a length of 5 m. The whole source tube is installed inside the bore of a superconducting magnet system. Attached to either end of the source tube there are two differential pumping ducts (DPS1-F1/2 and DPS1-R1/2), each equipped with four or two turbomolecular pumps. Continuous injection of gas in the middle of the beam tube and pumping off at both ends leads to the formation of a gas density profile inside the source tube. The experimentally accessible parameter of this density profile is its integral along the length of the WGTS, the so called column density (figure [1\)](#page-4-2). The main requirements on the WGTS column density are the 24/7 operation with tritium at a purity >95 % and a stability (pressure and temperature) < 0.1 %. The temperature stability is covered via the WGTS cryogenic system [\[12\]](#page-22-11), while pressure stability and composition are maintained by the Tritium Loop System [\[13\]](#page-23-0). Appropriate operation of both systems provides sufficiently stable column density [\[4\]](#page-22-3).

2.2 Tritium Loop System

The main task of the Tritium Loop System is the supply of KATRIN with tritium of high purity (>95 %) at a stable throughput of up to \approx 40 g tritium/day, as well as the reduction of tritium gas flow from the source to the KATRIN spectrometers by 14 orders of magnitude [\[4,](#page-22-3) [14\]](#page-23-1). The flow reduction is achieved by the differential pumping sections DPS1 and DPS2 as well as by the cryogenic pumping section CPS [\[4\]](#page-22-3). The required high flow rate of tritium can only be achieved in a closed loop operation [\[13,](#page-23-0) [15\]](#page-23-2). Figure [2](#page-5-1) shows a simplified flow scheme of the Inner Loop System.

Figure 2. Schematic of the inner loop.

Tritium is injected from a pressure stabilized buffer vessel via the tritium injection capillary and injection chamber into the WGTS beam tube. The gas is pumped out at both ends of the tube by the turbomolecular pumps (TMP) of the DPS1. One end of the WGTS beam tube is connected to the spectrometers via the DPS2 and the CPS, while the other end is connected to the Rear Section (RS). The RS houses the Rear Wall (RW), a gold coated stainless steel disk of 145 mm outer diameter installed perpendicularly to the magnetic flux tube [\[4\]](#page-22-3). The RW is used to define the source potential, as well as for calibration and monitoring. The fore vacuum of the TMPs directly attached to the pumping ducts is provided by four 2nd stage TMPs, a scroll and a metal bellows pump in series.

The last pump provides the pressure gradient needed to make the gas permeate through a palladium membrane filter ("permeator") into an intermediate buffer vessel B2. From there the gas passes through a Laser Raman sampling cell [\[16\]](#page-23-3) and a regulation valve back into the pressure stabilized buffer vessel B1, completing the closed loop. The palladium membrane filter can only be passed by hydrogen isotopologues and therefore filters out all non-hydrogen impurities [\[17,](#page-23-4) [18\]](#page-23-5). A small fraction (\approx 1%) of gas is continuously extracted in front of the permeator in order to avoid impurity accumulation and subsequent blocking of this filter. The amount of extracted gas is continuously replaced with high purity tritium from a system of buffer vessels which serves as the interface to the TLK infrastructure. Depending on the operation mode the tritium+krypton injection capillary can be either used in simultaneously with the tritium injection capillary or exclusively, to directly inject the gas from the exhaust side of the $2nd$ stage TMPs into the WGTS beam tube. These different operation modes are described in detail in section [3.](#page-8-0) An overview over the components of the Inner Loop System is given in table [1.](#page-6-0)

2.3 Krypton generator

The gaseous $83mKr$ with a half-life of (1.862 ± 0.002) h [\[19\]](#page-23-6) used by the KATRIN experiment is generated on-site via the decay of ⁸³Rb with a half-life of (86.2 ± 0.1) d $[20]$. The ⁸³Rb has a

Component	Function		
AgPd membrane filter	gas purification (only H/D/T can permeate)		
Turbomolecular pump	14 MAG W2800 TMP providing main vacuum inside WGTS beam tube, four HiPace 300 providing fore pressure, backed by an all metal scroll/bellows pumping train.		
Vessel	two-staged buffer vessels for highly stable gas flow towards WGTS		
Flow meter	monitoring of gas amount flowing into WGTS		
Laser Raman system	custom build system for monitoring of gas purity/composition prior to injection into WGTS		
Beam tube gas analyser	MKS HPQ3 residual gas analyser used to monitor the gas composition when no B-fields are present		

Table 1. Key components of the KATRIN Inner Loop.

Figure 3. Picture of the 83 Rb/ 83m Kr zeolite source, 20 spherules, total mass 0.15 g.

probability of $f_{\text{iso}} = (74.5 \pm 3.8)$ % to decay into the ^{83m}Kr isomeric state of ⁸³Kr [\[8,](#page-22-7) [21\]](#page-23-8). The parent 83 Rb is produced via ${}^{nat}Kr(p,xn)$ reactions using a pressurized gaseous target at the cyclotrons of NPI Řež. Due to the need of up to several GBq of ${}^{83}Rb$, the production rate of ${}^{83}Rb$ was gradually increased from 14 MBq to 150 MBq per irradiation hour [\[22\]](#page-23-9). The availability of monoenergetic electrons from the decay of gaseous $83m$ Kr depends in particular on the nature of the substrate used for the $83Rb$ deposition. The substrate has to comply, among others, with two main requirements: 1) high emanation efficiency of $83mKr$ and 2) as low as possible release of parent $83Rb$ from the substrate. For KATRIN, we have decided to use natural cation exchange zeolite (molecular sieve aluminosilicate material of type 5A by the company Merck). In [\[19,](#page-23-6) [23,](#page-23-10) [24\]](#page-23-11) the deposition technique was described and the properties of the ⁸³Rb/^{83m}Kr radionuclide generator (further only "zeolite source") relevant for its use at the WGTS were determined. High and stable emanation efficiency of $83m$ Kr from the zeolite source (80 % to 90 % of the amount born in the $83Rb$ decay), even in the presence of tritium, was demonstrated. The zeolite sources are prepared by depositing ⁸³Rb from aqueous solution into several tens of zeolite spherules, see figure [3.](#page-6-1) Experiences gained with the test version of the krypton generator setup are summarized in [\[19\]](#page-23-6).

Figure 4. CAD rendering of the krypton generator setup.

As KATRIN is an extremely sensitive, low background experiment, a lot of attention was paid to quantify the release of parent ⁸³Rb from zeolite. The release was measured using zeolite sources containing GBq levels of 83 Rb. Strong ion bonds of rubidium in zeolite combined with two metal aerosol filters inserted into the generator setup resulted in a ⁸³Rb release equivalent to ca. 20 mBq d⁻¹ observed at the generator output. The ⁸³Rb/^{83m}Kr generator setup for KATRIN depicted in figure [4](#page-7-0) continuously supplies 83mKr to the DPS1-F2 pump port, see figure [2.](#page-5-1) The generator's construction fulfils the requirements arising from the TLK licence for handling tritium in order to prevent tritium leakage. Therefore, only metal components with VCR^{\circledR} or Conflat[®] compatible, metal gaskets were used providing a single connection leak rate of $\langle 10^{-9}$ mbar l s⁻¹.

A custom-made lead shielding around the zeolite source reduces the dose rate on the shielding surface to 3 μ Sv h⁻¹ for a ⁸³Rb activity of 2.5 GBq. The whole generator setup with the shielding is located inside of a glove box in accordance with the safety measures maintained at the TLK.

The generator setup consists of the main components, which are also shown in figure [4:](#page-7-0)

- **Well** a welded stainless steel tube of 12 cm length which contains the zeolite spherules at its bottom.
- **Shielding** a 160 kg lead construction around the well with the zeolite source. It consists of four discs and four rings, allowing for the simple and rapid exchange of the well.
- **Ceramic oven** a custom-made oven located in the lowest ring of the shielding, enveloping the bottom of the well containing the zeolite spherules. It enables baking of the zeolite up to 200 °C. The baking facilitates faster drying of zeolite in order to remove residual gases, in particular water vapor, from the zeolite prior to use of the generator.
- **Two sintered metal aerosol filters** these filters with pores of 0.5 µm diameter prevent potential aerosol and zeolite microparticles containing ⁸³Rb from spreading into the system.
- Five valves a source valve separates the zeolite source, a regulating valve allows for a rough control of the flow rate of the $83mKr$ out of the generator setup, a valve connecting to a TMP allows for evacuation of the generator setup, a purge gas valve for the tube cleaning, and an output valve which connects the generator setup with the DPS1-F2 pumping port.

Figure 5. The ⁸³Rb/^{83m}Kr generator setup and yellow transport container. In the center, there is the 2nd containment box with the generator setup located inside. Electricity power distribution cabinet and controller of the baking oven are on the left.

Vacuum gauge — a vacuum gauge used to monitor the generator during pumpdown after installation, as well as during bakeout of the zeolite source.

The generator setup, connected to the WGTS in the TLK, is displayed in figure [5.](#page-8-1) The krypton generator was successfully commissioned as a component of the KATRIN Gaseous Krypton Source (GKrS), providing the first results during the first transmission of electrons through the KATRIN beam line [\[25\]](#page-23-12). At that time the WGTS loop with the gas circulation system was not available yet. Instead, the ^{83m}Kr from the generator was left to expand through DPS1-F2 into the WGTS from where it streamed freely into the DPS2 and CPS beam tube and was pumped by the cold surface of the CPS. Only the second commissioning step provided the standard loop system and admixture of D2.

3 Operation modes

The successful operation of the KATRIN beamline with $83m$ Kr has been demonstrated in previous measurements [\[25,](#page-23-12) [26\]](#page-23-13). However, these measurements were taken during the commissioning of the beamline, when the installation of the tritium loop system was not completed yet. This allowed for a setup with $83m$ Kr injection into the WGTS source tube without pumping by any of the TMPs, only cryogenically trapping the $83mKr$ in the CPS. After commissioning and operation of the WGTS with tritium, this configuration cannot be used anymore.

However, investigation of the systematic effects with impact of the neutrino mass determination requires an appropriate technical solution. For this purpose, the tritium loop system of the KATRIN experiment is capable of two different operation modes incorporating $83mKr$ at a source temperature of ≥80 K to prevent it freezing-out. These modes are the so called WGTS Loop Only Mode and Double Injection Mode [\[27\]](#page-23-14). In both modes, the $83mKr$ is only present in traces; the number of ^{83m}Kr atoms for an activity of 10 GBq is \approx 1 × 10¹⁴ atoms, of which less than 10% is inside the source tube. As the source tube contains $\approx 1 \times 10^{19}$ molecules of T₂, the fraction of ^{83m}Kr is less than 1 ppm and does not have an impact on the gas dynamics of the circulating tritium gas. This allows for a measurement of observables of the tritium plasma inside the source without significantly affecting the plasma itself.

3.1 WGTS Loop Only Mode

In the WGTS Loop Only Mode, the TMPs of the inner loop connected to the WGTS directly feed their exhaust gas back into the WGTS source tube via the tritium+krypton injection capillary. Initially, the pure tritium gas from buffer vessel B1, see figure [2,](#page-5-1) is supplied into the inner loop. Afterwards, the ^{83m}Kr from the krypton generator is injected into the gas stream in front of one of these TMPs. The tritium $+{}^{83m}$ Kr mixture is then directly re-injected, which results in the accumulation of 83m Kr inside the circulating tritium gas. In this manner, an amount of $83mKr$ close to that of the activity of the 83 Rb parent is reached in the circulating gas. The rate of 83m Kr electrons inside the WGTS in this mode is then given by that activity times the fraction of circulating gas inside the source tube. As such, this mode delivers the highest possible activity of $83mKr$ in our loop configuration.

In addition to the direct reinjection of the circulating gas, it is necessary to supply a minuscule flow of tritium gas ($\approx 10^{-4}$ mbar l s⁻¹) from the stabilized buffer vessel B1 via the tritium injection capillary into this loop in order to maintain a stable gas column density inside the WGTS. This is due to the fact that only $\approx 99.9\%$ of gas is reinjected while the remainder is lost towards the DPS2 and RS, where it is pumped off and sent to the TLK infrastructure for purification [\[14\]](#page-23-1).

Furthermore, this operation mode suffers from impurity accumulation resulting from diffusion of air (N_2, O_2, Ar) through the polymer gaskets of inner loop TMPs as well as from outgassing (e.g. H_2 , CH_4 , CO_2 , CO) of these pumps and the stainless steel walls and piping [\[28\]](#page-23-15). The generation of such outgassing impurities is enhanced by radiochemical processes induced by the β-decay of tritium, forming in particular a wide range of partially or fully tritiated methane species. In the neutrino mass measurement operation mode these impurities in the gas stream are filtered out by the permeator, see figure [2.](#page-5-1) As this permeator would filter out ^{83m}Kr as well, it is not used in the WGTS Loop Only Mode. The accumulation of the impurities may affect the measurements as they slightly change the gas density inside the WGTS and may act as additional sources for electron scattering with an energy loss distribution different from tritium.

The process to change from the measurement configuration for neutrino mass measurements to the WGTS Loop Only Mode requires among other steps a pump down of vessels B1 and B2, lasting >2 h. The change from the WGTS Loop Only Mode back to the neutrino mass measurement configuration necessitates a complete pump-down of the WGTS in order to remove the accumulated impurities, which requires ≈ 3 h.

These disadvantages are avoided by implementation of a new operation mode, the Double Injection Mode.

3.2 Double Injection Mode

In contrast to the WGTS Loop Only Mode, the Double Injection Mode is more complex. Instead of a single gas loop, it consists of two intertwined loops. One of these loops is the same as that of the WGTS Loop Only Mode. The other loop involves the permeator for the tritium purification.

Pure tritium gas is injected from the pressure stabilized buffer vessel, see figure [2,](#page-5-1) via the tritium injection capillary into the WGTS source tube. From there it is pumped out by the inner loop TMPs where $83m$ Kr is mixed into the gas stream similarly to the WGTS Loop Only Mode. In the next step, in contrast to the WGTS Loop Only Mode, the gas stream is split into roughly equal parts (see point E in figure [2\)](#page-5-1). One part is directly re-injected into the WGTS source tube via the tritium+krypton injection capillary, like in to the WGTS Loop Only Mode. The other fraction is pumped through the permeator and cleaned of impurities before returning into the pressure stabilized buffer vessel.

As a result, significantly less impurities accumulate, and the gas composition (disregarding $83m$ Kr) as well as the stability of the gas column density in this mode are equal for neutrino mass measurements and ^{83m}Kr calibration measurements. However, as a side effect of the gas purification, $83m$ Kr is also removed from the gas stream. It results in a lower rate of $83m$ Kr, and thus lower conversion electron rate inside the WGTS source tube. It does however allow for a quick (\approx 30 min) switching between neutrino mass measurements and $83m$ Kr calibration without interruption of the gas circulation by filtering out or injecting the ^{83m}Kr (switching between krypton generator output valve closed and open) as well.

3.3 Comparison of modes

Both operation modes have distinct advantages which make them complementary to each other: the WGTS Loop Only Mode provides the highest possible rate of electrons from ^{83m}Kr-decay, allowing for spectroscopy of the ^{83m}Kr-lines with unparalleled statistical precision. Meanwhile the Double Injection Mode is almost identical to the conditions of the tritium β-spectrum measurement. It also allows rapid switching between modes, enabling measurements using $83mKr$ on short timescales. By combining information gained from measurements in both modes — high precision together with perfect comparability to the neutrino mass measurement — the potentials and plasma properties of the WGTS can be understood and their effect on the neutrino mass analysis taken into account.

4 Performance

The critical performance parameters for the KATRIN 83m Kr operation modes are the achievable rates of ^{83m}Kr conversion electrons, the stability of these rates, the gas composition, and the column density. The interplay of these parameters lead to the capability to perform high precision spectroscopy on the 83mKr-lines, which allows for the determination of systematic effects in the KATRIN setup such as plasma parameters of the source.

4.1 83mKr rates

The term *rate* in the following text denotes the measured event rate at the KATRIN focal plane detector (FPD) [\[4\]](#page-22-3), which is used to count electrons that have passed the MAC-E filter, in contrast to the entire *activity* of 83mKr or the ⁸³Rb parent. Furthermore, for easier understanding, only the rate

at a particular retardation voltage setpoint of the MAC-E filter of 30 464.5 V will be presented here. At this retardation voltage the entire rate corresponding to the L_3 -32 electron line (as well as a small contribution from the $M_{1,2,3,4,5}$ -32 and $N_{1,2,3}$ -32 lines) of the 32 keV $83m$ Kr transition is measured, but none of the L_3 -32 electron line which has scattered on gas molecules inside the source is.

The rate which is achievable in the $83m$ Kr operation modes at any given retardation voltage is determined by the following factors:

- the activity A_{83Rb} of the ⁸³Rb parent,
- the branching ratio of ⁸³Rb to the isomeric state ^{83m}Kr $f_{\text{iso}} = (74.5 \pm 3.8)$ % [\[21\]](#page-23-8),
- the emanation efficiency $\varepsilon_{em} = (81.3 \pm 7.1)$ % of ^{83m}Kr from the ⁸³Rb parent [\[24\]](#page-23-11),
- the fraction f_{gas} of gas inside the WGTS source tube to the entire gas amount containing ${}^{83\text{m}}$ Kr. Values for this are typically around (1.24 ± 0.06) % at a column density of 3.75×10^{21} m⁻² and decrease towards lower column densities,
- the fraction of L_3 -32 electrons f_{scat} that have not scattered on the tritium molecules inside the source and experienced the subsequent energy loss, which is (61.6 ± 0.4) % for the above retardation voltage and a column density of 3.75×10^{21} m⁻²,
- the transmission efficiency $\varepsilon_{tr} = (18.1 \pm 0.1)$ % for emitted ^{83m}Kr electrons to hit the FPD due to the limited acceptance angle of the magnetic electron guiding,
- the relative intensity of the L_3 -32 line $f_{L_3-32} = (37.8 \pm 0.5)$ % and the fraction $f_{\text{spec}} =$ (11.4 ± 0.1) % of the ^{83m}Kr spectrum above the L_3 -32 line [\[8\]](#page-22-7), as KATRIN uses a high pass integrating spectrometer, and
- the estimated detection efficiency $\varepsilon_{\text{det}} = (91 \pm 3)$ % of the used FPD wafer for the ^{83m}Kr L_3 -32 line with a 6 keV region of interest.

The activity A_{83mKr} inside the WGTS source tube, once an equilibrium between $83mKr$ and $83Rb$ is reached, is given by:

$$
A_{^{83m}\text{Kr}} = A_{^{83}\text{Rb}} \cdot f_{\text{iso}} \cdot \varepsilon_{\text{em}} \cdot f_{\text{gas}} \cdot f_{\text{ex}} \,, \tag{4.1}
$$

$$
= A_{83}R_{b, \text{eff}} \cdot f_{\text{gas}} \cdot f_{\text{ex}} \,, \tag{4.2}
$$

where $A_{^{83}Rb, \text{eff}} = A_{^{83}Rb} \cdot \varepsilon_{\text{em}} \cdot f_{\text{iso}}$ is an abbreviation expressing the ⁸³Rb activity which leads to emanation of $83mKr$ into the gaseous phase. The factor f_{ex} describes the reduction of $83mKr$ in the system due to partial purification of the gas in the Double Injection Mode. In the WGTS Loop Only Mode it is 1. This factor is derived by comparing the WGTS Loop Only Mode equilibrium with a simple model assumption for the amount of ${}^{83m}\text{Kr}$ inside the gas in the Double Injection Mode. This model is described by the differential equations for the change in ^{83m}Kr amount $N_{83m_{K_r}}$ in both modes ($N_{83m_{\text{Kr},\text{WLO}}}$ for the WGTS Loop Only Mode, $N_{83m_{\text{Kr},\text{DI}}}$ for the Double Injection Mode):

$$
\dot{N}_{83m}_{\text{Kr},\text{WLO}} = -N_{83m}_{\text{Kr},\text{WLO}} \cdot \lambda_{83m}_{\text{Kr}} + A_{83\text{Rb},\text{eff}} \,,\tag{4.3}
$$

$$
\dot{N}_{83\text{m}_{\text{Kr,DI}}} = -N_{83\text{m}_{\text{Kr,DI}}} \cdot \left(\lambda_{83\text{m}_{\text{Kr}}} + \frac{q_{\text{ex}}}{n_{\text{tot}}}\right) + A_{83\text{Rb,eff}}\,,\tag{4.4}
$$

with the decay constant $\lambda_{83m_{\text{Kr}}}$ of $83m_{\text{Kr}}$, the total gas amount n_{tot} , and the extracted flow rate q_{ex} . These equations simplify in equilibrium ($\dot{N}_{83m_{\text{Kr,WI,O}}} = \dot{N}_{83m_{\text{Kr,DI}}} = 0$) to:

$$
N_{83\text{mKr,WLO}} = \frac{1}{\lambda_{83\text{mKr}}} \cdot A_{83\text{Rb,eff}} \,, \tag{4.5}
$$

$$
N_{83\text{mKr,DI}} = \frac{1}{\lambda_{83\text{mKr}} + \frac{q_{\text{ex}}}{n_{\text{tot}}}} \cdot A_{83\text{Rb,eff}} \,. \tag{4.6}
$$

Due to the design of the setup, every $83mKr$ atom leaving the generator first passes the point of extraction (see label E in figure [2\)](#page-5-1) before entering the WGTS source tube for the first time. This means that only a fraction of the $83m$ Kr released by the generator which is given by the additional factor of $\frac{q_{\text{tot}}-q_{\text{ex}}}{q_{\text{tot}}}$ contributes to the amount of ${}^{83\text{m}}\text{Kr}$ in the source tube, leading to a total correction factor of:

$$
f_{\rm ex} = \frac{N_{\rm 83mKr, DI}}{N_{\rm 83mKr,WLO}} \cdot \frac{q_{\rm tot} - q_{\rm ex}}{q_{\rm tot}},
$$
\n(4.7)

$$
f_{\rm ex} = \frac{\lambda_{\rm 83mKr}}{\frac{q_{\rm ex}}{n_{\rm tot} + \lambda_{\rm 83mKr}}} \cdot \frac{q_{\rm tot} - q_{\rm ex}}{q_{\rm tot}},
$$
(4.8)

with the total flow rate through the WGTS source tube q_{tot} . The ${}^{83\text{m}}$ Kr activity inside the WGTS source tube derived in this way translates to the expected FPD rate $R_{\text{FPD,exp}}$ as follows:

$$
R_{\text{FPD,exp}} = A_{\text{83m}_{\text{Kr}}} \cdot (f_{\text{scat}} \cdot f_{\text{L}_3 - 32} + f_{\text{spec}}) \cdot \varepsilon_{\text{tr}} \cdot \varepsilon_{\text{det}} \,. \tag{4.9}
$$

Based on these considerations, the expected and measured ^{83m}Kr rates for representative time periods of WGTS Loop Only Mode and Double Injection Mode operation are shown in figure [6](#page-13-0) and figure [7,](#page-14-0) respectively. The figures also contain exponential fits with fixed decay constants and free amplitudes, describing the rate evolution due to 83 Rb and 83m Kr decays, which are elaborated on further below. For both operation modes, the expected and measured FPD rates agree well within their uncertainties. The systematic shift in both modes is likely the result of the large systematic uncertainty on the gas dynamical properties such as the volumes needed to calculate n_{tot} , or the emanation efficiency.

Comparing the rates in both modes also immediately shows the drawback of the Double Injection Mode. In exchange for the inclusion of the gas purification system, the rate is reduced by $f_{\text{ex}} =$ $(1.86 \pm 0.28) \times 10^{-3}$. Therefore, at the same activity A_{83Rb} of the ⁸³Rb parent, much longer measurement times are required in the Double Injection Mode compared to the WGTS Loop Only Mode.

Figure [6](#page-13-0) also illustrates the slow attaining of the transient equilibrium between the $83m$ Kr and $83Rb$ parent that takes several $83m$ Kr half-lives. This effect can be suppressed, if $83m$ Kr is accumulated inside the krypton generator before starting the injection into the circulating tritium gas. This is shown in figure [8,](#page-14-1) where the FPD rate during an injection of accumulated $83mKr$ into the circulating gas stream is displayed. The injected bunch of $83m$ Kr is circulated through the closed WGTS loop, leading to the oscillatory behavior, until diffusing and mixing of $83m$ Kr into the surrounding tritium result in achieving equal distribution in the entire loop within ≈30 min. From that moment onward, the stability of the measured rate is dominated by the decay of the $83Rb$ parent of 0.8 % d⁻¹, or the equilibration between the 83mKr and the 83Rb parent if the 83mKr was not allowed to accumulate.

Figure 6. Rate stability in the WGTS Loop Only Mode. Effects of ⁸³Rb decay and ⁸³Rb \rightarrow ^{83m}Kr production fitted to data with fixed decay constants taken from literature $[8, 19-21]$ $[8, 19-21]$ $[8, 19-21]$. The uncertainty on the expectation is from all the uncertainties listed in the text. The uncertainty on FPD rate data is statistical only.

2.5 0.0

8.0

8.5

Deviation from expectation in %

9.0

Time in days

0.0 0.5 1.0 1.5

In the Double Injection Mode, the behavior upon injection of $83m$ Kr is vastly different, as is depicted in figure [9.](#page-15-1) As the term related to gas exchange in equation (4.6) is much bigger than the decay constant $\lambda_{83m_{Kr}}$ of $83m_{Kr}$, no equilibrium between the $83m_{Kr}$ inside the loop and the $83Rb$ parent is allowed to develop. Instead, an equilibrium between the production rate of $83mKr$ by the $83Rb$ parent and the filtration rate of $83mKr$ by the gas purification system is established. This oscillatory behavior after an initial injection is heavily dampened, and the equilibrium value is reached within <10 min; much faster than in the WGTS Loop Only Mode.

In both modes, the rate stability, disregarding the smooth decrease due to decay of the $83Rb$ parent, is excellent. By fitting two exponential functions with fixed decay constants for the ⁸³Rb and 83mKr and free amplitudes to the data, the remaining fluctuations in the residuals were investigated. For the WGTS Loop Only Mode, the relative standard deviation of these residuals is 0.050 % with an expectation of 0.023 % from purely statistical rate fluctuations $(\chi^2_\nu/d.o.f = 5.46$ for fit with only statistical uncertainties). Under the assumption of Gaussian fluctuations, we can derive from these values that the stability of our circulation system, disregarding the decay, is on the order of 0.044 % in the WGTS Loop Only Mode. In comparison, the lower rates in the Double Injection Mode yield a

⁸³Rb decay

0

100

Expected rate $R_{\text{FPD, exp}}$ from ⁸³Rb activity

Figure 8. Time until rate stabilization in the WGTS Loop Only Mode. The 3σ uncertainty band mostly overlaps with the equilibrium rate line.

0 500 1000 1500

Time after injection in s

Figure 9. Time until rate stabilization in the Double Injection Mode.

much larger standard deviation of 0.49 % with an expectation of 0.42 % from purely statistical rate fluctuations $(\chi^2_\nu/\text{d.o.f} = 1.36$ for fit with only statistical uncertainties). Deriving the stability for the Double Injection Mode as done above, we obtain a value on the order of 0.25 % for the rate stability.

From June to August 2021, $a^{83}Rb$ source with an extraordinarily high activity of 9.8 GBq was used to enable high sensitivity spectroscopy of the $N_{2,3}$ -32-lines of $83m$ Kr. Such a high activity source was necessary in order to achieve rates of $\approx 30 \text{ cps}$ for the N_2 -32 line in the Double Injection Mode, i.e. of ≈30 kcps in the WGTS Loop Only Mode. The results of these spectroscopic measurements will be presented in an upcoming publication.

4.2 Gas composition

Both krypton modes require a recirculation — partial in the case of the Double Injection Mode of the gas pumped out of the WGTS source tube via TMPs. This has the disadvantage that, due to the internal construction of the TMPs, the gas stream on the high pressure side of the TMP is contaminated by gases other than hydrogen isotopologues or krypton.

The TMPs are not fully metal and are sealed with polymer gaskets. Therefore in addition to the outgassing of and exchange reactions inside the TMPs [\[28\]](#page-23-15), a leakage flow with a rate on the order of $\lt 10^{-6}$ mbar ls⁻¹ per pump enters the system. These impurities are undesired for operation of the krypton modes as they can slightly change the column density, plasma parameters, and serve as additional molecules for β-electrons to scatter on with different energy loss distributions compared to molecular tritium.

In order to investigate these impurities in-situ, a MKS HPQ3 residual gas analyzer (RGA) is installed at the WGTS. We refrain in the following from making quantitative statements as the RGA is operated in the stray magnetic field of the WGTS magnets, which significantly impacts the peak heights for different masses in a non-trivial fashion, and due to the inability to calibrate the RGA in-situ.

Mass spectra for both the Double Injection Mode and the WGTS Loop Only Mode measured with this RGA are shown in figure [10](#page-16-0) together with a spectrum of the operation mode without gas

Figure 10. *Gas composition during circulation in WGTS Loop Only Mode and Double Injection Mode.* All data sets were taken at similar total pressure and magnetic field settings. In addition to the krypton operation modes, a spectrum for the normal neutrino scan mode without recirculation of gas is shown for comparison. All data are averaged over \approx 100 individual mass spectra to make the low intensity peaks visible in the RGA statistical noise.

recirculation for comparison. A list identifying the peaks in the mass spectra with potential gas species is given in table [2.](#page-17-0) Relative factors for the suppression of the peaks from WGTS Loop Only Mode to Double Injection Mode are given as well. However, these factors are only an estimation as most peaks are already close to the detection limit in the WGTS Loop Only Mode, and thus only a lower limit can be set for the disappearing peak. This is further complicated by some peak shapes extending across several amu, and some fragmentation patterns overlapping other peaks such as with $CO₂$ and N₂ at mass 28.

The peaks visible in the Double Injection Mode are almost identical to those without gas recirculation, showing it to contain no significant amount of additional impurities. The peaks present besides the hydrogen isotopologues are tritiated water, and CO as well $CO₂$ which are produced by the RGA or originate from the RS.

Compared to the spectrum of the Double Injection Mode, the spectrum of the WGTS Loop Only Mode contains several additional peaks. These peaks are to a large extent due to air (nitrogen, oxygen, argon). However, the spectrum contains a comb-structure, in addition to the water related peaks, between mass 12 to 24, which can be identified with tritiated methane species and their fragments. In general, these non-hydrogen isotopologue peaks are much more pronounced in the WGTS Loop Only Mode, which is expected from a precursor experiment [\[28\]](#page-23-15) with a similar gas circulation configuration.

Mass in u	Possible gas species	Relative suppression	Visible in Double Injection Mode	
$\mathbf{1}$	H		Yes	
$\mathfrak{2}$	H_2, D	4.3×10^{1}	Yes	
3	H_3 , HD, T, 3 He	8.1×10^{-1}	Yes	hydrogen and
$\overline{\mathcal{A}}$	$D_2, D_2, {}^4He$	9.2×10^{-1}	Yes	helium
5	DT	5.1×10^{-1}	Yes	isotopologues
6	T ₂	7.8×10^{-1}	Yes	
9	T_3	2.0×10^{-1}	Yes	
12	\overline{C}	$>2.4 \times 10^{1}$	$\rm No$	
14	CH ₂ , CD, ¹⁴ N	$>2.0 \times 10^{2}$	No	
15	CT, CH ₃ , CHD, ^{15}N	2.4×10^{1}	No	
16	$CH4, CTH, CD2, O$	3.0	Yes	
17	$CH2T$, $CH3D$, OH	3.2×10^{1}	Yes	
18	$CH3T, CT2, H2O, OD$	2.7×10^{1}	Yes	tritiated water
19	$CHT2$, F, OT, HDO	2.5	Yes	and hydrocarbons
20	$CH2T2$, Ar ⁺⁺ , HTO, D ₂ O	5.7	Yes	
21	$CD2T2$, DTO	1.5×10^{1}	No	
22	CHT ₃ , T ₂ O	2.3	Yes	
24	CT_4 ,	5.3×10^{1}	N _o	
28	$CO, {}^{14}N_2$	2.9×10^{2}	No, Fragment	
			of $CO2$	
29	$15N^{14}N$	$>3.1 \times 10^{1}$	N _o	
30	$^{15}N_2$, NO	>3.9	N _o	air
31	15 _{NO}	$>6.3 \times 10^{-1}$	N _o	
32	O ₂	$>1.5\times10^{1}$	N _o	
36	36Ar	>1.9	No	
40	^{40}Ar	$>1.1 \times 10^{2}$	No	
44	CO ₂	2.4	Yes	RGA intrinsic

Table 2. *Observed peaks in the RGA measurements and possible corresponding gas species.* Listed are all peaks present in the WGTS Loop Only Mode and it is noted which of these peaks are also visible in the Double Injection Mode. Details on the relative suppression factors are given in the text.

This is a natural consequence of the complete lack of gas purification in the WGTS Loop Only Mode, as the peaks increase in time as the impurities accumulate. In the Double Injection Mode however, an equilibrium between the impurity inflow rate and the gas extraction rate settles in at a level which is not visible with the RGA in our setup, showing a spectrum which is nearly identical to the mode without gas recirculation.

4.3 Column density

The column density of tritium molecules inside the WGTS source tube is an important parameter for the KATRIN experiment as it directly affects the number of emitted β-electrons, as well as their scattering on the gas. At a constant temperature of the WGTS, the main parameter which influences the column density is the flow rate of tritium through the source tube. This flow rate and the forepressure of the WGTS TMPs are the limiting factors for the column densities which can be achieved. In the WGTS Loop Only Mode the forepressure serves as the injection pressure in the WGTS and limits the column density to about 2×10^{21} m⁻² at 80 K. In contrast, in the Double Injection Mode, the flow through the TMPs limits the column density to a higher level of about 3.75×10^{21} m⁻² at the same temperature.

5 Discussion

5.1 Spectroscopic measurements

The precise measurement of the parameters which define the spatial inhomogeneities of the plasma potential in the KATRIN tritium source is the motivation for the production of the ultra-strong 83Rb source that can provide sufficiently large activities of $83m$ Kr for the Double Injection Mode [\[9\]](#page-22-8).

The β-decay and subsequent ionization processes generate an abundance of electrons and positive ions in the tritium source, which form a plasma. It is defined by the gas flow, recombination and surface potentials.

The absolute value of the starting potential of β-electrons within this plasma will be observed as an energy offset of the tritium spectrum with regard to the kinematic endpoint. A radially different starting potential can be accounted for by allowing different endpoint fit parameters for individual rings in the segmented focal plane detector. If not considered in the analysis, this would pose a bias for the neutrino mass observable.

Longitudinal inhomogeneities in the source electric potential will manifest themselves as deformation of the recorded tritium spectrum. If not considered in the analysis, to leading order this results in a bias on the neutrino mass of $-2\sigma_P^2$ [\[29\]](#page-23-16), where σ_P is the longitudinal standard deviation of the electric potential.

β-electrons starting in the downstream part of the WGTS mainly leave the source without inelastic scattering off tritium molecules. Those starting in the upstream part have to pass through a more dense gas column before reaching the spectrometer section. Therefore, they undergo scattering off molecules with a characteristic energy loss [\[30\]](#page-23-17) at a higher probability. The total spectrum is therefore a superposition of the individual spectra for each scattering multiplicity. A longitudinal asymmetry in the electric starting potential will therefore not only deform the individual spectra, but will also shift the energy-offsets of n -fold-scattered spectra relative to each other due to different effective starting potentials. For KATRIN, most importantly the shift between unscattered and 1-fold scattered electrons needs to be accounted for, which is denoted as Δ_P [\[9\]](#page-22-8).

High-resolution electron spectroscopy of the spectral range of the N_1 -32, N_2 -32, and N_3 -32 lines around 32 keV has been identified as most suitable for the measurement of these parameters due to minimal systematic effects despite the low relative intensity. Figure [11](#page-19-0) shows an example spectrum for measurements performed during 515 h.

Figure 11. Exemplary spectrum of the N_1 -32, N_2 -32, and N_3 -32 of co-circulating ^{83m}Kr in the WGTS at a column density of 3.75×10^{21} m⁻² in the Double Injection Mode. The acquisition was 515 h corresponding to a summation of 180 individual scans of each ≈3 h. For the sake of clarity, only the data of 1 pixel of the FPD is shown.

The natural line widths of the N_2 -32 and N_3 -32 lines are assumed to be vanishing and are not further collision-broadened due to the low gas density in the WGTS. Therefore the line shape of the integral spectrum is only determined by the transmission function of the MAC-filter — thus by the magnetic fields in the source and the analysis plane and by the Gaussian broadening parameter σ_P^2 . The Δ_P parameter corresponds to an additional energy shift between un-scattered and 1-fold scattered electron spectra. The precision fitting of these spectra are beyond the scope of this paper and will be discussed in an upcoming publication.

The systematic uncertainty on the neutrino mass measurement from the plasma calibration can be determined by propagation of the input parameter uncertainties in the spectral model by Monte-Carlo methods [\[31\]](#page-23-18). For illustration purposes it can be approximated for the individual contributions by σ_P^2 and Δ_P as

$$
\delta(m_{\nu}^2)_{\text{broadening}} = 4\sigma_P \cdot \delta(\sigma_P),\tag{5.1}
$$

$$
\delta(m_{\nu}^2)_{\text{shift}} = \epsilon \cdot \delta(\Delta_P). \tag{5.2}
$$

Here $\delta(\sigma_P)$ and $\delta(\Delta_P)$ are the uncertainties of the parameters; ϵ is an effective parameter which describes the susceptibility of the Δ_P parameter on the neutrino mass [\[9\]](#page-22-8). For the current KATRIN measurement configuration it is about $\epsilon \approx 1.15 \text{ eV}$. This large value of ϵ leads to $\delta(m_v^2)_{\text{shift}}$ dominating over $\delta(m_\nu^2)$ _{broadening} by far.

The uncertainty in the determination of the plasma observables strongly depends on the available measurement time, which is depicted in figure [12.](#page-20-1) It shows the evolution of $\delta(\Delta_P)$ with time as calculated for the actual krypton-line signal strength as recorded in the Double Injection Mode with the strong 10 GBq 83 Rb source.

Figure 12. Systematic contribution from the plasma observable uncertainty $\delta(\Delta_P)$ on the neutrino mass parameter m_{ν}^2 as a function of the measurements time, calculated for two possible ⁸³Rb source activities. The sensitivity is given for a uniform value for the entire detector.

In order to meet the requirements of KATRIN for reaching the target neutrino mass sensitivity, it is relevant that all the systematic effects add up to less than 1.7×10^{-2} eV², which implies that individual systematic effects like the starting potential inhomogeneity should be less than 7.5×10^{-3} eV².

Expected systematic contributions to the neutrino mass are plotted into figure [12](#page-20-1) for two different ⁸³Rb source activities. It shows that one would need to measure more than two months to reach the required precision on the dominating Δ_P parameter with a medium strong source of 3 GBq. It is demonstrated that only a 83 Rb source with an activity on the order of 10 GBq, or more, can be used to determine plasma observables with the required accuracy to fulfill the requirements of KATRIN systematics budget within reasonable time scales.

5.2 Observed ⁸³Rb half-life

The trend of the N_2 -32 line intensity as a function of time is shown in figure [13.](#page-21-1) It is decreasing with a half-life of (81.34 ± 0.34) d which is significantly shorter than the value of (86.2 ± 0.1) d reported in literature $[20]$ for the ⁸³Rb half-life. Based on this deviation, we conclude that the 83m Kr in the system, and specifically inside the WGTS tube (the source of the measured N_2 -32 conversion electrons), is not in the expected equilibrium with its ${}^{83}Rb$ parent. Possible reasons for this phenomenon are a decrease of the $83m$ Kr emanation rate from the zeolite source over time or a time dependent increase in loss of $83mKr$ in the loop system. Nevertheless, the observed decrease is slow enough to enable the measurement of the scans of the conversion electrons, even without the count correction on this decrease during the scan. As the main intention for using $83mKr$ in KATRIN is as a calibration source, which is possible with this observed half-life discrepancy, it is currently not planned to spend extensive amounts of measurement time in order to investigate this effect in detail.

Figure 13. Evolution of the intensity of the N_2 -32^{83m}Kr line as a function of time.

6 Summary

The KATRIN experiment requires detailed knowledge of the starting conditions of β-electrons produced in tritium decay in its Windowless Gaseous Tritium Source. In order to investigate these conditions, mono-energetic conversion electrons from the decay of gaseous $83m$ Kr can be used by mixing it with the tritium. This publication describes the two operation modes of the KATRIN experiment with gaseous 83mKr as well as the achieved rates and their stability. Based on these results, it is shown that a sensitivity on the starting conditions needed to meet the KATRIN requirements for the target sensitivity on the neutrino mass of 0.2 eV, can be reached in a two-week long measurement with a 10 GBq 83 Rb activity source in the 83m Kr generator. Such a source (9.8 GBq) was used during the June–August 2021 krypton measurement campaign of the KATRIN experiment. The results of this measurement campaign will be presented in upcoming publications.

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