



# **scintillating active Transverse Energy Filter**

**a novel detector concept for low-energy electron  
background discrimination**

**speaker: Joscha Lauer (Institute for Astroparticle Physics (IAP), KIT)  
for the KATRIN Collaboration**

**March 22<sup>nd</sup>, 2023 – T 74.2**

***DPG Spring Meeting of the Matter and Cosmos Section***

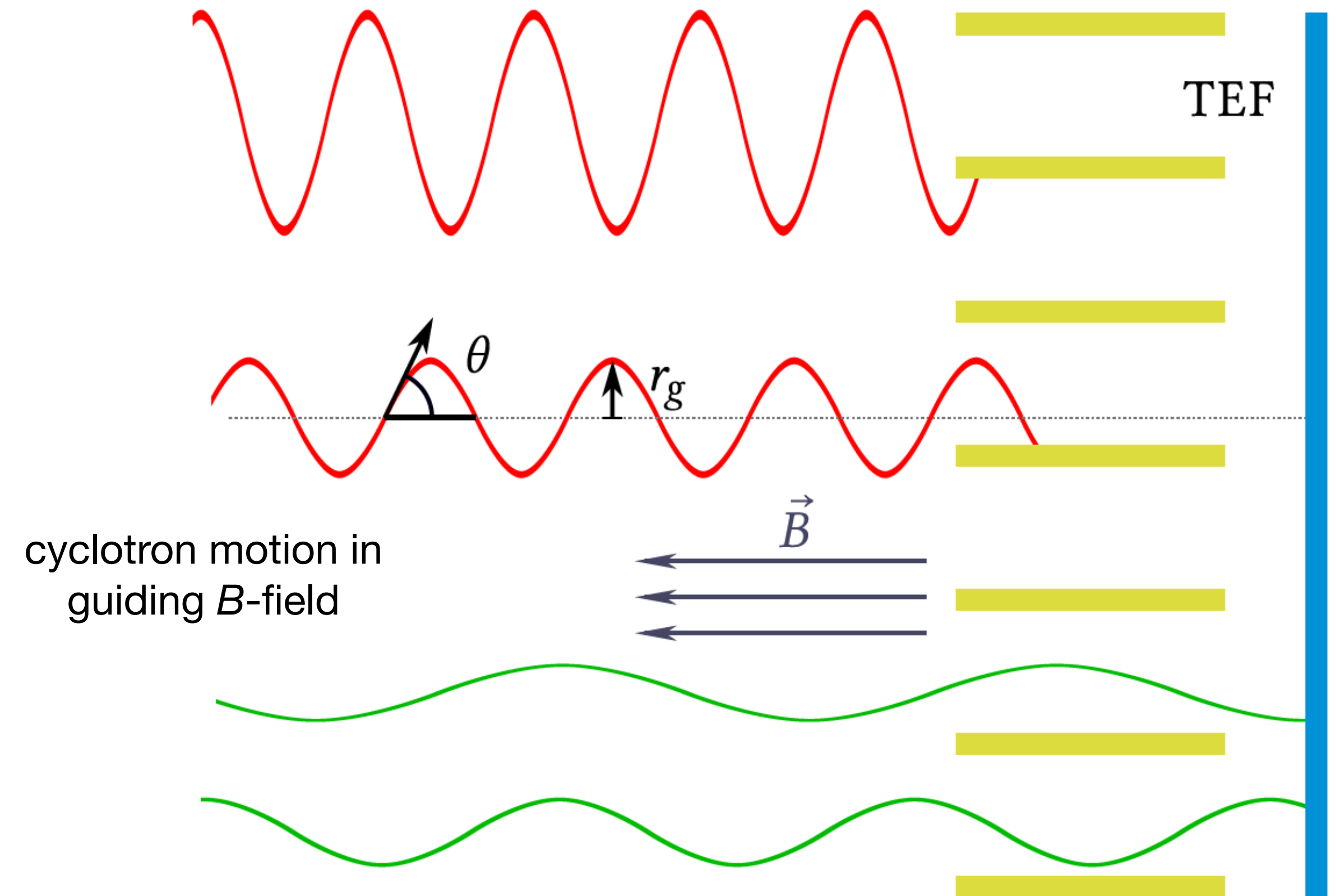
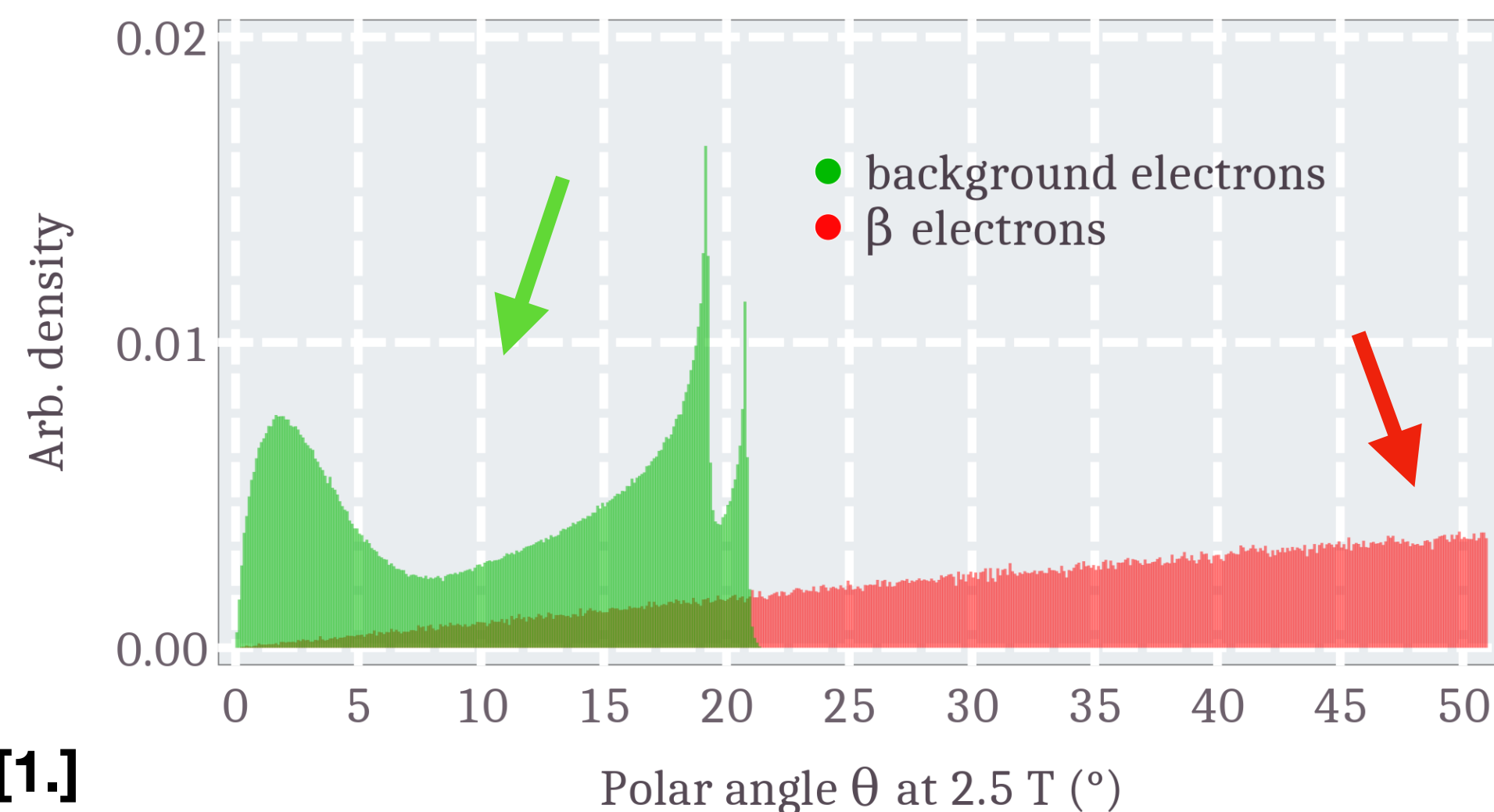
# Transverse Energy Filter (TEF)

## the TEF principle

$$E_T = E \sin^2(\theta)$$

- background electrons
- tritium  $\beta$  electrons
- detector: **active** TEF = “**aTEF** fraction”

→ background discrimination for tritium  $\beta$  spectroscopy with KATRIN



**KATRIN: low energy (origin) ↔ low angles (detector)**



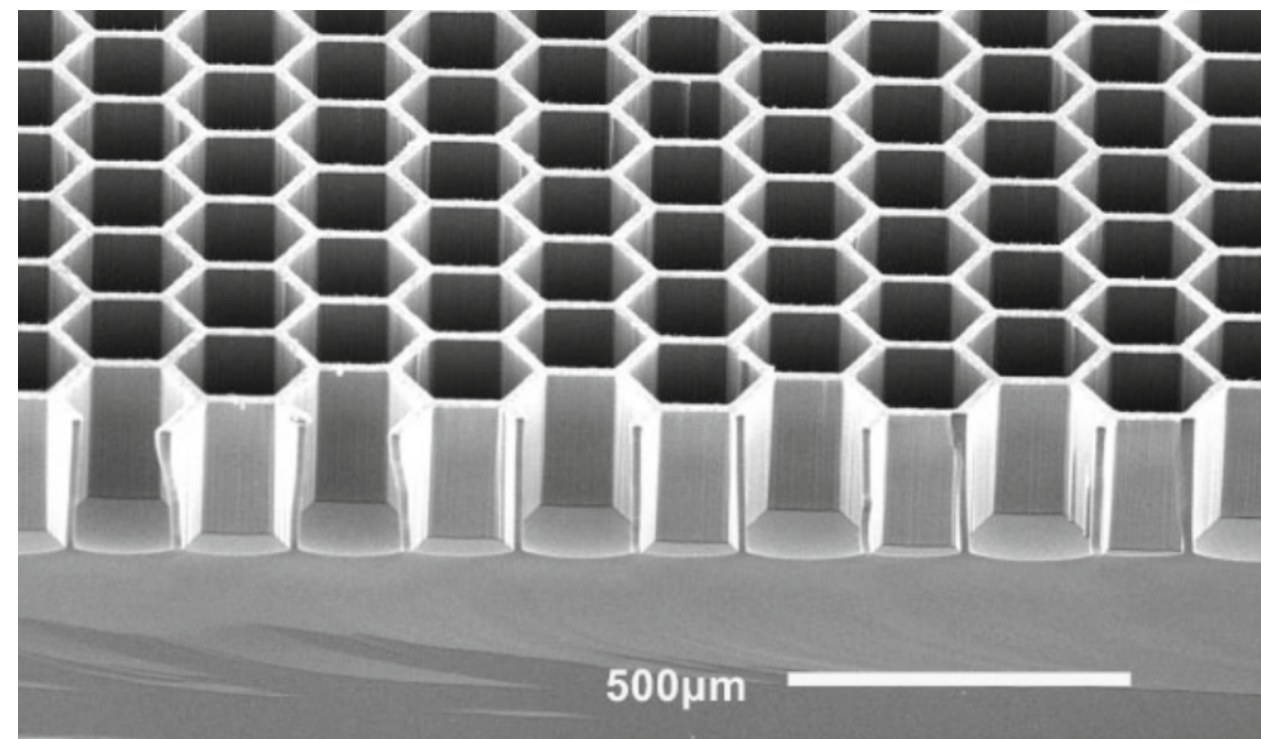
# detector concept: active TEF (aTEF)

two different approaches by 

***Si-aTEF*** Eur. Phys. J. C 82, 922 (2022) [2.]

- *silicon*-based aTEF detector
- etched Si-PIN diodes
- **T 123.6**, tomorrow at 17:05
- **HK 74.28**, tomorrow at 17:30
- **T 143.5**, tomorrow at 18:30

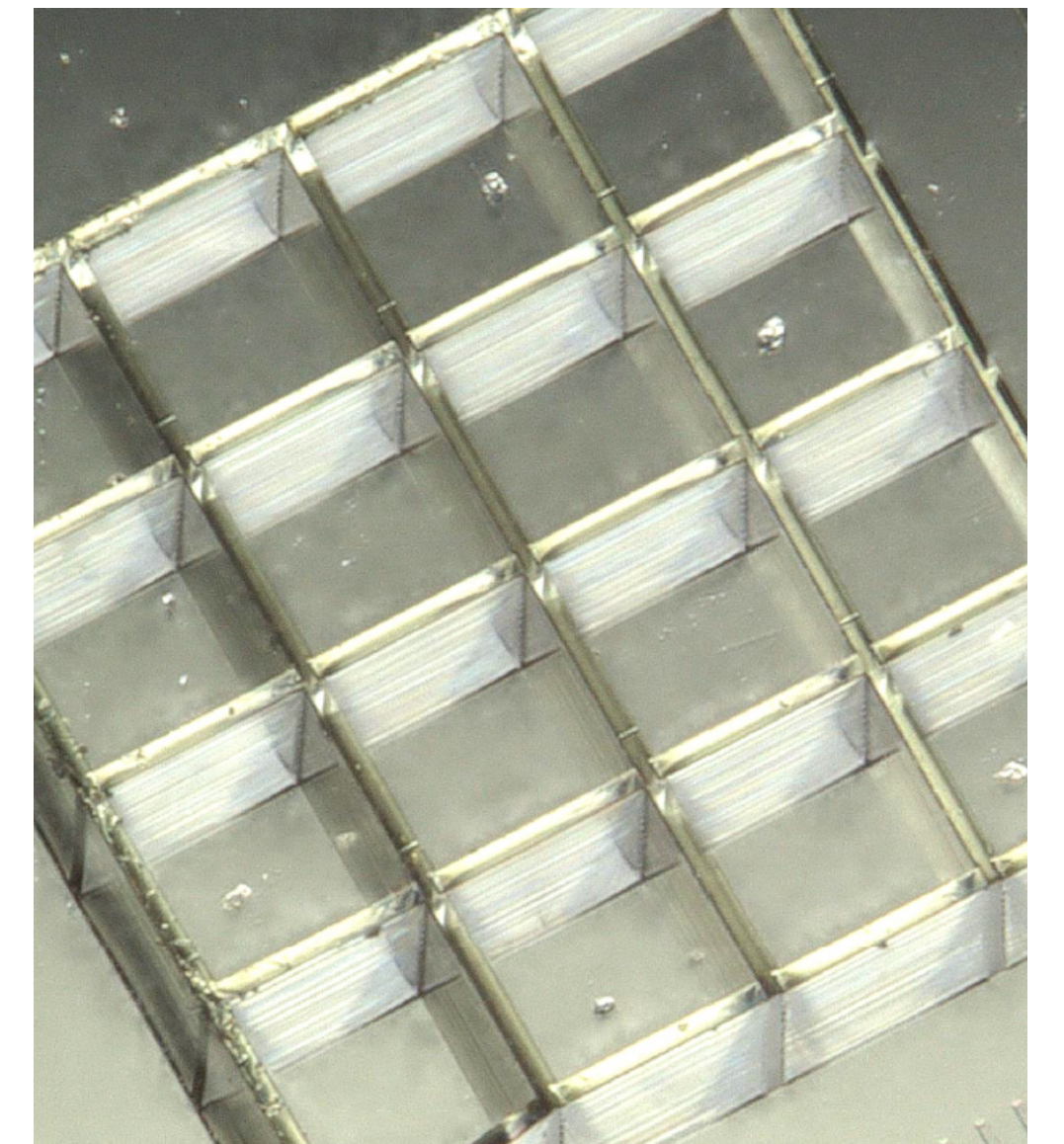
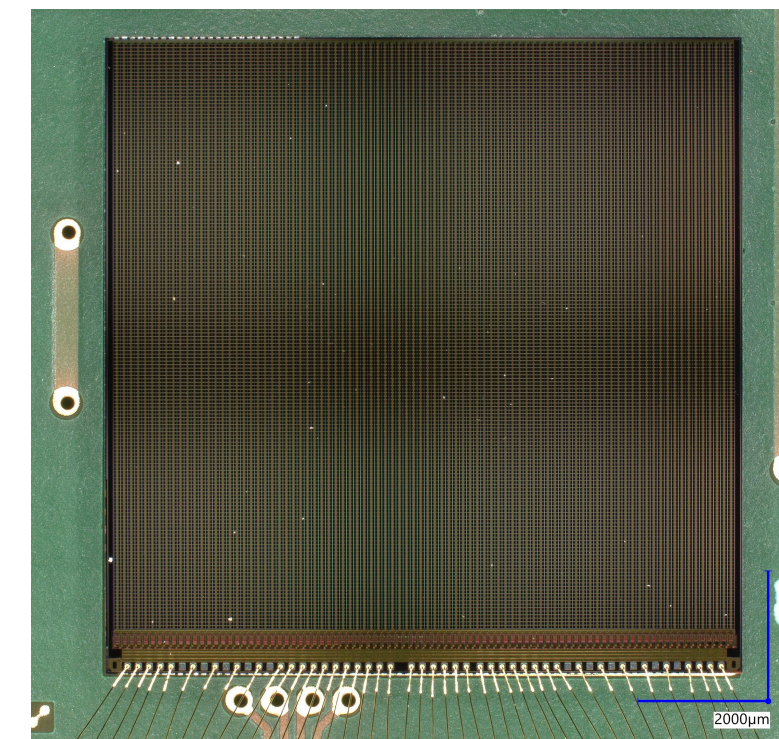
[2.]



***scint-aTEF***

- *scintillator*-based aTEF detector
- scintillator grid and single-photon detector
- **T 74.2**, today at 16:05
- **T 74.3**, today at 16:20
- **T 74.4**, today at 16:35

[3.]

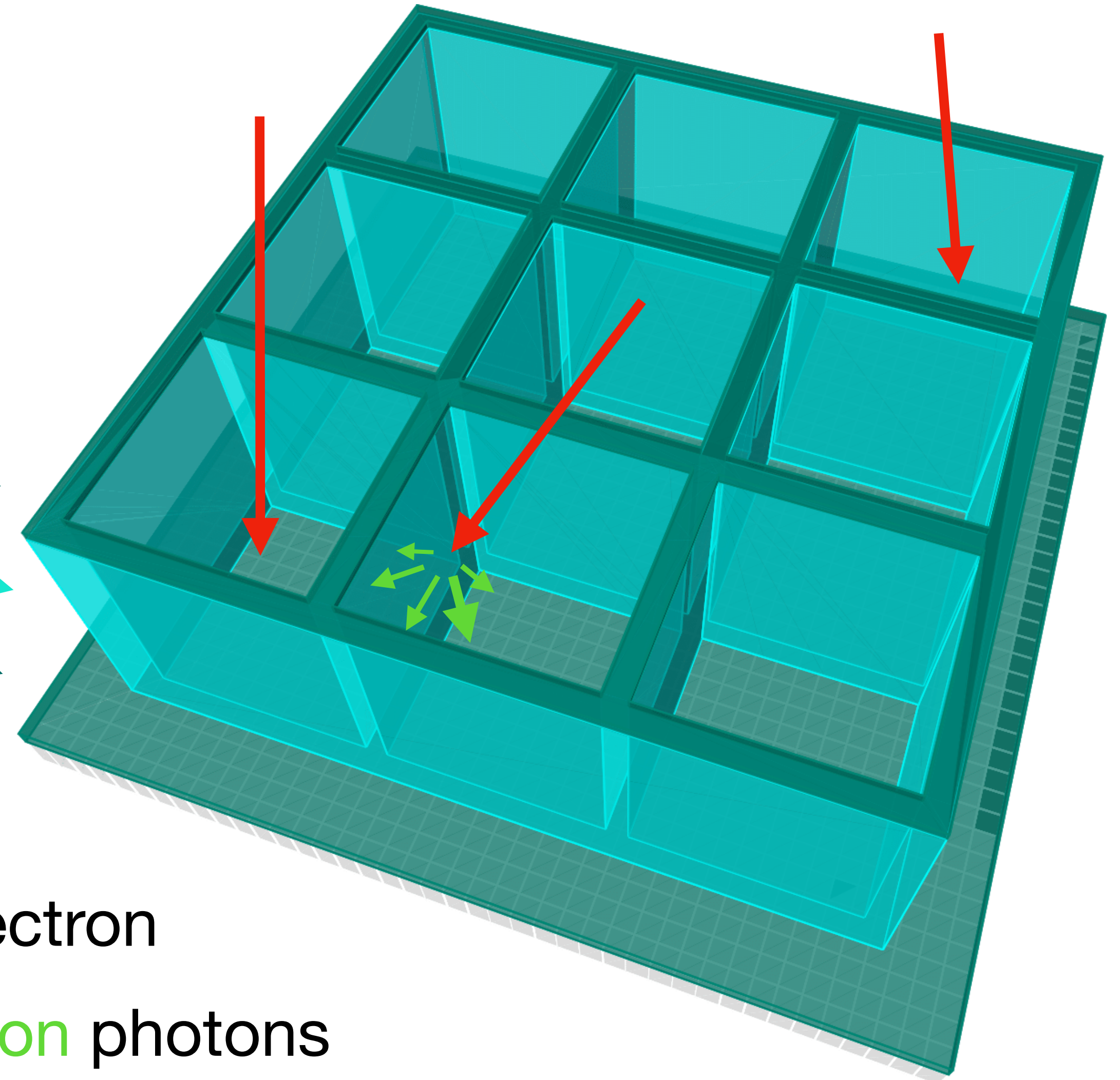




# scintillator-based aTEF

## the scintillating approach

4 “layers”: ↓  
non-scintillating top  
scintillator grid  
non-scintillating base plate  
single-photon detector



*scint-aTEF*

1. incident single low-energy ( $\sim 18.6$  keV) electron
2. if  $\theta/r_g$  sufficiently large: multiple **scintillation** photons
3. photon footprint on detector

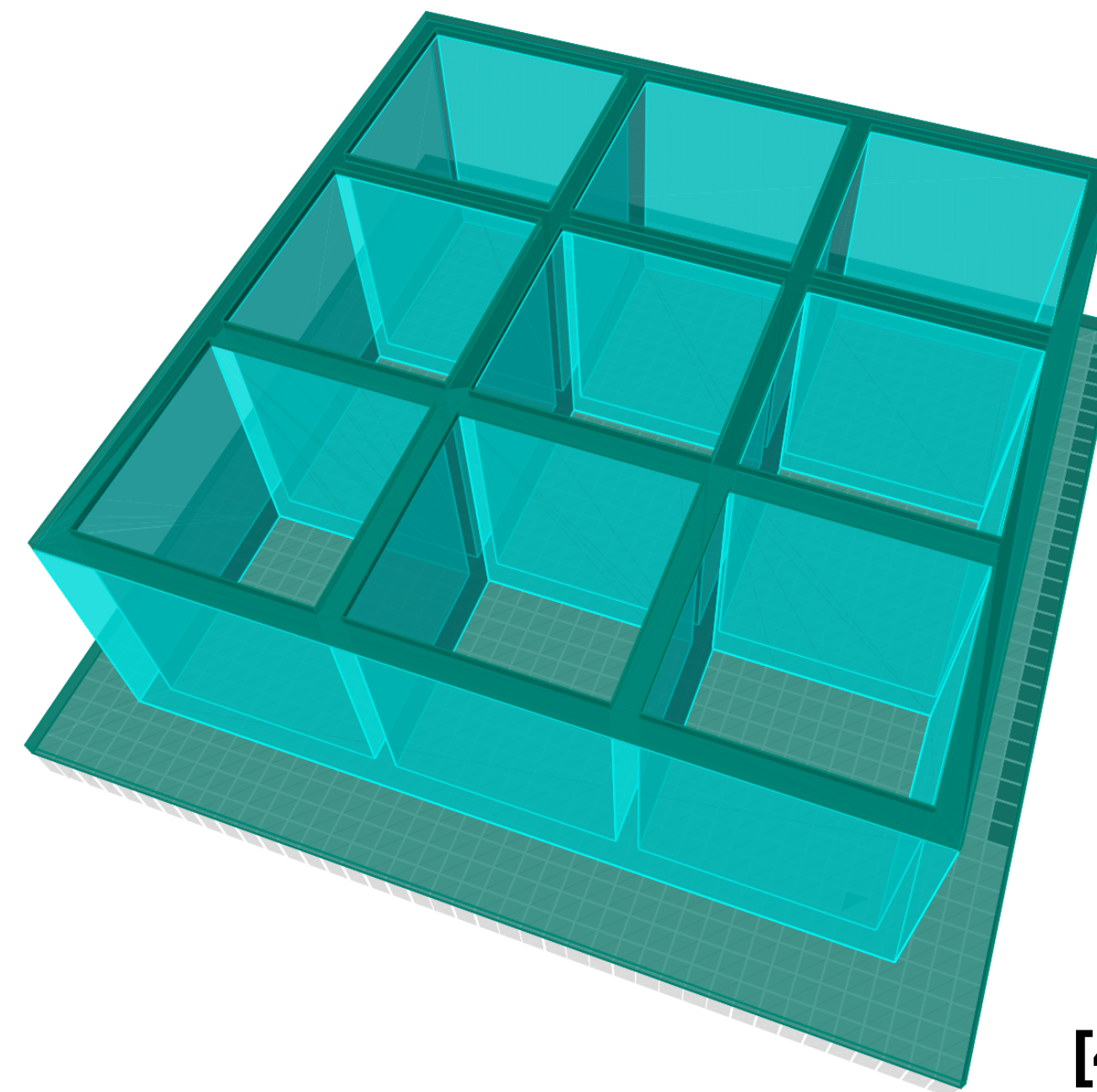


# scintillator

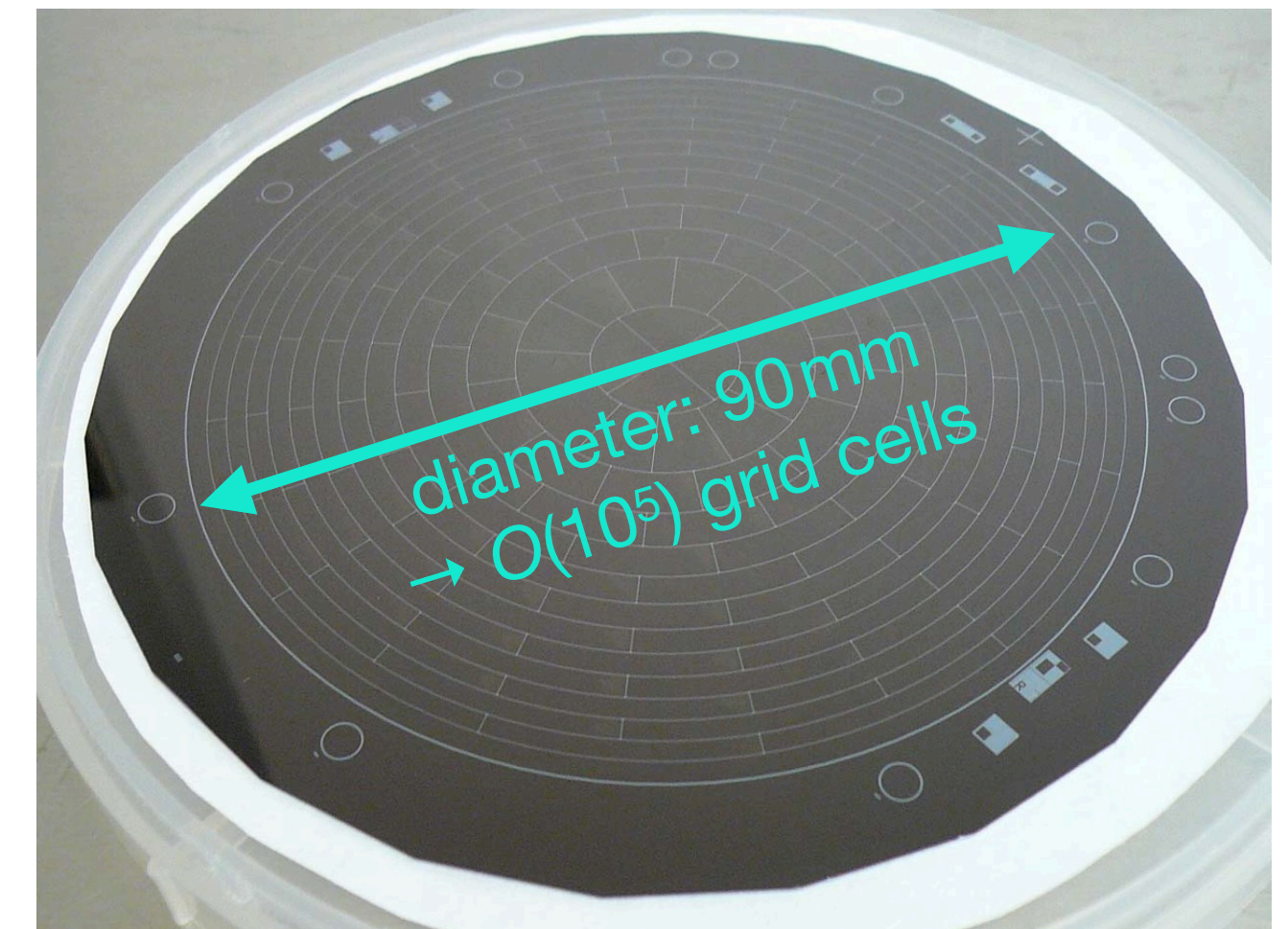
## 3d-microstructured plastic grid

- plastic scintillator: low  $Z \rightarrow$  low backscattering
  - non-scint. low  $Z$  top/bottom: e.g. PMMA
  - quadratic 3d grid dimensions:
    - cells of  $\sim 250 \times 250 \mu\text{m}^2$ ,  $\sim 25 \mu\text{m}$  walls
    - until now  $\sim 300 \mu\text{m}$  height
- $\rightarrow$  geometry optimizations using *Geant4* are ongoing

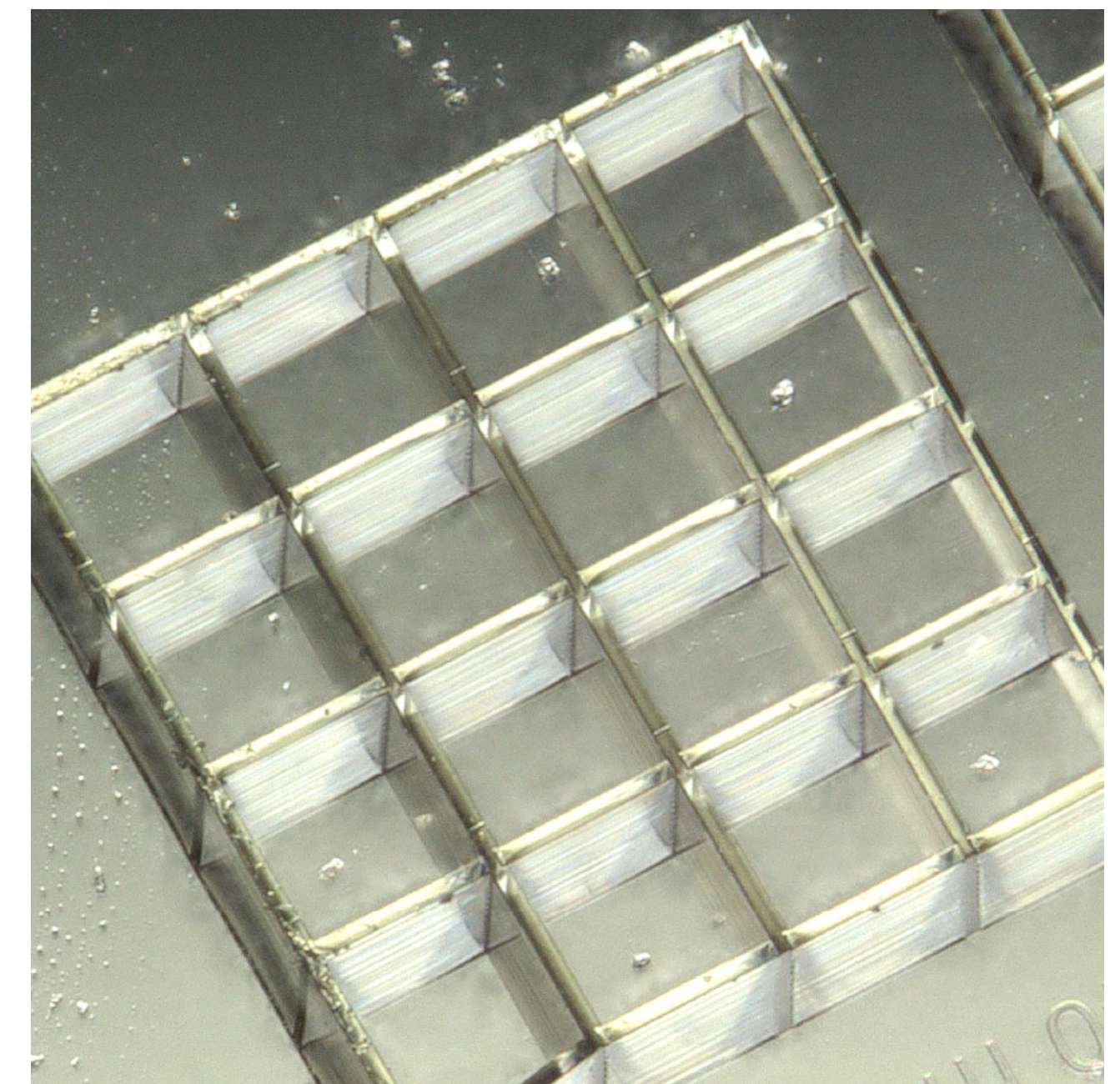
**T 74.3**, today at **16:20**



**[3.]**  
KATRIN Focal  
Plane Detector



**[4.]**



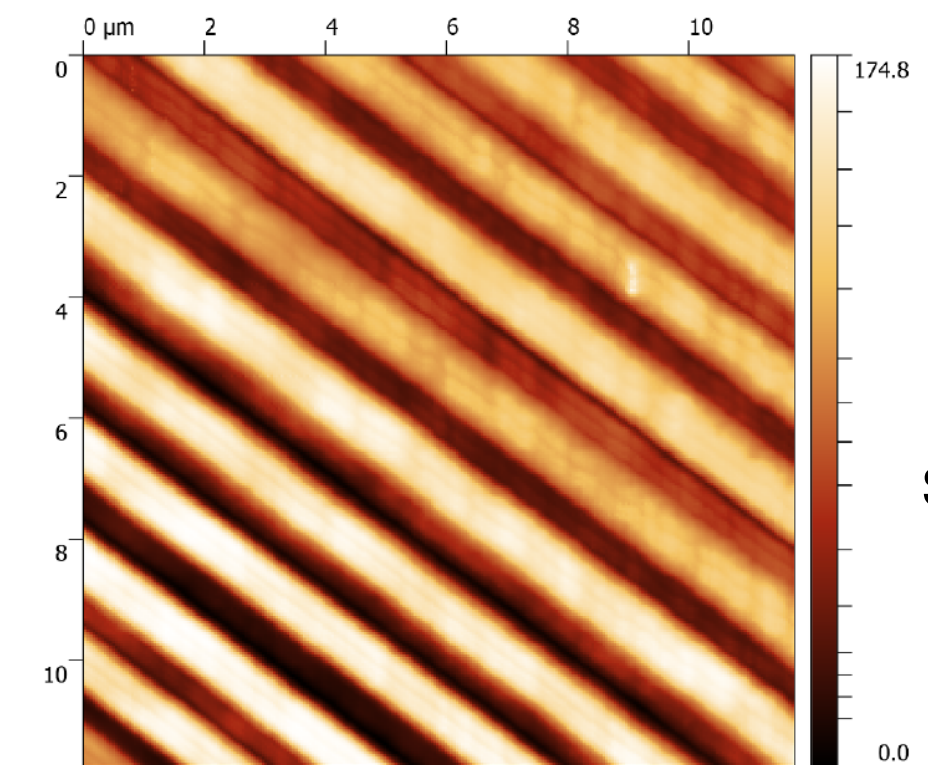
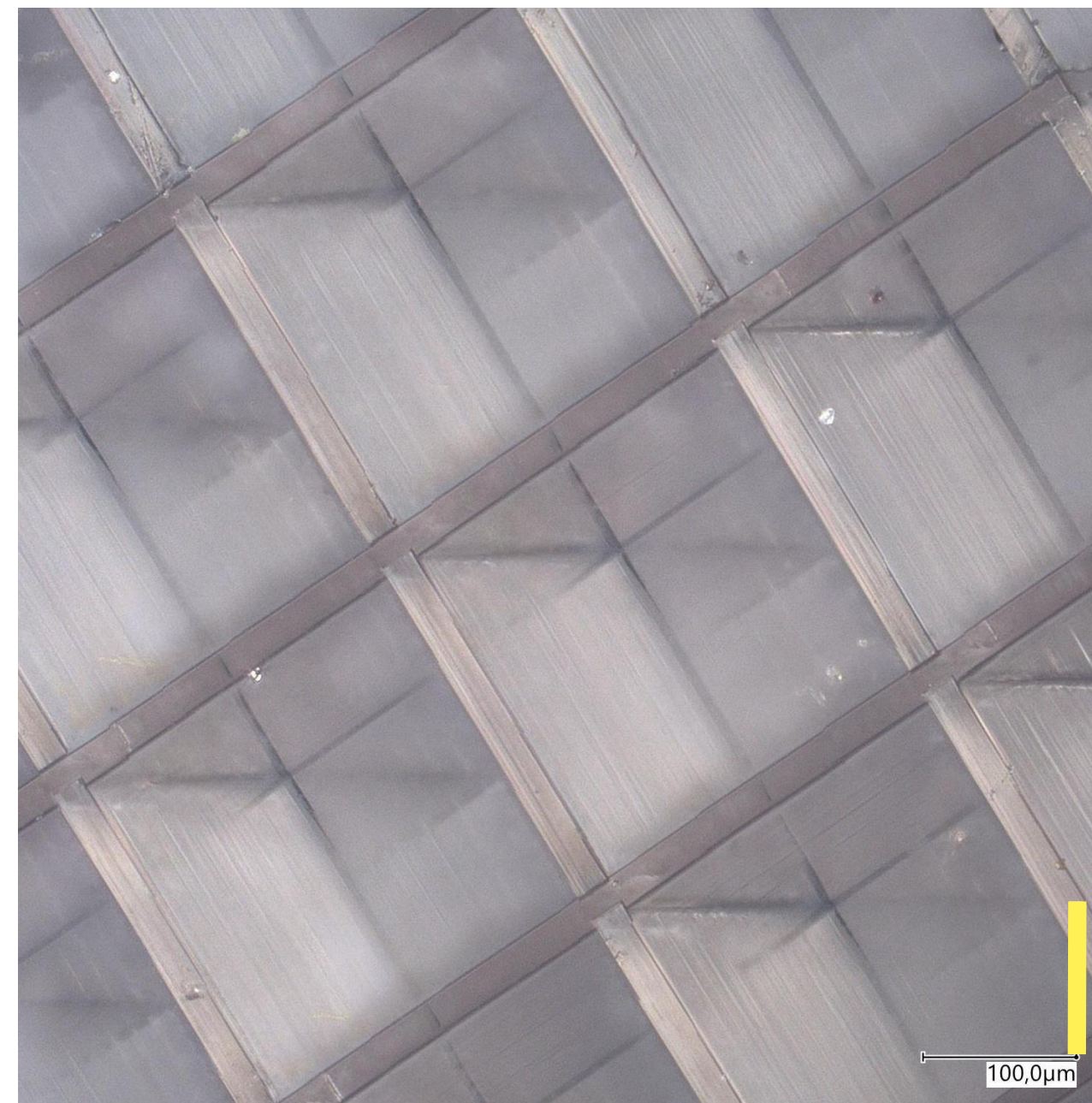


# scintillator

## 3d-microstructured plastic grid

- manufacturing by 2-Photon Polymerization (2PP)
- R&D: suitable resin for 2PP of plastic scintillator & printing strategies
- important aspects: T 74.4, today at 16:35
  - surface properties ( $\sigma_a < 5^\circ$ )
  - light yield (max.  $\sim 10 \gamma/\text{keV}$  deposit)
  - emission spectrum

[4.]



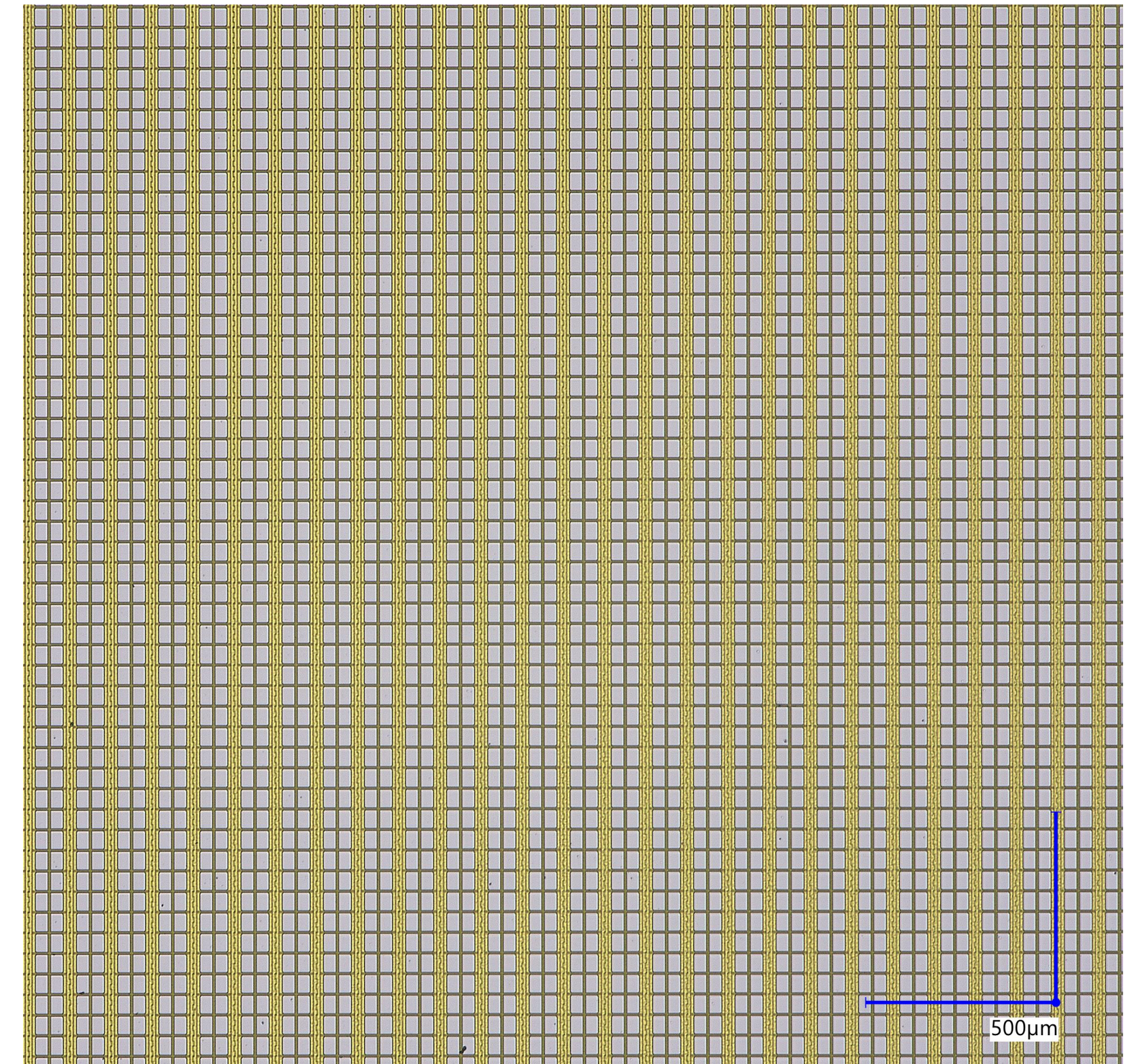
[5.]  
AFM image:  
sample surface



# detector

## CMOS-SPAD-based single photon detection

- **Single-Photon Avalanche Diode** (SPAD) array
- operation above breakdown voltage  $V_{BD}$  in GEIGER mode
- triggered avalanche only creates small fast external signal
- → combination with **CMOS** (Complementary Metal-Oxide-Semiconductor) technology → “*digital* SiPM”
- single noisy SPAD impairs whole array → hot SPADs can be disengaged in real time

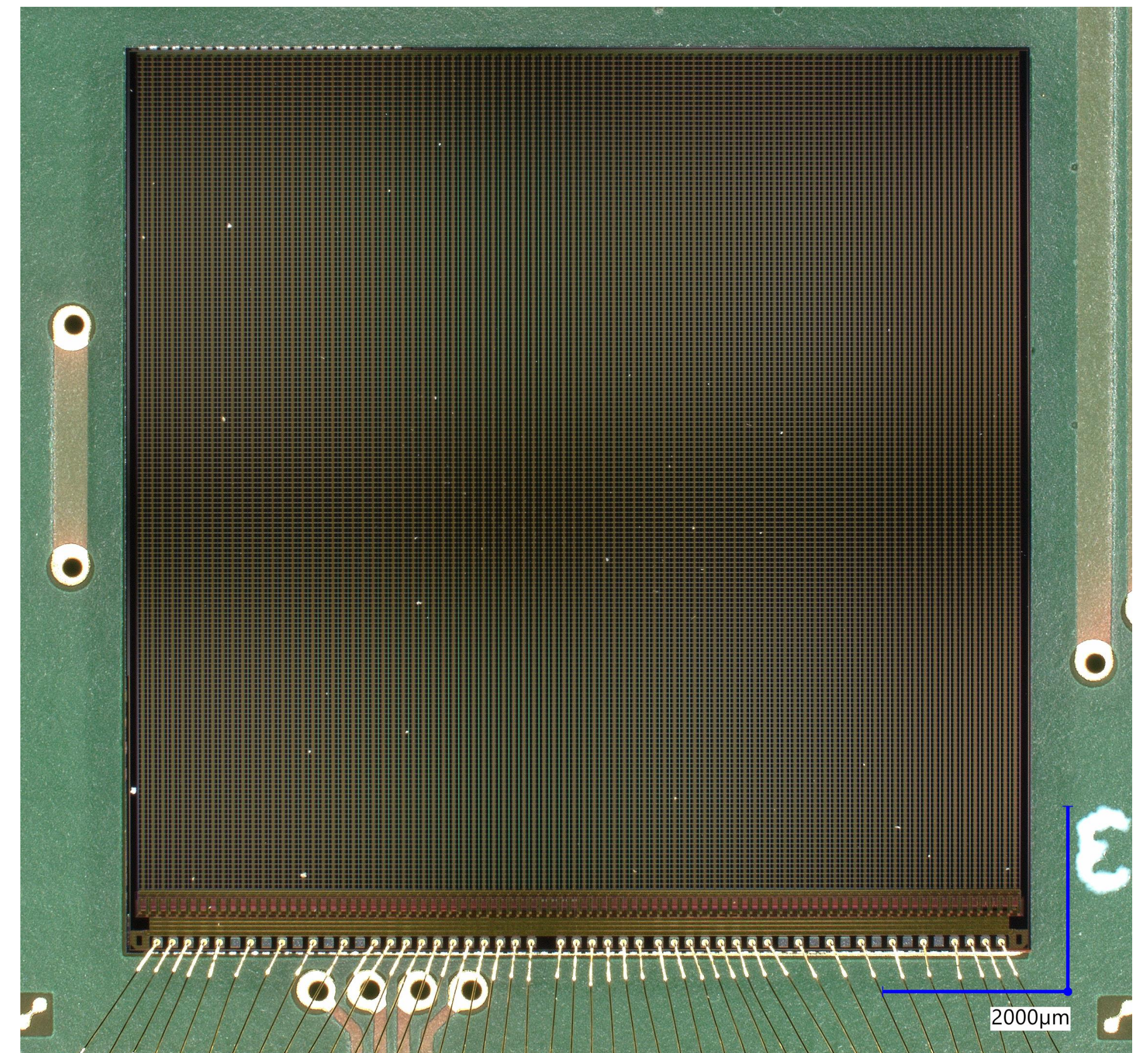
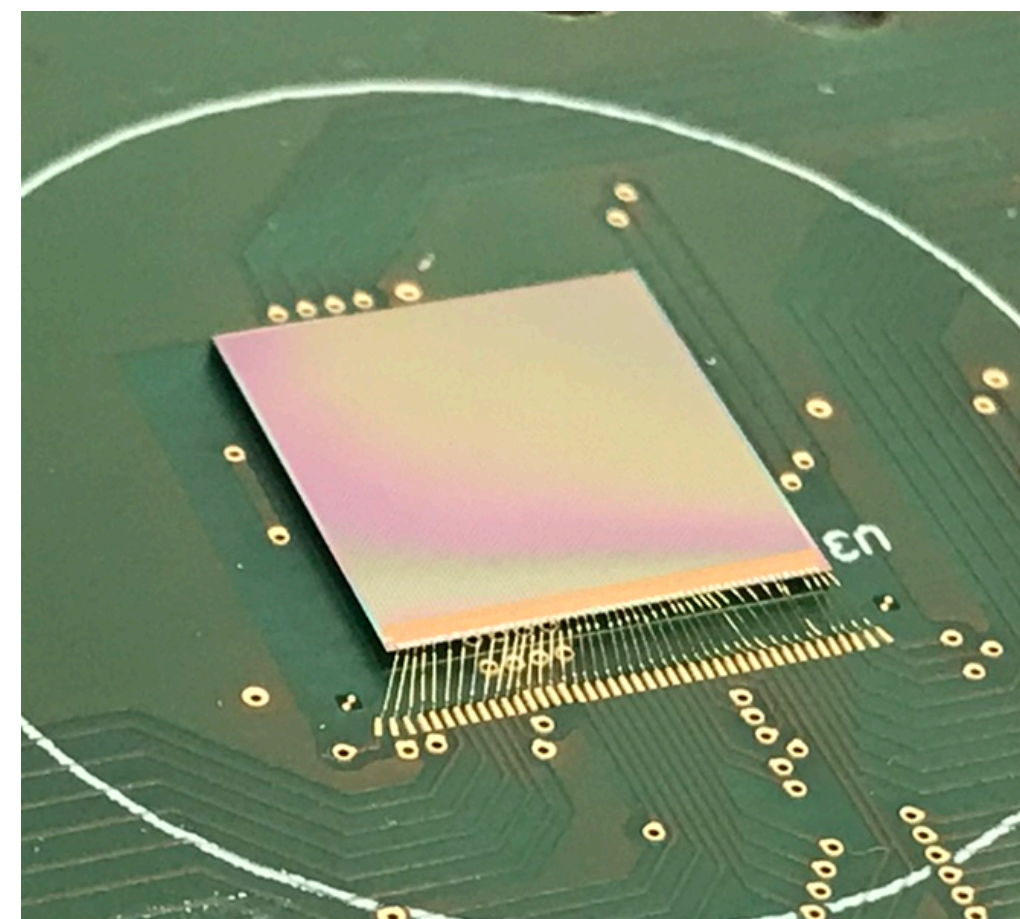
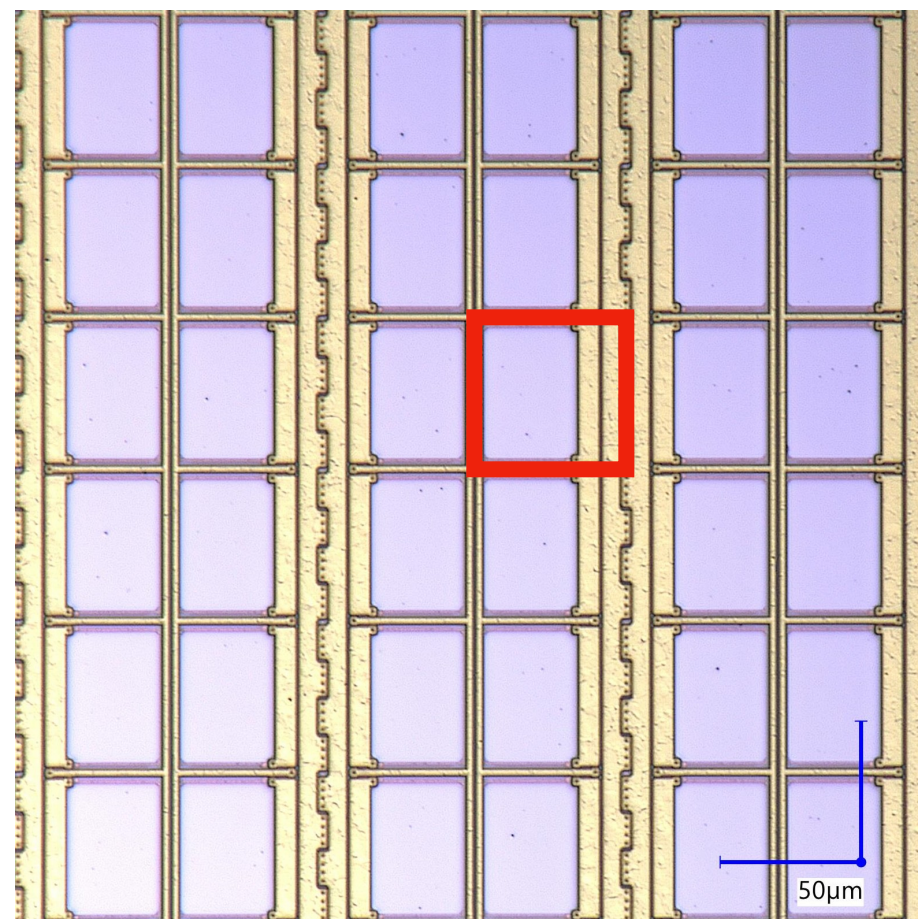




# detector

## *Interpolating Digital Photosensor 4 (IDP4, by ZITI in Heidelberg)*

- *IDP4*: CMOS-SPAD-based photodetector developed at ZITI (Uni Heidelberg) [6.]
- $9.7 \times 9.7 \text{ mm}^2$  ( $176 \times 166 = 29.2 \text{ k}$  SPADs)
- 52 % fill factor (active area)
- pixel:  $54 \times 54 \mu\text{m}^2$

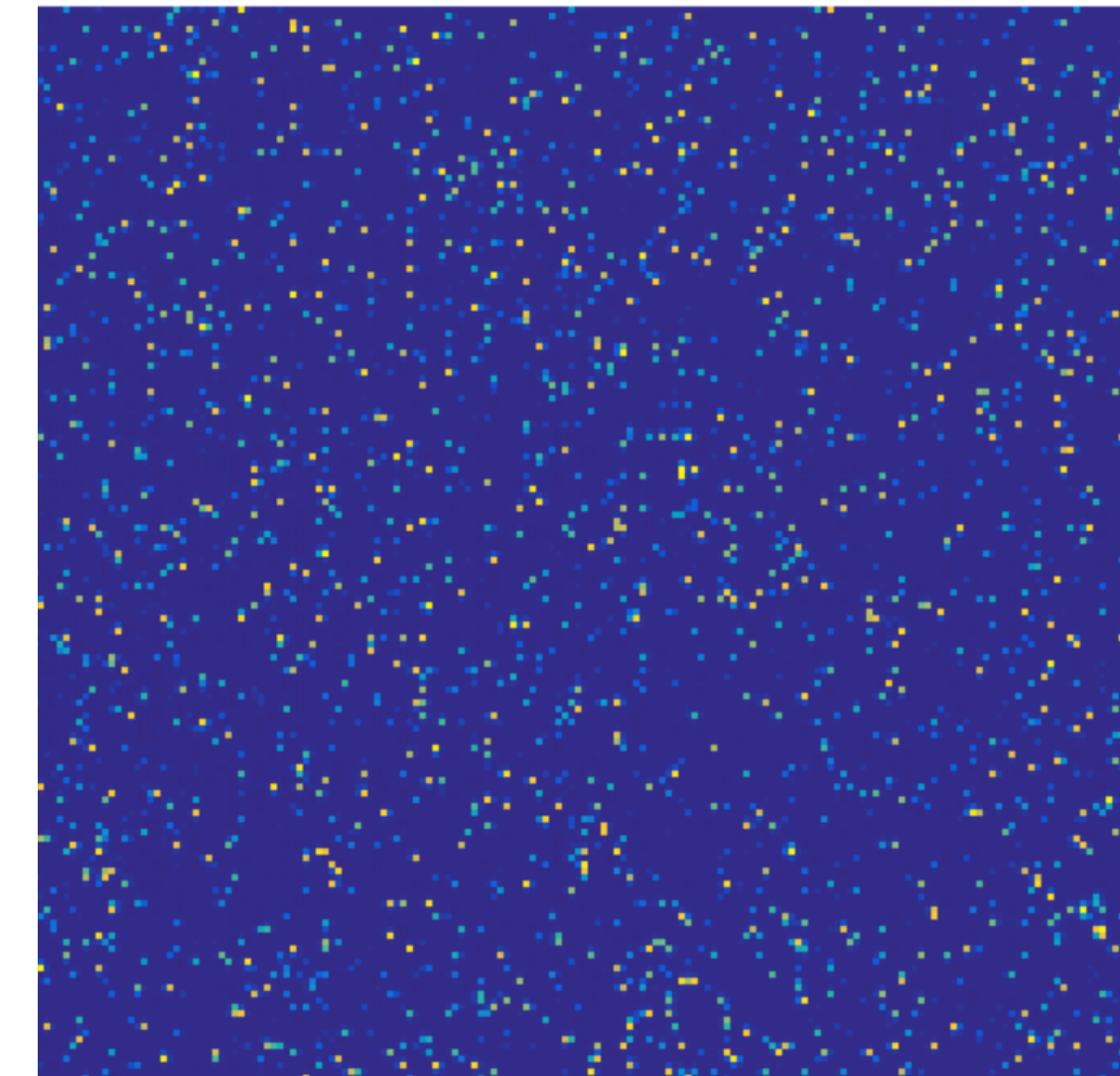




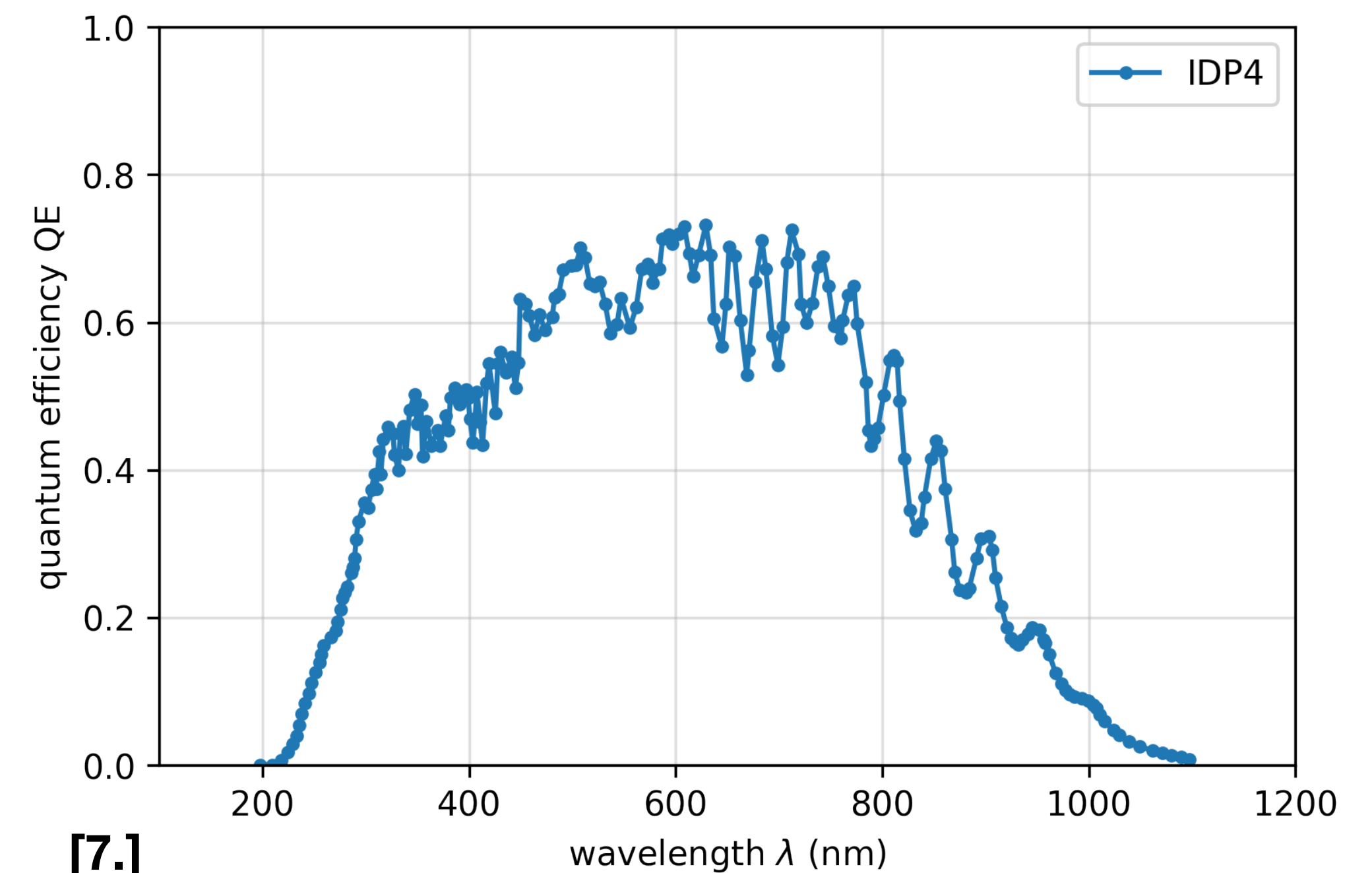
# detector

## CMOS-SPAD-based IDP4

- single-photon detection with  $O(10\text{ns})$  scale time resolution
- spatial information (pixel size):
  - reconstruction of local events
  - discrimination from noise
- binary (I/O) serial array readout
- low noise achievable



accumulated noise  
of hot SPADs

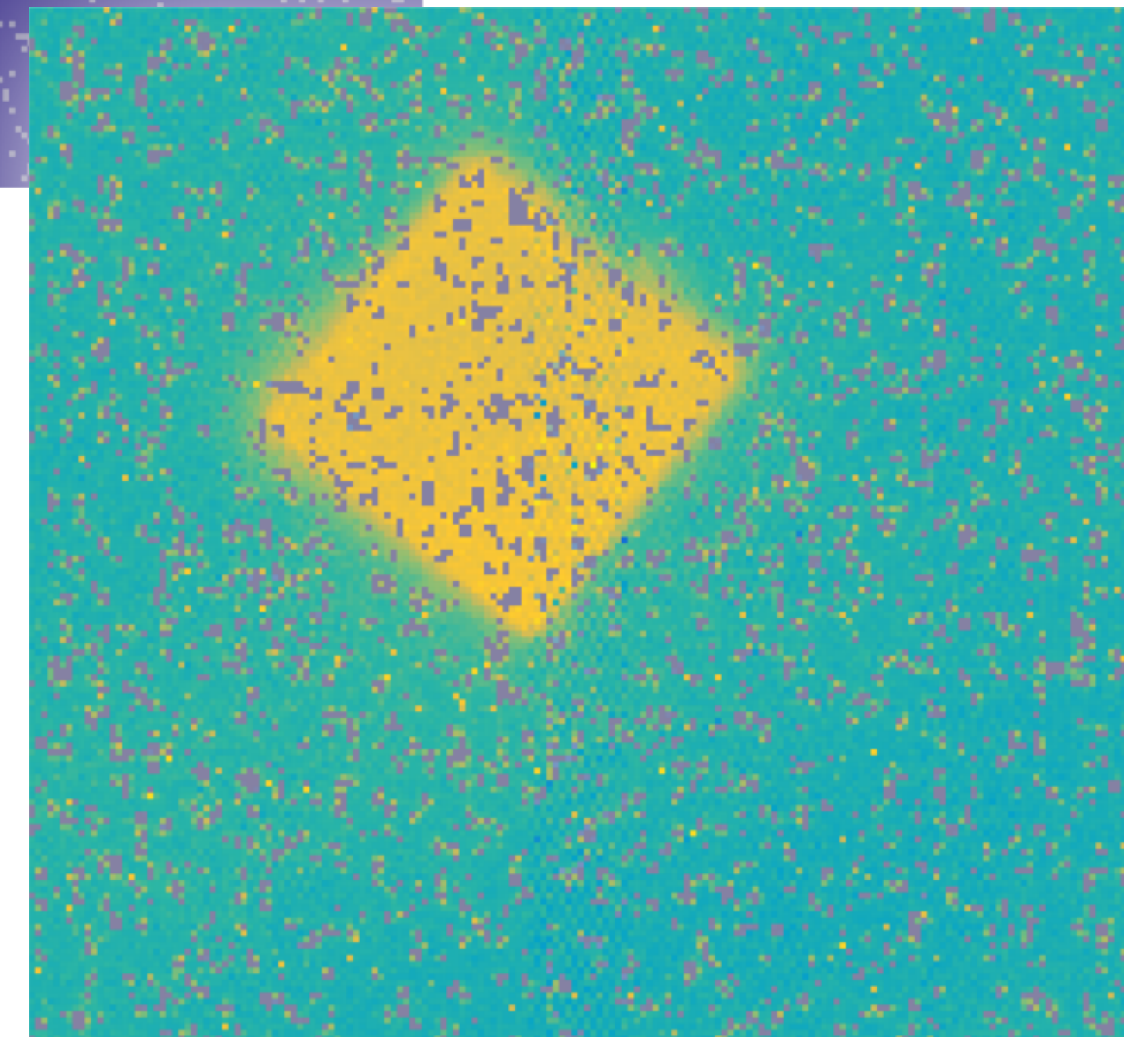
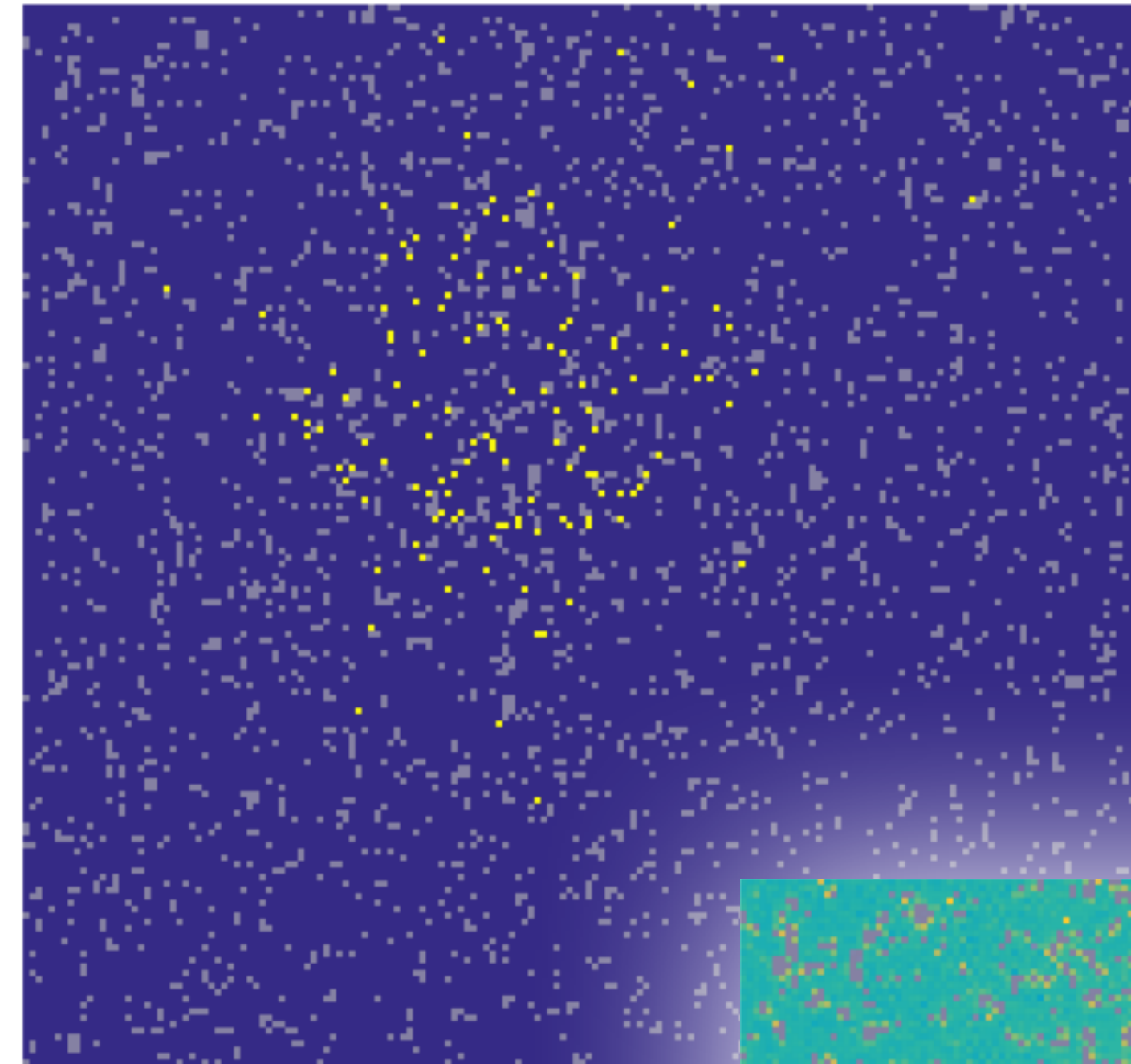
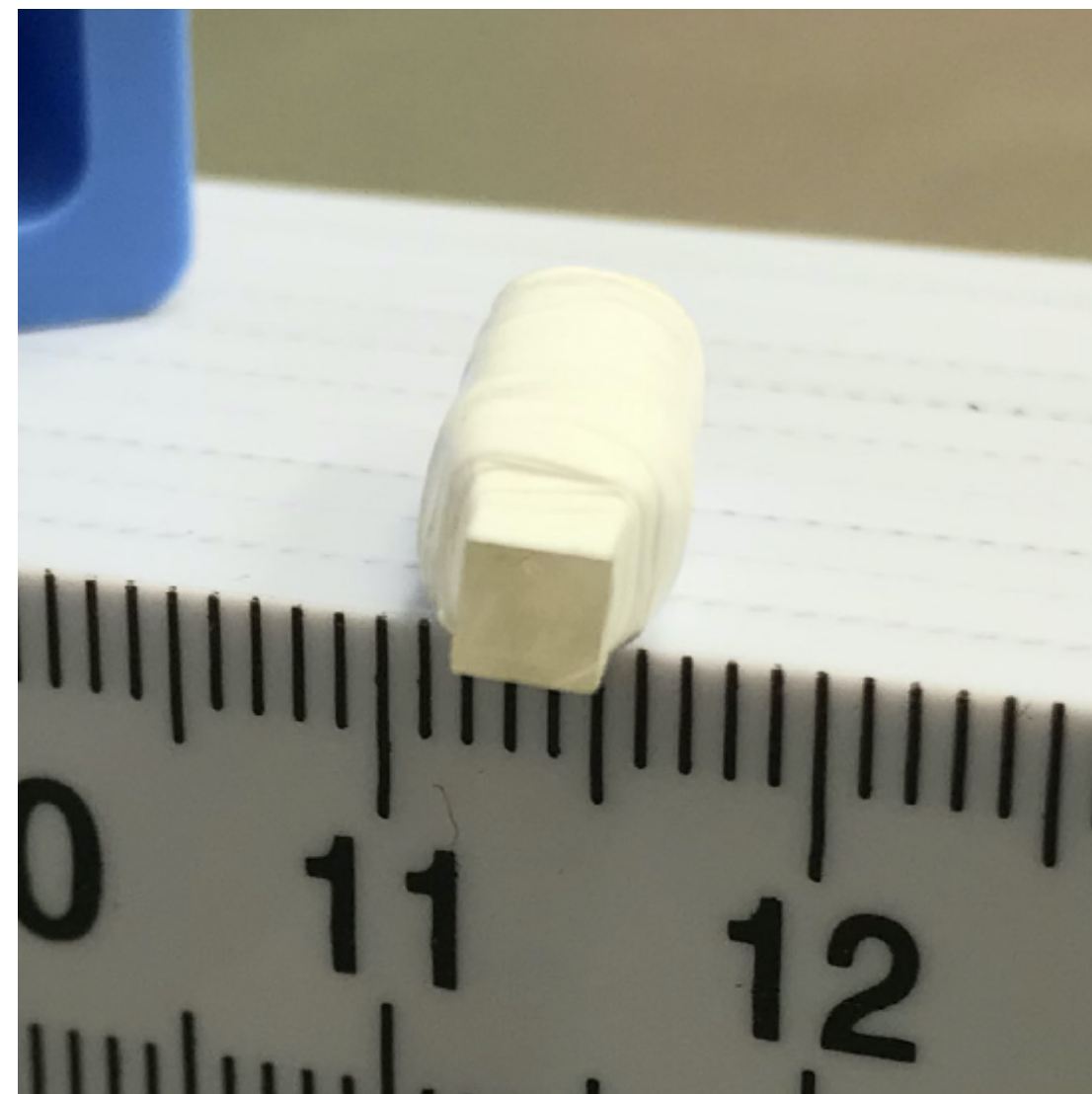




# photon detection

## imaging scintillation events

- *LYSO*: Lutetium-yttrium oxyorthosilicate crystal (Ce-doped) = anorganic scintillator
- naturally 2.6 %  $^{176}\text{Lu}$  ( $\rightarrow \beta^- + ^{176}\text{Hf}^* \rightarrow \gamma\text{s}$ ) = intrinsic scintillation

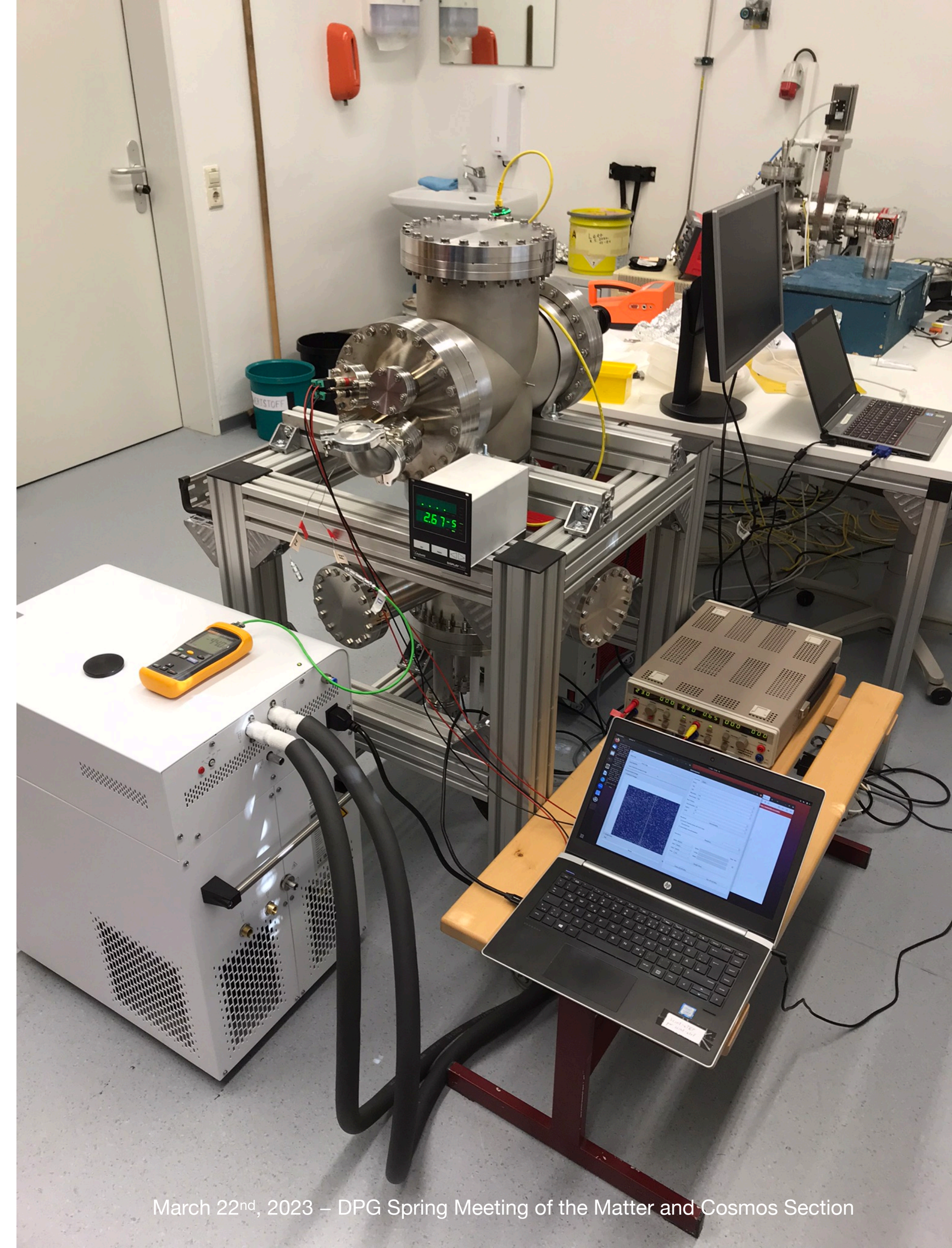




# test setup

## low-noise SPAD operation

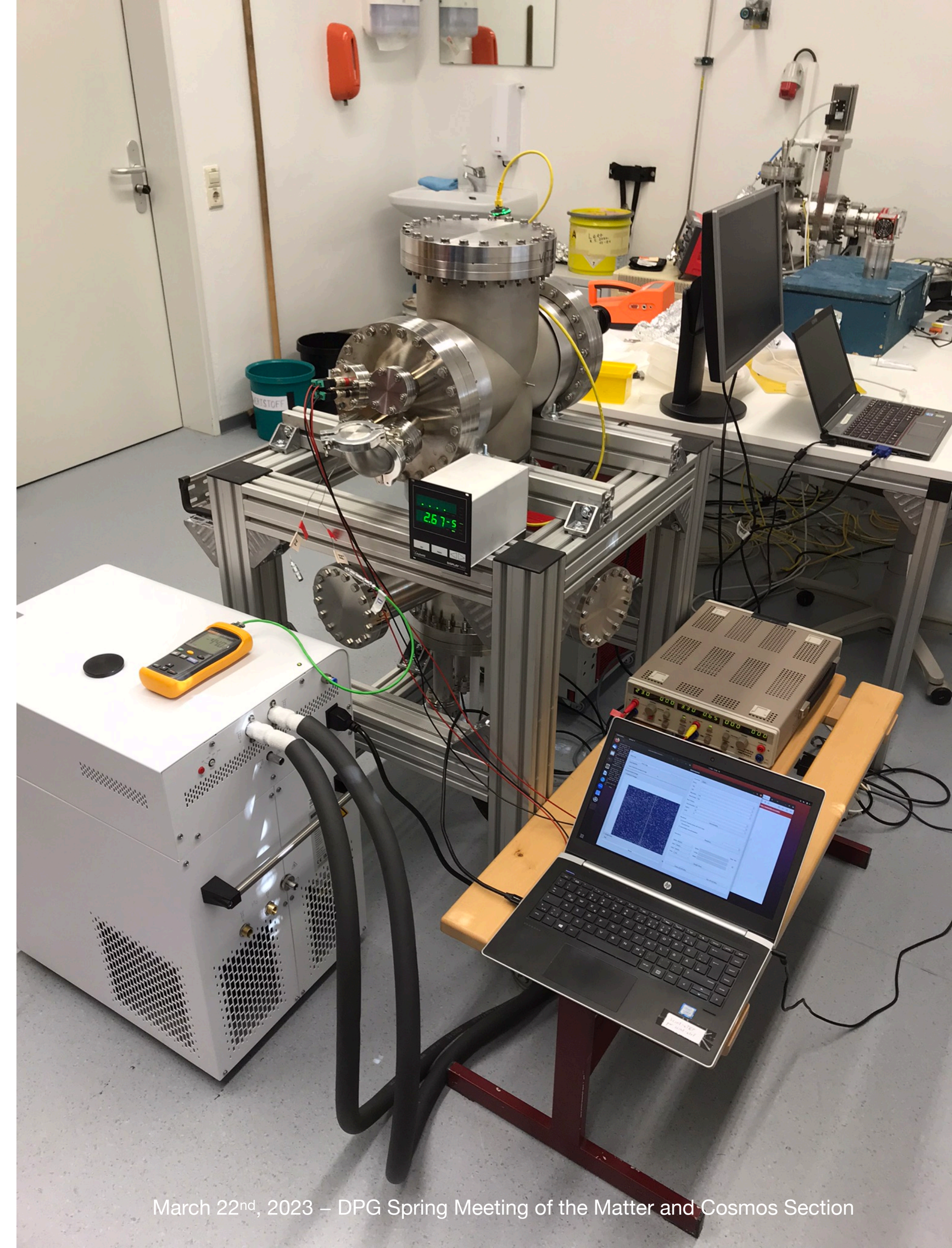
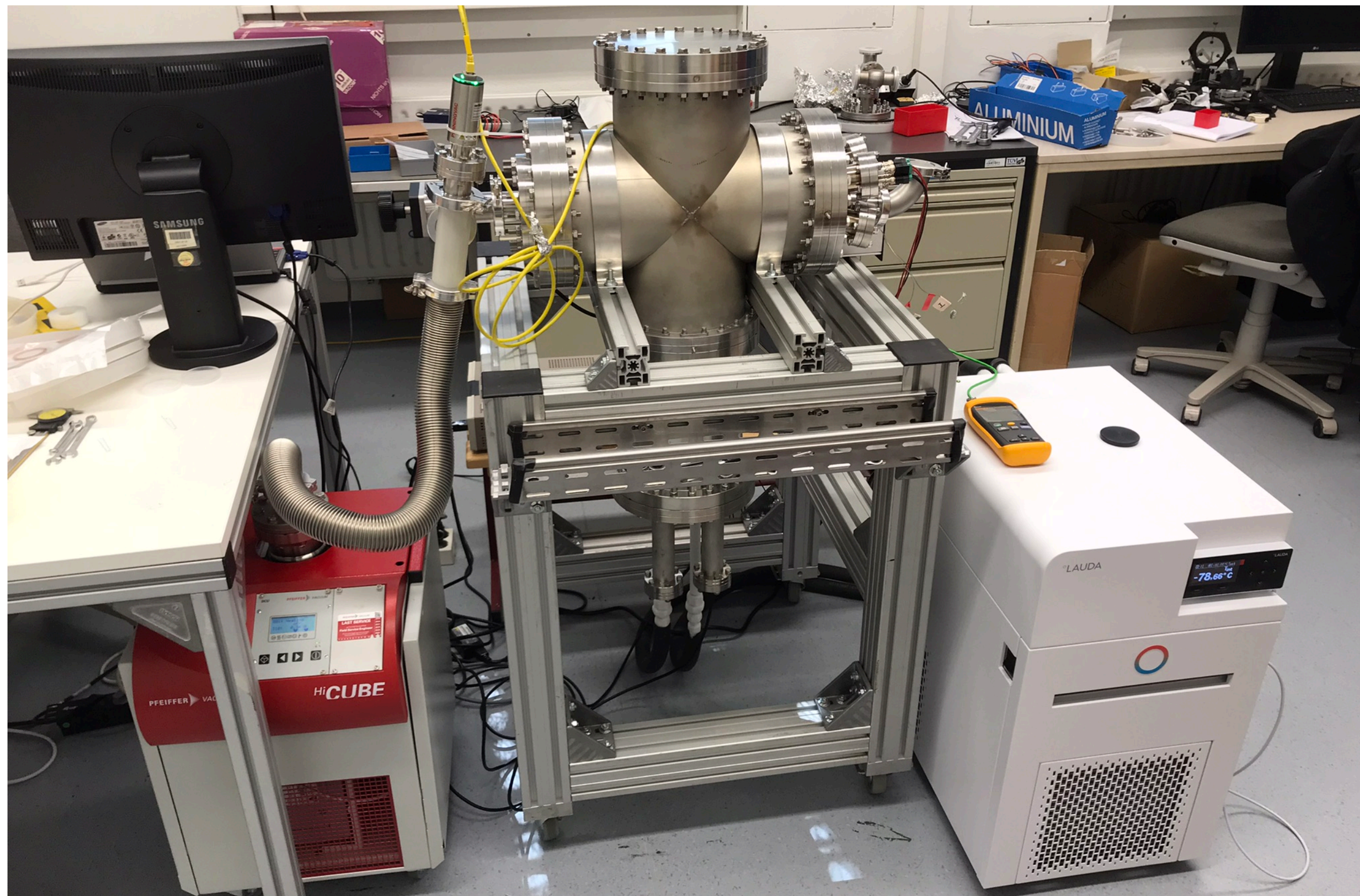
- coolable setup for measurements with the IDP4
- absolute darkness required
- USB and voltage access





# test setup

## low-noise SPAD operation





# test setup

## low-noise SPAD operation

- externally liquid-cooled copper block
- IDP4 PCB with cooling-coupled bulky cold aluminum cover
- cover temperature monitored (Pt100)
- temperatures down to approx.  $-50^{\circ}\text{C}$



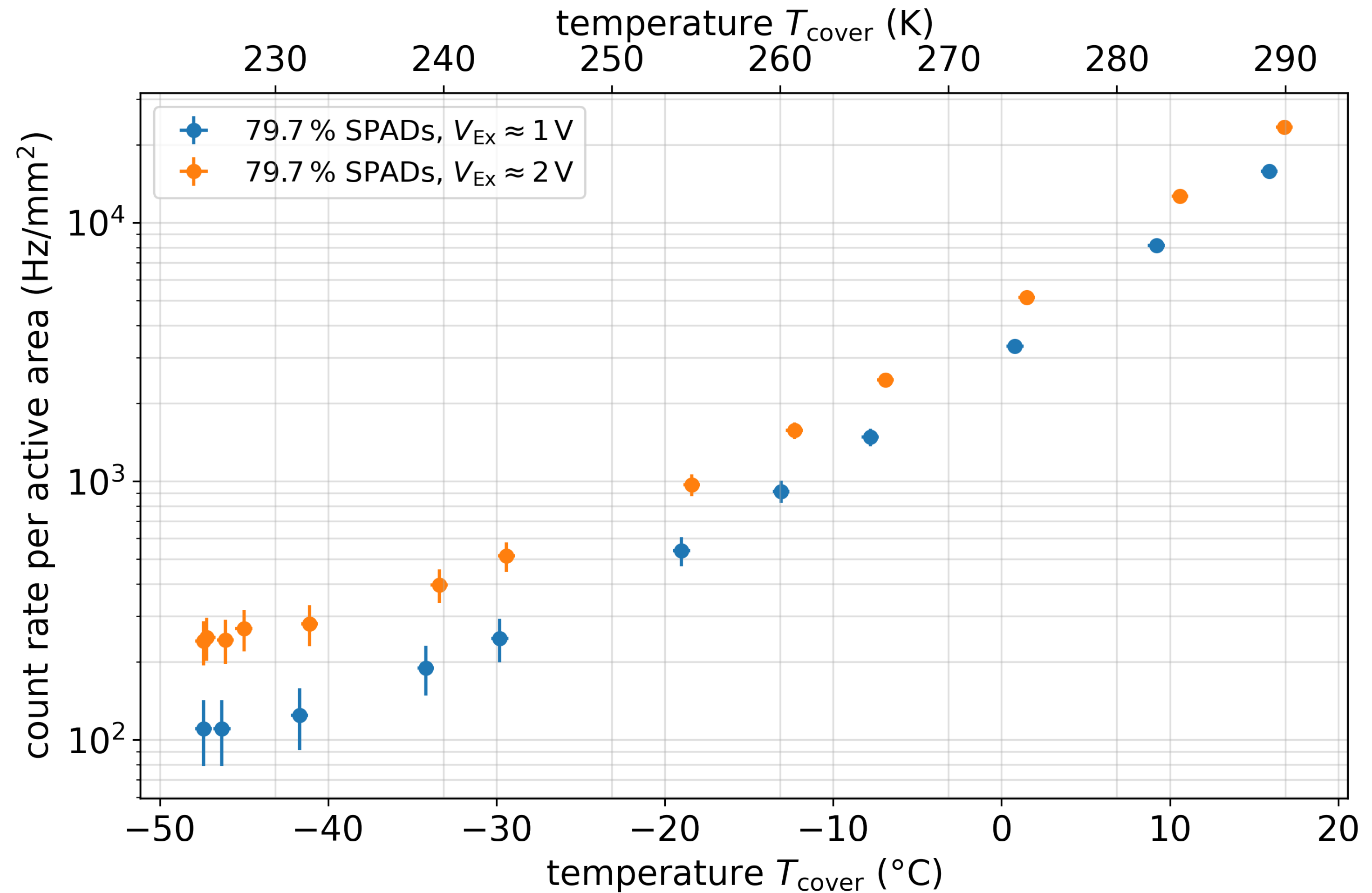


# SPAD noise measurement in setup

- hot SPADs are disengaged at RT
- bias voltage  $V_{\text{bias}}$  is adjusted at every temperature:

$$V_{\text{bias}} = V_{\text{BD}}(T) + V_{\text{Ex}}$$

- excess voltage (GEIGER regime)  
 $V_{\text{Ex}} = \text{const.}$
- noise can be further reduced by triggering strategies (on event multiplicity)

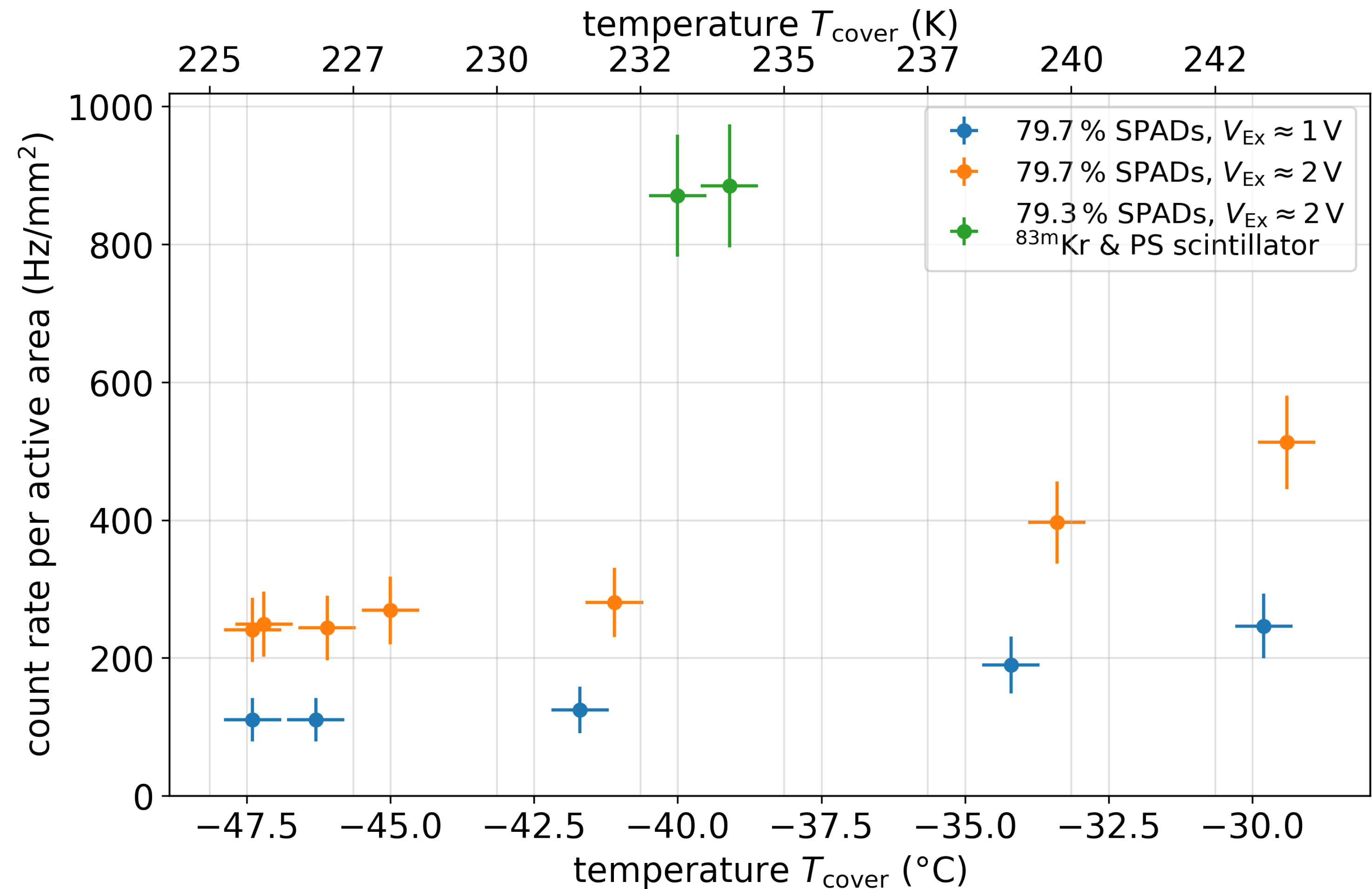




# first light

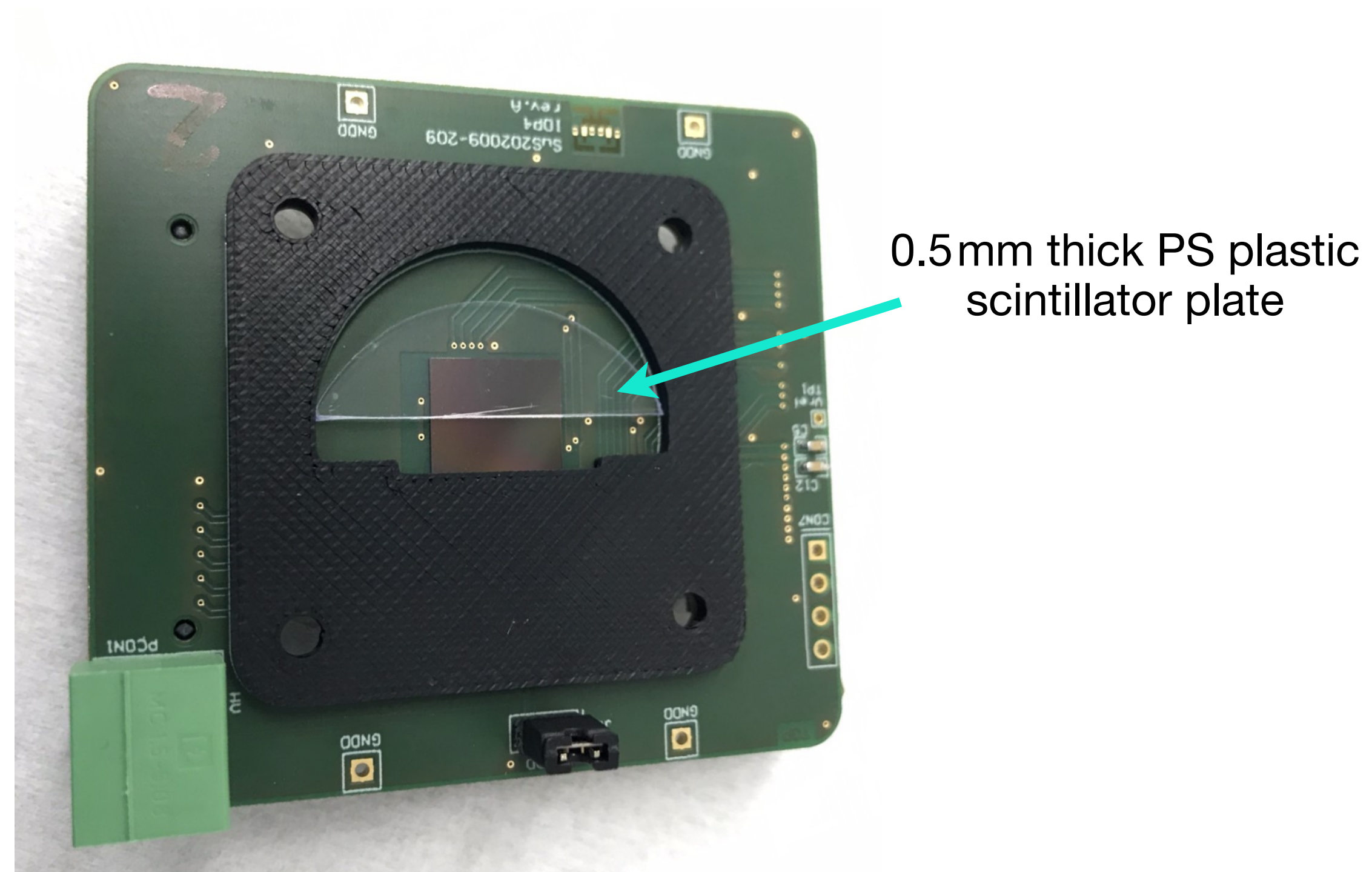
## PS + $^{83}\text{mKr}$ scintillation

- $0.5 \times 7 \times 8 \text{ mm}^3$  PS plastic scintillator plate (Epic Crystal)
- 1 mm above chip
- irradiation:  $\sim 0.55 \text{ MBq}$   $^{83}\text{mKr}$  source
- $^{83}\text{mKr}$ :  $O(10 \text{ keV})$  electrons
- excess of roughly  $600 \text{ Hz/mm}^2$
- implications for scint-aTEF in terms of e.g. electron detection efficiency?  $\rightarrow$  simulations

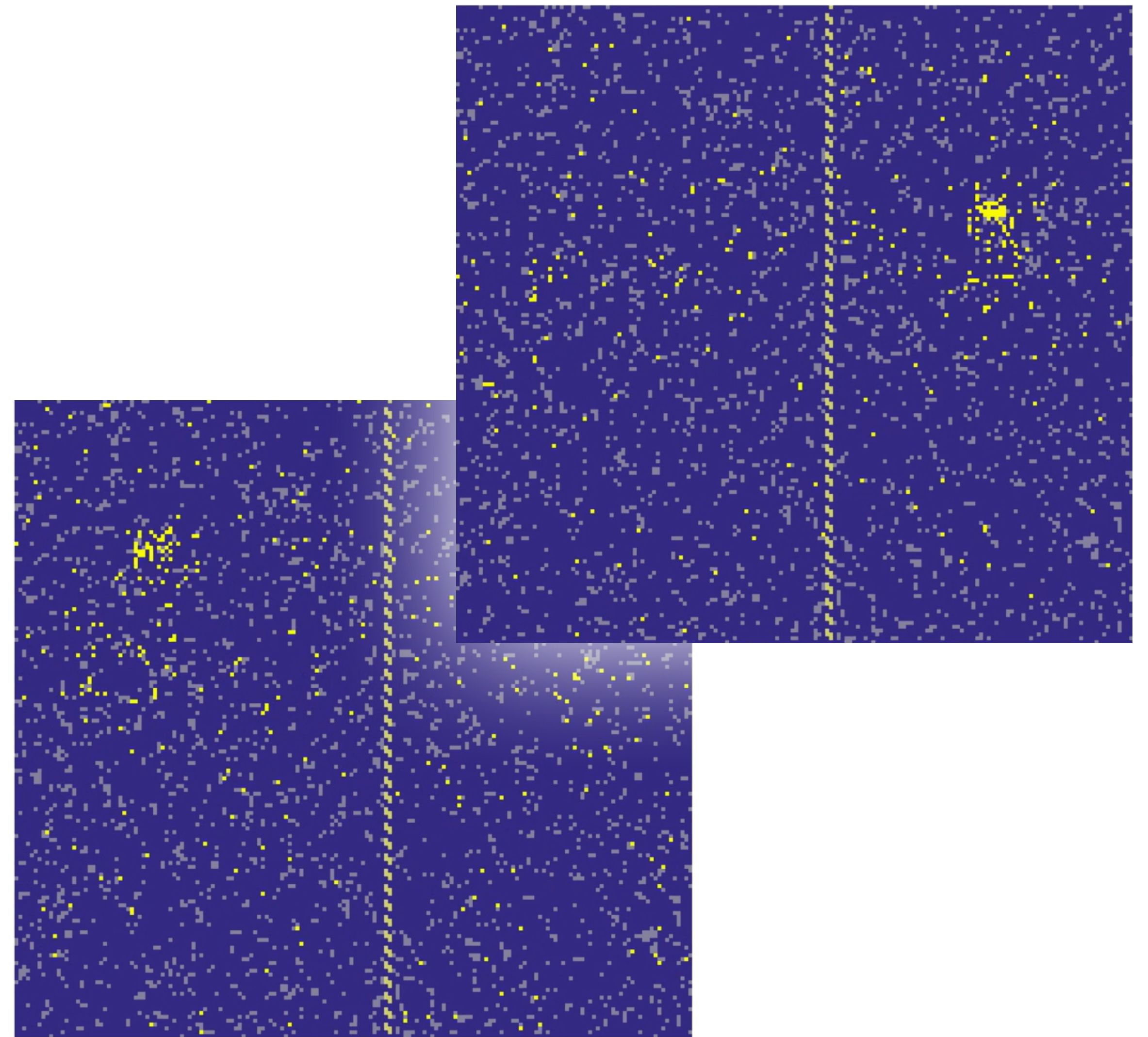




# PS + $^{83\text{m}}\text{Kr}$ : single scintillation events



- same measurement with direct contact (no gap) and partial IDP4 coverage
- single scintillation events resolved





# summary & outlook

## scintillating active Transverse Energy Filter (scint-aTEF)

- detector concept *scint-aTEF*: angle-dependent background discrimination in KATRIN-like setups
- scint-aTEF is a device for precise electron counting via scintillation
- *digital SiPMs* (CMOS-SPAD-based photosensor arrays): outstanding candidates for our purpose of single-photon detection and spatial event reconstruction
- first observation of thin plastic plate scintillation by keV electrons using the digital SiPM *IDP4*
- aim: investigation of single scintillation events and extension of corresponding simulation environment
- future: characterization of homemade scintillator samples and microstructures



# acknowledgements

This work is supported by the Helmholtz Association, the Ministry for Education and Research BMBF (05A17PM3, 05A17PX3, 05A17VK2 and 05A17WO3), the Helmholtz Alliance for Astroparticle Physics (HAP) and the Helmholtz Initiative and Networking Fund (W2/W3-118).



# references

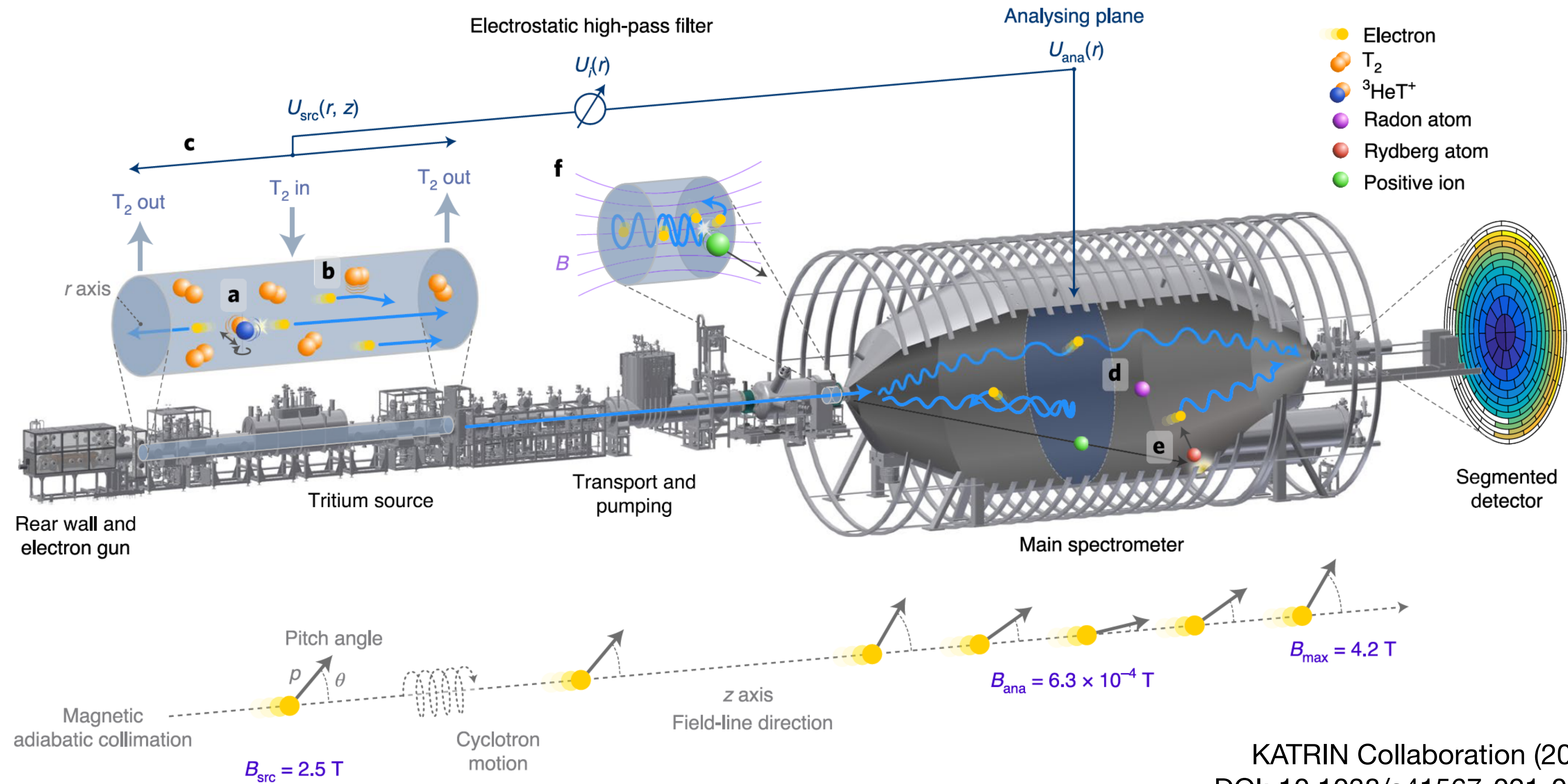
1. D. Hinz: *Angular distributions*. Institute for Astroparticle Physics (IAP), Karlsruhe Institute of Technology. March 2023.
2. K. Gauda et al.: *An active transverse energy filter to differentiate low energy particles with large pitch angles in a strong magnetic field*. The European Physical Journal C, 82 (922). March 2022.
3. Karlsruhe Institute of Technology, KATRIN: *Backside of wafer with segmentation*. <https://www.katrin.kit.edu/681.php>, visited on March 21<sup>st</sup>, 2023.
4. J. Weinacker et al.: *2PP microgrid samples manufactured with Quantum X*. Institute of Applied Physics (APH), Karlsruhe Institute of Technology. November 2021.
5. A. Quintilla: *Atomic force microscopy image recorded*. Center for Functional Nanostructures (CFN), Karlsruhe Institute of Technology. January 2022.
6. P. Fischer: *ASICs for Photon Detection Integrating Avalanche Diodes and CMOS Readout*. In 14<sup>th</sup> Terascale Detector Workshop 2022. Institute of Computer Engineering (ZITI), Heidelberg University. February 2022.
7. M. Ritzert: *Personal communication*. Institute of Computer Engineering (ZITI), Heidelberg University. February 2023.
8. M. Keller: *Design and low temperature characterization of low noise single photon detector arrays for rare event search experiments with liquid noble gases*. PhD thesis, Heidelberg University. July 2022.



# backup



# KATRIN setup



KATRIN Collaboration (2022):  
DOI: 10.1038/s41567-021-01463-1



# magnetic adiabatic guiding in KATRIN

$$\theta(\vec{r}) = \arcsin \left( \sqrt{\frac{E(\vec{r}_0)}{E(\vec{r})} \frac{|\vec{B}(\vec{r})|}{|\vec{B}(\vec{r}_0)|}} \sin(\theta_0) \right)$$

$$\theta_{\max} = \arcsin \left( \sqrt{\frac{B_s}{B_{\max}}} \right) = 50.77^\circ$$



# electron cyclotron motion in KATRIN

$$r_g(\theta, B, U_0) = \frac{\gamma}{e} \sqrt{e|U_0|(e|U_0| + 2m_e))} \frac{\sin(\theta)}{B}$$

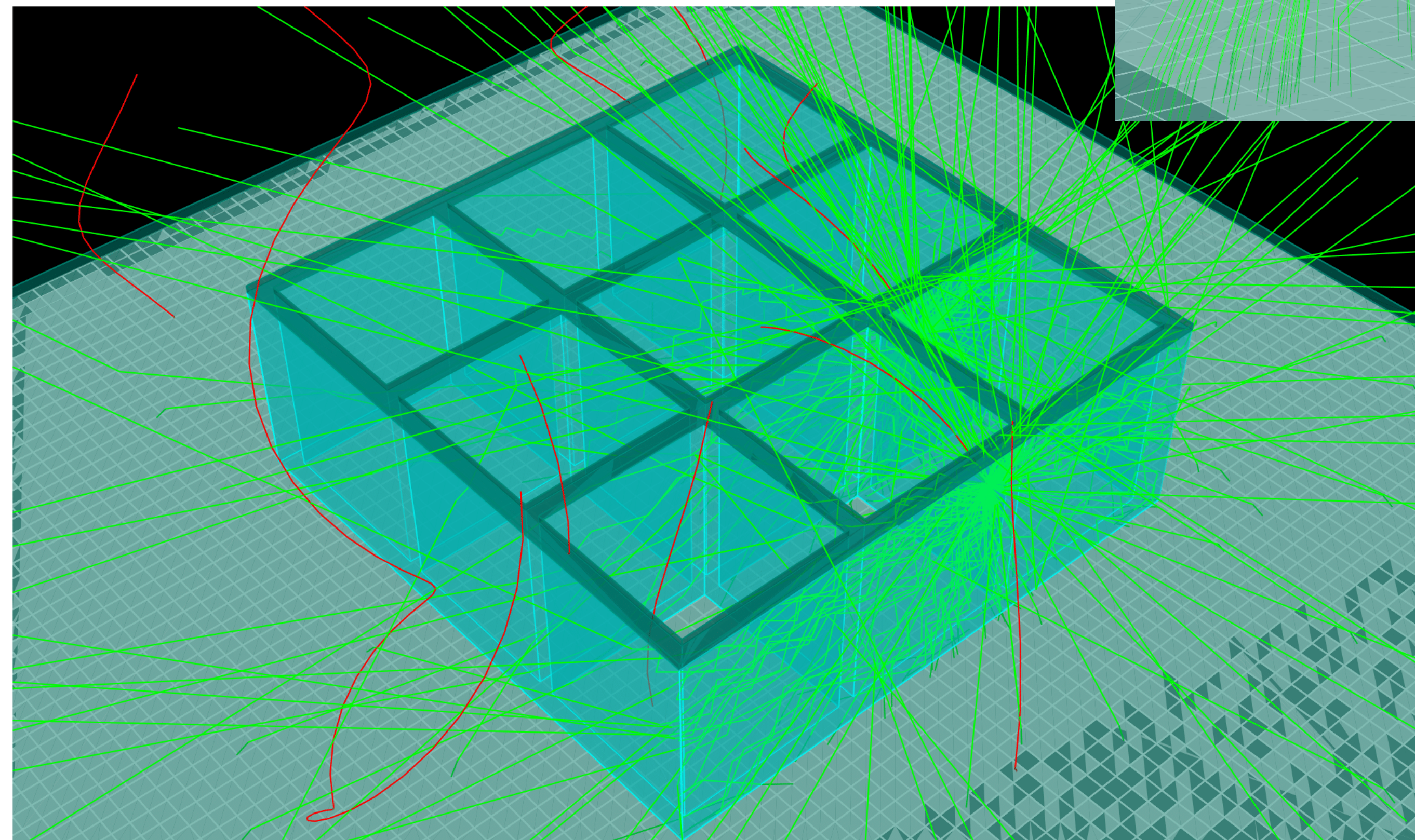
$$\ell_g(\theta, B, U_0) = 2\pi|U_0| \sqrt{1 + \frac{2m_e}{e|U_0|} \frac{\cos(\theta)}{B}}$$



# scint-aTEF simulations

## *Geant4* approach

- included:
  - physics processes (scintillation, scattering,...)
  - optical properties (surface, reflection, attenuation,...)
  - homogen.  $B$ -field
- not included:
  - absorption & emission spectra
  - detailed electromagnetic environment

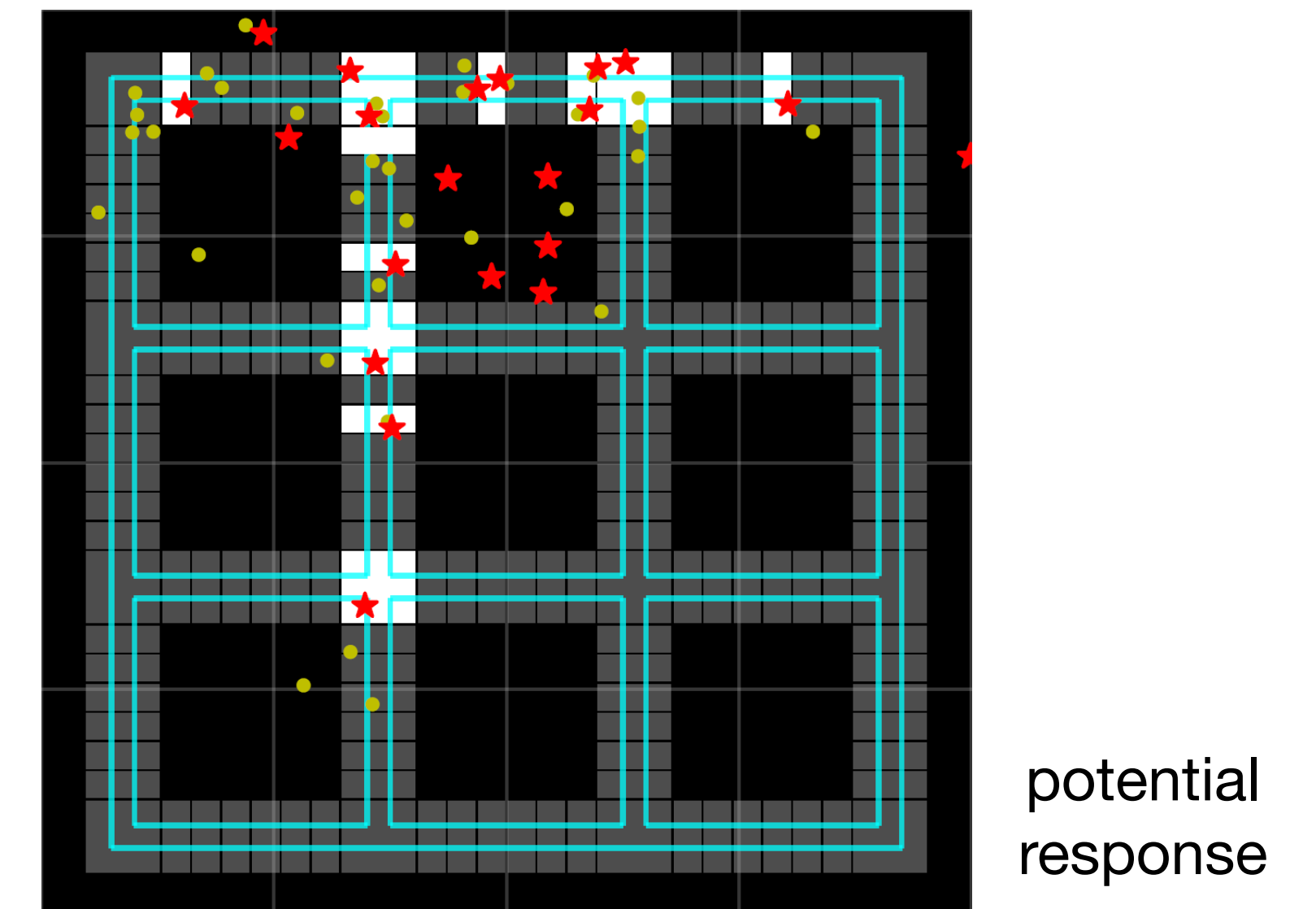
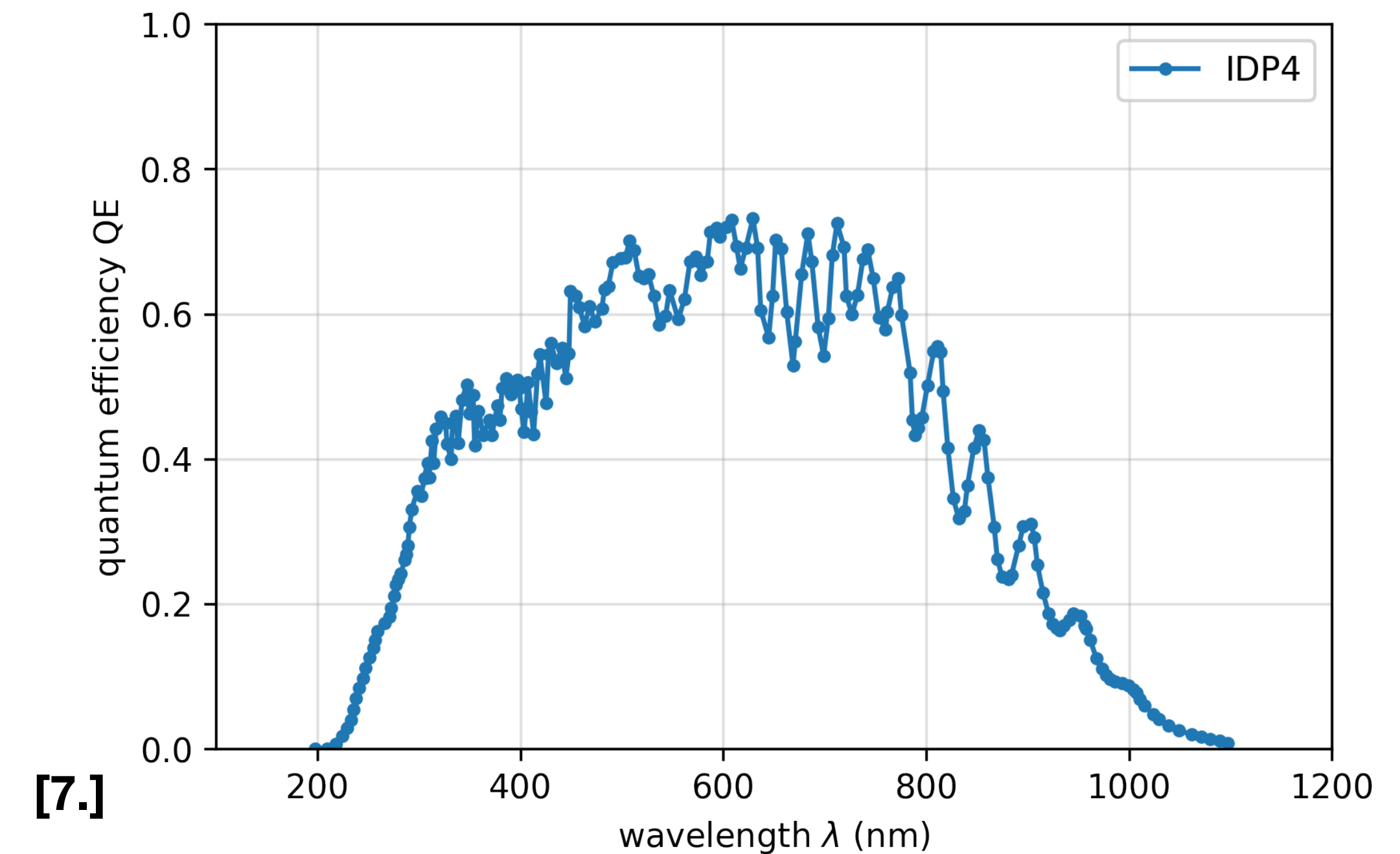




# scint-aTEF simulations

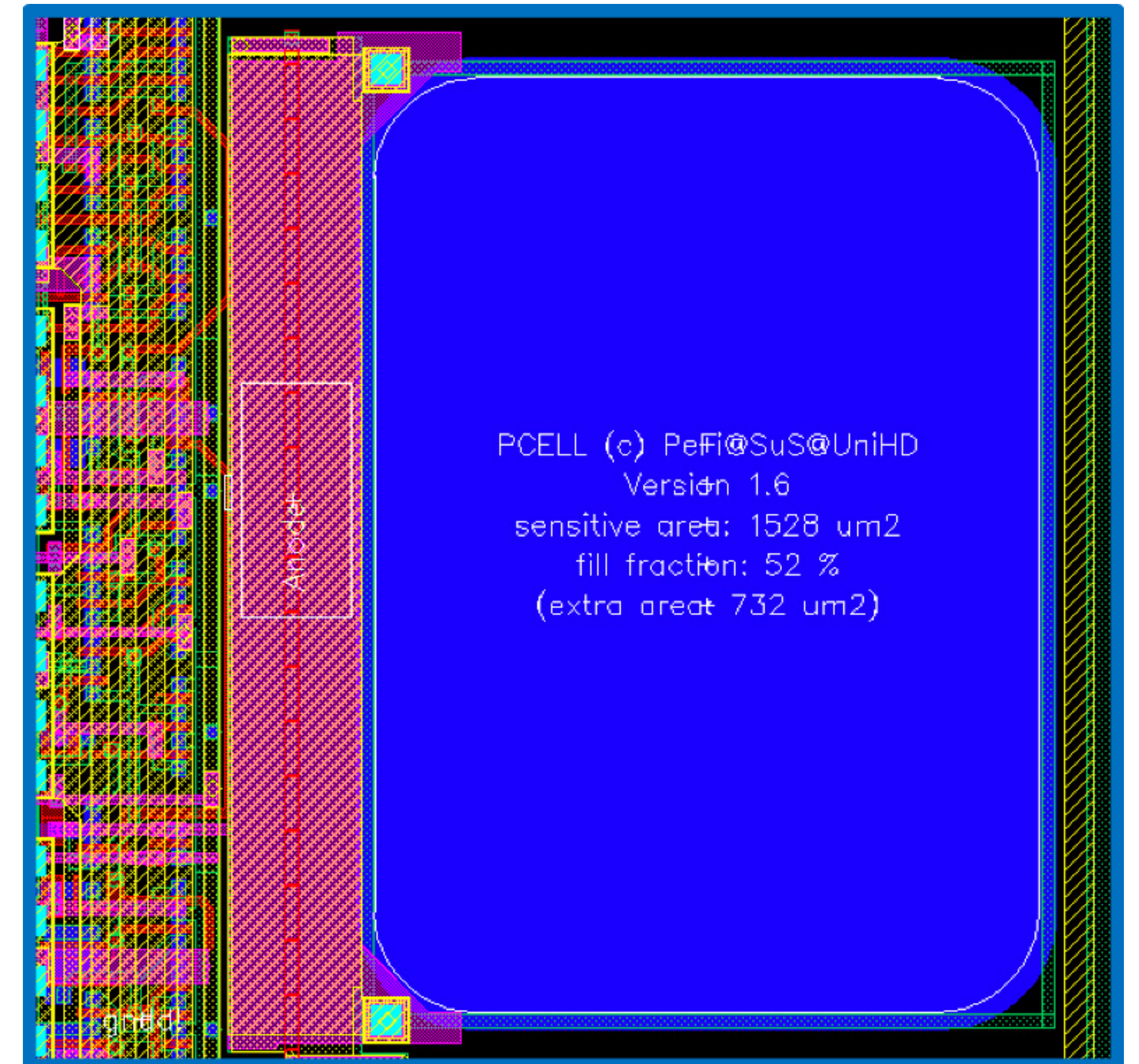
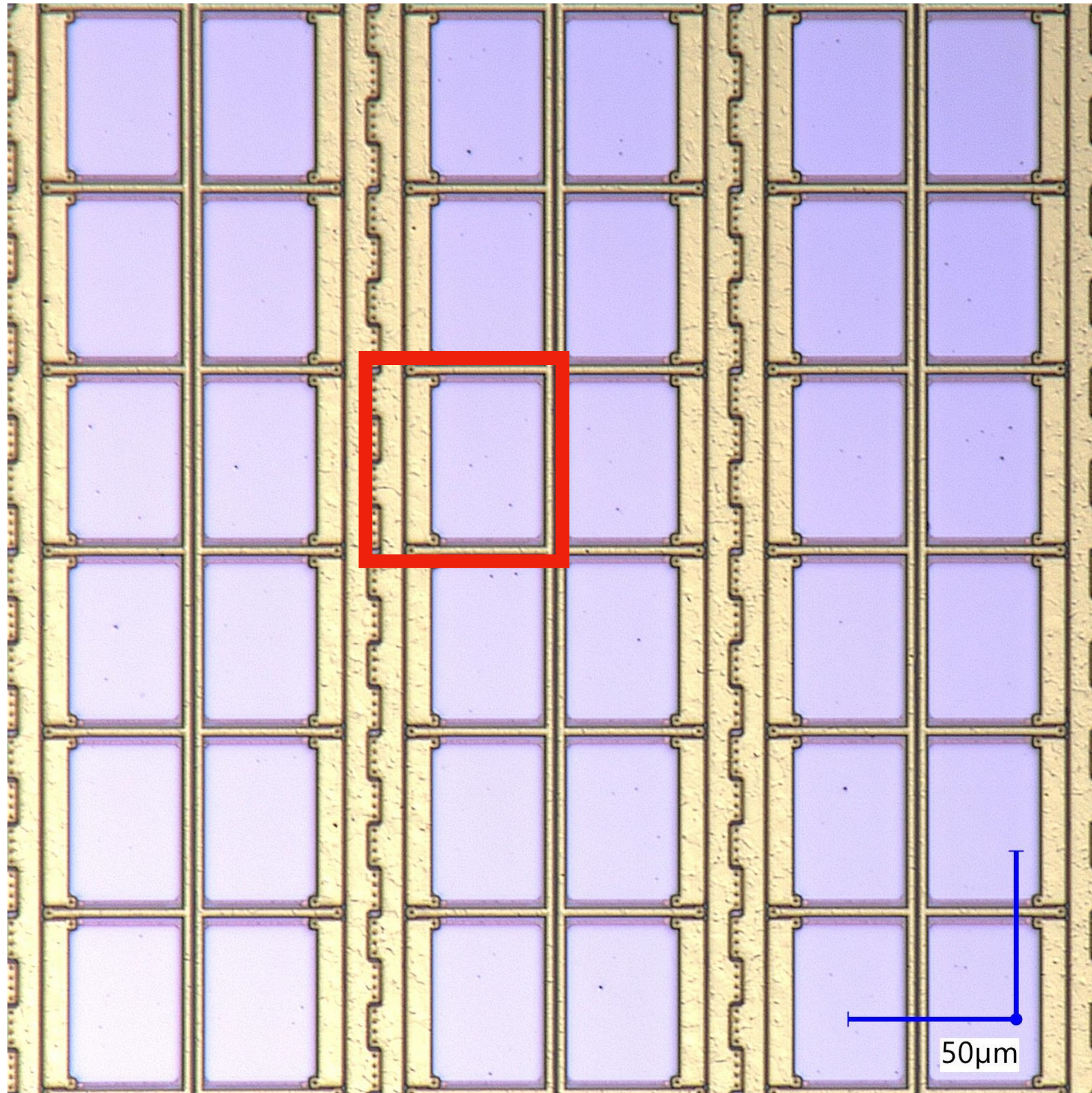
## setup performance & optimization

- $PDE = \varepsilon_{\text{coll}} \cdot \varepsilon_{\text{geom}} \cdot QE \cdot \varepsilon_{\text{trig}}$
- results:
  - ~50–60 % guiding/collection efficiency  $\varepsilon_{\text{coll}}$  for scintillation light (with stated parameters, s. 4)
  - >10 detected  $\gamma$ s/signature
- optimization of  $\beta$  detection and signal-to-background ratio
- investigation of different trigger strategies to discriminate remaining noise





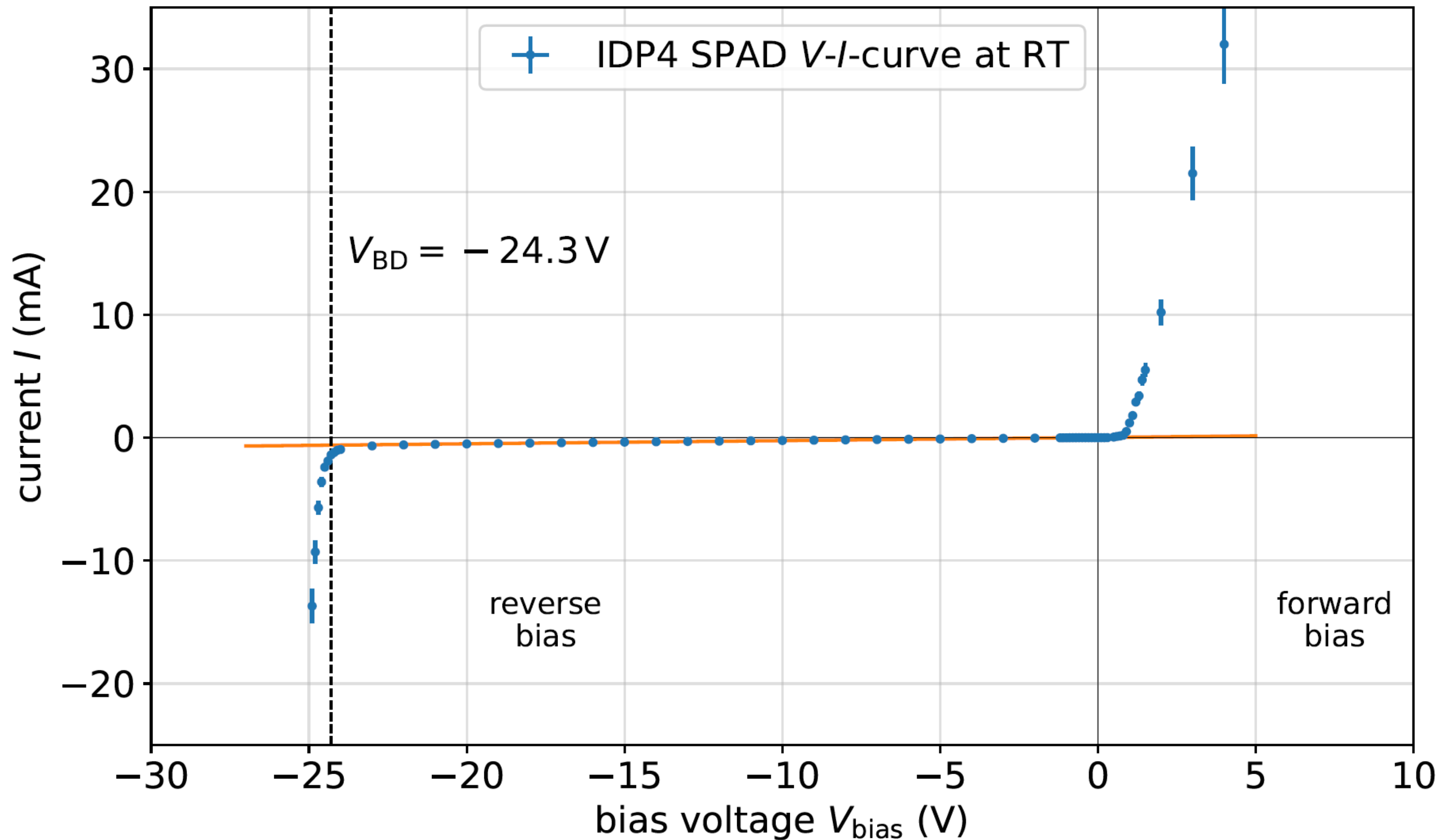
# SPAD layout on the IDP4



[6.]



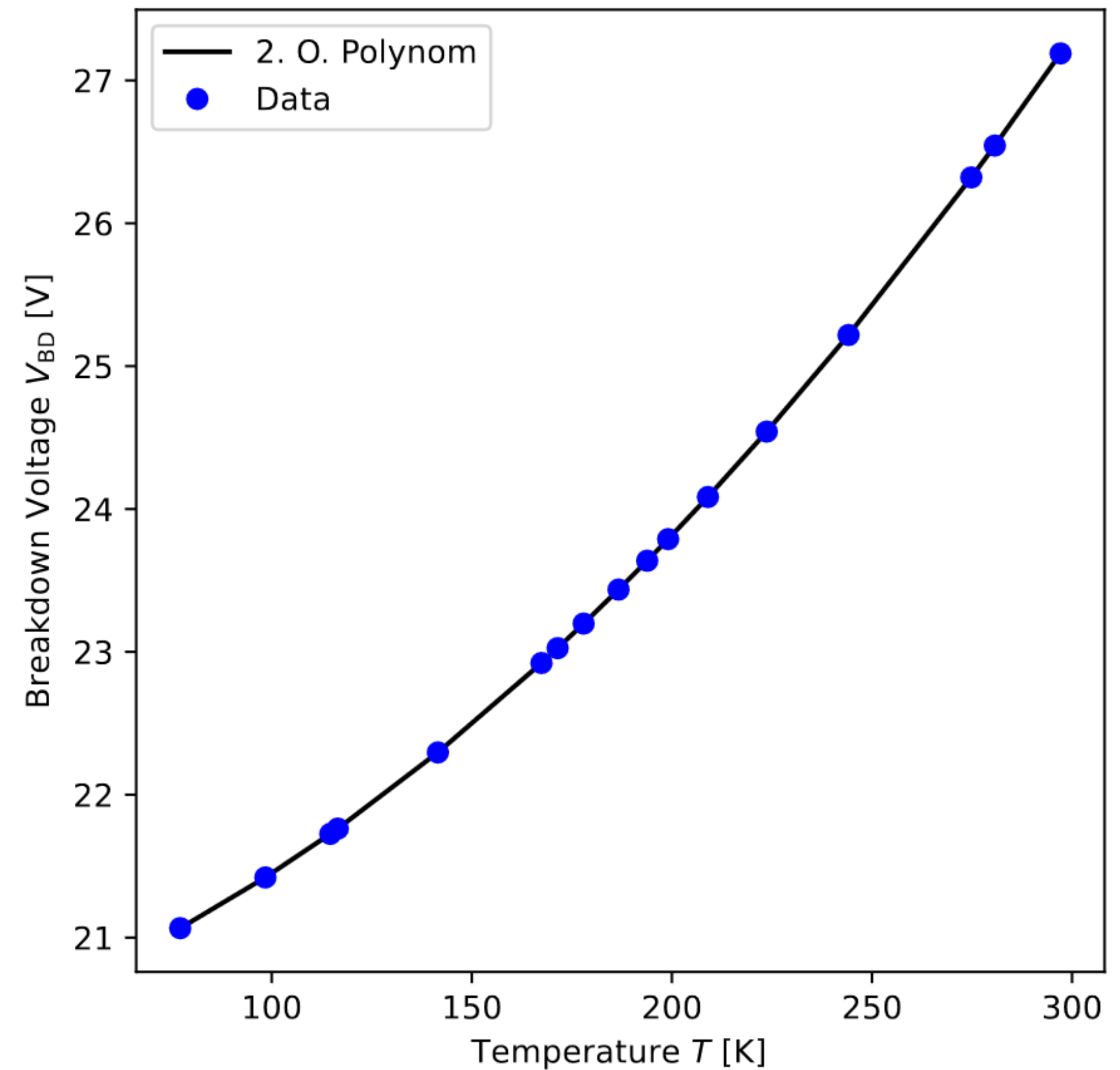
# SPAD V-I-curve (IDP4)





# SPAD breakdown voltage temperature dependence

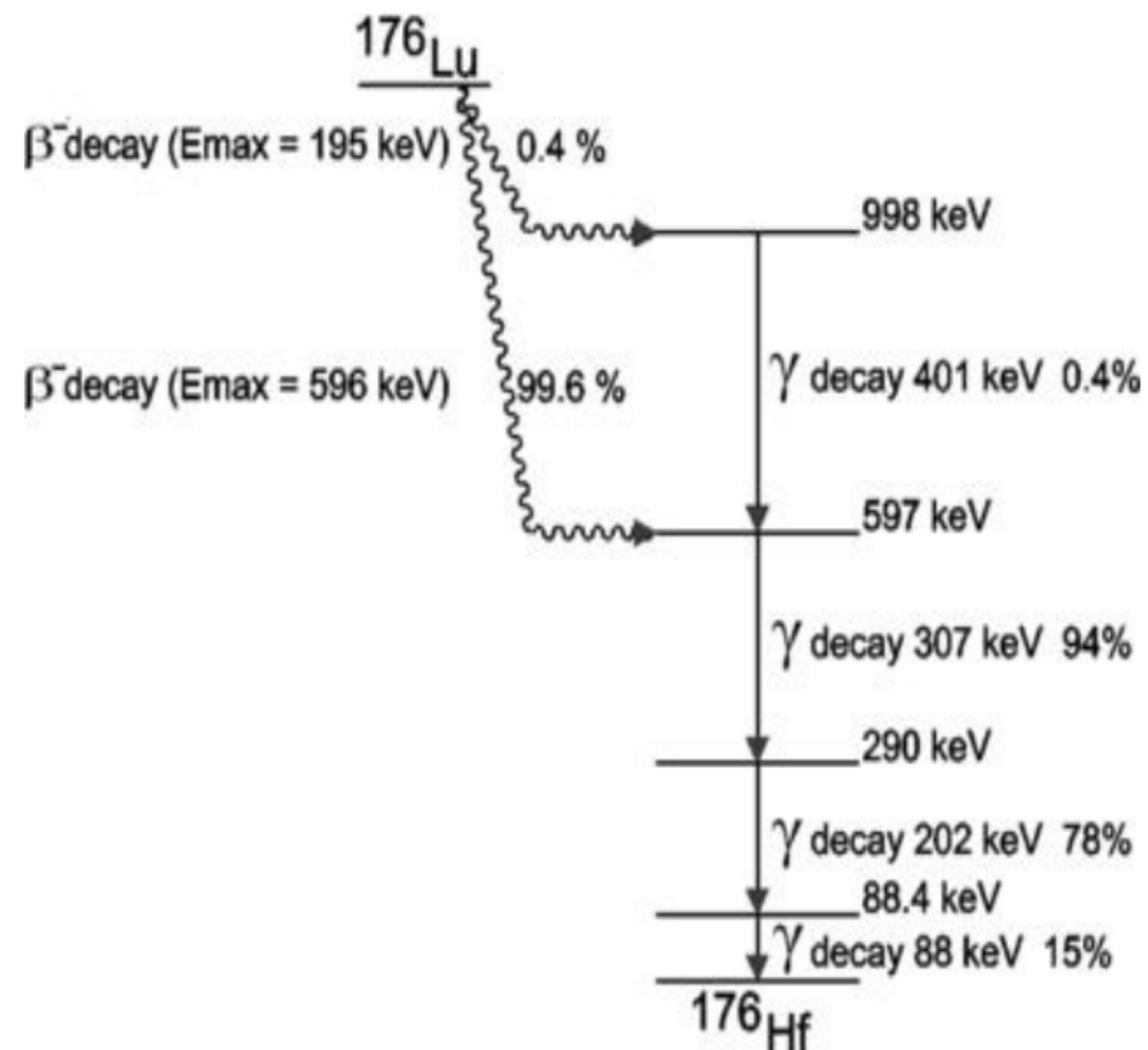
- temperature dependence of IDP2 SPAD breakdown voltage  $V_{BD}$
- IDP2: predecessor of IDP4 with different absolute values



[8.]



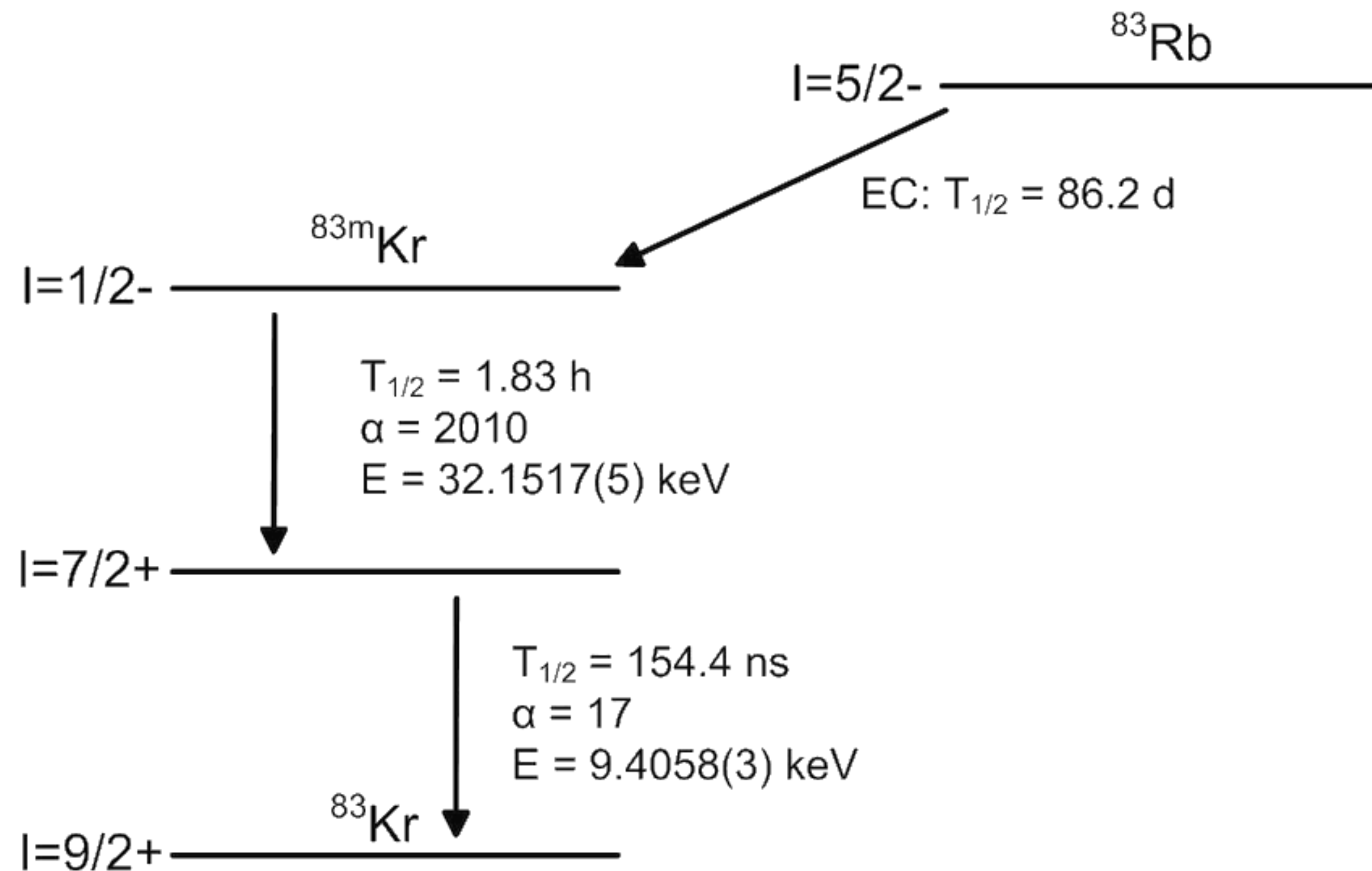
# LYSO: $^{176}\text{Lu}$ decay scheme



T. Kaltsas *et al.* (2015):  
DOI: 10.1109/NSSMIC.2015.7582211



# $^{83\text{m}}\text{Kr}$ : $^{83}\text{Rb}$ decay scheme



NEXT Collaboration (2018):  
arXiv: 1804.01780v1