Identifying drivers and mitigators for congestion and redispatch in the German electric power system with explainable AI

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A B S T R A C T

The transition to a sustainable energy supply challenges the operation of electric power systems in various ways. Transmission grid loads increase as wind and solar power is often installed far away from the consumers. System operators resolve grid congestion via countertrading or redispatch to ensure grid stability. While some drivers of congestion are known, the magnitude of their impact is unclear, and other factors might still be unidentified.

In this study, we conduct a data-driven investigation of congestion in the German transmission grid that reveals drivers and mitigators and quantifies their impact ex-post. Specifically, we used Gradient Boosted Trees and SHAP values to develop an explainable machine learning model for the hourly volume of redispatch and countertrade. As expected, wind power generation in northern Germany emerged as the main driver.
2. Congestion and redispatch: An overview

2.1. Power generation and transmission in Germany

Germany is one of the pioneers of the transition to renewable energy sources [21,22], despite having only mediocre natural conditions. In 2021, the aggregated wind and solar power capacity amounted to 64 GW and 66 GW, respectively [20]. Consequently, Germany is facing challenges that are characteristic of the energy transition. For instance, renewable power generation is strongly fluctuating, and so are electricity market prices [23].

A particular challenge arises from Germany’s geographic properties. Favorable locations for wind turbines are located in the north and east of Germany [15], while several densely populated areas are located in the south and west. Economic developments and political actions further exacerbate the uneven distribution of wind power capacity. In 2014, the federal state of Bavaria established a minimum distance rule that almost brought the development of wind energy to a standstill [24]. At the same time, offshore wind power capacity has increased dramatically due to falling costs, and the federal government has ambitious plans regarding further installations [25].

The current situation in the German power system at the level of federal states is summarized in Fig. 1. Wind power capacity is concentrated in the federal states of Lower Saxony, Schleswig-Holstein and Brandenburg in the North, the East and offshore. In contrast, photovoltaic capacity is concentrated in the south, though the distribution is much more balanced than for wind generation. Hydropower is strongly concentrated in the southern federal states of Bavaria and Baden-Württemberg, but the overall capacity is much lower. The demand for electric power is highest in the densely populated North Rhine-Westfalia and in southern Germany, where industry is strong. As a result, wind power must be transported over long distances from eastern and northern Germany to southern and western Germany.

However, power transmission capacities are limited, and high levels of wind power generation often result in transmission grid congestion [25]. The extension of the transmission grid is therefore a central pillar of the decarbonization of the electric power system. Unfortunately, transmission grid extension is a complex challenge with high cost and often lacks public acceptance [26]. Due to their geographic distribution, PV and hydropower generation might lessen the transmission needs towards the South of Germany and thus alleviate congestion.

For the above reasons, the German transmission grid is highly prone to congestion [16]. Through investment, essential properties of the power grid, such as the distribution of generation capacity or transmission capacity, can be changed to make congestions less likely. These changes are essential to keep the power system functional and efficient in the long run, but they take time and will happen only given the right financial incentives.

On the operational time scale, too, congestions must be resolved at all costs. Even if a congestion does not directly lead to thermal overloads, a congested power grid is more vulnerable to failures, particularly overload cascades. To limit the risk of malicious cascades, the N-1 rule requires the power grid to be fully functional even if any one transmission line is lost [27]. Transmission system operators (TSOs) must follow this rule and operate power generation such that the resulting power flows respect the N-1 rule. Different countries have adopted different strategies to solve this problem. In the following section, we describe the situation in the context of electricity grids and markets, focusing on Germany.
2.2. Electricity markets, grids, and congestion management

The synchronous European power grid spans a vast area from Portugal to Turkey. The dispatch of power plants is determined on electricity markets based on the offers and bids of the utility companies. To optimize the utilization of available resources, a central algorithm called EUPHEMIA considers all bids in the whole European electricity market and calculates the best possible dispatch. EUPHEMIA is implemented to maximize “the social welfare (consumer surplus + producer surplus + congestion rent across the regions) generated” [28,29]. The algorithm respects transmission capacity limits between bidding zones, but assumes unlimited transmission capacity within them, which is referred to as the “copper plate model”. Bidding zones often, but not always, correspond to countries. For instance, Norway and Sweden have several bidding zones, whereas Germany and Luxembourg form a joint bidding zone.

Some countries, such as Sweden, Norway, and Denmark, have attempted to address the root cause of congestion by dividing the country into various bidding zones [30]. Hence, the limited transmission capacity between the bidding zones is explicitly represented in the EUPHEMIA algorithm. Nodal pricing has also been suggested as a solution, but it is currently not implemented in Europe [31]. In contrast, all of Germany forms a bidding zone together with Luxembourg [25]. Hence, transmission limits within Germany are not represented in the
EUPHEMIA algorithm, and German TSOs frequently have to perform congestion relief measures after the initial dispatch has been determined on the market. A map of frequently congested lines is provided in Fig. 2.

Despite the problems laid out, the German power system is highly reliable. However, there are potential drawbacks regarding fairness and the setting of the right financial incentives associated with frequent congestion management [32,33]. The need for congestion management could be decreased by dividing Germany into two or more bidding zones or even applying nodal pricing.

Preemptive congestion relief can be achieved by adjusting generation without altering the overall generation, thereby maintaining power balance, see Fig. 3. In countertrading, the TSO pays for generation decreases and increases offered in a specific bidding zone on the intra-day market [34]. The TSO has no influence or knowledge regarding which specific power plant will be affected [35]. Accordingly, countertrading is usually used when congestion occurs close to the bidding zone border or is associated with cross-border flows. Redispatching, on the other hand, involves the TSO instructing a specific power plant operator to adjust generation and paying a predetermined compensation price [25]. By decreasing generation at one and increasing it at another specified power plant, congested transmission lines can be targeted more directly. In Germany, redispatch is frequently observed as generation decrease in the northeast and increase in the southwest, as depicted in Fig. 4. We emphasize that redispatch and countertrading are highly variable in time as renewable power generation is.

Until recently, renewable power plants were exempt from the measures mentioned above in Germany for environmental reasons and because of their negligible marginal cost. Curtailment of renewable power generation (“Einspeisemanagement” in German) is used as a last resort if a congestion cannot be resolved by other means [25]. As with redispatch, the operator of the renewable power plant is compensated for the energy that cannot be sold on the market due to curtailment. With the introduction of redispatch 2.0 and the abolition of the Einspeisemanagement, there is no separation between renewables and conventional power plants anymore, so that renewable generation can now be used in redispatch, too [36]. Notably, Germany did not establish a market for redispatch services although this has been recommended by the European Union [37].

Congestion management has to be applied rather frequently in Germany. The total cost of all congestion management measures has increased to approximately 2.3 billion Euro in 2023, of which redispatch and countertrading have contributed approximately 1 billion Euro [25].

Transmission limits and grid congestion are major challenges for the integration of renewable energy sources. Scientific research on grid congestion is typically based on simulation and optimization models. For instance, large-scale energy system models typically include transmission limits as constraints (see, e.g. [39]). Advanced models jointly optimize the extension of generation and transmission infrastructures [40]. A detailed model-based analysis of congestion in the German power system was presented by Pesch et al. combining a power plant dispatch model and a high-resolution transmission grid model [16].

In this article, we adopt a complementary empiric approach. We cannot study congestion directly because there is no publicly available data on congested lines. We therefore analyze redispatch and countertrade data which have to be made available by law [38], and together provide a good proxy for congestion. While Einspeisemanagement is also an important tool for congestion management, we did not include it in our analysis, as data is not readily available for all of Germany. At the same time, we note that congestions that are managed by curtailing renewable power generation are by definition caused by an oversupply thereof. Analyzing these cases would thus not lead to new insights.

Empiric studies on congestion, redispatch and its causes are sparse. Staadt et al. [33] employed various machine learning approaches to predict redispatch at the power plant level. However, beyond a cursory examination grounded solely in correlation, the authors did not analyze the underlying causes of redispatch. Wohland et al. [41] have discussed the role of natural wind power variability on redispatch in Germany in the light of the public discussion. Monforti-Ferrario and Blanco analyzed the impacts of congestion relief measures on air pollutants and greenhouse gas emissions [42]. An empirical economic study at coarse scales can be found in [43].

In this study, we apply eXplainable Artificial Intelligence (XAI) [44, 45] to identify the key factors contributing to congestion in the German transmission grid.

Specifically, we aim to address the following questions: Besides wind, are any other factors contributing to congestion? Are there mitigating factors that reduce the negative impact of wind generation on congestion? Our XAI approach has several advantages over comparable methods of data analysis. Modern machine learning models can describe arbitrary nonlinear relations and interactions and thus go far beyond univariate studies or linear correlation analysis. Feature attribution methods quantify the contribution of each feature without being limited to the ceteris paribus assumption of classical sensitivity analysis. Furthermore, these methods provide a consistent measure of the importance of each input feature [19].

3. Methods and data

We develop an explainable machine learning model for the occurrence of redispatch in the German transmission grid. Our prime interest is the analysis of historical data to identify the main driving and mitigating factors for congestion and redispatch. While the model “predicts” congestion, it has been developed for an ex-post analysis and is, in its current form, not suitable for forecasting applications.
A schematic of our approach is shown in Fig. 5. We first train a Gradient Boosted Tree to obtain a model that (i) has high predictive power and (ii) enables a detailed analysis. The model is explained via SHapley Additive exPlanation (SHAP) [46], which will be introduced in detail below. This approach has several advantages compared to a simple correlation or sensitivity analysis: (i) Gradient boosted trees can deal with highly correlated features and describe arbitrary non-linear relations and feature interactions. (ii) Gradient boosted trees perform inherent feature selection. Hence, we do not have to make any assumption about important features but determine the importance within the model. This idea is strengthened by a recursive elimination of the least important feature which increases the transparency of the model. (iii) SHAP provides a mathematically consistent explanation of each prediction as well as global understanding of the model [19]. The explanation is not limited by the ceteris paribus assumption, in contrast to a conventional sensitivity analysis.

3.1. Redispatch data

Data on redispatch and countertrade events in Germany is publicly available at netztransparenz.de [38]. TSOs can also use power plants from the “Netzreserve” (grid reserve) for congestion management, which is included in the database. The database features all individual interventions, including the start and end time, the requesting TSO, and, for redispatch measures, the affected power plant. The database does not include information about the identity of the congested transmission line. Furthermore, a significant portion of the interventions is requested by several TSOs. Hence, it is mostly impossible to attribute a congestion event to a specific control area, let alone a specific line. We therefore model only the accumulated redispatch volume in Germany.

Entries on cross-border redispatch and countertrade are generally incomplete, as only interventions on the German side have to be publicized. To complete the target data, we thus assume an unreported
intervention in equal size and opposing direction for every countertrade in the data. This assumption is reasonable since countertrade is by definition used to alleviate cross-border congestion. We note that this assumption is not always correct, as other schemes of intervention are uncommon but possible. For instance, a countertrade within Germany can be balanced by redispatch within Germany, or a redispatch in Germany can be balanced by a countertrade in a neighboring country. Both possibilities cannot be inferred from the data alone, such that an approximation is necessary. To assess the validity of the approximation, we have trained models both with and without completing the data. The approximate completion scheme leads to an increase in the performance of the model, although the difference is very small and the results are overall barely affected.

As we focus on transmission limits and congestion in Germany, we exclude two types of interventions. First, the dataset also includes interventions that were requested solely by foreign TSOs. As these were not used to relieve congestions in the German transmission grid, we discarded them. Second, we discard interventions due to potential voltage limit violations and keep only current-related interventions.

In principle, congestion management should not influence the power balance such that positive and negative redispatch and countertrade should be balanced. However, deviations regularly occur in practice because of several reasons. For instance, positive redispatch is also used to compensate for unexpected events such as unplanned power plant unavailability [33]. To even out such occurrences and possible faulty or missing data, we aggregate negative and positive interventions. That is, the prediction target is given by the sum of the magnitudes of all interventions. This approach is further legitimized by a performance increase.

We limit our analysis to the time period from May 2019 to January 2023 with a temporal resolution of one hour. Electrical power systems are ever evolving with transmission lines being constructed and generation facilities being commissioned or decommissioned. Major changes in the German power grid are the commissioning of the "Thüringer Strombrücke" in September 2017, the bidding zone split between Germany–Luxembourg and Austria in October 2018 and the decommissioning of three nuclear power plants in April 2023. All events lie shortly before or after the considered time frame. In 2019, the Netzbaubeschleunigungsgesetz ("grid extension law") was passed aiming to include smaller generating units in the redispatch process [36]. The redispatch 2.0 has been in full operation since June 2022 [18] after a three months test phase. This change is visible in the presence of renewable-energy power plants in the data of the last months.

We finally note that the quality of the dataset is far from optimal. We find that the accumulated volume of all redispatch events from the dataset [38] deviates significantly from the volume reported by the German regulating bodies [25]. This can probably be attributed to the non-availability of cross-border interventions in data from [38]. Both numbers are compared in Fig. 4, showing that the accumulated volume is smaller than the reported volume. After inferring missing cross-border interventions the difference is still significant for 2020, but negligible for 2021. Note that for years 2020 and 2023 we analyze only a part of the year, so the shown total volume is much lower than in 2021 and 2022. Data on countertrading and redispatch per hour is also available from the ENTSO-E Transparency Platform [47], but the discrepancy to the reported yearly volume is even higher.

3.2. Features

We use a variety of features from the power system and electricity market operation as inputs for our machine learning models. All features used in our model are day-ahead forecasts because the EUPHEMIA algorithm calculates the dispatch on a day-ahead basis. As a consequence, redispatch measures are also planned primarily on the basis of day-ahead forecasts. Actual values of generation and cross-border flows are not included in the model. These values already include changes due to congestion management impeding any causal interpretation. A summary of features is provided in Table 1, more details are given in Appendix.

All input data is gathered from the ENTSO-E Transparency Platform [47]. We use three classes of base features: (i) the load, wind generation, solar generation, run-of-river (ROR) hydro generation and the remaining (dispatchable) generation for each control area plus the offshore wind generation in the North Sea and the Baltic Sea, (ii) the scheduled cross-border flows between Germany and all its neighboring countries and (iii) the electricity prices in Germany–Luxembourg and in all its neighboring bidding zones as well as the respective price differences with regards to Germany–Luxembourg.

In addition, we engineer further features to improve the interpretability of the developed models. The residual load in a control area is obtained by subtracting the non-dispatchable renewable generation from the load. Furthermore, we define two proxies for the total wind power generation in the North of Germany and the total ROR generation in the South of Germany. Unfortunately, data on the level of control areas does not lend itself to extracting features for the North and South directly, since the Tennet area spans the whole length of Germany (Fig. 1). However, the geographical distribution of wind generation capacity is such that almost all wind generation in the Tennet area occurs in the North of Germany. We thus define the aggregate wind generation in the North as the sum of the wind generation in the Tennet and 50Hertz control areas. The opposite is true for ROR hydro generation, which is located primarily in the South of Germany. We thus define the sum of hydro generation in the Tennet and Transnet control areas as a proxy for the hydro generation in the South.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Table of features used for redispatch prediction. All features are day-ahead features. Features in the right column are available for each of the four German TSOs. Wind north and hydro south generation were derived by aggregating, as explained in Section 3.2. Features in the right column from all of Germany’s neighboring countries were used, if available. Features marked by 1 were used in the full model, those marked by 2 in the reduced model.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Control areas (DE)</td>
<td>Country (Neighbors)</td>
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<tr>
<td>Gen Wind (on\textsuperscript{1}, off\textsuperscript{2}, total\textsuperscript{2})</td>
<td>Cross-Border Flows with DE\textsuperscript{2}</td>
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<tr>
<td>Gen Solar\textsuperscript{1}</td>
<td>Price\textsuperscript{1}</td>
</tr>
<tr>
<td>Gen ROR Hydro\textsuperscript{1}</td>
<td>Price difference to price in DE\textsuperscript{2}</td>
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<td>Gen Rest\textsuperscript{2}</td>
<td>Load\textsuperscript{2}</td>
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<td>Residual Load\textsuperscript{1}</td>
<td>Gen Wind North\textsuperscript{1}</td>
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<tr>
<td>Gen ROR Hydro South\textsuperscript{2}</td>
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wind generation are negatively correlated due to opposite seasonal profiles, while solar generation and load are positively correlated due to a similar daily profile. Furthermore, almost all features are correlated through their interaction with the market. These correlations prevent a data analysis with direct univariate techniques or linear models. In contrast, advanced ML models can break down the effect of correlated features and reveal otherwise undetectable nonlinear effects and feature interactions using the methods described below.

3.3. Machine learning methods

We use gradient-boosted trees, which offer state-of-the-art performance at low computational costs while enabling a fast and efficient model explanation [46].

We perform hyperparameter optimization using random search with 5-fold cross-validation. We do not use a time series split since we are interested in explanation, not in forecasting. Instead, we use a group shuffle split with 24 h gaps so that training and test data do not contain samples that include the same redispatches.

Additionally, we perform Recursive Feature Elimination to remove redundant features. The reduced complexity makes the model more easily explainable when applying XAI methods afterward. In each iteration of the Recursive Feature Elimination, the importance of all input features is quantified, the least important feature is eliminated. We then select a model that shows performance close to the initial model while being much less complex. To quantify feature importance, we use SHAP values which are introduced in the next section.

We fit two models with different input features. The first model uses only the base features as defined in Section 3.2. This model is meant to take in all relevant data with minimal feature redundancy. The second model uses the engineered features while dropping the related features, as explained above, to improve explainability.

3.4. Explaining the model with SHAP values

Like with other powerful machine learning models, the performance of gradient-boosted trees comes at the price of interpretability. The field of XAI develops methods to make these black box models explainable. We use SHapley Additive exPlanations (SHAP) [46], which provides efficient ex-post explanations for tree-based models. SHAP simplifies local explanations, i.e., it explains individual model predictions, by quantifying each input feature’s impact on the model output for the given sample. There are many other methods that do just that, but SHAP values are unique in fulfilling certain desirable properties for model explanation [46] and thus avoid inconsistencies present in other methods. In our case, SHAP values quantify how much a feature contributes to the predicted redispatch volume for the given input feature values. More precisely, if the model predict the redispatch volume \( f \) from the feature values \( x_1, \ldots, x_6 \) we have

\[
f(x_1,\ldots,x_6) = \phi_0(f) + \sum_{j=1}^{6} \phi_j(f;x_1,\ldots,x_6),
\]

where \( \phi_j(f;x_1,\ldots,x_6) \) denotes the SHAP values for the \( j \)-th feature. These local explanations make individual predictions interpretable.

From the local explanation of individual predictions, one can derive a global understanding of the model via feature importance, dependence plots and interactions plots [19]. The importance of the \( j \)-th feature is obtained by aggregating its SHAP values over all samples \( s \)

\[
FI_j = \frac{1}{N} \sum_{s} [\phi_j(f;x_1^{(s)},\ldots,x_6^{(s)})].
\]

The normalization factor \( N \) is chosen such that \( \max_{s} FI_j = 1 \). This global importance measure is used in the Recursive Feature Elimination.

SHAP dependence plots (cf. top panel Fig. 8) give detailed insight into how different feature values affect the model’s output. In such a plot, the SHAP value \( \phi \) is plotted versus the feature value \( x \) for all samples \( s \). Lastly, SHAP interaction values (cf. bottom panel Fig. 8) quantify the impact of the interaction of two features [19].

4. Results

4.1. Overview and performance

We will now present two models that were trained on different input features. The full model was trained on all base features but no engineered features. For the reduced model we started with a feature set that includes the engineered features but discards the correlated ones, as explained before. We then performed recursive feature elimination (cf. Fig. 6) and manual feature selection to obtain a model that performs well and can be explained more easily.

Both models show a decent performance considering the coarse grained nature of the input features and the quality of the target data (Fig. 6). The \( R^2 \) score reaches 0.74 and 0.78 when averaged over the cross validation sets and 0.79 and 0.92 for the retrained models. The retrained performance is higher because the respective models are trained on a larger training set. The high score of the full model is partly due to high variance of the full models and should not be overrated.

The recursive feature elimination procedure shows that a decent model performance can be obtained already for a rather small number of features. We choose six features and tune the feature set manually. In particular, we find very similar model performance if we include either the physical cross-border flows or the price difference between two countries. In these cases, we choose the cross-border flows for better interpretability.

To find true drivers and mitigators, we need to discern whether relations revealed by SHAP are causal or purely correlational. Causality is plausible only if the magnitudes of cause and effect have a reasonable ratio. Furthermore, we refute the hypothesis, if the feature can be replaced by a strongly correlated feature, which is a more plausible candidate for a causal relation. For some features that have a relatively consistent daily or seasonal profile, it is reasonable to check if the profile is the most important aspect feature. If so, the correlation could stem from other unknown factors that have a similar profile.

Recursive Feature Elimination always leads to a model including the Czech electricity prices. A closer inspection of the data shows that the Czech price has consistently been higher during the last months of the interval of interest. Replacing it with a rolling average did not impact performance significantly. We thus conclude that the model used the Czech electricity prices primarily to identify a certain period in time with an overall high redispatch volume. Hence, we assume that this feature does not contain any relevant causal relation and eliminated it from the model. Most of the performance difference to the full model stems from doing so.
4.2. The reduced model

4.2.1. Overview and feature importances

The final reduced model relies on six features, whose overall feature importance is summarized in Fig. 7. As expected, wind power generation in northern Germany is the most important feature. Remarkably, run-of-river hydropower generation in southern Germany ranks second. The cross-border flows to Denmark and France rank third and sixth, respectively, showing that the international electricity market is an important factor for congestion in the German transmission grid. Solar power generation and the residual load in the Transnet control area rank fourth and fifth, respectively. Notably, five out of six features relate to either the North or South of Germany, i.e., one side of the major transmission grid bottleneck. We will now discuss the role of these features in detail on the basis of SHAP dependence and interaction plots shown in Fig. 8.

4.2.2. Wind power generation

Wind power is the most important driving factor for congestion in the German transmission grid. The SHAP analysis confirms the expectation formulated in Section 2. Wind power generation in northern Germany is the most important feature. The dependence is approximately linear, with strong dispersion for low and high generation values. This dispersion can be partly explained by feature interactions, as discussed below.

4.2.3. Cross-border flows

The cross-border flows with France and Denmark impact the model output in opposite ways: Imports from Denmark increase congestion, while imports from France alleviate it. This can be explained by the different positions of Denmark and France with respect to the Northeast-Southwest bottleneck in the German transmission grid. Obviously, imports from Denmark will lead to congestion whenever they are to be consumed in southern Germany or exported to other countries in southern Europe. The connections to France lie on the other side of the bottleneck, such that imports from France can cover demands in the southwest without passing through it. Exports to France covered by generation in the north or east of Germany must go through the bottleneck, increasing the likelihood of congestion.

The interaction plot suggests that exports to Denmark and imports from France actually alleviate the negative impact of high wind generation. While the former appears to be a causal connection, as the power imported from Denmark directly causes congestion, the latter is probably just correlational. Imports from France do not alleviate the congestion directly, but when power is imported from France, less power has to be transmitted within Germany.

The importance of cross-border flows suggests that the international dispatch has a significant impact on congestion within Germany. The EUPHEMIA algorithm takes into account capacity limits between bidding zones but ignores transmission capacity limits within Germany. Hence, it can schedule cross-border flows that intensify congestion within Germany. If for instance, Denmark generates a lot of wind power, it might be transported to southern Europe via Germany, even though German north-south transmission capacity is already utilized by German wind generation. This is also evident when plotting the redispatch volume as a function of wind generation and Denmark cross-border flow, see Fig. 9. Once again, the opposite can be observed for France in the equivalent plot.

From a congestion management perspective, Germany would not import any electricity from Denmark during times of high wind generation. From an economic viewpoint, one might expect the opposite. Denmark has an even higher share of wind power than Germany, with a generation capacity exceeding the average grid load. Furthermore, wind power generation in Denmark and Germany are strongly correlated [49]. Hence, there are strong incentives to export power from Denmark to Germany in times of high generation [50]. In fact, the data analysis shows no negative correlation between wind power generation and imports from Denmark. In hours with low wind power generation, imports to Germany are much more likely than exports. In hours with wind generation exceeding 30 GW, we regularly find both cases with imports and exports. That is, Germany does clearly not stop importing electricity when producing large amounts of wind power.

Cross-border flows to Austria and Switzerland would appear to be relevant to the problematic North-South flows but were not selected during recursive feature elimination. This is to be expected for Switzerland, because flows are usually small and thus of little importance. It is surprising for Austria, though, because the average of the absolute cross-border flows is higher for Austria than for any other neighbor. Furthermore, there are several lines close to the Austrian border that are often congested (Fig. 2). We find two possible explanations. First, congestion events close to the Austrian border may be underestimated in the target data as they are resolved through cross-border redispatch and countertrade. Second, the impact of the Austrian power sector on congestion in Germany may be partly captured through the remaining variables serving as proxies. We will discuss this aspect in the next section.

4.2.4. Hydropower generation

Hydropower generation is the second most important feature and counteracts congestion. The SHAP dependence plot (Fig. 8) shows a strong decrease in the redispatch volume up to a generation of approximately 1.2 GW and a saturation afterward. The decreasing relation is to be expected as run-of-river hydropower is mostly installed in southern Germany (Fig. 1). A high hydropower generation thus reduces the demand for transmission from Northern Germany.

The magnitude of the dependence is surprising, though, considering the limited total capacity. We note that hydropower generation in Southern Germany is correlated to hydropower generation in the alpine region in general. Especially Austria and Switzerland cover large parts of their total electricity demand from hydropower. Given the importance of international electricity trading, it appears reasonable that hydropower generation in these countries will have a significant impact on congestion in Germany. The hydropower generation feature in the model may thus serve as a proxy for the overall hydropower generation in the alpine region. However, a comprehensive understanding remains difficult.

We further tested a possible coincidence effect as a possible reason for the high feature importance. Hydro generation has a clear yearly profile such that the dependence may be a coincidence encoding a seasonal profile of the target feature. To test this possibility, we replaced the hydro generation feature with a rolling average or a synthetic seasonality feature. In both cases, the performance dropped significantly. Hence, we refute the possibility of a mere seasonal coincidence and conclude that hydro generation does, in fact, have a real impact.
Fig. 8. Dependencies and Interaction in the reduced model. Top: SHAP dependence plots for all features in the reduced model. As expected, higher wind generation leads to more congestion. So do imports from Denmark and high residual loads in the Transnet control area. Surprisingly, high solar generation is also related to increased congestion. Hydro generation in the south and imports from France decrease congestions. Bottom: SHAP interactions plots for the most important feature, the wind power generation in northern Germany. All other features besides solar generation show a systematic interaction with wind generation. Hydro generation, exports to Denmark, low residual loads and imports from France all mitigate congestion caused by high wind generation.

Fig. 9. Raw data analysis of the relation of redispatch and cross-border flows. Left: Redispatch volume as a function of wind generation and cross-border flow from Denmark (France). Clearly, high imports from Denmark on their own do not necessarily lead to congestions. However, when there is significant wind generation in Germany, these imports substantially exacerbate the problem. The same goes for exports to France. Right: Kernel density estimation for imports from Denmark and wind generation in northern Germany. Even for high wind generation Germany often imports electricity from Denmark.

4.2.5. Solar power generation

The SHAP dependence plot shows that the redispatch volume generally increases with solar power generation in Germany. This finding is surprising as solar photovoltaics are primarily installed in the south of Germany, as shown in Fig. 1. One might thus expect that solar generation would reduce the need for transmission from North to South, but this is not the case.

To investigate potential regional effects, we consider alternative models, replacing the aggregated solar generation in Germany with the solar generation in the four control areas, respectively. Solar generation in the different control areas is strongly correlated, however, and we find a very similar performance in all cases, such that no further conclusions can be drawn at this point. We come back to this issue when discussing the full model in Section 4.3.

We further tested whether the observed dependence is just a coincidence, similar to the case of hydropower. Solar generation has an obvious daily profile, such that the model may use this feature as a proxy for the time of day. Replacing the solar generation by its daily profile, we find a significant decrease in the prediction performance. Hence, we refute the possibility of a mere daytime effect and conclude that solar power generation does, in fact, have a real impact.

4.3. The full model

We now turn to the second model for the redispatch volume — the full model containing all base but no engineered features. As shown in Fig. 6, the mean performance of the model is slightly better than that of the reduced model. However, the model uses 42 features, such that the interpretation is more cumbersome.

We find that the feature importances and dependencies are generally consistent with the results obtained from the reduced model. In the following analysis, we focus on the role of renewable power generation and the different control areas. In particular, we reconsider the open questions regarding the impact of solar and hydro generation raised in the preceding section. To enable a quantitative comparison, we provide all SHAP dependence plots with the same axis scaling in Fig. 10.

The relations between redispatch and wind power generation depend strongly on the location. Onshore wind in the Tennet and 50Hertz control areas show similar dependencies: Redispatch increases almost linearly with the generation, showing a strong dispersion. The dependence is even stronger for offshore wind power generation in the two control areas. This comes as no surprise as all offshore wind power has to pass through the same bottlenecks, while the average distance to the customers is even larger. Wind power in the Transnet area shows...
a reversed dependence, as the control area is located south of the bottlenecks. High generation reduces the need for transmission from the North and thus alleviates grid congestion. Wind power in the Amprion control area has a less clear dependence for geographic reasons.

Solar power generation does not have a strong dependence, except for the 50Hz region in northeastern Germany. In this region, we find a clear non-linear increase in redispatch volume with the generation, as expected. In the Tennet and Amprion control areas, we find a very weak positive relation. Remarkably, we do not find any correlation for the Transnet control area. This is surprising as we expect that generation in this region should relieve the grid, similar to the case of wind power.

Hydropower shows a remarkably strong negative relation to the redispatch volume, especially for the Transnet control area. The disproportionate impact of hydro becomes particularly clear in Fig. 10 in comparison to wind and solar power. Given the limited generation capacity, this dependence appears much too strong to be causal. This further supports our hypothesis that the learned relation between redispatch and hydropower generation stems from the great importance of hydropower in the Alpine region in general.

5. Discussion

High transmission grid loads make a power system more vulnerable. The explainable ML model developed in this work provides valuable information on the drivers and mitigators of congestion in the German transmission grid. Our results may thus help to improve power system security in the long run.

As expected, wind generation in northern Germany is the most important driving factor. Higher residual loads in the south, in our model represented by those in the Transnet control area, also increase the likelihood of congestions. Beyond these known driving factors, the model also allows us to identify mitigating factors. According to the model, run-of-river hydro generation, which is almost exclusively located in southern Germany, mitigates congestion risk. The model seems to strongly overestimate its impact, though, probably because of its correlation with hydropower generation in parts of the alpine region.

Solar generation has a surprisingly low impact and does not mitigate congestions even though it is primarily located in southern Germany. Due to the very strong correlation between generation in the different control areas, it is not possible to clearly discern the impact of generation in the different areas. Nevertheless, high solar generation in
the 50Hertz control area seems to increase redispatch volumes, as one would expect. Interestingly the model does not show a mitigating effect for solar generation in southern Germany. This might be an effect of the high correlation: While high solar generation in the south decreases congestion due to lower residual loads, this effect might be negated by the simultaneous increased solar generation in northern Germany. If, for instance, the conventional generation that is pushed out of the market, has a similar geographic distribution as the solar generation that replaces it, there is no strong effect on power flows and thus on congestion.

Furthermore, imports and exports increase or decrease the likelihood of congestion depending on the location. Especially cross-border flows with Denmark and France have a significant impact on the redispatch volume. Imports in the north from Denmark increase the likelihood of congestion while imports in the south, from France, decrease it and vice versa for exports. Imports from Denmark on their own, however, do not lead to congestion. Only when German wind generation is also significant do they have a negative impact, see Fig. 9.

Our analysis has further shown that imports from Denmark do in general not fall when wind generation in northern Germany increases. This is probably due to the limitations of the current market design. Germany and Luxembourg constitute a single bidding zone and the EUPHEMIA algorithm models this bidding zone as a “copper plate” with infinite transmission capacity. Therefore, it regularly calculates dispatches that lead to congestion in Germany, by allowing imports from Denmark also when the German transmission grid is already highly loaded from German wind power.

Notably, the connection between the German and Danish grids is subject to a rapid development. In the past, the TSO TenneT has repeatedly reduced the line limits between Denmark and Germany for security reasons. In 2018, the European Commission ruled that these measures violated EU antitrust rules and obliged TenneT to increase cross-border transmission capacity [51]. Furthermore, the physical transmission capacity between Denmark and Germany is being substantially extended. The transmission line “Mittelache” has been commissioned in October 2020, and another line along the North Sea coast is expected to be fully operational in 2024 [52,53]. The expected cross-border capacities between Germany and Denmark West are expected to reach 4100 MW by 2025 [17].

As the costs of congestion management increase, the topic is gaining public and political interest. Changes in the regulatory framework are being discussed. The first step is the establishment of redispatch 2.0 after the Netzausbaubeschleunigungsgesetz (“grid extension law”) was passed in 2019 and has been in full operation since June 2022 [18] after a three months long test phase. A main goal was to include smaller generating units and renewable generation plants in the redispatch scheme. However, our data analysis shows no abrupt changes during the period of study.

In the long run, more comprehensive changes in the market design are likely. In particular, splitting Germany into a Northern and Southern bidding zone is being discussed. Our results support the hypothesis that the split would significantly reduce congestion. The split would make EUPHEMIA respect the transmission limits between northern and southern Germany, thus reducing congestion. It would also lead to different price levels in the two zones, especially during high wind generation [54,55]. In the short term, this can strongly affect cross-border electricity trading. Lower prices in the Northern zone would probably decrease imports from Denmark. Our analysis shows that imports often remain high even in times of high wind power generation and high congestion in the German grid. The changed market situation would prevent these situations, relieving Germany’s grid and limiting Denmark’s export options. At the same time, higher prices in the Southern zone would decrease exports to southern Europe. On longer time scales, the new market situation will lead to further investment incentives, such as for new generation capacity in southern Germany [55] or incentives for Power-To-Hydrogen infrastructures [56].

The bidding zone split is heavily debated in German politics. The EU’s Agency for the Cooperation of Energy Regulators (ACER) has recently proposed splitting configurations for the German bidding zone [57]. While the northern German states favor the split, six southern German states — for whom the split would presumably lead to higher electricity prices — recently reiterated their opposition [58]. Notably, the state of Bavaria in Southern Germany hindered the extension of wind power for many years [24], and the urgently needed grid expansion is meeting strong opposition in southern Germany [59].

At the same time, other costs related to the energy transition are gaining political interest. Recently, the Federal Minister for Economic Affairs and Climate Action, Robert Habeck, announced an initiative to reform the electricity grid fees to relieve regions with high (renewable) power generation [60].

6. Conclusion and outlook

The decarbonization of the electricity system poses new challenges to the power grid. Higher grid loads make the power system more vulnerable and must be addressed via costly congestion management. In Germany, congestions are mostly found along a north–south bottleneck in the transmission grid. They result from the transmission need from the north, which boasts high wind power generation, to the south, with its strongly negative power balance.

In this work, we analyzed the drivers and mitigators for congestion in the German transmission grid using Explainable Artificial Intelligence (XAI). To this end, we trained a gradient-boosted trees model to predict aggregated redispatch and countertrade volumes from day-ahead power grid features such as generation, load, price and cross-border flow data. By combining feature engineering, recursive feature reduction, and manual feature selection, we reduced the model complexity to six input features while achieving a mean \( R^2 \) score of 0.74. In a second model, we removed all engineered features to reduce redundancy and achieved a comparable performance of 0.78, now using 42 features. We then used SHapley Additive exPlanation (SHAP) values to interpret the models.

We found that wind generation in the north is the strongest driver of congestion, while imports from Denmark or a high residual load in Southern Germany aggravate the problem. Imports from France and run-of-rive hydro generation are mitigating factors because of geographical reasons. Solar generation has no strong systematic impact. The north–south bottleneck in the German transmission grid can explain all these findings.

The main limitation of this study is the data quality. Time-resolved data on transmission grid congestion is not publicly available. While redispatch and countertrade volume should be a very good proxy, we cannot validate this assumption. Einspeisemanagement had to be omitted due to the unavailability of data. With the introduction of redispatch 2.0 towards the end of the analyzed time interval, data availability has improved, especially since data regarding the curtailment of renewables is now provided. Lastly, the insights gained from XAI should never be uncritically accepted as causal relations, as these methods cannot discern between causation and correlation.

The power system is constantly changing, both on the generation and the transmission side. Repeating a similar analysis in the future will not only reveal changes in the impact of different factors but might also allow attributing these changes to the power grid. A similar model approach could be applied to other countries. This is not straightforward, however, since an intimate knowledge of the specifics of the power system of interest is essential.

CRediT authorship contribution statement

Maurizio Titz: Writing – review & editing, Writing – original draft, Visualization, Software, Project administration, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. Sebastian
Table A.2
This list contains the input features used for the two presented models. Gen stands for Generation, ROR for run-of-river, and Price Diff for the price difference in the two bidding zones. Denmark has two bidding zones that are connected to Germany. As in Table 1 features marked by 1 were used in the full model, those marked by 2 in the reduced model.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Description</th>
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<tbody>
<tr>
<td>Flow DK–DE 1</td>
<td>Flow from Denmark to Germany (1)</td>
</tr>
<tr>
<td>Flow PL–DE 1</td>
<td>Flow from Poland to Germany (1)</td>
</tr>
<tr>
<td>Gen ROR Hydro Transnet 1</td>
<td>Generation of run-of-river hydro electricity from Denmark (1)</td>
</tr>
<tr>
<td>Gen Dispatchable Tennet 1</td>
<td>Generation of dispatchable electricity from Denmark (1)</td>
</tr>
<tr>
<td>Gen Solar DE 1</td>
<td>Solar electricity generation (1)</td>
</tr>
<tr>
<td>Gen Wind offshore 1</td>
<td>Wind offshore electricity generation (1)</td>
</tr>
<tr>
<td>Gen Wind onshore Tennet 1</td>
<td>Wind onshore electricity generation from Denmark (1)</td>
</tr>
<tr>
<td>Load 1</td>
<td>Total load (1)</td>
</tr>
<tr>
<td>Price 1</td>
<td>Day-ahead electricity price (1)</td>
</tr>
<tr>
<td>Price Diff DE–AT 1</td>
<td>Price difference between Denmark and Austria (1)</td>
</tr>
<tr>
<td>Price Diff DE–DK 1</td>
<td>Price difference between Denmark and Korea (1)</td>
</tr>
<tr>
<td>Price Diff DE–PL 1</td>
<td>Price difference between Denmark and Poland (1)</td>
</tr>
<tr>
<td>Price PL 2</td>
<td>Day-ahead electricity price (2)</td>
</tr>
<tr>
<td>Residual Load Tennet 2</td>
<td>Residual load (2)</td>
</tr>
<tr>
<td>Flow LU–DE 2</td>
<td>Flow from Norway to Germany (2)</td>
</tr>
<tr>
<td>Gen ROR Hydro Amprion 2</td>
<td>Generation of run-of-river hydro electricity from Norway (2)</td>
</tr>
<tr>
<td>Gen Dispatchable 50Hertz 2</td>
<td>Generation of dispatchable electricity from Norway (2)</td>
</tr>
<tr>
<td>Gen Solar 50Hertz 2</td>
<td>Solar electricity generation from Norway (2)</td>
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<tr>
<td>Gen Wind offshore 50Hertz 2</td>
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</tr>
<tr>
<td>Gen Wind onshore Tennet 2</td>
<td>Wind onshore electricity generation from Norway (2)</td>
</tr>
<tr>
<td>Load 50Hertz 2</td>
<td>Total load from Norway (2)</td>
</tr>
<tr>
<td>Price 2</td>
<td>Day-ahead electricity price (2)</td>
</tr>
<tr>
<td>Price Diff DE–CI 2</td>
<td>Price difference between Denmark and the Czech Republic (2)</td>
</tr>
<tr>
<td>Price Diff DE–FR 2</td>
<td>Price difference between Denmark and France (2)</td>
</tr>
<tr>
<td>Price FR 2</td>
<td>Day-ahead electricity price (2)</td>
</tr>
<tr>
<td>Residual Load 50Hertz 2</td>
<td>Residual load (2)</td>
</tr>
</tbody>
</table>

Pütz: Writing – review & editing, Writing – original draft, Software, Data curation, Conceptualization. Dirk Witthaut: Writing – review & editing, Writing – original draft, Supervision, Resources, Project administration, Methodology, Funding acquisition, Conceptualization.

Declaration of competing interest
The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability
Data will be made available on request.

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Appendix. Complete list of features
Table A.2 provides a complete list of features. Some quantities of potential relevance, e.g., the cross-border flows with Belgium, had to be discarded because there was too much missing data. Accordingly, these quantities are not listed.

References


