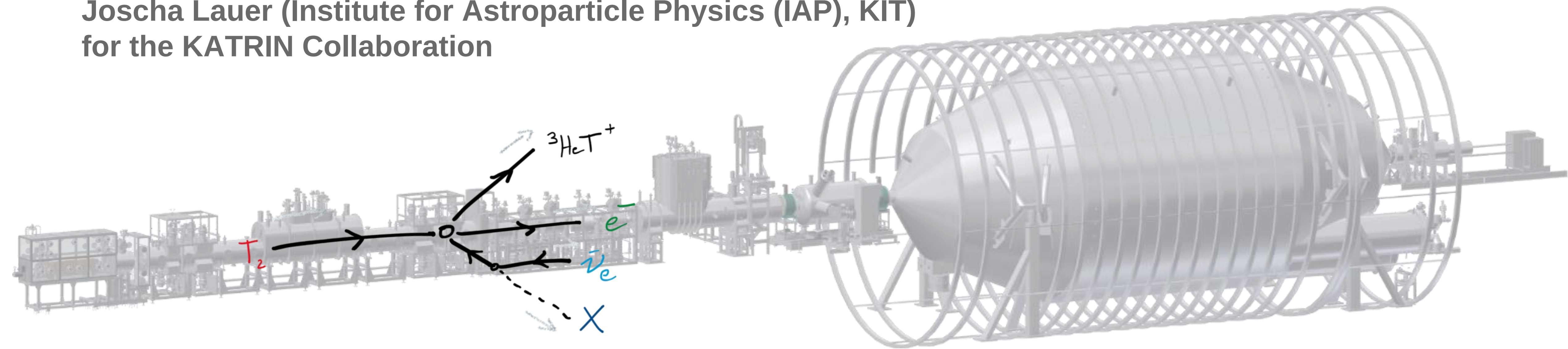




Search for new light bosons with the KATRIN experiment

Joscha Lauer (Institute for Astroparticle Physics (IAP), KIT)
for the KATRIN Collaboration



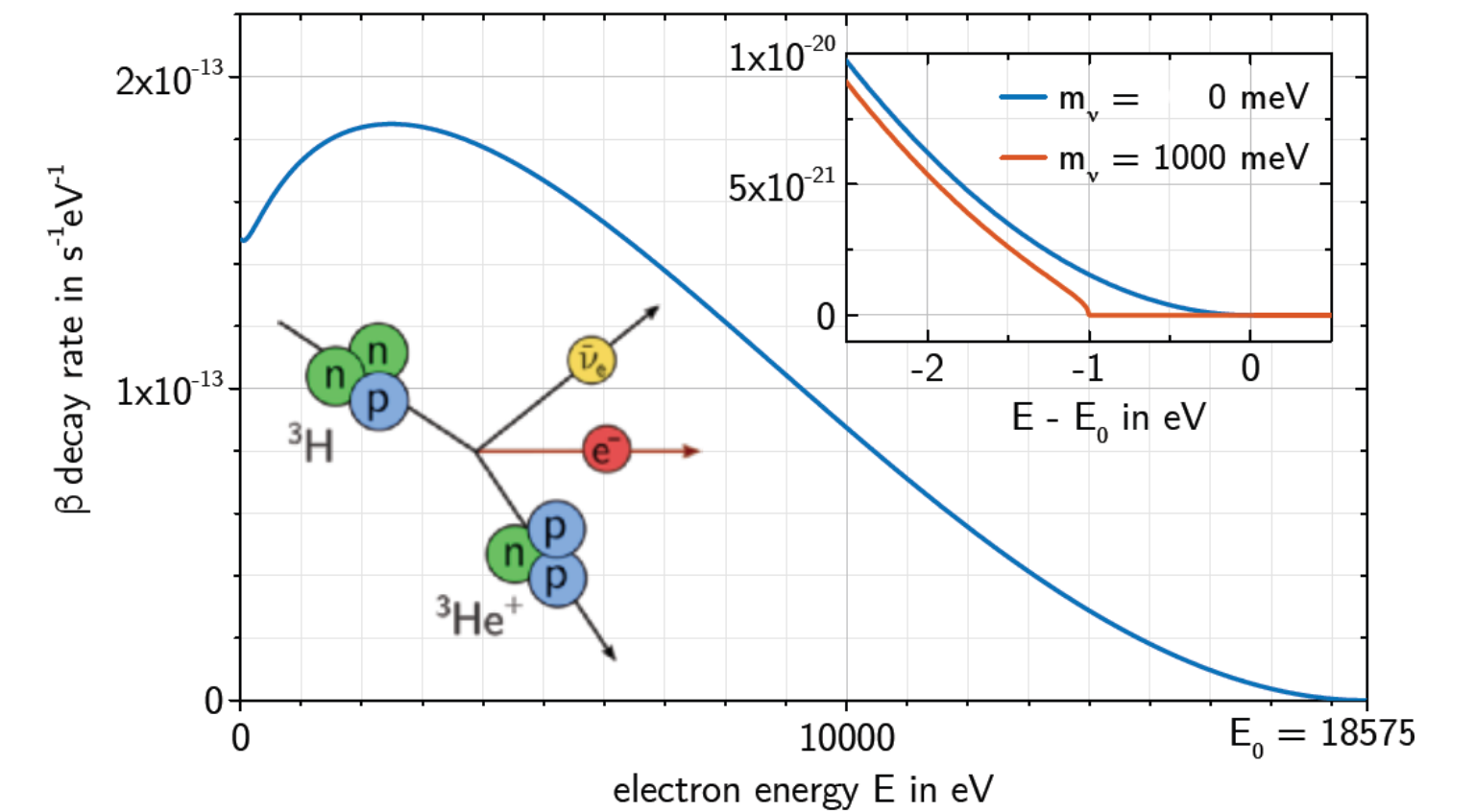
March 8th, 2024 – DPG Karlsruhe 2024

The KATRIN experiment

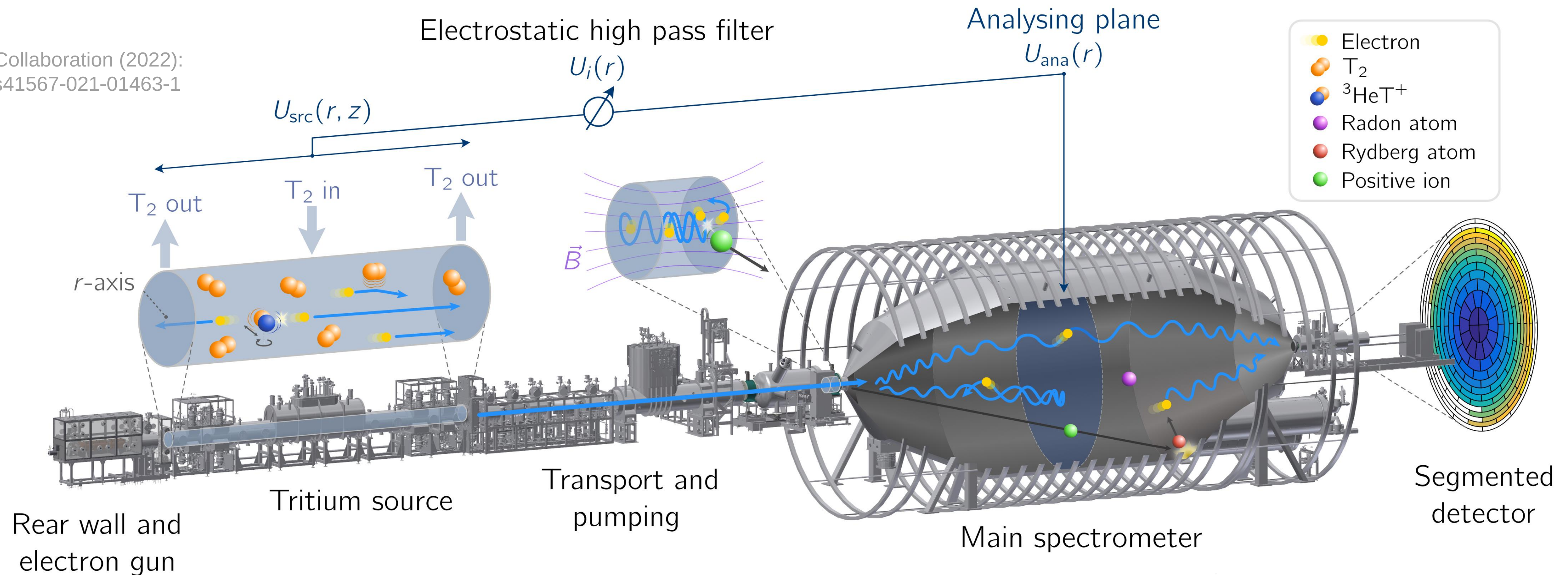


K. Valerius (2023)

- Observable: effective electron antineutrino mass $m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$
- Kinematic approach: electron energy spectrum of **tritium β -decay**



KATRIN Collaboration (2022):
10.1038/s41567-021-01463-1



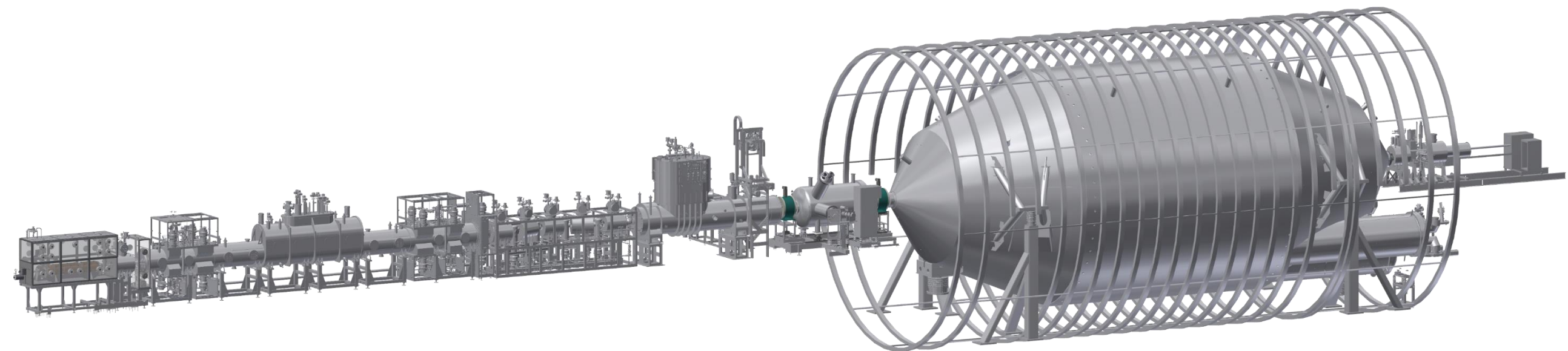
Tritium β -decay in KATRIN

- Super-allowed decay $T_2 \rightarrow {}^3\text{He}T^+ + e^- + \bar{\nu}_e$, endpoint: $E_0 \approx 18.6$ keV
- Fermi's interaction: $\mathcal{L}_F = -\frac{G_F}{\sqrt{2}} \bar{p}\gamma^\mu n \bar{e}\gamma_\mu \nu + \text{h. c.}$
- $\rightarrow$ differential β -spectrum:

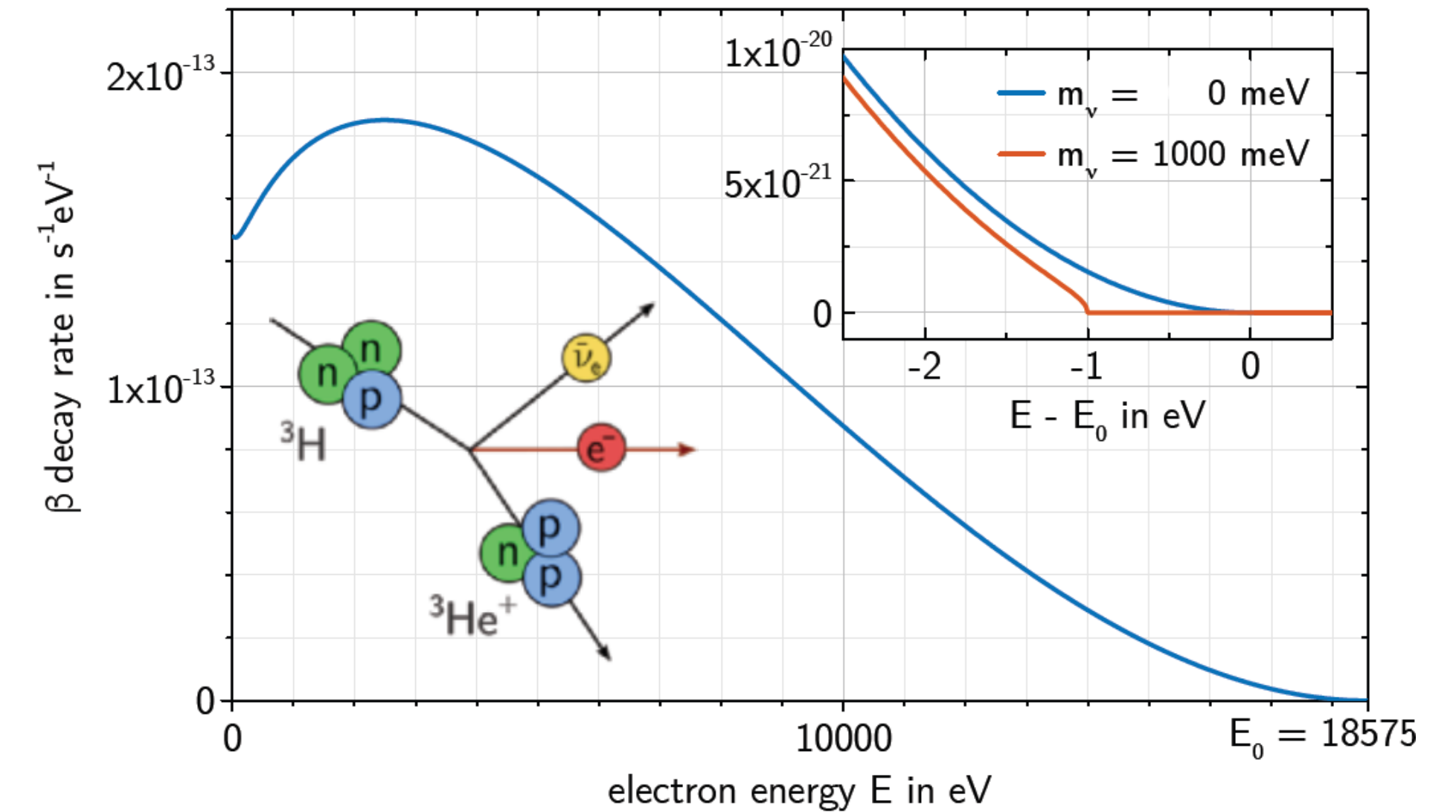
$$\frac{d\Gamma_\beta}{dE}(E, m_\nu^2) = C \cdot (E + m_e) \cdot p \cdot E_\nu \cdot \sqrt{(E_0 - E)^2 - m_\nu^2} \cdot F(Z + 1, E)$$

- Measurement of integral rate: $R(qU) = A_{\text{sig}} \int_{qU}^{E_0} f(E - qU) \frac{d\Gamma}{dE}(E, m_\nu^2) dE + R_{\text{Bg}}$
- $\rightarrow$ fit in the endpoint region

KATRIN Collaboration (2022):
10.1103/PhysRevD.105.072004



K. Valerius (2023)



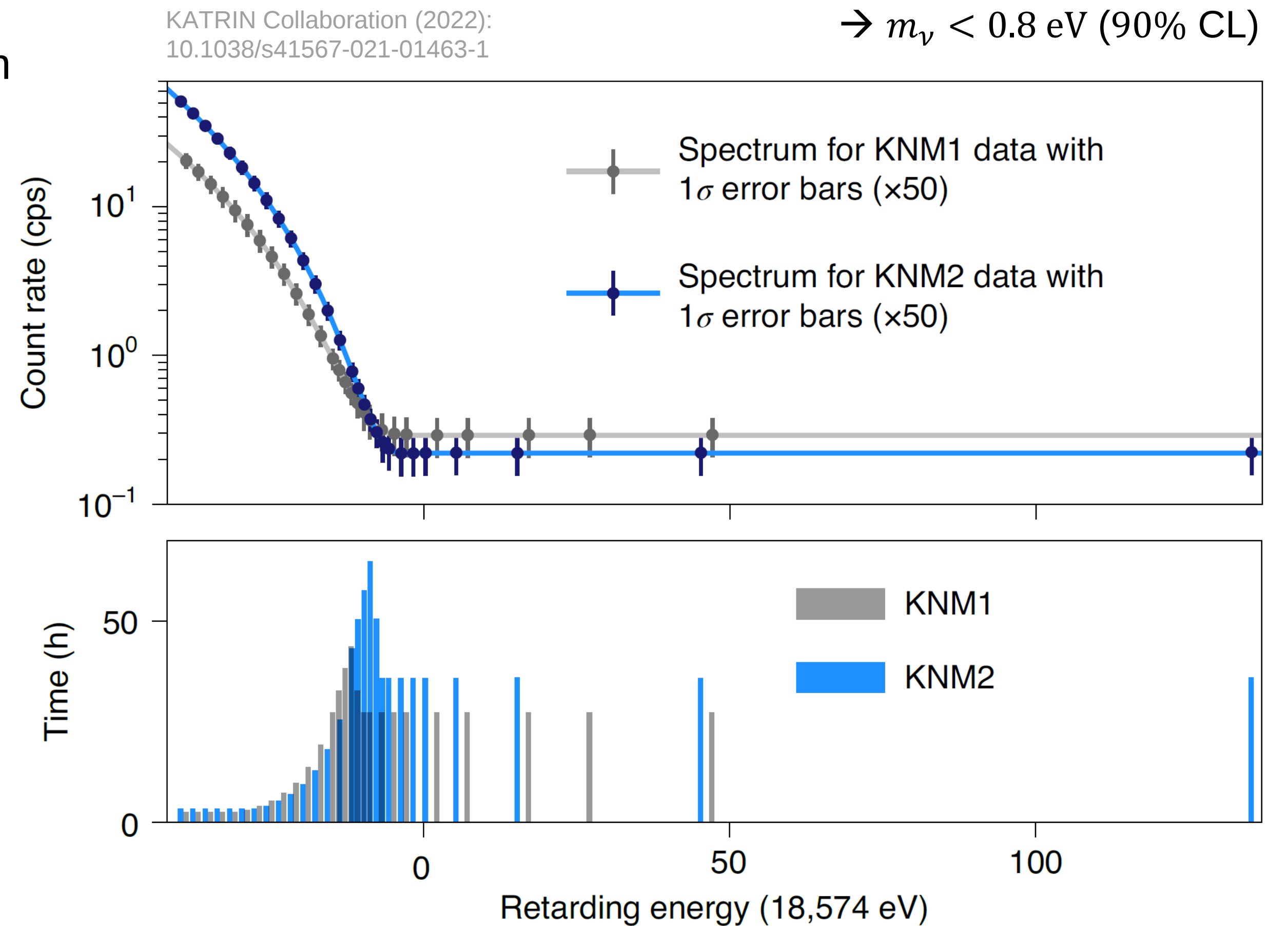
Spectrum fitting

- C++-based analysis framework *KaFit*
- Precise model evaluation, Gauss-Legendre integration

- $$R(qU) = A_{\text{Sig}} \int_{qU}^{E_0} f(E - qU) \frac{d\Gamma}{dE}(E, m_\nu^2) dE + R_{\text{Bg}}$$

- Four fit parameters:

- Neutrino mass m_ν^2
- Endpoint E_0
- Amplitude A_{Sig}
- Background level R_{Bg}



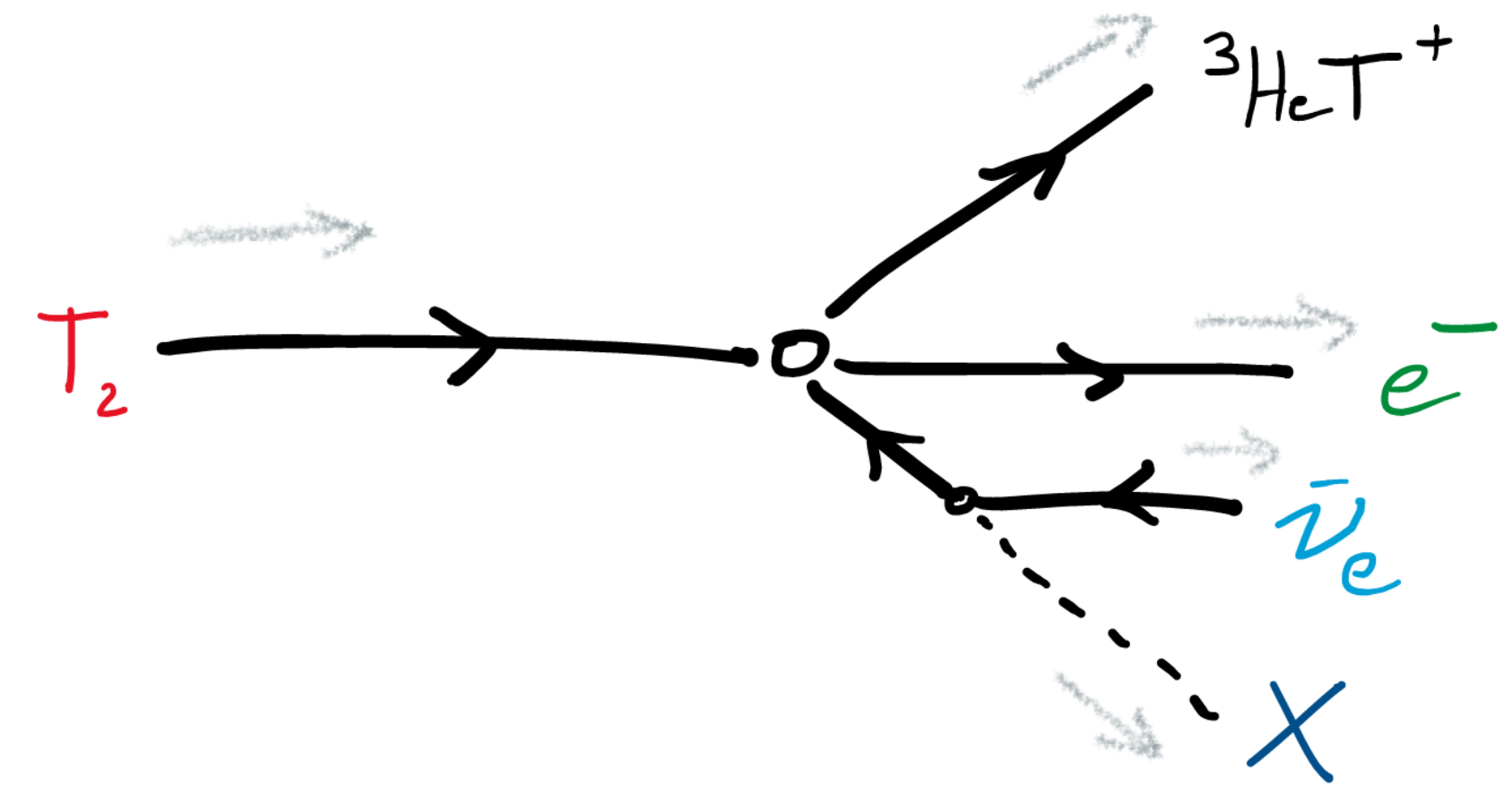
Beyond standard β -decay – light bosons

- Spectral shape distortion $\rightarrow$ KATRIN provides sensitivity
- Consider real emission of particle X in the final state: $T_2 \rightarrow {}^3\text{HeT}^+ + e^- + \bar{\nu}_e + X$
- Motivation: hypothetical new light bosons in BSM theories
 - Pseudoscalars J (like axions or majoron)
 - Vector bosons Z' (e.g. dark photons)
- Measurement range: $m_X \leq 40 \text{ eV} \rightarrow$ light bosons

β -decay with additional boson emission

Ref. Arcadi et al. JHEP01 (2019) 206:

- BSM models: boson couplings to leptons
 - Pseudoscalar J : $ig_{\nu J}\bar{\nu}\gamma_5\nu J$, $ig_{eJ}\bar{e}\gamma_5e J$
 - Vector boson Z' : $g_{\nu Z'}\bar{\nu}\gamma^\mu P_L\nu Z'_\mu$, $g_{eZ'}\bar{e}\gamma^\mu e Z'_\mu$, $g_{LeZ'}j_{Le}^\mu Z'_\mu$
- $\mathcal{L} = \mathcal{L}_F + \mathcal{L}_X \rightarrow$ additional decay channel $\Gamma = \Gamma_\beta + \Gamma_X \neq \Gamma_\beta$
- Boson branch: reduced kinematic endpoint at $E_{\max}^X = E_0 - (m_\nu + m_X) < E_{\max}^\beta$



Spectrum implementation

Arcadi et al. JHEP01 (2019) 206

- Calculation presented by Arcadi et al.
- Excellent fit with relation

$$\frac{d\Gamma_X}{dE}(E, m_\nu, m_X, g_X) = \frac{K(g_X, m_X)}{\hbar} \sqrt{\frac{E}{m_e}} \left(\frac{E_{\max}(m_\nu, m_X) - E}{E_{\max}(m_\nu, m_X) + m_e} \right)^{n(m_X)} F(Z + 1, E)$$

- Mapping of m_X, g_X to values of *normalization* $K \propto g_X^2$ and *spectral index* n
- Values provided for entire mass range for any type
→ efficient model calculation in KaFit

The M_{134}^2 and M_{34}^2 integrals are trivial, leading to the final expression for the differential decay rate

$$\frac{d\Gamma}{dE_e} = \int_{m_J^2}^{\left(\sqrt{m_A^2 - 2m_A E_e + m_e^2} - m_B\right)^2} I dM_{12}^2 \quad (A.7)$$

with rather lengthy integrand

$$I = \frac{G_F^2 g_{\nu J}^2 |V_{ud}|^2 \sqrt{E_e^2 - m_e^2} (M_{12}^2 - m_J^2)^2}{3072\pi^5 (M_{12}^2)^3 m_A (m_A^2 - 2E_e m_A + m_e^2)^3} [g_V^2 m_A W_V + 2g_V g_A W_{VA} + g_A^2 m_A W_A] \quad (A.8)$$

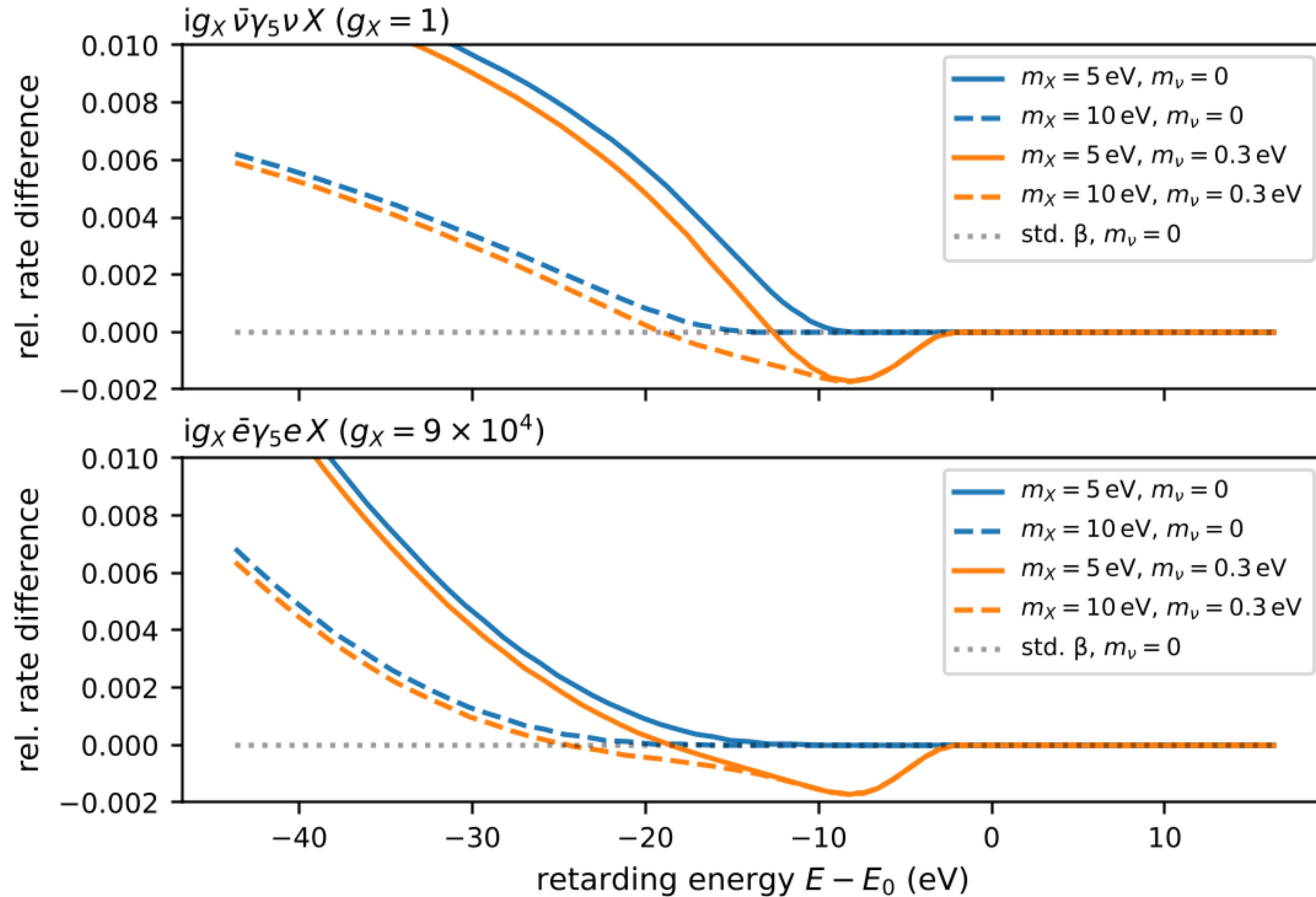
$$\begin{aligned} & \times \sqrt{-2m_B^2 (-2E_e m_A + M_{12}^2 + m_A^2 + m_e^2) + (-2E_e m_A - M_{12}^2 + m_A^2 + m_e^2)^2 + m_B^4}, \\ W_V = & -6m_A^2 m_B (-2E_e m_A + m_A^2 + m_e^2) (-2E_e m_A + M_{12}^2 + m_A^2 - m_B^2 + m_e^2) \\ & + m_A \left[-2(-2E_e m_A + m_A^2 + m_e^2)^2 (4(M_{12}^2 + m_B^2) + m_e^2) + 4m_e^2 (M_{12}^2 - m_B^2)^2 \right. \\ & + (-2E_e m_A + m_A^2 + m_e^2) \left((M_{12}^2 - m_B^2)^2 - 2m_e^2 (M_{12}^2 + m_B^2) \right) + 7(-2E_e m_A + m_A^2 + m_e^2)^3 \left. \right] \\ & + 6m_B (-2E_e m_A + m_A^2 + m_e^2) (-2E_e m_A + m_A^2 + 2m_e^2) (-2E_e m_A + M_{12}^2 + m_A^2 - m_B^2 + m_e^2) \\ & + (2E_e - m_A) \left[(-2E_e m_A + m_A^2 + m_e^2)^2 (m_e^2 - 7(M_{12}^2 + m_B^2)) \right. \\ & + (-2E_e m_A + m_A^2 + m_e^2) \left(m_e^2 (M_{12}^2 + m_B^2) - (M_{12}^2 - m_B^2)^2 \right) \\ & + 8(-2E_e m_A + m_A^2 + m_e^2)^3 - 2m_e^2 (M_{12}^2 - m_B^2)^2 \left. \right] \\ & + m_A^3 \left((M_{12}^2 + m_B^2) (-2E_e m_A + m_A^2 + m_e^2) + (-2E_e m_A + m_A^2 + m_e^2)^2 - 2(M_{12}^2 - m_B^2)^2 \right), \\ W_{VA} = & -2(M_{12}^2 - m_B^2)^2 (m_A^2 - m_e^2)^2 - 5(-2E_e m_A + m_A^2 + m_e^2)^3 (M_{12}^2 + m_A^2 + m_B^2 + m_e^2) \\ & + 4(-2E_e m_A + m_A^2 + m_e^2)^4 + (-2E_e m_A + m_A^2 + m_e^2)^2 [(M_{12}^2)^2 + 2m_A^2 (2(M_{12}^2 + m_B^2) - m_e^2) \\ & - 2M_{12}^2 m_B^2 + 4M_{12}^2 m_e^2 + m_A^4 + m_B^4 + 4m_B^2 m_e^2 + m_e^4] + (-2E_e m_A + m_A^2 + m_e^2) [m_A^4 (M_{12}^2 + m_B^2) \\ & + m_A^2 ((M_{12}^2 - m_B^2)^2 - 2m_e^2 (M_{12}^2 + m_B^2)) + m_e^4 (M_{12}^2 + m_B^2) + m_e^2 (M_{12}^2 - m_B^2)^2], \quad (A.10) \end{aligned}$$

$$W_A = W_V \text{ with } m_B \rightarrow -m_B.$$

The remaining integral over M_{12}^2 can be performed numerically to obtain $d\Gamma/dE_e$.

β -decay with additional boson emission

effect on integral rate in pseudoscalar boson X scenarios (ν , e coupling)



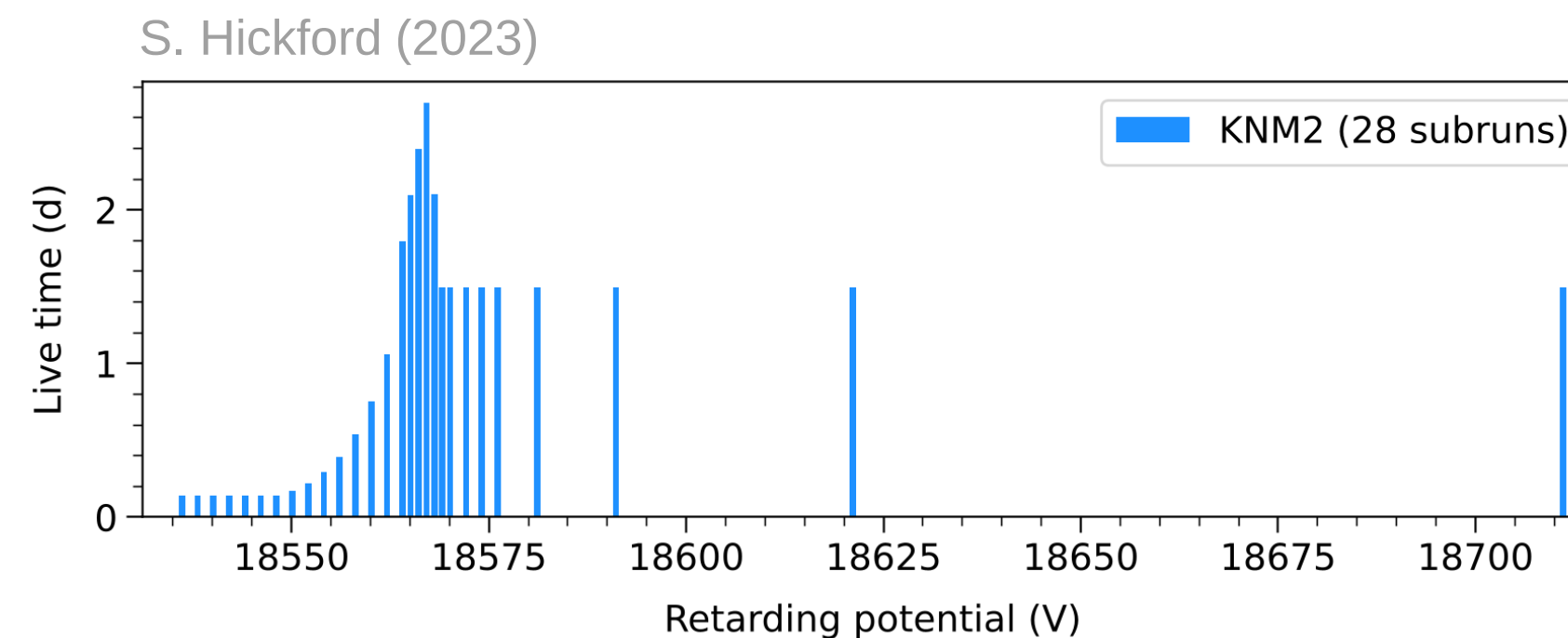
Analysis procedure

Dataset

- Based on the second KATRIN measurement campaign (KNM2):
 - 4×10^6 collected electrons
 - ROI: $[E_0 - 40 \text{ eV}, E_0 + 130 \text{ eV}]$
- MC Asimov twin dataset:
 - $m_\nu^2 = 0, g_X = 0$ (no signatures)
 - Experimental settings adapted

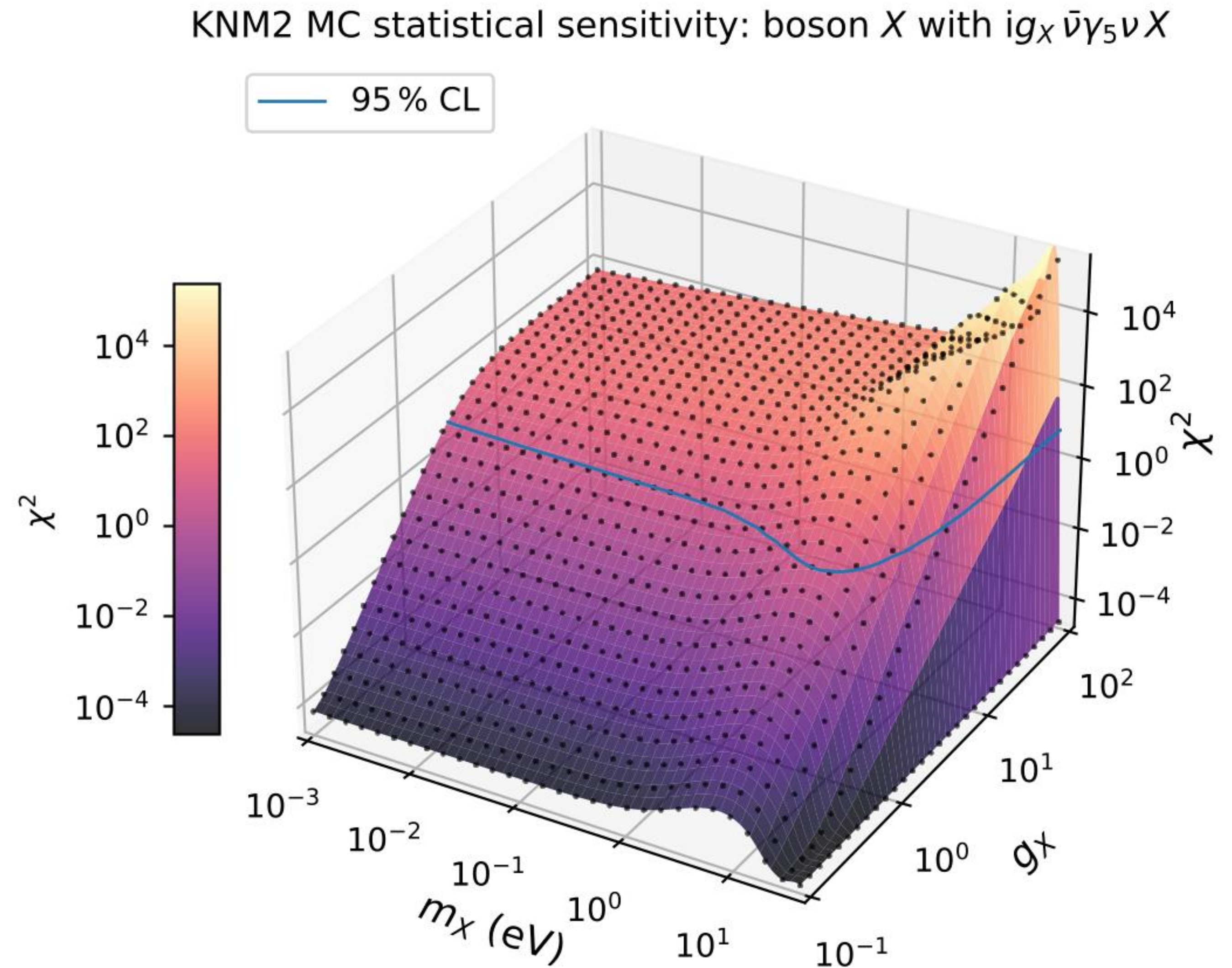
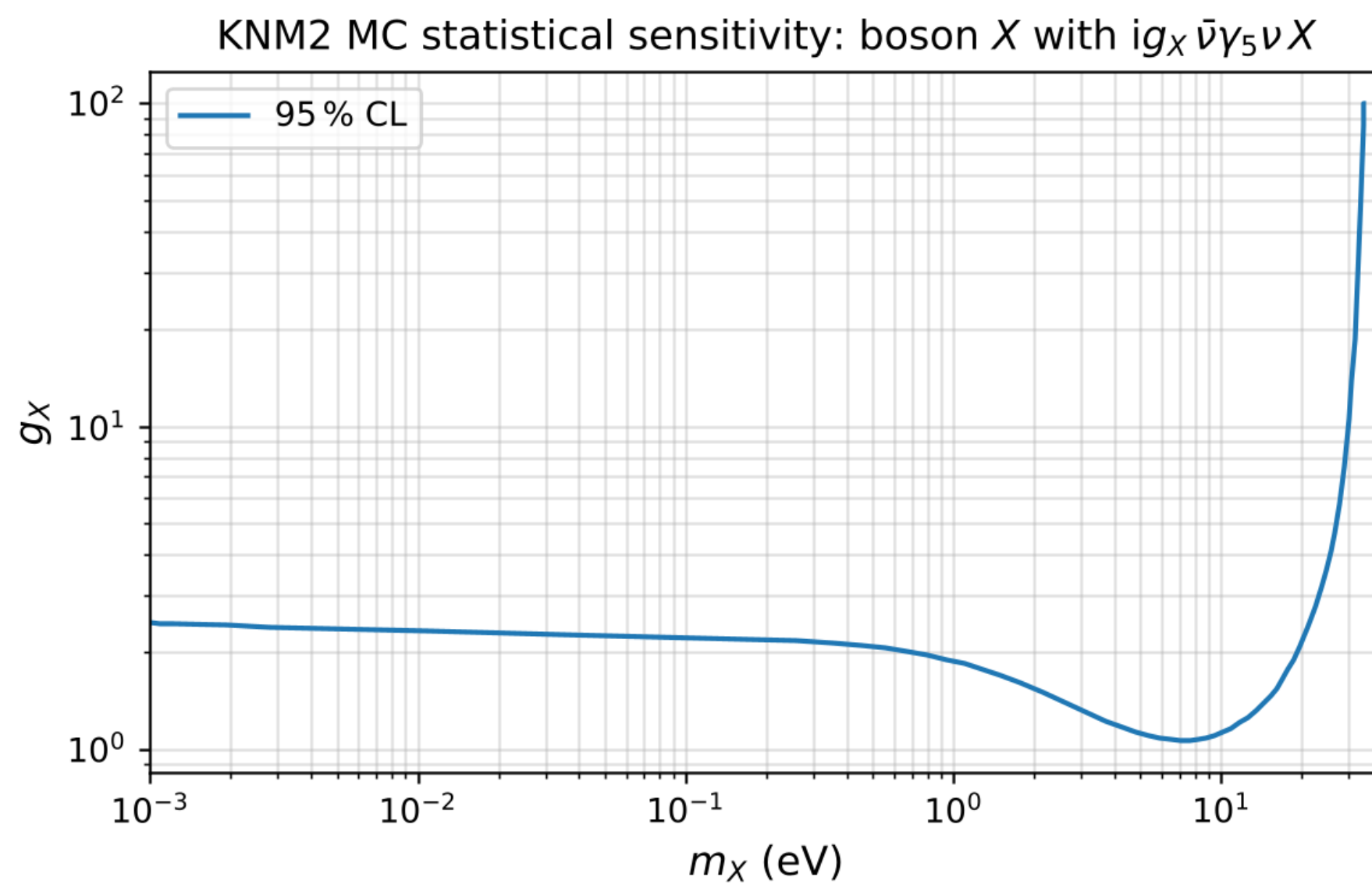
Analysis (grid scan)

- 30×30 fixed grid points in m_X - g_X -plane
→ 900 fits
- Fixed $m_\nu^2 = 0$
- Free $E_0, A_{\text{Sig}}, R_{\text{Bg}}$
- 95% CL contour at $\chi_{\text{crit}}^2 = \chi_{\text{BF}}^2 + \Delta\chi^2$ with $\Delta\chi^2 = 5.99$ for 2 DOF

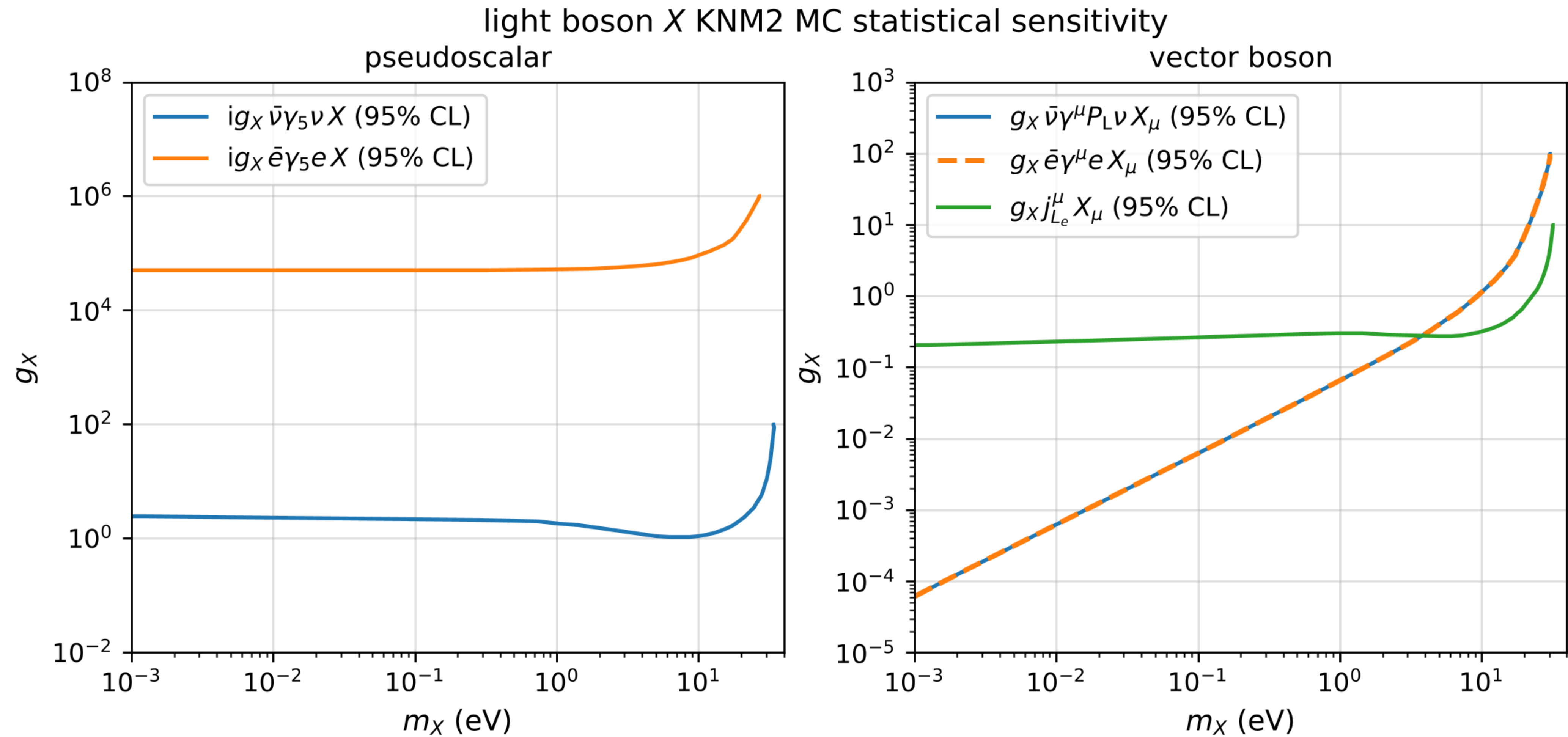


Sensitivity estimation

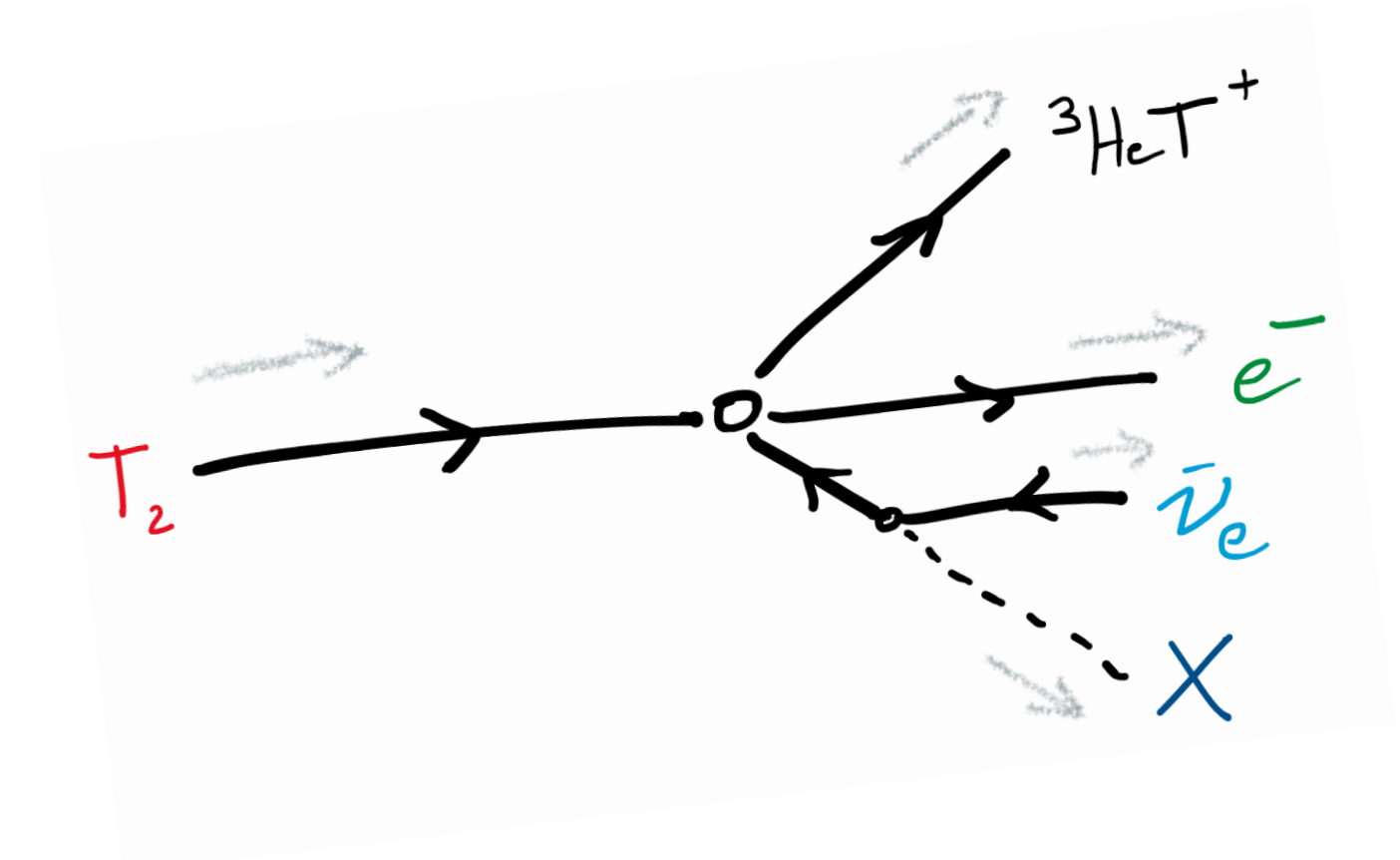
- Example: pseudoscalar emission from neutrino
- Best fit at $\chi^2_{\text{BF}}/\text{DOF} = 2.46 \times 10^{-5}/2$
- 3d interpolation for 95% CL contour



Light boson statistical sensitivities



Summary and outlook



- Statistical sensitivity for light BSM boson couplings is obtained
- KATRIN serves as a complementary laboratory probe in this field
- The systematic uncertainties will also be included as next step
- Application to measured data after testing thoroughly on MC and fixing of procedure

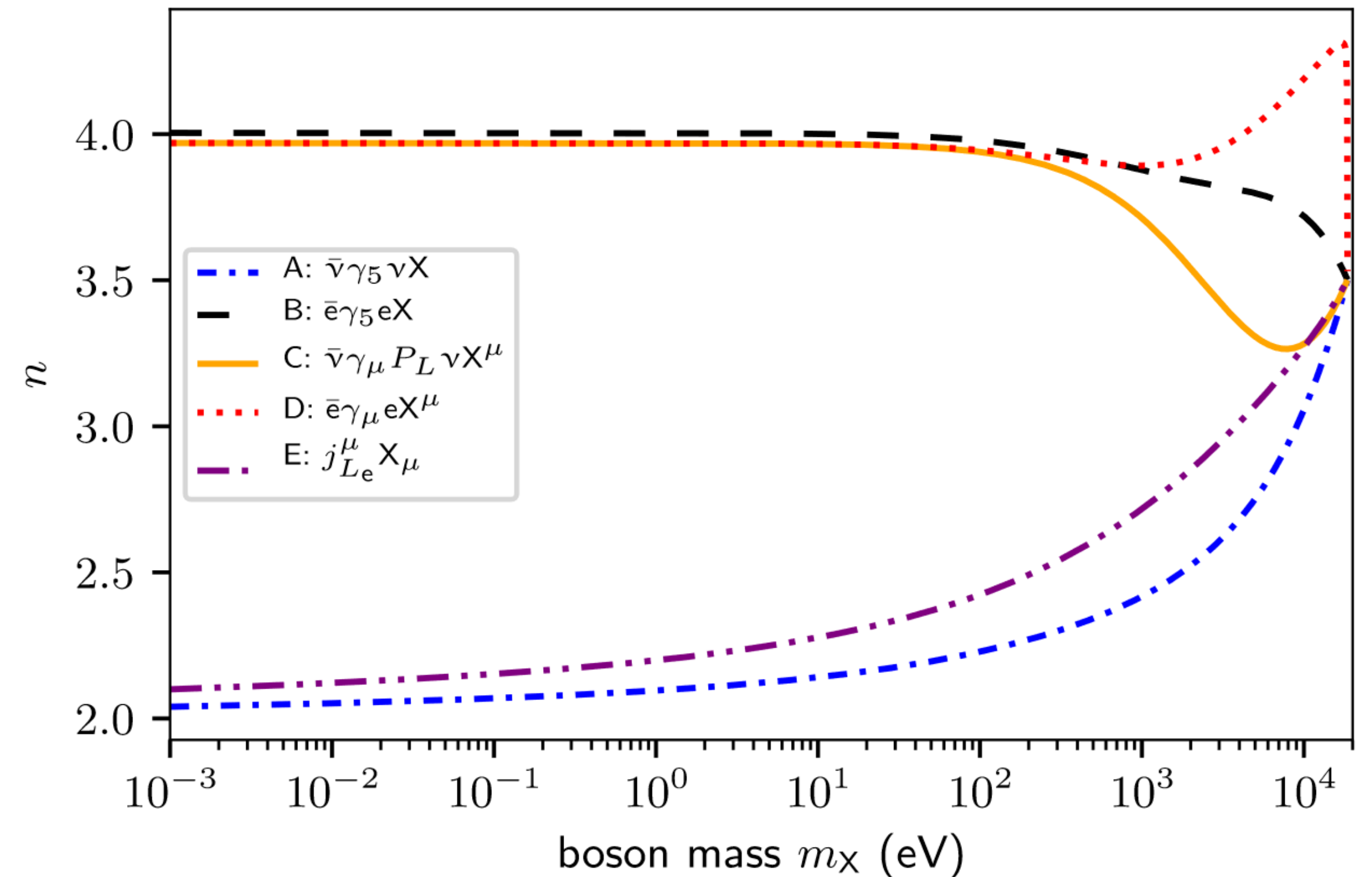
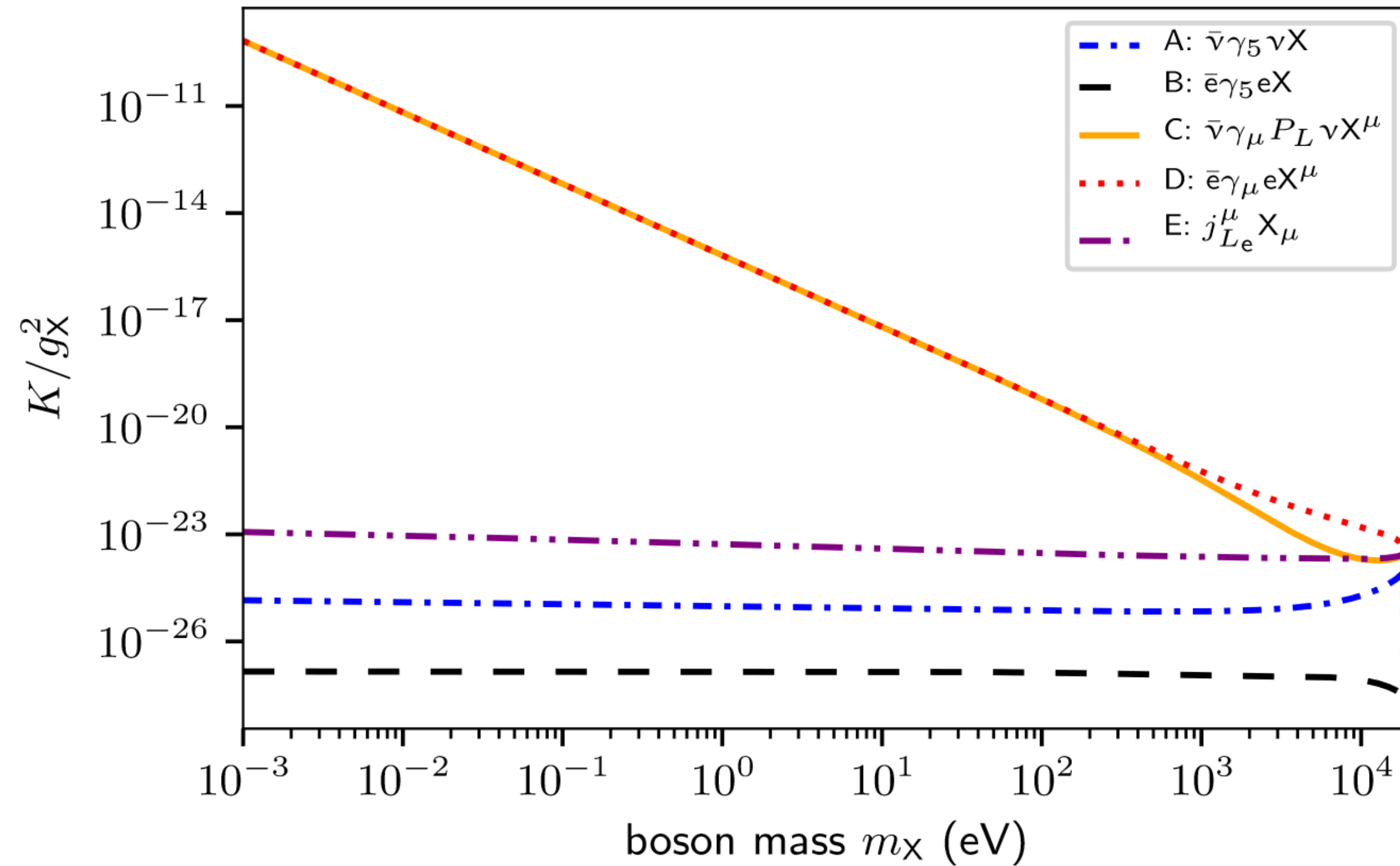
Acknowledgements

This work is supported by the Helmholtz Association and by the Ministry for Education and Research BMBF (grant numbers 05A23PMA, 05A23PX2, 05A23VK2 and 05A23WO6).

Backup

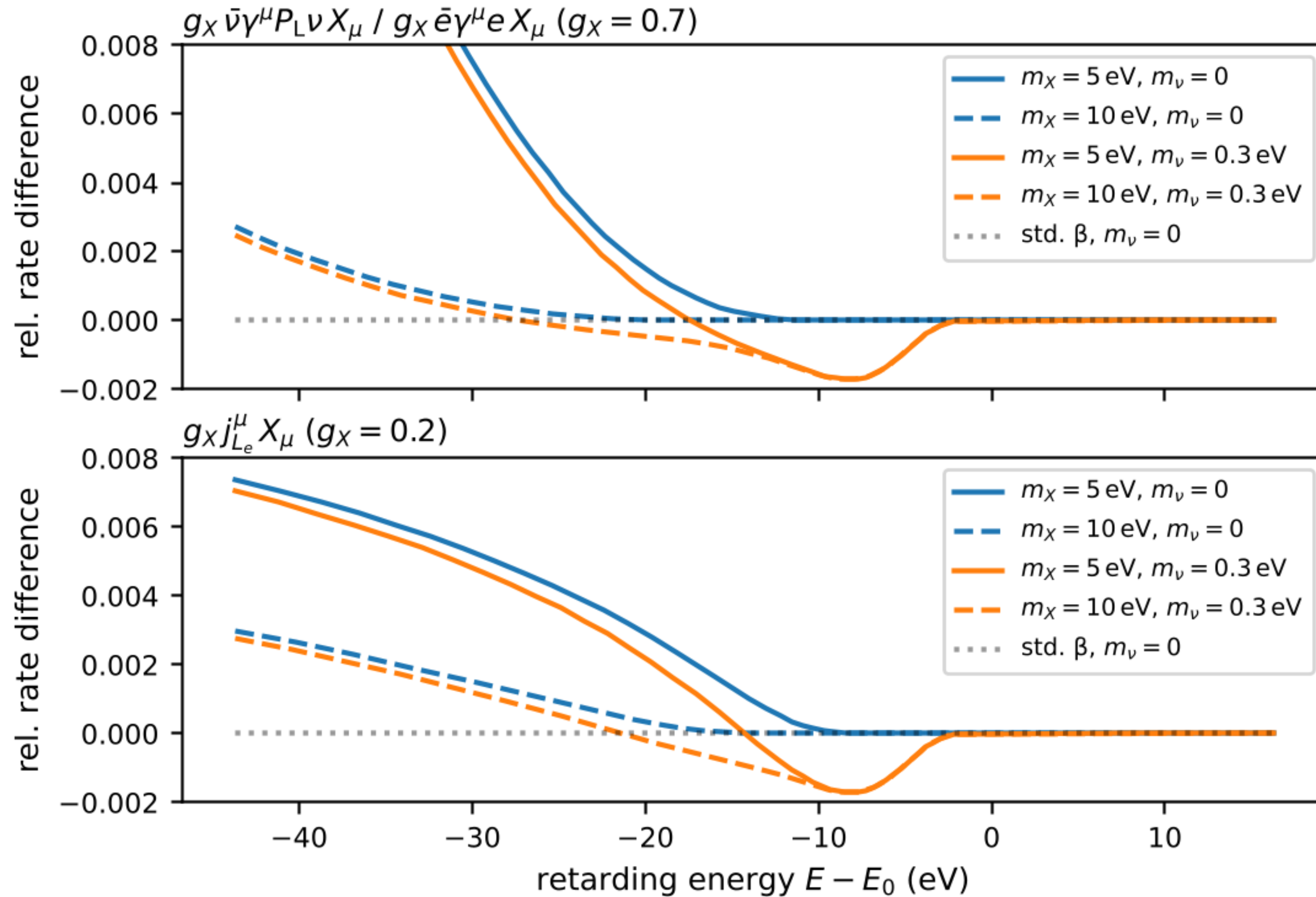
Boson branch: spectral parameters

Arcadi et al. JHEP01 (2019) 206



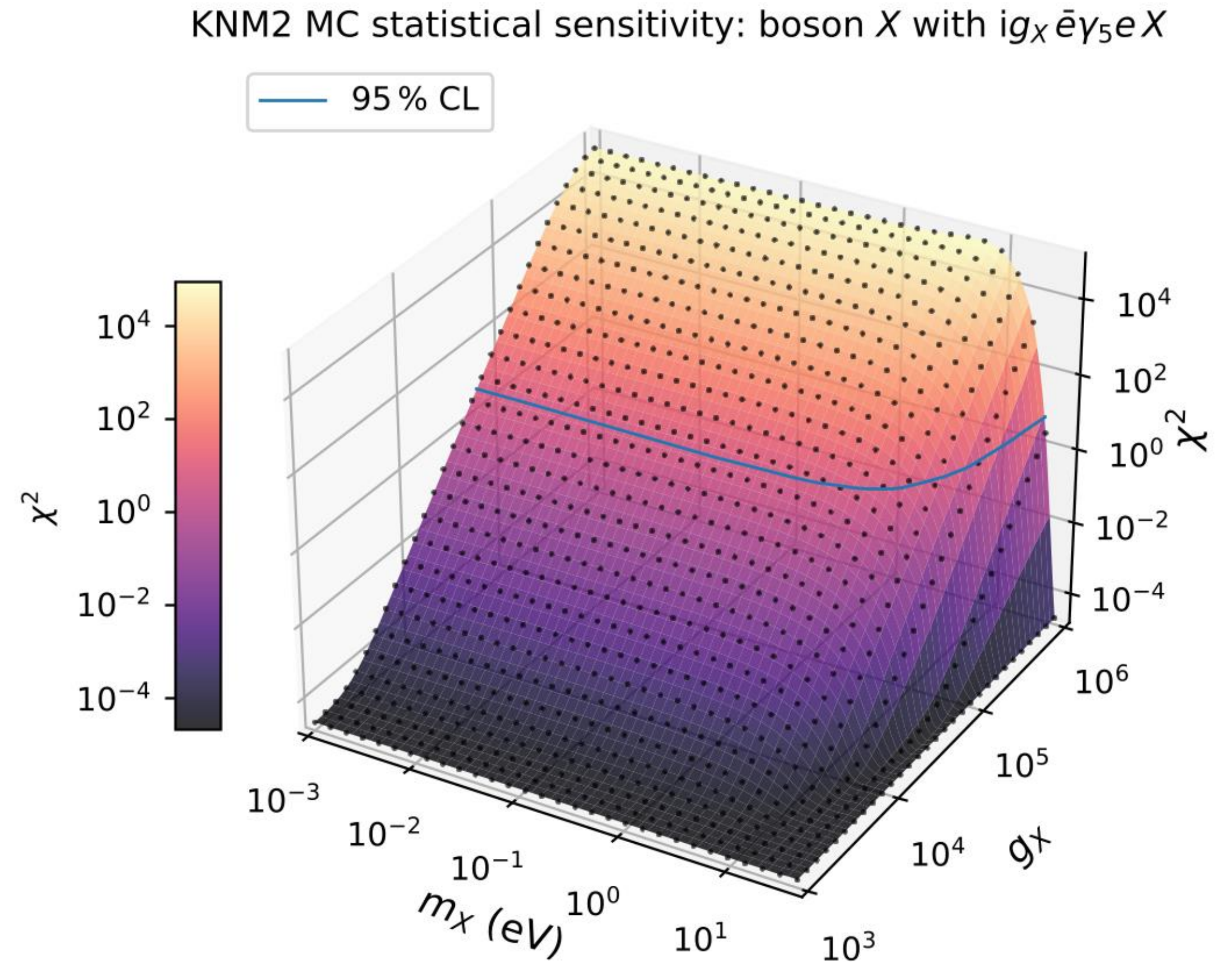
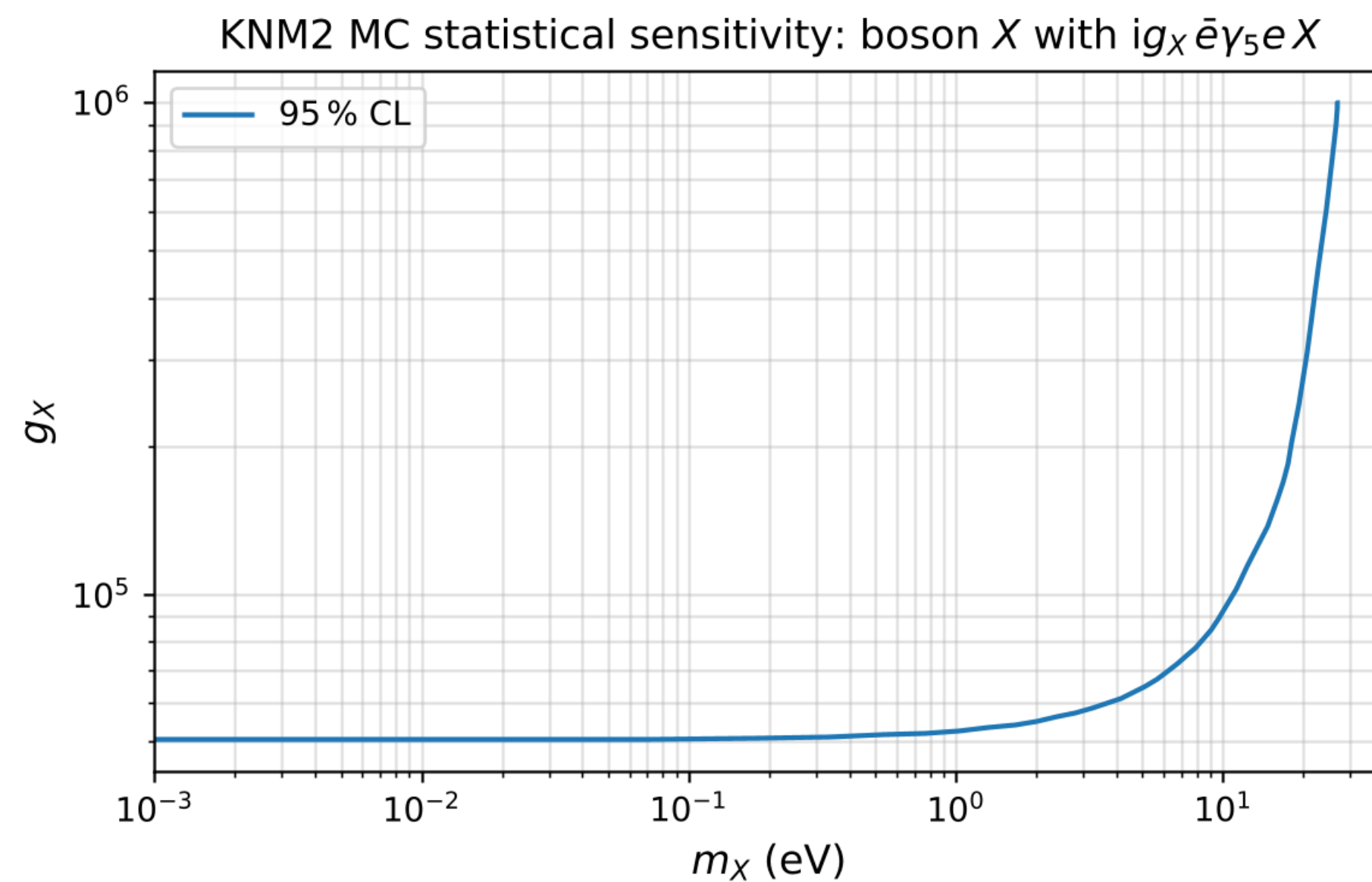
β -decay with additional boson emission

effect on integral rate in vector boson X scenarios (ν, e, j_{Le} coupling)



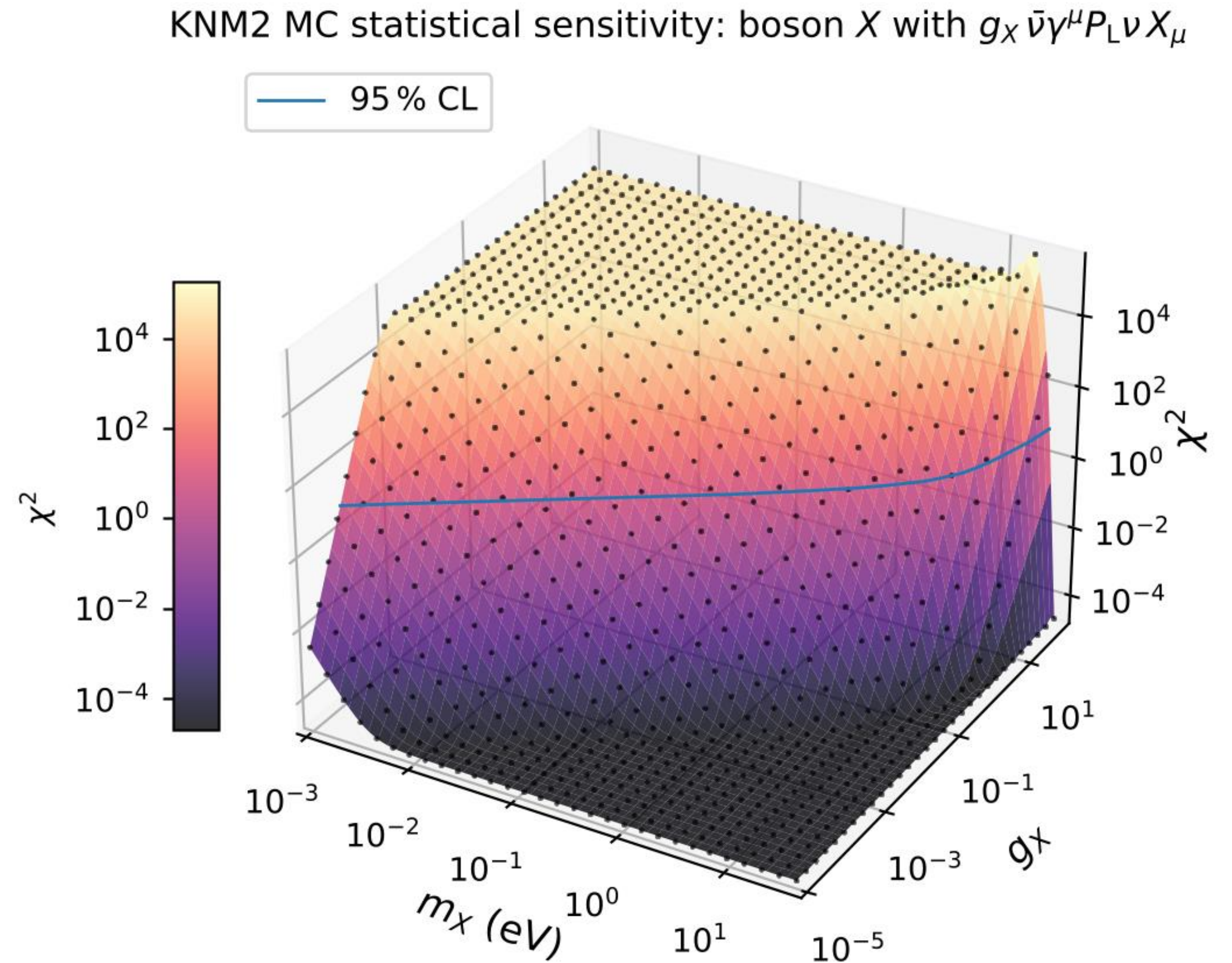
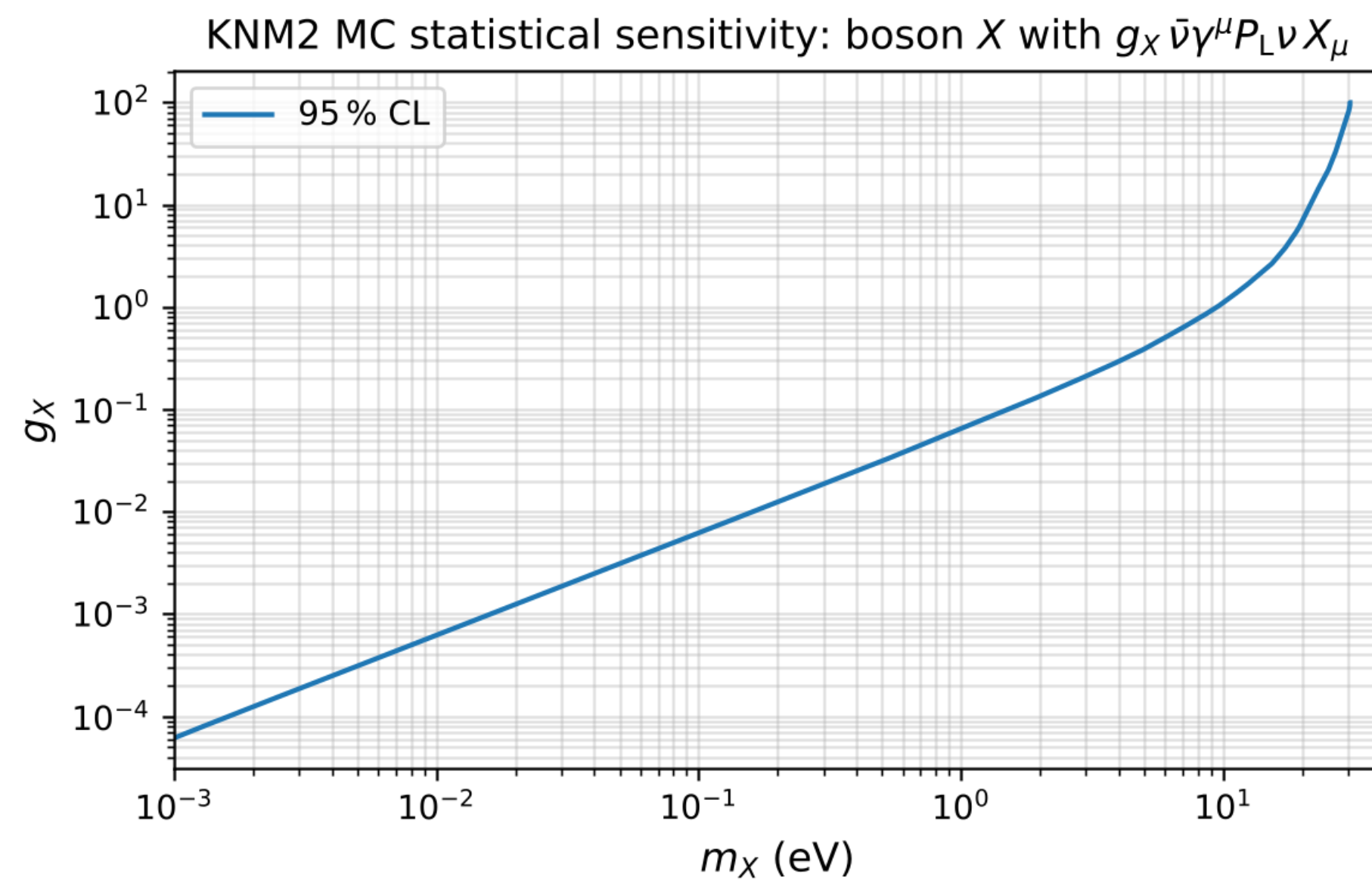
Sensitivity estimation

- Pseudoscalar emission from electron
- Best fit at $\chi^2_{\text{BF}}/\text{DOF} = 2.45 \times 10^{-5}/2$



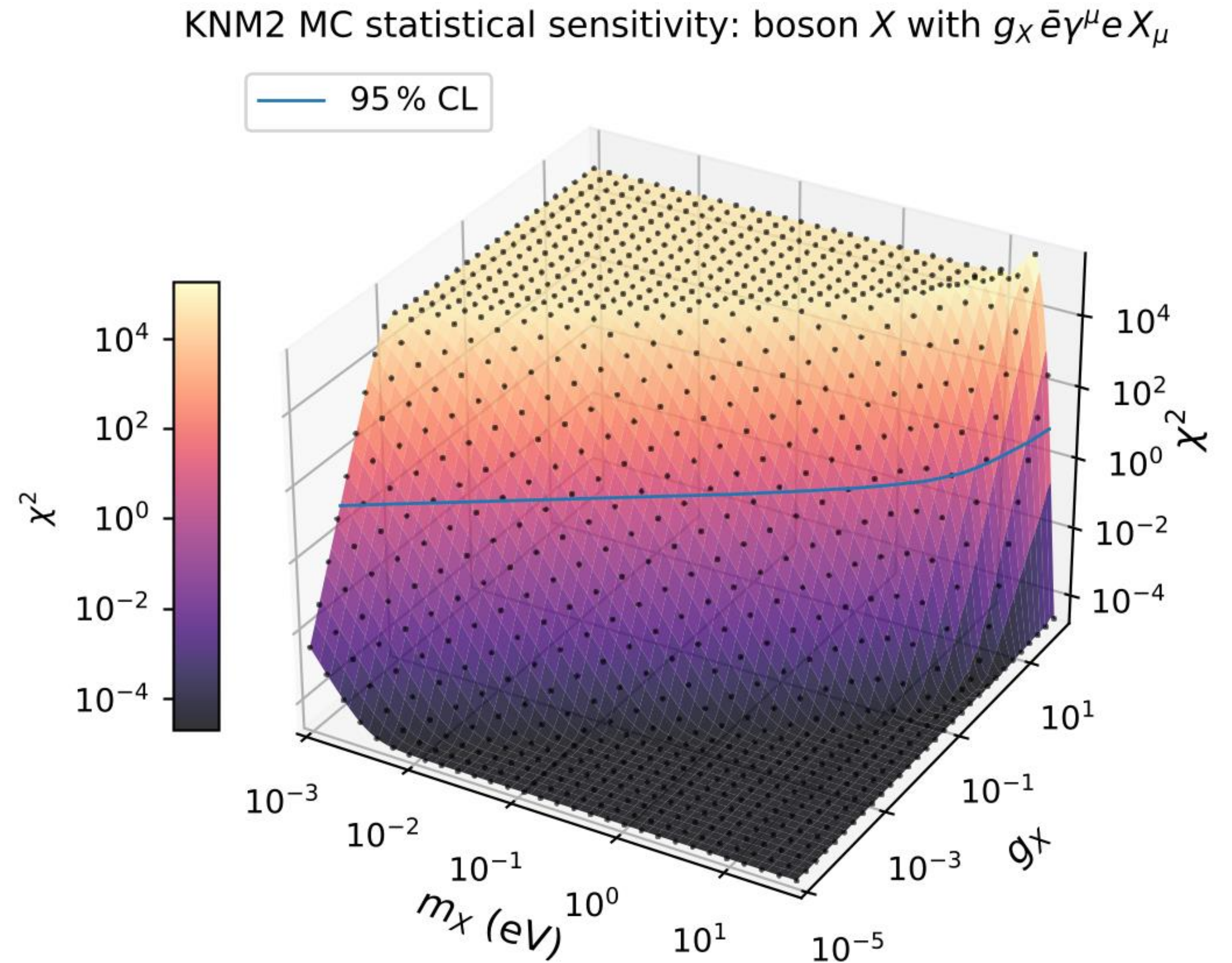
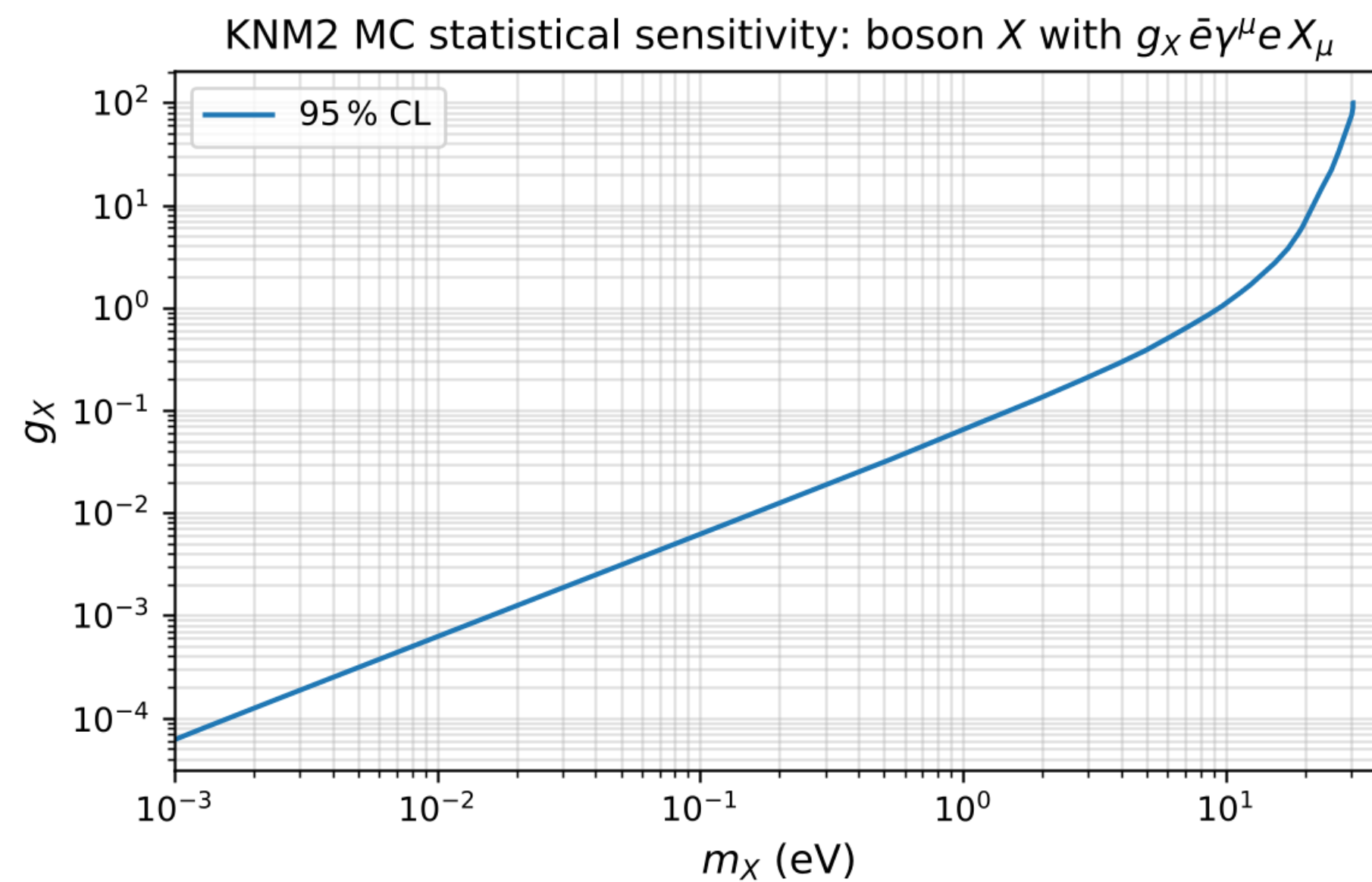
Sensitivity estimation

- Vector boson emission from neutrino
- Best fit at $\chi^2_{\text{BF}}/\text{DOF} = 2.46 \times 10^{-5}/2$



Sensitivity estimation

- Vector boson emission from electron
- Best fit at $\chi^2_{\text{BF}}/\text{DOF} = 2.46 \times 10^{-5}/2$



Sensitivity estimation

- Vector boson emission from neutrino and electron
- Best fit at $\chi^2_{\text{BF}}/\text{DOF} = 2.46 \times 10^{-5}/2$

