



## Version 8 IMK/IAA MIPAS measurements of CFC-11, CFC-12, and HCFC-22

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**Abstract.** The Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) on Envisat provided infrared limb emission spectra, which were used to infer global distributions of CFC-11, CFC-12, and HCFC-22. Spectra were analysed using constrained non-linear least-squares fitting. Changes with respect to earlier data versions refer to the use of version 8 spectra, the altitude range where the background continuum is considered, details of the regularization and microwindow selection, and the occasional joint fitting of interfering species, the use of new spectroscopic data, the joint fit of a tangent-height-dependent spectral offset, and the use of 2D temperature fields. In the lower stratosphere the error budget is dominated by uncertainties in spectroscopic data, while above this measurement noise is the leading error source. The vertical resolution of CFC-11 and CFC-12 is 2–3 km near the tropopause, about 4 km at 30 km altitude, and 6–10 km at 50 km. The vertical resolution of HCFC-22 is somewhat coarser, 3–4 km at the tropopause and 10–12 km at 35 km altitude. In the altitude range of interest, the horizontal resolution is typically limited by the horizontal sampling of the measurements, not by the smearing of the retrievals. Horizontal information displacement does not exceed 150 km, which can become an issue only for comparisons with model simulations with high horizontal resolution or localized in situ observations. Along with the regular data product, an alternative representation of the data on a coarser vertical grid is offered. These data can be used without consideration of the averaging kernels. The new data version provides improvement with respect to reduction of biases and improved

consistency between the full- and reduced-resolution mission period of MIPAS.

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*Dedication.* We would like to dedicate this paper to Thomas von Clarmann who passed away far too early, only a few weeks before this paper could be finally published. Thomas was our valued colleague, group leader and friend over many years. His outstanding commitment pushed forward the retrieval of remote sensing data and their characterisation, and the analysis and scientific use of MIPAS data. We owe him more than 20 years of thorough data analysis and exciting atmospheric science. We will miss him very much.

### 1 Introduction

Chlorofluorocarbons, particularly CFC-11 (CCl<sub>3</sub>F) and CFC-12 (CCl<sub>2</sub>F<sub>2</sub>), are anthropogenic gases that were used as propellants and refrigerants. These gases got public attention due to the capability of their decomposition products to destroy stratospheric ozone (Molina and Rowland, 1974). These chlorofluorocarbons ascend into the stratosphere where they are decomposed by photolysis and reaction with O(<sup>1</sup>D). After a reaction chain they finally produce atomic chlorine, which is, after temporary deactivation in reservoir species, responsible for polar spring ozone destruction (for details, see Brasseur and Solomon, 2005 and von Clarmann, 2013). A ban of CFC-11 and CFC-12 laid down by the Montreal protocol (see, e.g. United Nations Environment Programme, 2012) led to the decrease in stratospheric

chlorine and the protection of the ozone layer. An idea as to what the failure of the Montreal Protocol would have meant was developed by the use of a counterfactual conditional scenario and published under the title “The World Avoided by the Montreal Protocol” (Morgenstern et al., 2008). However, the decrease in atmospheric CFC-11 slowed down after 2010 (Montzka et al., 2018), which is chiefly attributed to emission from northeastern China (Rigby et al., 2019). Lickley et al. (2022) suggest that old equipment is still a source of CFC emissions. Park et al. (2021), however, found that the recent CFC-11 production in eastern China subsequently declined in 2017–2018 and that a substantial delay in ozone layer recovery could obviously be avoided.

HCFC-22 ( $\text{CHClF}_2$ ) is another chlorine-containing propellant and refrigerant. While it is decomposed in the stratosphere by photolysis,  $\text{O}(^1\text{D})$ ,  $\text{Cl}^-$ , and  $\text{OH}$ , it is more stable than CFC-11 and CFC-12 and contributed less than the latter species to the destruction of ozone. It is for this reason that its phasing out was only settled in the 2007 amendment to the Montreal protocol. Further relevant information on these ozone-destructing substances is summarized in Engel et al. (2018).

Besides their role as source gases of stratospheric chlorine, it has long been recognized that these species act, with their infrared absorption bands in the atmospheric window, as greenhouse gases (Ramanathan, 1975).

Due to their different but long lifetimes, CFCs and HCFCs can be used as tracers of the stratospheric circulation. For example, Toon et al. (1992) used CFC measurements to infer subsidence of polar stratospheric air, and von Clarmann et al. (2021) inferred patterns of meridional stratospheric circulations from remote measurements of stable trace gases, among them CFC-11, CFC-12, and HCFC-22. The information on the stratospheric mean meridional circulation contributed by each of these species was analysed by von Clarmann and Grabowski (2021). It goes without saying this type of work benefits from denser and more accurate measurements of these species.

Atmospheric measurements of CFC-11 and CFC-12 volume mixing ratios (VMRs) were first reported by Lovelock (1971). Rasmussen et al. (1980) reported the first HCFC-22 measurements in the atmosphere. Measurement techniques for stratospheric CFC and HCFC abundances include air sampling (e.g. Lueb et al., 1975; Heidt et al., 1975; Schmidt et al., 1991; Engel et al., 1998; O’Doherty et al., 2004; Yokouchi et al., 2006; Montzka et al., 2009), in situ measurements (Robinson et al., 1977; Bujok et al., 2001; Romashkin et al., 2001, e.g.), and infrared spectroscopy. Nassar et al. (2006) provided a global inventory of stratospheric chlorine in 2004. Infrared spectroscopic solar absorption measurements were carried out from the ground (e.g. Rinsland et al., 1988, 1989) or using stratospheric balloons (Murcray et al., 1975; Williams et al., 1976; Goldman et al., 1981, e.g.). Routine ground-based monitoring of these species is performed by the Network for the Detection of Atmospheric Composi-

tion Change (NDACC; De Mazière et al., 2018). Local long-term trends are reported, e.g. by Prignon et al. (2019) and Rinsland et al. (2005). Solar occultation spectrometry from space-borne platforms allowed for a much better data coverage (e.g. Zander et al., 1987; Khosrawi et al., 2004). More recently, the Fourier Transform Spectrometer of the Atmospheric Chemistry Experiment (ACE-FTS) provided space-borne measurements of CFCs and HCFCs (Mahieu et al., 2008; Brown et al., 2011; Park et al., 2014). At the time of writing, ACE-FTS is still operational.

Limb emission measurements offer the advantage of providing measurements independent of daylight and thus provide, when employed on a space-borne platform, better temporal and spatial coverage. After balloon-borne measurements of CFCs and HCFCs in limb emission (Kunde et al., 1987; Brasunas et al., 1986; von Clarmann et al., 1995), limb emission spectrometers were operated from space-borne platforms to measure these gases. The list of instruments includes the Cryogenic Limb Array Etalon Spectrometer (CLAES; Roche et al., 1993), the Cryogenic Infrared Radiance Instrumentation for Shuttle (CIRRIS 1A; Bingham et al., 1997), the Cryogenic Infrared Spectrometers and Telescopes (CRISTA; Spang et al., 1997), and the High Resolution Dynamics Limb Sounder (HIRDLS; Khosravi et al., 2009). The Michelson Interferometer for Passive Atmospheric Sounding (MIPAS; Fischer et al., 2008) on Envisat provided infrared limb emission spectra, which allowed the retrieval of global CFC-11, CFC-12, and HCFC-22 distributions (Glatthor et al., 2006; Moore and Remedios, 2008; Hoffmann et al., 2008; Kellmann et al., 2012; Raspollini et al., 2013; Chirkov et al., 2016; Eckert et al., 2016; Dinelli et al., 2021; Raspollini et al., 2022). Some of these data products found their way into the climatologies compiled by the SPARC Data Initiative (SDI; Tegtmeier et al., 2016).

In this paper we present recent updates of the retrieval of these species, along with an error analysis that is compliant with the most recent recommendations by the SPARC activity “Towards Unified Error Reporting” (TUNER; von Clarmann et al., 2020). After summarizing the characteristics of the MIPAS instrument that are relevant for the retrieval of the three species (Sect. 2), we discuss each species separately. We start with CFC-12 (Sect. 3), proceed with CFC-11 (Sect. 4) and finally turn towards HCFC-22 (Sect. 5). A critical discussion of the achievements with respect to preceding data versions concludes this paper (Sect. 6).

## 2 MIPAS

As one of the atmospheric chemistry instruments of the ENVISAT research satellite, the limb-viewing Fourier transform spectrometer MIPAS (Michelson Interferometer for Passive Atmospheric Sounding; Fischer et al., 2008) recorded infrared emission spectra in the region from 4.1 to 14.7  $\mu\text{m}$  ( $685\text{--}2410\text{ cm}^{-1}$ ). During the first phase of the mission, from

June 2002 to March 2004, spectra were measured at a full spectral resolution (FR) of  $0.035\text{ cm}^{-1}$  (unapodized). After an interruption due to problems with the interferometer slide in 2004, MIPAS resumed operation in January 2005 at a reduced spectral resolution (RR) of  $0.0625\text{ cm}^{-1}$ . In April 2012, contact to ENVISAT was lost, which caused the termination of the mission. Limb emission spectroscopy in combination with Envisat's polar sun-synchronous orbit enabled the measurement of global trace gas distributions during night and day. For the retrieval of mixing ratios of CFC-11, CFC-12, and HCFC-22, spectral signatures in the MIPAS A band ( $685\text{--}980\text{ cm}^{-1}$ ) and AB band ( $1010\text{--}1180\text{ cm}^{-1}$ ) are used.

MIPAS measurement modes include the Nominal mode (NOM, with tangent altitudes from 6 to about 70 km, depending on latitude), the Upper Troposphere–Lower Stratosphere mode (UTLS-1, 5.5–45 km), the Middle Atmosphere mode (MA, 18–102 km), the Upper Atmosphere mode (UA, 42–172 km), and the Noctilucent Cloud mode (NLC, 39–102 km) (Oelhaf, 2008), with the MA, UA, NLC, and UTLS-1 observation modes available only for the RR phase of the mission. For CFC-12, CFC-11, and HCFC-22, only the FR and RR NOM, UTLS-1, and MA modes are relevant because the part of the atmosphere seen by the UA and NLC modes contains no appreciable amounts of these gases. The along-orbit distance of two adjacent profiles for the FR/NOM, RR/NOM, UTLS, and MA measurement modes was 510, 410, 290, and 430 km, respectively. Data presented in this paper are based on ESA data version 8.03 level-1b (L1) calibrated and geolocated spectra. Details of L1 characteristics are reported by Kleinert et al. (2018) and by Kiefer et al. (2021). The data versions presented in this paper are V8H\_<gas>\_61 for FR NOM, V8R\_<gas>\_261 for RR NOM, V8R\_<gas>\_161 for UTLS-1, and V8R\_<gas>\_561 for MA, where <gas> stands for F-11, F-12, or F-22.

### 3 CFC-12

Previous data versions of CFC-12 from the IMK/IAA processor were published by Glatthor et al. (2006) and Kellmann et al. (2012). The latter, data version 5, underwent extensive validation (Eckert et al., 2016). These authors reported good agreement between MIPAS profiles and those of the comparison instruments with differences exceeding  $\pm 10\%$  only in very few cases below 25 km altitude. Above this altitude, comparisons to the various other data sets seemed to agree on a rapidly increasing low bias that reaches, e.g.  $-30\%$  at 32 km, for comparison with ACE-FTS v3.5. Zhou et al. (2016) compared MIPAS CFC-12 and ground-based Fourier transform infrared (FTIR) spectroscopic measurements at Réunion Island. They found a low bias of  $-2.9\%$  and a standard deviation of  $4.6\%$  (in terms of  $(\text{MIPAS} - \text{FTIR})/\text{FTIR} \times 100\%$ ) between partial columns from

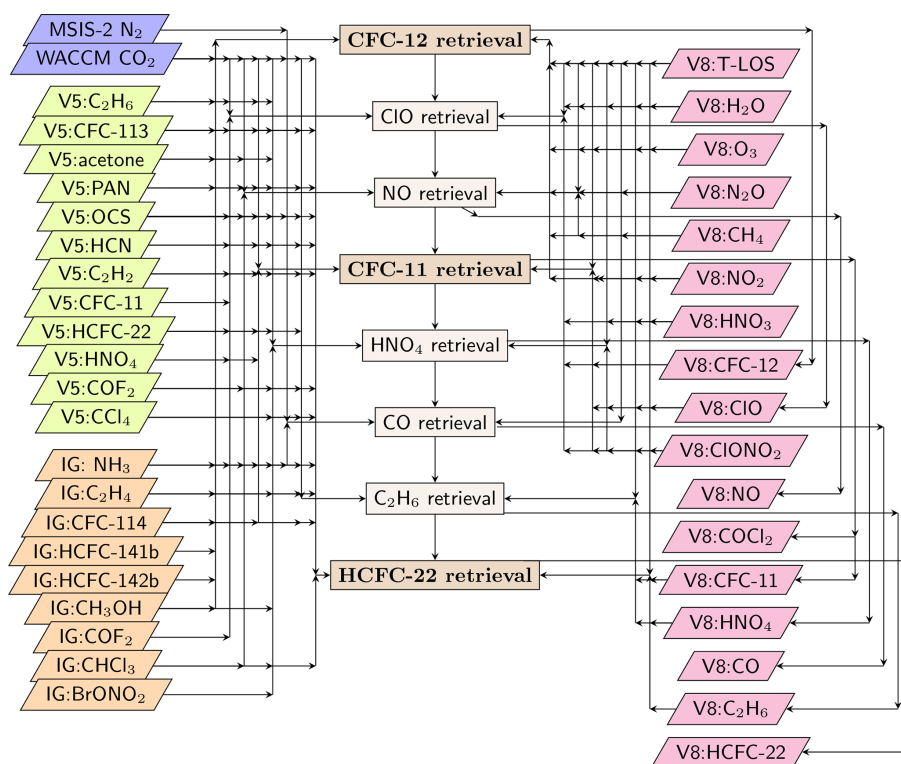
MIPAS CFC-12 and the ground-based FTIR measurements. The retrieval setup by Kellmann et al. (2012) forms the basis of the V8 CFC-11 and CFC-12 retrievals, upon which several refinements were built.

#### 3.1 The retrieval of CFC-12

The retrieval of trace gases from MIPAS relies on constrained least squares fitting (von Clarmann et al., 2003, 2009b). The unknowns of the retrieval are adjusted in a way that both the measured spectra in the pre-selected analysis windows agree best with those calculated with the Karlsruhe Optimized and Precise Radiative Transfer Algorithm (KOPRA; Stiller, 2000; Stiller et al., 2002) and a smoothness constraint applied to the vertical profiles is satisfied. Technical details related to this approach are reported, e.g. in Kiefer et al. (2021, 2023).

In our sequential retrieval approach, the retrieval of CFC-12 follows the retrieval of temperature and the line-of-sight pointing information (Kiefer et al., 2021), ozone (Kiefer et al., 2023), water vapour (Kiefer et al., 2024),  $\text{HNO}_3$  (Stiller et al., 2024),  $\text{CH}_4$  and  $\text{N}_2\text{O}$  (Glatthor et al., 2023),  $\text{NO}_2$  (Funke et al., 2024), and  $\text{ClONO}_2$  (Stiller et al., 2024), implying that for these species results from version 8 processing were already available and could be used where relevant. The pressure at the tangent altitudes and the retrieval grid points is constructed with one (z,p,T) reference point taken from ERA-I and by making use of the hydrostatic equilibrium (Kiefer et al., 2021).

For  $\text{H}_2\text{O}$  and  $\text{HNO}_3$ , the mixing ratios were jointly adjusted with those of CFC-12, with their pre-retrieved mixing ratios used as a priori. The rationale behind this approach is to minimize any impact of spectroscopic inconsistencies between the bands where these species were originally retrieved for version 8, and their signal in the CFC-12 fitting window. For the other pre-retrieved gases no systematic residuals were observed, and the V8 retrieved mixing ratio profiles were used. For PAN, which was jointly fitted with CFC-12 in older data versions, the joint retrieval was no longer considered necessary (Fig. 1). For reasons discussed in von Clarmann et al. (2003), in addition to the gas concentrations a background continuum and an additive radiance offset were also treated as unknowns of the retrieval. As opposed to the preceding version where the background continuum was considered at altitudes up to 32 km, we now retrieve the background continuum up to 58 km altitude. Formerly it was believed that it was sufficient to cover the Junge aerosol layer (Junge and Manson, 1961), but the work of Neely et al. (2011) made us speculate that meteoric dust might cause an additional aerosol signal in the MIPAS spectra of the upper stratosphere. Indeed, the extension of the background continuum to higher altitudes led in some cases to more plausible vertical profiles of trace gases. In particular, wiggles near the altitude of the former continuum cutoff were removed in some cases.



**Figure 1.** Processing sequence and related data flow of FR and RR nominal measurement mode retrievals.  $\text{N}_2$  mixing ratios are taken from the MSIS2 model (Emmert et al., 2020), while  $\text{CO}_2$  mixing ratios are generated using WACCM model output (Marsh, 2011; Marsh et al., 2013; García et al., 2017) as described by Kiefer et al. (2021). Retrieving NO concentrations prior to CFC-11 and CO concentrations prior to HCFC-22 is a merely pragmatic choice, since these are not needed as input of the CFC-11 and HCFC-22 retrieval.

The revised offset retrieval scheme described in Kiefer et al. (2021) was used also for CFC-12. Information on the horizontal temperature structure (2D temperature field), as available from the temperature and tangent altitude retrieval results (see Kiefer et al., 2021), is also used for the CFC-12 retrievals. While former data versions used only the spectral region from  $915.0\text{--}925.0\text{ cm}^{-1}$  in the  $\nu_6$  band, now an additional window covering the  $1150.0$  to  $1165.0\text{ cm}^{-1}$  in the CFC-12  $\nu_8$  band centred at  $1161\text{ cm}^{-1}$  is used above 31.5 km. For species retrieved earlier in the sequential retrieval, version 8 mixing ratio distributions were used. This refers to  $\text{O}_3$ ,  $\text{CH}_4$ ,  $\text{N}_2\text{O}$ , and  $\text{NO}_2$ . Some of the other spectrally interfering species, namely  $\text{C}_2\text{H}_6$ , CFC-113, acetone, and PAN, are retrieved after CFC-12. Thus, results from our version 5 processing were used for these species in the nominal mode retrievals. While believed to be slightly inferior to version 8 data, they still offer the possibility to use profiles of the actual time and geolocation instead of climatological mean profiles. Only for  $\text{CO}_2$ ,  $\text{NH}_3$ ,  $\text{C}_2\text{H}_4$ , CFC-114, HCFC-141b, HCFC-142b, and  $\text{CH}_3\text{OH}$ , also interfering with the spectral signature of CFC-12, no previous MIPAS results were available, thus profiles from our climatological database (Kiefer et al., 2002, and updates thereof) had to be used. For MA retrievals, climatologies built from V5 NOM retrievals were used for

$\text{C}_2\text{H}_6$ , CFC-113, acetone, and PAN, since MA observations of these gases had not been processed for data version 5.

While earlier CFC-12 data versions relied fully on absorption cross-sections by Varanasi (1992a), now a combination of frequency-corrected Varanasi data and 15 additional data sets by Harrison (2015) are used. The latter cover pressure and temperature combinations not covered by the Varanasi cross-sections. The new cross-sections allow a four-point interpolation in pressure and temperature for almost all atmospheric conditions encountered by MIPAS measurements, particularly in the cold tropopause region.

CFC-12 is retrieved on a vertical grid as follows: surface (0 km); 4 to 60 km with a 1 km grid width; and additional levels at 62, 64, 66, 68, 70, 75, 80, 90, 100, and 120 km. In major parts of the altitude range, this grid width is finer than the distance between adjacent tangent altitudes. This implies that the involved inverse problem tends to be ill-posed and has to be stabilized by regularization. We use a regularization as formalized by Tikhonov (1963), Twomey (1963), and Phillips (1962), with a squared finite-difference matrix as regularization matrix that smooths the retrieval. Only at 100 and 120 km altitude does the regularization matrix block referring to CFC-12 have a diagonal term. This diagonal term shall prevent the retrieved profiles from escaping to-

wards unphysical values. The a priori profile is chosen as constant zero over the full altitude range in order not to imprint any altitude structure on the retrieved profile. Since the regularization chosen employs a smoothing constraint only, results are not pushed towards zero, except for the uppermost altitudes where there is a diagonal term in the regularization matrix. The approach to control the altitude dependence of the smoothing constraint as described by Kiefer et al. (2021, their Eq. 3) supersedes the old approach by Steck and von Clarmann (2001) which was previously used. The effect of the regularization is reflected by the averaging kernels (Sect. 3.3).

The retrieval of CFC-12 has been performed along the description above for MIPAS data from the NOM(FR), NOM(RR), UTLS(RR), and MA(RR) observation modes level 1b data.

### 3.2 The CFC-12 error budget

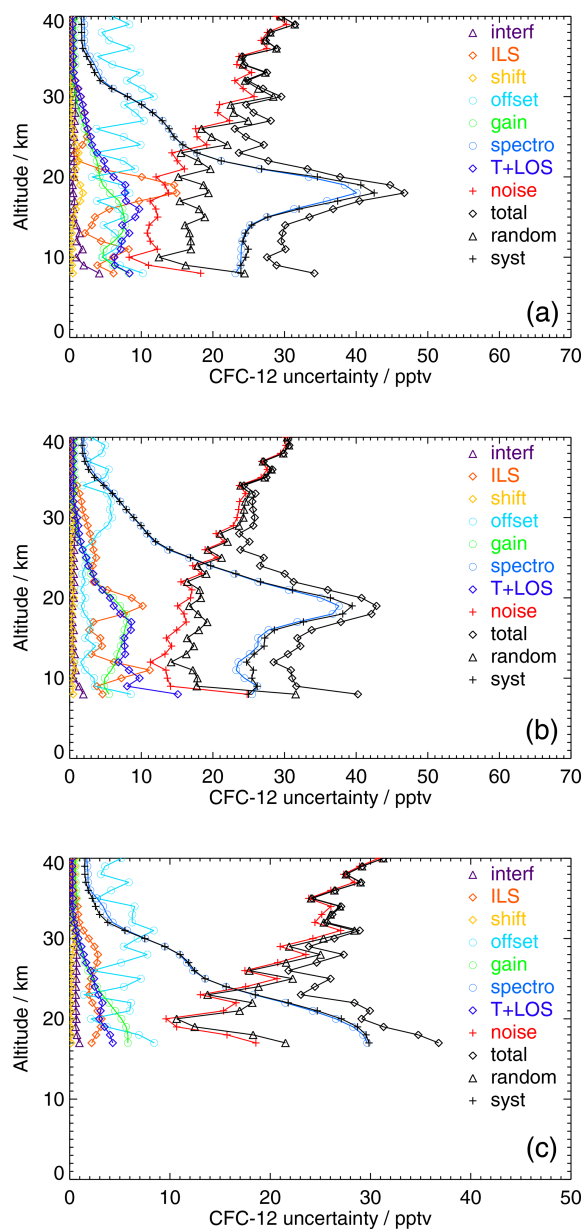
The error estimation follows the general approach as described in detail by von Clarmann et al. (2022). The relevant error sources for northern mid-latitude summer daytime conditions are provided as examples and summarized in Tables 1–3 for FR, RR, and MA measurements, respectively.

Resulting error estimates of CFC-12 mixing ratios are presented as examples in Fig. 2 for northern mid-latitude summer daytime conditions. For all FR, RR, and MA measurements, the systematic errors dominate the error budget below 23 (24) km, while the random error takes over above this point. The leading source of the systematic error is uncertainties in spectroscopic data, while the random error is dominated by measurement noise. Radiance offset uncertainties play an appreciable role, too. The error budgets for all atmospheric conditions and the FR and RR observation modes are presented as tables and figures in the Supplement file S2 to this article.

### 3.3 The CFC-12 vertical averaging kernels

The content of prior information and the vertical resolution are characterized by the averaging kernels of the retrieval (Rodgers, 2000). CFC-12 averaging kernels for FR, RR, and MA measurements under northern mid-latitude daytime conditions are shown in Fig. 3.

For stratospheric altitudes, the vertical resolution both in terms of the full width at half maximum of the row of the averaging kernel matrix and in terms of the grid width divided by the diagonal element of the averaging kernel vary roughly between 2 km near the tropopause and 6 km near the stratopause. Below 50 km the averaging kernels are well-behaved in the sense that they peak at their nominal altitudes. This indicates that there is no systematic vertical information displacement at these altitudes. FR and RR averaging kernels are fairly consistent.



**Figure 2.** Uncertainties of CFC-12 mixing ratios retrieved from MIPAS FR (a), RR (b), and MA (c) measurements for northern mid-latitude summer daytime conditions.

### 3.4 The CFC-12 horizontal averaging kernels

In order to estimate the horizontal resolution of MIPAS CFC-12 measurements, horizontal averaging kernels were calculated following the scheme by von Clarmann et al. (2009a). We report both the horizontal smearing in terms of full width at half maximum of the vertically integrated 2D averaging kernels and the horizontal information displacement (Table 4). The latter is the horizontal distance between the averaging-kernel-weighted mean of the horizontal coordinate

**Table 1.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-12 (FR).

Type of uncertainty	Value or typical value <sup>1</sup>	Source <sup>2</sup>	Propagation method <sup>3</sup>
Noise band A	30 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Noise band AB	16 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset band A	6 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Offset band AB	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random band A	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain random band AB	0.16 %	Kleinert et al. (2018)	P(21;23)
Gain systematic band A	1.15 %	Kleinert et al. (2018)	P(21;22)
Gain systematic band AB	1.03 %	Kleinert et al. (2018)	P(21;23)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.24–1.04 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	35–79 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.71 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	2–41 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.39 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–24 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.03–1.26 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	7–122 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	24–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.09–1.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	189–298 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.30–0.79 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	2–51 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.4–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–1.32E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.85E-07–8.69E-05 ppmv	V5 retrieval (Glatthor et al., 2009)	G(6)
VMR(CH <sub>3</sub> OH)	1.00E-24–2.96E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(CH <sub>4</sub> )	5.62E-03–7.72E-02 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(N <sub>2</sub> O)	2.21E-04–7.89E-03 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(NO <sub>2</sub> )	3.09E-07–2.27E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(O <sub>3</sub> )	3.13E-02–1.42E-01 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(acetone)	4.32E-07–8.48E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(PAN)	5.38E-07–1.51E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-113)	2.17E-07–1.21E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-141B)	6.99E-12–6.58E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-142B)	8.84E-07–1.40E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data (Harrison)	3 %	Harrison (2015), Kellmann et al. (2012)	P(7)
Spectroscopic data	5 %	Varanasi and Nemtchinov (1994)	P(7)

<sup>1</sup> For variable uncertainties typical values are reported. Some errors in Kleinert et al. (2018) are given in terms of  $2\sigma$ ; we have rescaled them to  $1\sigma$ , which explains the apparent inconsistencies. <sup>2</sup> In some cases V5 data were used that are not published. In these cases, reference to an earlier data version is made. <sup>3</sup> Temperature and tangent altitude errors due to noise, spectral shift, and offset are propagated separately. The notation is as follows: “G” refers to generalized Gaussian error propagation in a matrix formalism, while “P” refers to perturbation studies. The numbers in parentheses are the respective equation numbers in von Clarmann et al. (2022).

and the nominal geolocation of the limb scan. Positive signs indicate information displacements towards the satellite.

For both the FR and the RR measurement modes the information is displaced from the nominal geolocation of the limb scan towards the satellite below about 30 km and away from the satellite above. The values of the displacement, however,

are too low to give reason for concern. For MA measurements, the displacement is towards the satellite for all altitudes, because the nominal geolocation of MA limb scans refers to a tangent point at about 60 km altitude.

The horizontal smearing of the FR retrievals is always narrower than the horizontal grid (510 km), i.e. the horizontal

**Table 2.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-12 (RR).

Type of uncertainty	Value or typical value	Source	Propagation method
Noise band A	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Noise band AB	10 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset band A	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Offset band AB	2 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random band A	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain random band AB	0.16 %	Kleinert et al. (2018)	P(21;23)
Gain systematic band A	1.15 %	Kleinert et al. (2018)	P(21;22)
Gain systematic band AB	1.03 %	Kleinert et al. (2018)	P(21;23)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–1.23 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	29–52 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	1–15 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.03–0.45 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.05–1.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	8–113 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.18 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	26–34 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.14–1.47 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	198–252 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.22–0.81 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	1–49 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.04–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.2–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–1.32E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.77E-07–7.56E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CH <sub>3</sub> OH)	1.00E-24–2.96E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(CH <sub>4</sub> )	7.76E-03–9.78E-02 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(N <sub>2</sub> O)	3.73E-04–1.03E-02 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(NO <sub>2</sub> )	2.03E-07–2.88E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(O <sub>3</sub> )	2.48E-02–8.52E-02 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(acetone)	5.01E-07–8.07E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(PAN)	5.92E-07–1.55E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-141B)	6.99E-12–6.58E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-142B)	8.84E-07–1.40E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data (Harrison)	3 %	Harrison (2015)	P(7)
Spectroscopic data	5 %	Varanasi and Nemtchinov (1994)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

distance between the nominal geolocations of two subsequent limb scans. As a consequence, the horizontal resolution of these measurements is sampling limited, not smearing limited. The situation is slightly different for the RR retrievals. Here the horizontal resolution is sampling limited below about 40 km, and smearing limited, with values exceeding 410 km, above this point. The loss of horizontal resolution due to smearing is still deemed negligibly small. In-

formation smearing of MA retrievals is quite similar to that of RR nominal retrievals.

### 3.5 CFC-12 results

The first row of Fig. 4 presents an average altitude–latitude cross section and a global map at 15 km altitude for an arbitrarily selected month of August 2011, while Fig. 5 (first

**Table 3.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-12 (MA).

Type of uncertainty	Value or typical value	Source	Propagation Method
Noise band A	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Noise band AB	10 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset band A	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Offset band AB	2 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random band A	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain random band AB	0.16 %	Kleinert et al. (2018)	P(21;23)
Gain systematic band A	1.15 %	Kleinert et al. (2018)	P(21;22)
Gain systematic band AB	1.03 %	Kleinert et al. (2018)	P(21;23)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–2.24 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	27–47 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.004–0.11 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	0.4–14 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.72 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	1–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.08–0.78 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	0.5–83 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.02–0.12 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	20–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.08–1.17 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	14–242 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.26–0.48 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	0.3–32 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.09 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.06–6 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–4.39E-11 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.77E-07–7.56E-05 ppmv	V5 climatology (Glatthor et al., 2007; Wiegeler et al., 2012)	P(7;11)
VMR(CH <sub>3</sub> OH)	1.00E-24–2.44E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(CH <sub>4</sub> )	6.13E-03–4.82E-02 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(NH <sub>3</sub> )	5.74E-11–1.25E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(N <sub>2</sub> O)	2.71E-04–6.18E-03 ppmv	preceding V8 retrieval (Glatthor et al., 2023)	G(6)
VMR(NO <sub>2</sub> )	2.39E-07–2.29E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(O <sub>3</sub> )	2.97E-02–9.09E-02 ppmv	preceding V8 retrieval (López-Puertas et al., 2023)	G(6)
VMR(acetone)	5.01E-07–7.32E-05 ppmv	V5 climatology (unpublished data)	P(7;11)
VMR(PAN)	5.92E-07–1.30E-05 ppmv	V5 climatology (Glatthor et al., 2007; Wiegeler et al., 2012)	P(7;11)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 climatology (unpublished data)	P(7;11)
VMR(CFC-114)	6.96E-07–1.49E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-141B)	6.99E-12–1.10E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-142B)	8.84E-07–1.33E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data (Harrison)	3 %	Harrison (2015)	P(7)
Spectroscopic data	5 %	Varanasi and Nemtchinov (1994)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

row) shows the evolution over time at 10 and 14 km altitude of CFC-12. Differences between the new version V8 and the preceding version V5 are quite small (see Fig. 6), except for the lowermost parts of the profiles. In the previous data version, volume mixing ratios at altitudes below 11 km were found to be lower in the tropics than at high latitudes (but only when represented at altitude levels, not at pressure levels), while V8 data are highest at the tropics and lower at the poles on both altitude and pressure levels. For FR retrievals, differences between V8 and V5 are typically around –2 % to +4 % in the troposphere. In the stratosphere, they vary

between –6 % under southern polar winter and spring conditions and around 18 km for 30° N to 30° S and +3 % elsewhere. The RR data set reveals other systematic differences between V5 and V8. Except for the stratospheric polar winter and spring conditions, where the V8 data set is about 4 % to 12 % lower than the V5 data version, the differences range between +4 % and –2 % over the full valid altitude range. Again, CFC-12 VMRs are reduced in the tropical tropopause region. The reduction at low latitudes around the tropopause for both FR and RR data is related to the reduction in an implausible maximum of CFC-12 in the uppermost tropical



**Table 4.** Horizontal information distribution, CFC-12.

Altitude (km)	FR smearing (km)	FR displacement (km)	RR smearing (km)	RR displacement (km)	MA smearing (km)	MA displacement (km)
66	481	−124	473	−63	446	20
60	484	−121	466	−63	445	23
55	484	−117	470	−61	451	29
50	485	−110	474	−56	448	39
45	458	−94	420	−48	386	50
40	374	−69	374	−35	353	67
35	334	−27	349	−22	327	76
30	330	14	335	1	324	88
25	320	58	314	21	311	105
20	320	89	302	55	316	115
15	329	118	296	84		
10	334	143	292	106		

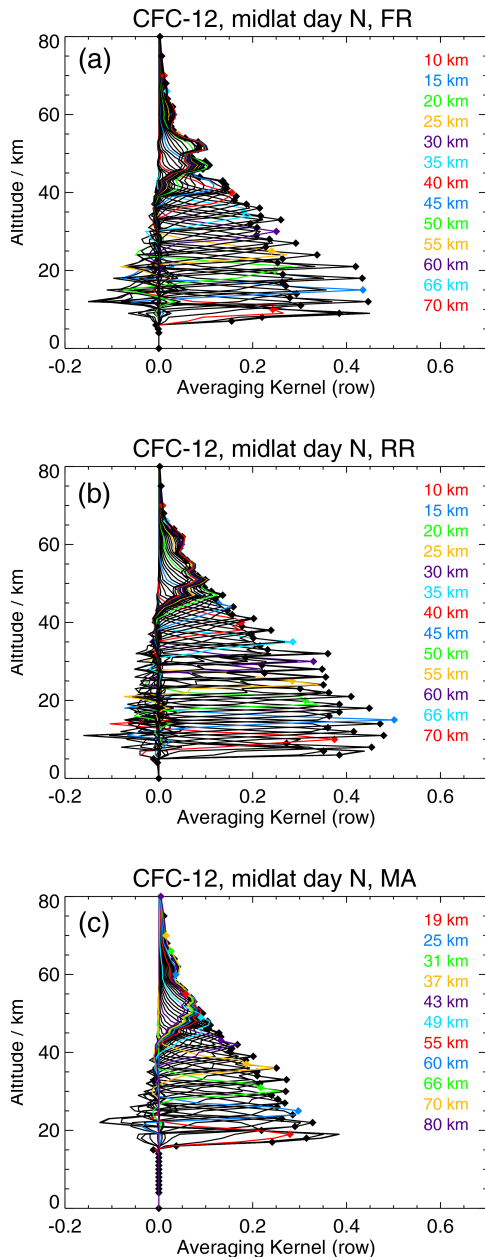
troposphere in the V5 data version. The changes due to the new spectroscopic data used for the V8 retrievals, derived from tests with V5 spectra for which only the spectroscopic data were exchanged, are rather small. They are restricted to the troposphere and UTLS region and are in the order of 5 to 8 pptv (about 1 % to 1.5 %).

These changes are in consonance with previous validation studies which confirmed that V5 CFC-12 was already a reliable data set (Eckert et al., 2016). While comparisons to reference data below 20 km remained inconclusive (see Eckert et al., 2016, their Fig. 31), they seemed to agree on a low bias of MIPAS V5 CFC-12 increasing rapidly to −6 % at 25 km and −30 % at 32 km. The difference CFC-12 V8 − V5, developing from negative values at the tropopause to about +4 % at 25 km, corrects the CFC-12 data record in the right direction. In the upper part of the profiles, V8 data exhibit fewer areas with negative mixing ratios. This is attributed to the new diagonal term in the regularization matrix which constrains the retrieval at the uppermost altitudes as described in Sect. 3.1. This additional regularization leads to smaller diagonal values of the averaging kernel matrices at higher altitudes. Profile points with diagonal values below our threshold of 0.03 are thus more frequent, and therefore the new data cover a smaller altitude range than version V5. However, in the nominal range (up to 30–45 km, depending on latitude; see Fig. 4a) the vertical resolution and retrieval uncertainty due to noise in the spectra are similar to the V5 data version. Analysis of the differences between V5 and V8 time series (example given in Fig. 6) reveals that the steps between the FR and RR parts of the V8 CFC-12 data record have been reduced for some prominent cases in the V5 data set; however, in general, some biases between the two mission phases still remain in V8 data. Nevertheless, we consider the V8 data to be more realistic.

### 3.6 CFC-12 coarse-grid representation

Since the MIPAS standard results are generated with a regularizing retrieval, they include a certain amount of prior information, at least on the profile shape. Thus, quantitative use of these data requires consideration of averaging kernels. This often is an obstacle to the use of the data, and for certain applications, e.g. time series analysis, there is no obvious solution how varying averaging kernels can be dealt with. In order to facilitate the use of the MIPAS data, we make available an additional data product for FR and RR NOM measurements, where the data are transformed to a vertical grid coarse enough to remove the formal prior information from the data, following the method suggested by von Clarmann and Grabowski (2007) and von Clarmann et al. (2015). We provide the data as layer mean values around the following nominal pressures: 400, 250, 150, 100, 50, 30, 15, 7, 3, 1.5, and 0.5 hPa, both for FR and RR measurements (layer boundaries are 600, 350, 185, 122.5, 75, 40, 25, 12.5, 6, 2.5, 0.85, and 0.25 hPa). Since no regularization smooths the profiles, the vertical resolution in this representation is entirely defined by the grid width. With this representation we come as close as possible to the representation of trace gas distributions in Eulerian atmospheric models. This representation is provided in addition to the regular data product and is not meant to replace it.

An example of a CFC-12 coarse grid representation is shown in Fig. 7. While the regular retrieval (Fig. 7a) is richer in details, the coarse grid representation offers the advantage that no averaging kernels are needed for quantitative work with the data and that the vertical resolution is fully defined by the pressure grid and does not change with time. However, there is a price to pay: the vertical grid has to comply with the coarsest resolution of the entire set of measurements and is thus too coarse to represent the independent pieces of information of a profile recorded under more favourable conditions.



**Figure 3.** Averaging kernels of northern mid-latitude daytime CFC-12 FR (a), RR (b), and MA (c) measurements. These averaging kernels refer to limb sequences recorded at 46.1° N, 155.1° W on 14 January 2003 (a); 48.4° N, 116.4° W on 4 January 2009 (b); and 48.0° N, 179.65° E on 11 January 2009 (c). Every fifth averaging kernel row is marked by colour, and the diamonds mark the altitude of the retrieval grid point.

## 4 CFC-11

The validation of the previous data version of CFC-11 by Eckert et al. (2016) and Zhou et al. (2016) showed, in essence, good structural agreement between MIPAS V5 CFC-11 profiles and those from other instruments. However,

a slight tendency of MIPAS towards a high bias of +5 % to +20 % at the lower ends of the profiles was determined, as well as some isolated non-plausible features, such as a pronounced volume mixing ratio maximum near the tropical tropopause and volume mixing ratios increasing towards the poles at lower altitudes.

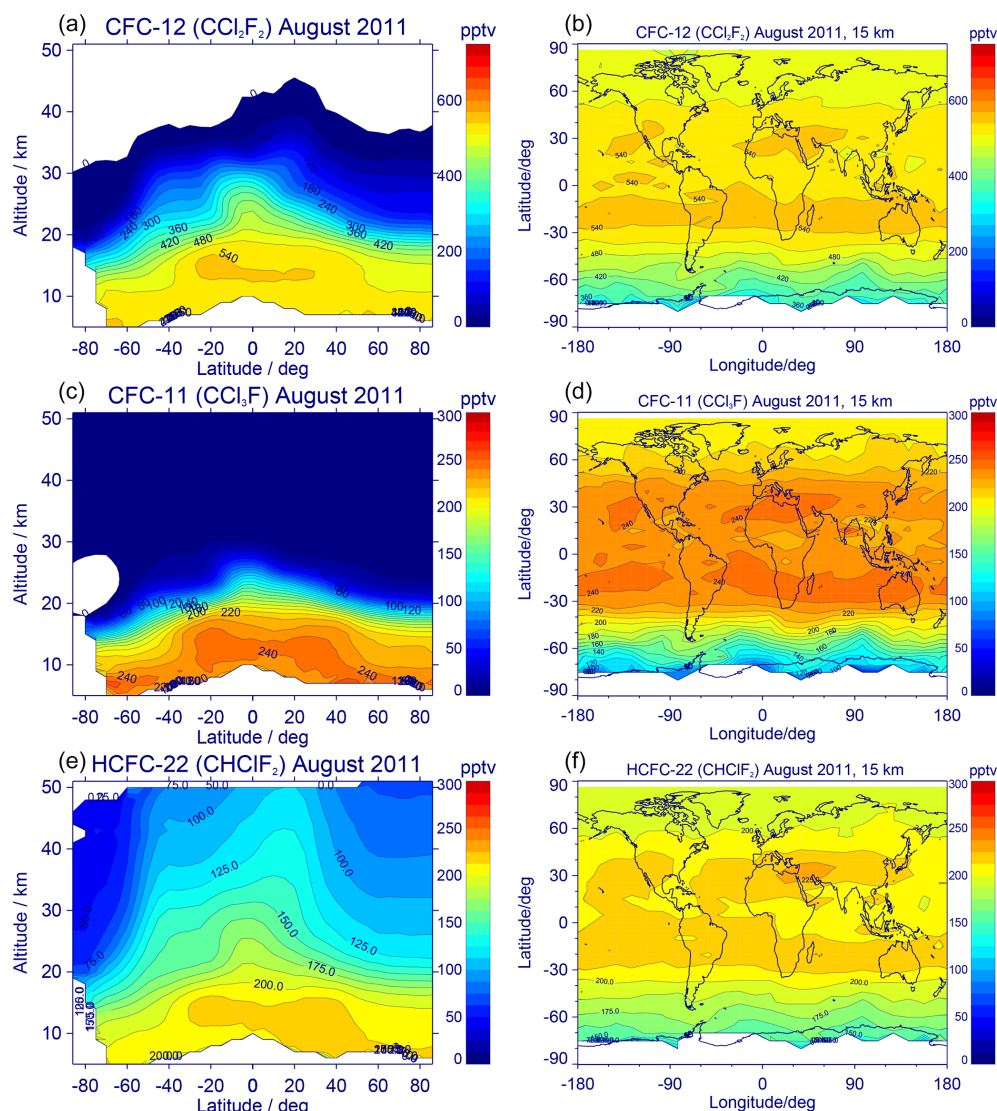
### 4.1 The retrieval of CFC-11

The general retrieval setup used for CFC-11 resembles that of CFC-12 described in the previous section, including the treatment of the background continuum and the additive radiance offset and regularization, the a priori profile, the altitude grid of the retrieval, and the use of two-dimensional temperature fields. CFC-11 is retrieved after CFC-12 and CIO (Glatthor et al., 2024). Hence, their concentrations and those retrieved before CFC-12 are available (see Fig. 1). For practical reasons, NO concentrations were also retrieved before those of CFC-11, although these are not needed for the retrieval of CFC-11.

For the retrieval of CFC-11, the spectral interval from 831.0 to 853.0  $\text{cm}^{-1}$  was chosen, which includes a major part of the CFC-11  $\nu_4$  band. The description of the continuum and offset treatment in Sect. 3.1, the vertical grid, and technical details of the altitude dependence of the regularization also holds for the retrieval of CFC-11. Besides CFC-11, mixing ratios of  $\text{H}_2\text{O}$ ,  $\text{HNO}_3$ , and  $\text{COCl}_2$  were jointly retrieved.  $\text{H}_2\text{O}$  and  $\text{HNO}_3$  have been retrieved earlier in the retrieval chain, but, in order to avoid problems with inconsistencies of spectroscopic data in the CFC-11 analysis window and analysis windows where these interferents were retrieved, it was decided to treat them as unknowns, using the pre-retrieved values as a priori (Fig. 1).

$\text{COCl}_2$  is a co-retrieved target in its own right, and retrieval-related issues will be discussed in a separate paper. Its relevance as interferent in the CFC-11 retrieval was first reported by Toon et al. (2001). For this interferent, the spectroscopic data by Kwabia-Tchana et al. (2015) are now used. For CFC-11 we have switched from the spectroscopic cross-section data provided by Varanasi (1992a) to those measured by Harrison (2018). Further interferents we have considered in the radiative transfer calculations but not fitted are  $\text{C}_2\text{H}_2$ ,  $\text{C}_2\text{H}_6$ ,  $\text{CO}_2$ , HCN,  $\text{HNO}_4$  (RR only),  $\text{NH}_3$ , OCS, PAN, CFC-113, CFC-114, and HCFC-22. For  $\text{C}_2\text{H}_2$ ,  $\text{C}_2\text{H}_6$ , HCN,  $\text{HNO}_4$ , OCS, PAN, CFC-113, and HCFC-22, profiles from the V5 data version were used. For MA, we used climatologies built from V5 FR and RR results instead of individual profiles, since MA observations of these gases had not been processed for V5. For  $\text{CO}_2$ ,  $\text{NH}_3$ , and CFC-114, profiles from our climatological database (Kiefer et al., 2002, and updates thereof) were used.

As opposed to version V5 where we used spectra up to a tangent altitudes of 30 km only for the retrievals, for version V8 we used all spectra of a limb sequence in FR and RR observation mode and spectra up to tangent altitudes of 75 km



**Figure 4.** Monthly zonal mean distributions (a, c, and e) and global maps at 15 km altitude (b, d, and f) for CFC-12 (a, b), CFC-11 (c, d), and HCFC-22 (e, f) for August 2011. White areas mean no data, due to either clouds in the line of sight, lacking sensitivity of the retrieval, or discarding negative mean values in the plots.

in the MA observation mode. The vertical retrieval grid is the same as for CFC-12: surface (0 km); 4 to 60 km with a 1 km grid width; and additional levels at 62, 64, 66, 68, 70, 75, 80, 90, 100, and 120 km. The retrieval of CFC-11 has been performed along the description above for MIPAS data from the NOM(FR), NOM(RR), UTLS(RR), and MA(RR) observation modes level 1b data.

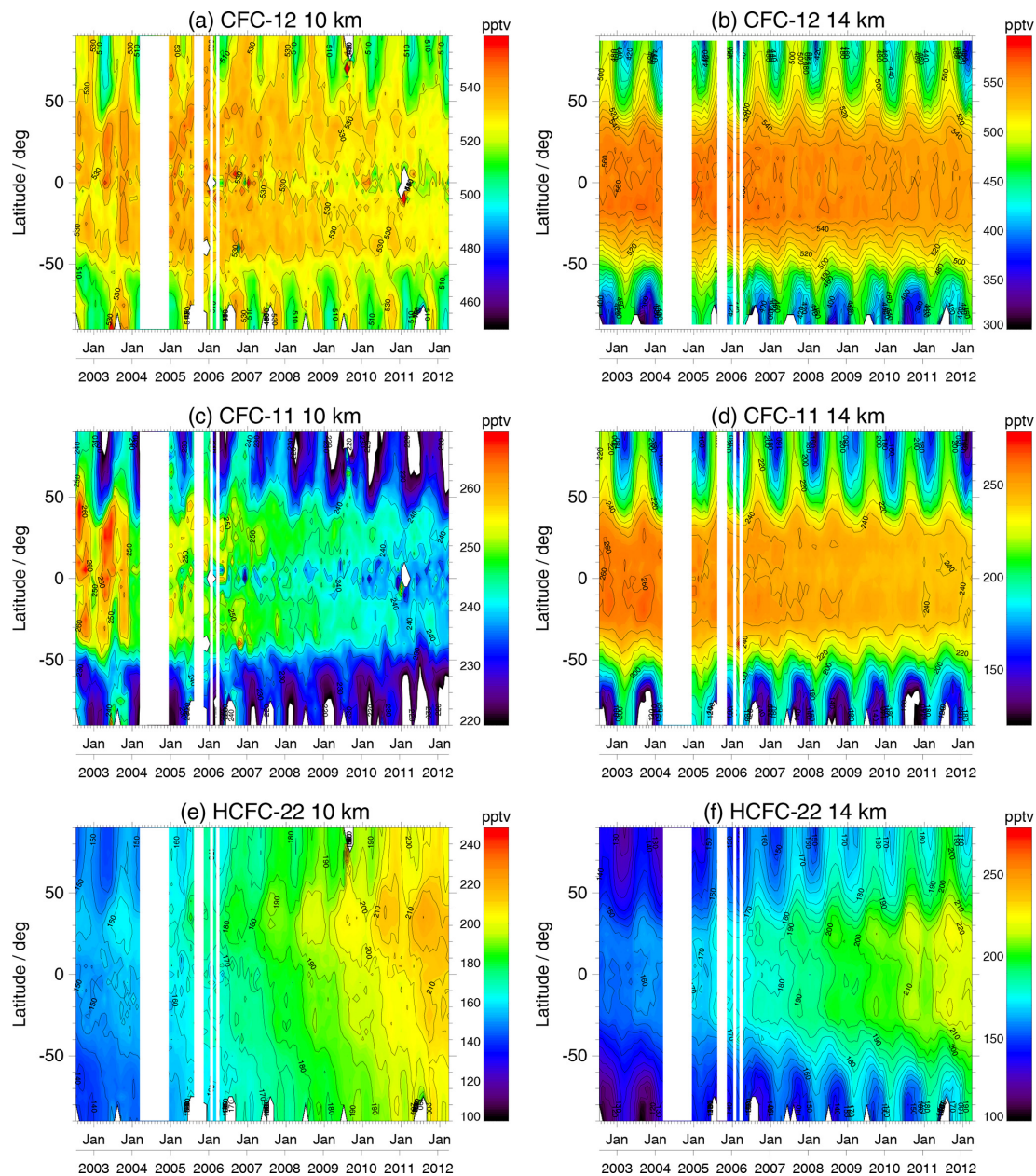
#### 4.2 The CFC-11 error budget

Uncertainties relevant to the CFC-11 error budget for the example of northern mid-latitude summer daytime conditions are summarized in Tables 5–7, for the FR, RR, and MA observation modes, respectively. The main differences with respect to the uncertainties affecting the CFC-12 retrieval are

calibration uncertainties that have to be considered only for the MIPAS A band; different lists of interfering species; and mixing ratios of more species are available from V8 processing, since CFC-11 holds a later position in the sequential retrieval chain.

Resulting CFC-11 estimated errors are presented in Fig. 8. Again northern mid-latitude summer daytime conditions have been chosen as an example. All that has been said here about the CFC-12 error budget also applies to CFC-11 errors.

The error budgets for all atmospheric conditions and the FR and RR observation modes are presented as tables and figures in the Supplement file S1 to this article.



**Figure 5.** Latitude–time cross-sections of CFC-12 (a, b), CFC-11 (c, d), and HCFC-22 (e, f) at 10 km (a, c, and e), and 14 km (b, d, and f) altitude. White vertical bars mean no measurements, and other white areas denote regions with no information due to clouds in the line of sight, retrieval insensitivity, or discarding negative mean values in the plots.

#### 4.3 The CFC-11 vertical averaging kernels

All that has been said here on the purpose of vertical averaging kernels in the context of CFC-12 also holds for CFC-11, whose vertical averaging kernels are shown in Fig. 9.

Similar to CFC-12, the vertical resolution is on the order of 2 km in the lower stratosphere and deteriorates towards higher altitudes. Up to about 40 km the averaging kernels peak at their nominal altitudes, while some vertical information displacement takes place above this altitude. FR and RR

averaging kernels are roughly consistent, with a tendency in RR measurements towards a better vertical resolution.

#### 4.4 The CFC-11 horizontal averaging kernels

CFC-11 horizontal averaging kernels were calculated in the same way as those of CFC-12. Horizontal smearing and information displacement are summarized in Table 8 for selected altitudes. All said for the horizontal information distribution of CFC-12 also applies to that of CFC-11. The dis-

**Table 5.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-11 (FR).

Type of uncertainty	Value or typical value	Source	Propagation method
Noise	30 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	6 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.24–1.04 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	35–79 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.71 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	2–41 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.39 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–24 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.03–1.26 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	7–122 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	24–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.09–1.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	189–298 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.30–0.79 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	2–51 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.4–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	2.98E-06–1.01E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.85E-07–8.69E-05 ppmv	V5 retrieval (Glatthor et al., 2009)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	8.02E-05–5.90E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(CIONO <sub>2</sub> )	9.85E-06–8.20E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.50E-05–4.40E-05 ppmv	V5 retrieval (Glatthor et al., 2009)	G(6)
VMR(NO <sub>2</sub> )	3.09E-07–2.27E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(O <sub>3</sub> )	3.13E-02–1.42E-01 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(OCS)	3.85E-05–2.11E-04 ppmv	V5 retrieval (Glatthor et al., 2017)	G(6)
VMR(PAN)	5.38E-07–1.51E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-12)	8.29E-06–1.14E-04 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.17E-07–1.21E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-22)	4.51E-06–1.43E-05 ppmv	V5 retrieval (unpublished data)	G(6)
Spectroscopic data	3 %	Harrison (2018)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

placements are small, and the horizontal smearing exceeds the horizontal sampling by small amounts only (if any).

#### 4.5 CFC-11 results

Compared to CFC-12, the differences between V8 and V5 CFC-11 mixing ratios are larger. Test retrievals with V5 spectra, for which only the spectroscopic data were exchanged, everything else unchanged, led to a reduction of about 4 % over the altitude range of the profiles up to ~ 25 km. Additional changes in parameters (new level-1b data, the 2D temperature field, better information on pre-fitted constituents or a priori information on interferents) contributed another

2 % to 5 % of difference between V5 and V8 CFC-11 (see Fig. 10). The differences between V8 and V5 data of CFC-11 vary strongly with latitude and altitude. In the lower part up to about 16 km altitude differences as shown in Fig. 10 are typical: negative differences up to –5 % at high latitudes and in the inner tropics and positive differences up to 5 % in the mid-latitudes; further, the positive differences of V8 in the mid-latitudes increase over time, which leads to a reduction in the previously detected negative trend in these regions (Kellmann et al., 2012). Suspiciously high CFC-11 abundances in polar spring in V5 data are removed in the V8 data. Towards higher altitudes, the pattern of differences changes gradually to a high bias of up to 5 % in the tropics,

**Table 6.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-11 (RR).

Type of uncertainty	Value or typical value	Source	Propagation method
Noise	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–1.23 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	29–52 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	1–15 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.03–0.45 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.05–1.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	8–113 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.18 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	26–34 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.14–1.47 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	198–252 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.22–0.81 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	1–49 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.04–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.2–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	3.22E-06–9.55E-06 ppmv	V5 retrieval (Wiegele et al., 2012)	G(6)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.77E-07–7.56E-05 ppmv	V5 retrieval (Wiegele et al., 2012)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	7.42E-05–6.51E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(ClONO <sub>2</sub> )	9.99E-06–8.28E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.54E-05–4.41E-05 ppmv	V5 retrieval (Glatthor et al., 2015)	G(6)
VMR(HNO <sub>4</sub> )	1.42E-05–1.66E-04 ppmv	V5 retrieval (von Clarmann et al., 2013)	G(6)
VMR(NO <sub>2</sub> )	2.03E-07–2.88E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(O <sub>3</sub> )	2.48E-02–8.52E-02 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(PCS)	3.37E-05–2.14E-04 ppmv	V5 retrieval (Glatthor et al., 2017)	G(6)
VMR(PAN)	5.92E-07–1.55E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-12)	1.13E-05–1.19E-04 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-22)	4.78E-06–1.46E-05 ppmv	V5 retrieval (Chirkov et al., 2016)	G(6)
Spectroscopic data	3 %	Harrison (2018)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

a low bias up to –40 % in the mid- to high latitudes, and occasionally even stronger negative biases in the polar winter vortices. Eckert et al. (2016) in general found a high bias of 5 % to 20 % at the lower end of the CFC-11 V5 profiles. Due to the strong latitude dependence of biases between V8 and V5 it is hard to come to an unambiguous conclusion whether V8 is an improvement or not. However, we note that the supposedly artificial maxima of the profiles in the tropical upper troposphere–lower stratosphere region are less pronounced in version 8 (see Fig. 4), and the suspicious volume mixing increase towards the poles in the lower part of the profiles of V5 CFC-11 (see Fig. 10, upper panel) is also less pronounced

in version 8 data. Beyond this, version 8 covers a wider altitude range. The CFC-11 VMR biases between the FR and RR MIPAS mission phases are reduced for some prominent cases (see Fig. 10). However, the V8 CFC-11 data set still reveals steps between the FR and RR phase that are partly due to the different vertical resolutions caused by the differing vertical sampling in the two phases. Another characteristic of the V8 retrievals is a reduced regularization above 20 km in order to improve vertical resolution. This is now better than 3.5 km up to about 30 km altitude but deteriorates rapidly from there on. As a result, the retrieval uncertainty due to noise in the spectra increases from about 6 pptv below 16 km up to 10 pptv at

**Table 7.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of CFC-11 (MA).

Type of uncertainty	Value/typical value	Source	Propagation method
Noise	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–2.24 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	27–47 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.004–0.11 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	0.4–14 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.72 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	1–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.08–0.78 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	0.5–83 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.02–0.12 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	20–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.08–1.17 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	14–242 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.26–0.48 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	0.3–32 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.09 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.06–6 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	3.22E-06–8.18E-06 ppmv	V5 climatology (Glatthor et al., 2007; Wiegeler et al., 2012)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.77E-07–7.56E-05 ppmv	V5 climatology (Glatthor et al., 2009; Wiegeler et al., 2012)	P(7;11)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	2.55E-04–6.40E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(CIONO <sub>2</sub> )	1.12E-05–8.03E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.54E-05–4.41E-05 ppmv	V5 climatology (Glatthor et al., 2015)	P(7;11)
VMR(HNO <sub>4</sub> )	2.26E-05–1.66E-04 ppmv	V5 climatology (Stiller et al., 2007; von Clarmann et al., 2013)	P(7;11)
VMR(NO <sub>2</sub> )	2.39E-07–2.29E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–1.25E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(O <sub>3</sub> )	2.97E-02–9.09E-02 ppmv	preceding V8 retrieval (López-Puertas et al., 2023)	G(6)
VMR(OCS)	4.56E-05–2.14E-04 ppmv	V5 climatology (Glatthor et al., 2017)	P(7;11)
VMR(PAN)	5.92E-07–1.30E-05 ppmv	V5 climatology (Glatthor et al., 2007)	P(7;11)
VMR(CFC-12)	9.64E-06–1.22E-04 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 climatology (unpublished data)	P(7;11)
VMR(CFC-114)	6.96E-07–1.49E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(HCFC-22)	7.56E-06–1.46E-05 ppmv	V5 climatology (Chirkov et al., 2016)	P(7;11)
Spectroscopic data	3 %	Harrison (2018)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

30 km and larger above (see Fig. 8, red line with crosses). In summary, we consider the V8 retrievals of CFC-11 as more reliable than V5.

#### 4.6 CFC-11 coarse grid representation

For CFC-11 FR and RR NOM measurements, we also provide a data product sampled on a coarser vertical grid in order to support users who do not want to care about averaging kernels or for applications where the vertical resolution changing with time is an obstacle to quantitative data analysis. The same pressure grid as for CFC-12 has been chosen, both for CFC-11 FR and FR retrievals, however, we do not provide data above the layer around 3 hPa. The nominal layer pressures are 400, 250, 150, 100, 50, 30, 15, 7, and 3 hPa (layer

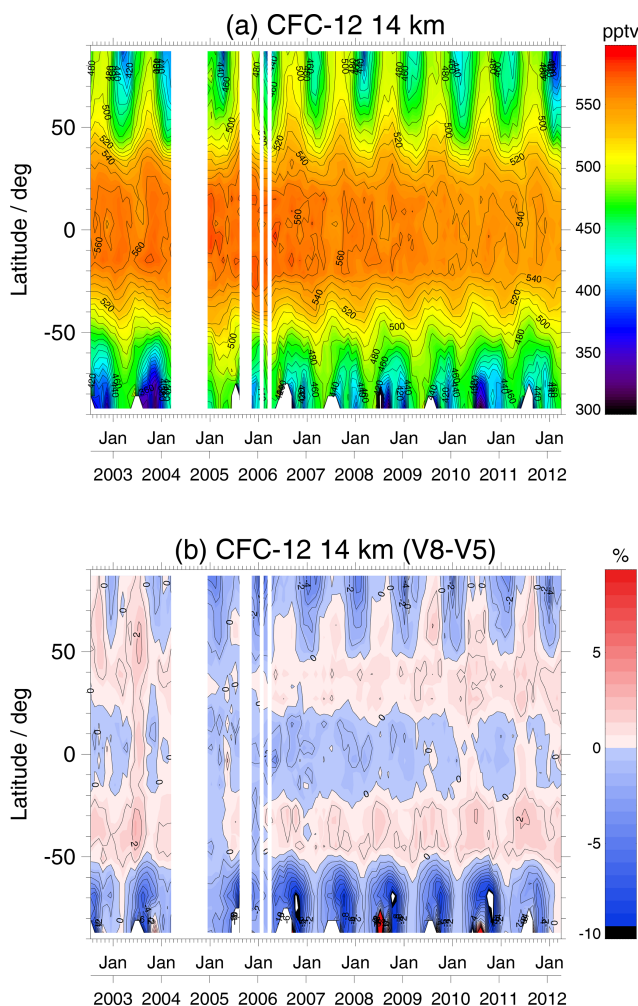
boundaries are 600, 350, 185, 122.5, 75, 40, 25, 12.5, 6, and 2.5 hPa). An example of a CFC-11 coarse grid representation is shown in Fig. 11. In contrast to the regular representation of the data (Fig. 11a), which shows more details, the coarse grid representation has the advantage that the vertical resolution is constant for all geolocations and that the user does not have to take averaging kernels into account.

## 5 HCFC-22

Previous results of the retrieval of HCFC-22 volume mixing ratios were published by Chirkov et al. (2016). HCFC-22 data of version V5R have been used to study transport and mixing within the Asian summer monsoon anticyclone (Vogel et al., 2016, 2019). This data version has been found to

**Table 8.** Horizontal information distribution, CFC-11.

Altitude (km)	FR smearing (km)	FR displacement (km)	RR smearing (km)	RR displacement (km)	MA smearing (km)	MA displacement (km)
66	510	−97.7	480	−55	462	28
60	506	−100	483	−56	466	31
55	504	−99	487	−55	473	34
50	504	−97	495	−52	484	41
45	499	−86	487	−46	450	53
40	418	−62	421	−34	383	67
35	346	−26	369	−19	364	77
30	325	−14	336	1	326	89
25	319	58	312	22	311	104
20	325	91	299	57	317	113
15	340	123	298	85		
11	342	143	299	100		

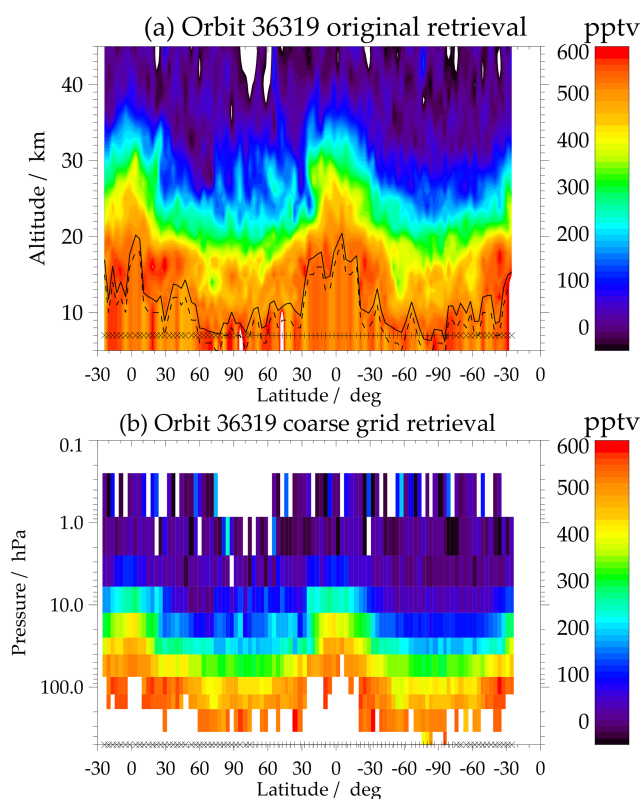
**Figure 6.** Latitude–time cross-sections of the previous version, V5, of CFC-12 at 14 km altitude (a) and the relative difference between V8 and V5 of CFC-12 at 14 km (in terms of  $100 \cdot (V8 - V5) / V5$ ) (b). For details, see Fig. 5.

suffer from a high bias with respect to in situ cryogenic air sampler data under tropical and northern polar winter conditions of 15 to 50 pptv below 24 km. Above 28 km, the high bias was reduced to less than 10 pptv in the V5R data version. The validation of the V5R data record remained inconclusive, since within comparisons to ACE-FTS profiles (Chirkov et al., 2016) and ground-based Fourier transform spectroscopic measurements at Réunion Island (Zhou et al., 2016) and Jungfraujoch (Prignon et al., 2019), good to excellent agreements between the respective data sets were found (within  $\pm 10$  pptv compared to ACE-FTS; within  $\pm 5\%$  for the ground-based observations at Réunion Island; and within differences in the order of 5%, however insignificant due to large error bars, for Jungfraujoch). The high bias to in situ measurements on one hand and good agreement within remote sensing observations on the other hand may give a hint towards a bias of the spectroscopic data used. All remote sensing instruments cited here used the same spectral signature of HCFC-22 and are based on the same spectroscopic data. For MIPAS V5R and ACE-FTS v3.5, cross-section data from Varanasi (1992b) and Varanasi et al. (1994), provided via the HITRAN database (Rothman et al., 2003, 2005), were directly used. The ground-based observations relied on a pseudo-line list generated by Geoffrey Toon (Prignon et al., 2019) and based on the same cross-sections measured by Varanasi et al. (1994) and on laboratory data by McDaniel et al. (1991). Another shortcoming of the V5R data set was the rather coarse vertical resolution, with 3.5 km at 10 km altitude and continuously increasing to 10 km at 34 km altitude (Chirkov et al., 2016).

### 5.1 The retrieval of HCFC-22

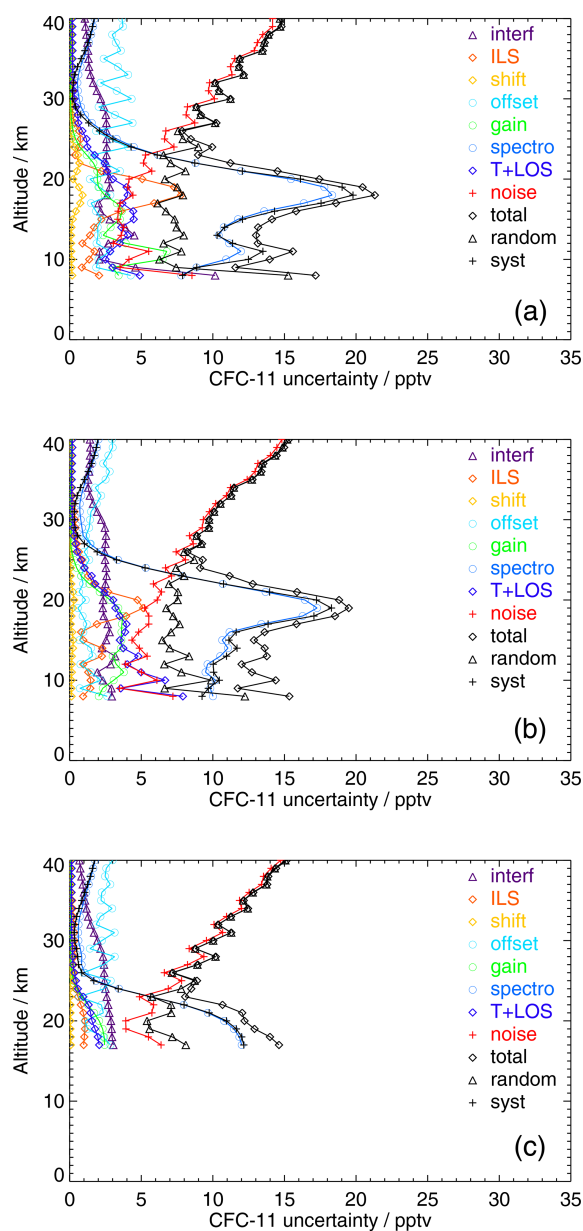
With respect to the general settings, i.e. the joint retrieval of the background continuum and radiance offset, the new regularization, the a priori profile, the vertical retrieval grid, and the use of a 2D temperature field, the V8 retrieval of HCFC-





**Figure 7.** CFC-12 distribution from orbit 36319, recorded on 9 February 2009. Panel (a) shows the regular retrieval, while panel (b) shows the results after transformation to the coarse grid. Crosses and plus signs at the bottom of the panels indicate nighttime and daytime measurements, respectively. The orbit starts at about 30° S, crosses the Equator in the direction of the North pole, crosses the Equator again in the direction of the South pole, and closes the circle at 30° S. White areas indicate missing data. The solid black line in (a) shows the central beams of the lowest tangent used, while the dashed line shows the limit of sensitivity, expressed by the averaging kernel diagonal element of the respective retrieval level  $< 0.03$ .

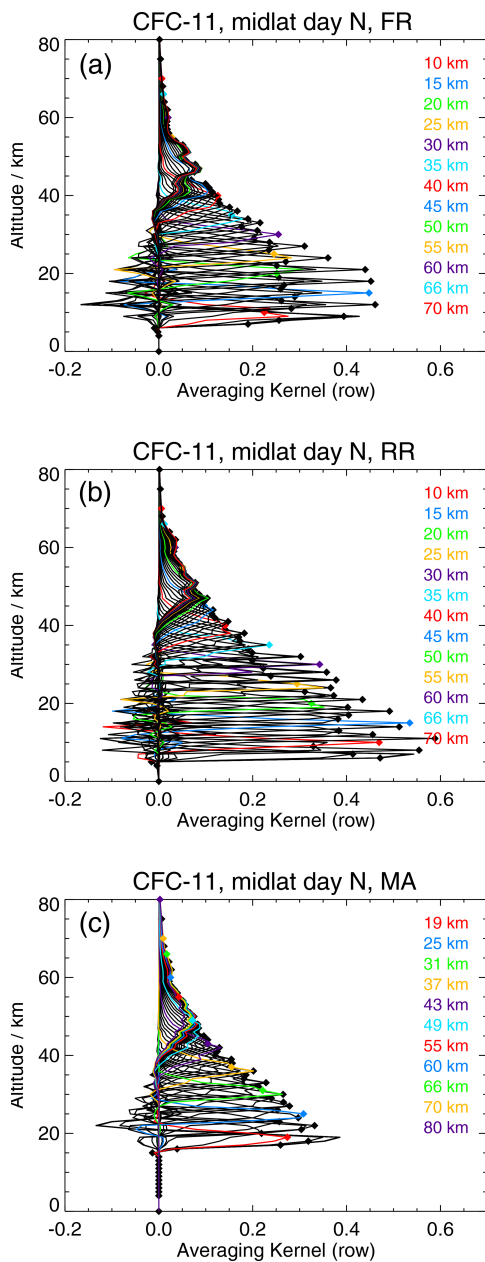
22 uses the same approach as chosen for CFC-11 and CFC-12. Beyond the interfering species mentioned under CFC-11, the retrieval of HCFC-22 is preceded by the retrieval of  $\text{HNO}_4$  and  $\text{C}_2\text{H}_6$  whose concentrations are thus available for the forward calculations in the HCFC-22 retrieval. Beyond these, the following interferences are considered, whose mixing ratios are available from the version 5 processing: OCS, HCN,  $\text{C}_2\text{H}_2$ ,  $\text{COF}_2$  (FR only),  $\text{CCl}_4$ , CFC-113 and PAN. Only for  $\text{CO}_2$ ,  $\text{NH}_3$ ,  $\text{CHCl}_3$ ,  $\text{C}_2\text{H}_4$ , and CFC-114 concentrations from the initial guess database by Kiefer et al. (2002, and updates thereof) had to be used (Fig. 1). V5  $\text{COF}_2$  volume mixing ratios are available for FR only. For RR and MA, climatological mean values were constructed from V5 FR data and used for RR and MA retrievals. In addition, climatologies built from V5 NOM observations were also used for  $\text{C}_2\text{H}_2$ ,  $\text{C}_2\text{H}_6$ ,  $\text{CCl}_4$ , HCN, OCS, PAN, and CFC-113 in the MA retrievals.



**Figure 8.** Uncertainties of CFC-11 mixing ratios retrieved from MIPAS FR (a), RR (b), and MA (c) measurements for northern mid-latitude summer daytime conditions.

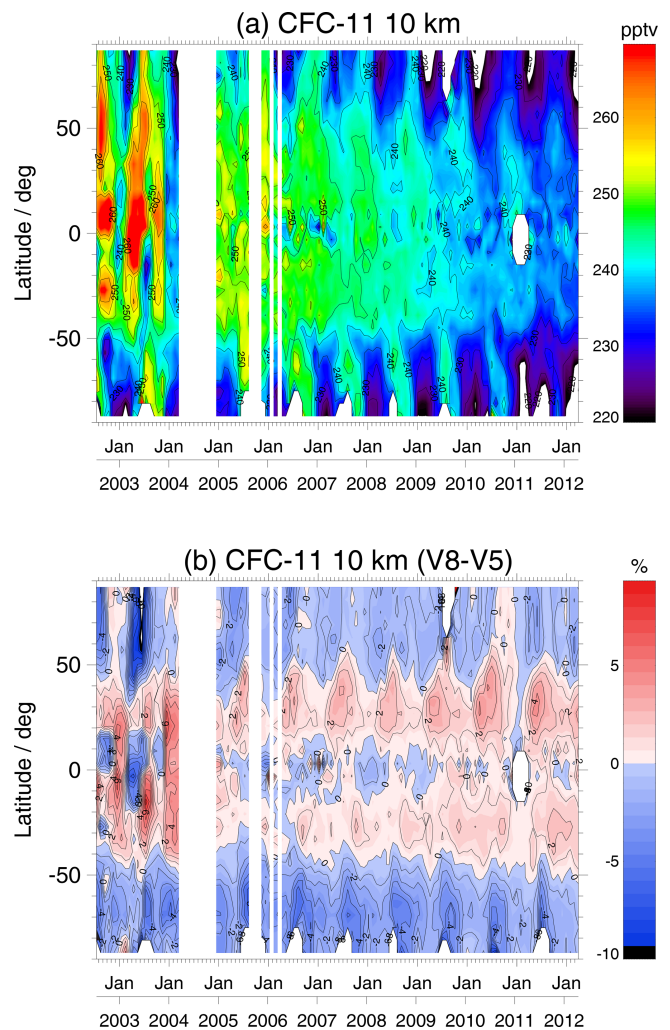
The following HCFC-22-specific upgrades were performed with respect to the retrieval setup. Instead of the absorption cross-sections by Varanasi (1992b) and Varanasi et al. (1994) that were used in earlier data versions (Chirkov et al., 2016), we now use those by Harrison (2016). Besides other advantages, these better cover the low temperatures at pressures between 300 and 70 Torr (400 to 93 hPa).

Contrary to the preceding retrieval setup, now all four spectral signatures in the region of the  $\nu_4$  and  $2\nu_6$  band region are used at all altitudes (microwindows are 803.50–804.75, 808.25–809.75, 820.50–821.125, and 828.75–829.50  $\text{cm}^{-1}$ ).



**Figure 9.** Averaging kernels of northern mid-latitude daytime CFC-11 FR (a), RR (b), and MA (c) measurements. These averaging kernels refer to limb sequences recorded at 46.1° N, 155.1° W on 14 January 2003 (a); 48.4° N, 116.4° W on 4 January 2009 (b); and 48.0° N, 179.65° E on 11 January 2009 (c). Every fifth averaging kernel row is marked by colour, and the diamonds mark the altitude of the retrieval grid point.

As for CFC-11 and CFC-12, the regularization matrix also contains a diagonal term at the uppermost altitudes for HCFC-22. The retrieval was performed on a vertical grid as follows: surface (0 km); 4 to 44 km with a 1 km grid width, a 2 km spacing between 46 and 70 km, and further grid points at 75, 80, 90, 100, and 120 km. The retrieval of HCFC-22 has



**Figure 10.** Latitude–time cross-sections of the previous version, V5, of CFC-11 at 10 km altitude (a), and relative difference between V8 and V5 of CFC-11 at 10 km (in terms of  $100 \cdot (V8 - V5) / V5$ ) (b). For details, see Fig. 5.

been performed along the description above for MIPAS data from the NOM(FR), NOM(RR), UTLS(RR), and MA(RR) observation modes.

## 5.2 The HCFC-22 error budget

Tables 9–11 summarize the uncertainties of input quantities of the HCFC-22 retrieval for northern mid-latitude summer daytime conditions. Again, similar to CFC-11, calibration uncertainties have to be considered only for the MIPAS A band for the HCFC-22 error budget, and due to HCFC-22's later position in the retrieval chain, V8 data from preceding retrievals are available for more interferences than for CFC-11 and CFC-12.

Estimated HCFC-22 errors as resulting from these ingoing uncertainties are listed in Fig. 12, again for northern mid-latitude summer daytime conditions. Similar to CFC-12 and

**Table 9.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of HCFC-22 (FR).

Type of uncertainty	Value or typical value	Source	Propagation method
noise	30 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	6 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.24–1.04 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	35–79 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.71 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	2–41 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.39 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–24 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.03–1.26 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	7–122 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	24–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.09–1.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	189–298 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.30–0.79 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	2–51 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.4–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	2.98E-06–1.01E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–1.32E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	1.50E-07–8.66E-05 ppmv	preceding V8 retrieval (unpublished data)	G(6)
VMR(CCl <sub>4</sub> )	2.87E-08–2.63E-06 ppmv	V5 retrieval (Eckert et al., 2017)	G(6)
VMR(CHCl <sub>3</sub> )	3.19E-14–5.55E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(COF <sub>2</sub> )	7.02E-07–3.70E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	8.02E-05–5.90E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(CIONO <sub>2</sub> )	9.85E-06–8.20E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.50E-05–4.40E-05 ppmv	V5 retrieval (Glatthor et al., 2009)	G(6)
VMR(H <sub>2</sub> O)	1.60E-01–2.54E+00 ppmv	preceding V8 retrieval (Kiefer et al., 2024)	G(6)
VMR(HNO <sub>3</sub> )	6.18E-05–4.78E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HNO <sub>4</sub> )	9.56E-06–1.12E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(NO <sub>2</sub> )	3.09E-07–2.27E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(OCS)	3.85E-05–2.11E-04 ppmv	V5 retrieval (Glatthor et al., 2017)	G(6)
VMR(O <sub>3</sub> )	3.13E-02–1.42E-01 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(PAN)	5.38E-07–1.51E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-11)	3.05E-06–4.45E-05 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.17E-07–1.21E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data	3 %	Harrison (2016)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

CFC-11, the error budget at lower altitudes is dominated by uncertainties in spectroscopic data, while above 22 km (24 km) for FR (RR) measurements noise and, to a lesser extent, uncertainties in the radiance offset take over. The error budgets for all atmospheric conditions and the FR and RR observation modes are presented as tables and figures in the Supplement file S3 to this article.

### 5.3 The HCFC-22 vertical averaging kernels

Vertical averaging kernels of HCFC-22 retrievals are shown in Fig. 13 for a FR measurement at 46.1° N, 155.1° W on 14 January 2003; a RR measurement at 48.4° N, 116.4° W on 4 January 2009; and a MA measurement 48.0° N, 179.65° E on 11 January 2009, respectively.

**Table 10.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of HCFC-22 (RR).

Type of uncertainty	Value or typical value	Source	Propagation method
Noise	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–1.23 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	29–52 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.01–0.10 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	1–15 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.03–0.45 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	8–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.05–1.16 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	8–113 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.03–0.18 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	26–34 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.14–1.47 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	198–252 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.22–0.81 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	1–49 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.04–0.15 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.2–9 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	3.22E-06–9.55E-06 ppmv	V5 retrieval (Wiegele et al., 2012)	G(6)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–1.32E-06 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	1.78E-07–8.46E-05 ppmv	preceding V8 retrieval (Wiegele et al., 2012)	G(6)
VMR(CCl <sub>4</sub> )	3.05E-08–2.41E-06 ppmv	V5 retrieval (Eckert et al., 2017)	G(6)
VMR(CHCl <sub>3</sub> )	3.19E-14–5.55E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(COF <sub>2</sub> )	6.67E-06–6.94E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	7.42E-05–6.51E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(CIONO <sub>2</sub> )	9.99E-06–8.28E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.54E-05–4.41E-05 ppmv	V5 retrieval (Glatthor et al., 2015)	G(6)
VMR(H <sub>2</sub> O)	1.87E-01–2.24E+00 ppmv	preceding V8 retrieval (Kiefer et al., 2024)	G(6)
VMR(HNO <sub>3</sub> )	5.54E-05–4.51E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HNO <sub>4</sub> )	1.35E-05–1.15E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–3.00E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(NO <sub>2</sub> )	2.03E-07–2.88E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(OCS)	3.37E-05–2.14E-04 ppmv	V5 retrieval (Glatthor et al., 2017)	G(6)
VMR(O <sub>3</sub> )	2.48E-02–8.52E-02 ppmv	preceding V8 retrieval (Kiefer et al., 2023)	G(6)
VMR(PAN)	5.92E-07–1.55E-05 ppmv	V5 retrieval (Glatthor et al., 2007)	G(6)
VMR(CFC-11)	3.96E-06–4.71E-05 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 retrieval (unpublished data)	G(6)
VMR(CFC-114)	6.97E-07–1.57E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data	3 %	Harrison (2016)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

For HCFC-22 it has not been possible to achieve a vertical resolution as good as that for CFC-11 and CFC-12, and the vertical resolution deteriorates faster towards higher altitudes. Typical vertical resolutions for FR are 3.4 km in the troposphere, 5 km at 20 km altitude, and 12 km at 38 km. The averaging kernels are well behaved in the lower part of the

stratosphere. Again, the vertical resolution of RR HCFC-22 is slightly better than that of FR.

#### 5.4 The HCFC-22 horizontal averaging kernels

To represent the horizontal information distribution of a MIPAS HCFC-22 retrieval, we again use the concept of horizontal averaging kernels (see Table 12). The horizontal smearing

**Table 11.** Assumed uncertainties for northern mid-latitude summer daytime conditions affecting the retrieval of HCFC-22 (MA).

Type of uncertainty	Value/typical value	Source	Propagation method
Noise	20 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(16)
Offset	3 nW/(cm <sup>2</sup> sr cm <sup>-1</sup> )	Kleinert et al. (2018)	G(5;13)
Gain random	0.21 %	Kleinert et al. (2018)	P(21;22)
Gain systematic	1.15 %	Kleinert et al. (2018)	P(21;22)
Spectral shift	0.00029 cm <sup>-1</sup>	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
ILS	3 %	Hase (2000, 2003)	P(7;14;15)
Temperature, noise	0.22–2.24 K	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Tangent altitudes, noise	27–47 m	preceding V8 retrieval (Kiefer et al., 2021)	G(6)
Temperature, spectral shift	0.004–0.11 K	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Tang. alt., spectral shift	0.4–14 m	preceding V8 retrieval (Kiefer et al., 2021)	P(17)
Temperature, offset	0.05–0.72 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, offset	1–16 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temperature, ILS	0.08–0.78 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Tangent altitudes, ILS	0.5–83 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7)
Temp., CO <sub>2</sub> intensities	0.02–0.12 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Tang. alt., CO <sub>2</sub> intensities	20–35 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;26;27)
Temp., CO <sub>2</sub> broadening	0.08–1.17 K	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Tang. alt., CO <sub>2</sub> broadening	14–242 m	preceding V8 retrieval (Kiefer et al., 2021)	P(7;28;29)
Temperature, gain, syst.	0.26–0.48 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tangent altitudes, gain, syst.	0.3–32 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Temperature, gain, random	0.05–0.09 K	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
Tang. alt., gain, random	0.06–6 m	preceding V8 retrieval (Kiefer et al., 2021)	P(21)
VMR(C <sub>2</sub> H <sub>2</sub> )	3.22E-06–8.18E-06 ppmv	V5 climatology (Glatthor et al., 2007; Wiegeler et al., 2012)	P(7;11)
VMR(C <sub>2</sub> H <sub>4</sub> )	1.00E-24–4.39E-11 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(C <sub>2</sub> H <sub>6</sub> )	2.77E-07–7.56E-05 ppmv	V5 climatology (Glatthor et al., 2009; Wiegeler et al., 2012)	P(7;11)
VMR(CCl <sub>4</sub> )	3.05E-08–2.41E-06 ppmv	V5 climatology (Eckert et al., 2017)	P(7;11)
VMR(CHCl <sub>3</sub> )	3.19E-14–4.13E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(COF <sub>2</sub> )	6.67E-06–6.94E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(CO <sub>2</sub> )	7.56E-01–7.51E+00 ppmv	WACCM model calculation (Kiefer et al., 2021)	P(20)
VMR(CIO)	2.55E-04–6.40E-04 ppmv	preceding V8 retrieval (Glatthor et al., 2024)	G(6)
VMR(ClONO <sub>2</sub> )	1.12E-05–8.03E-05 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HCN)	1.54E-05–4.41E-05 ppmv	V5 climatology (Glatthor et al., 2015)	P(7;11)
VMR(H <sub>2</sub> O)	1.45E-01–7.31E-01 ppmv	preceding V8 retrieval (Kiefer et al., 2024)	G(6)
VMR(HNO <sub>3</sub> )	5.99E-05–4.23E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(HNO <sub>4</sub> )	1.93E-05–1.21E-04 ppmv	preceding V8 retrieval (Stiller et al., 2024)	G(6)
VMR(NH <sub>3</sub> )	5.74E-11–1.25E-04 ppmv	database (Kiefer et al., 2002)	P(7;11)
VMR(NO <sub>2</sub> )	2.39E-07–2.29E-04 ppmv	preceding V8 retrieval (Funke et al., 2024)	G(6)
VMR(OCS)	4.56E-05–2.14E-04 ppmv	V5 climatology (Glatthor et al., 2017)	P(7;11)
VMR(O <sub>3</sub> )	2.97E-02–9.09E-02 ppmv	preceding V8 retrieval (López-Puertas et al., 2023)	G(6)
VMR(PAN)	5.92E-07–1.30E-05 ppmv	V5 climatology (Glatthor et al., 2007)	P(7;11)
VMR(CFC-11)	3.92E-06–4.97E-05 ppmv	preceding V8 retrieval (this paper)	G(6)
VMR(CFC-113)	2.26E-07–1.14E-05 ppmv	V5 climatology, unpublished	P(7;11)
VMR(CFC-114)	6.96E-07–1.49E-05 ppmv	database (Kiefer et al., 2002)	P(7;11)
Spectroscopic data	3 %	Harrison (2016)	P(7)

See footnotes of Table 1 for details on the contents of the columns.

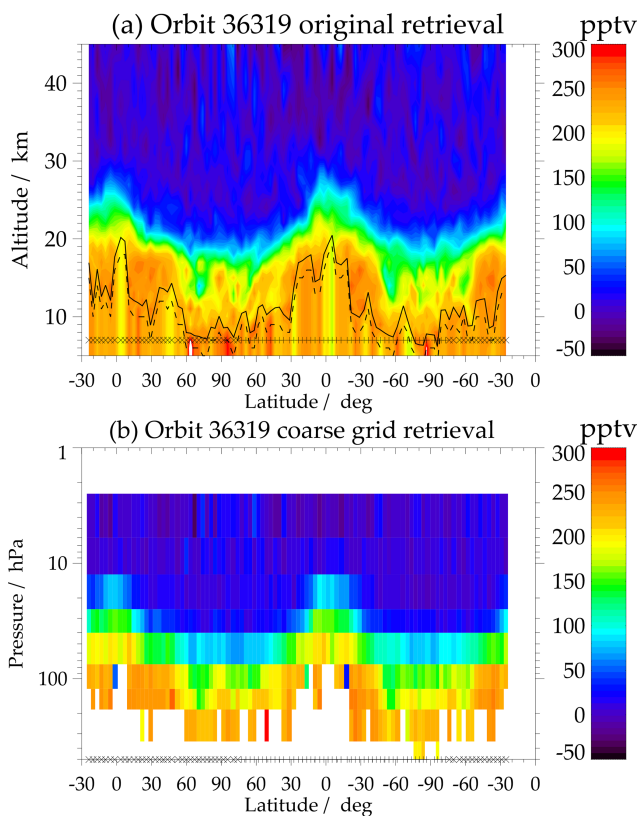
is somewhat larger than for CFC-12 and CFC-11 but still not large enough to require consideration by the data user. In the lower stratosphere, which is the altitude region of major interest in the context of stratospheric (H)CFC research, the horizontal resolution is sampling limited, not smearing limited. The horizontal information displacement is small compared to the horizontal sampling grid.

## 5.5 HCFC-22 results

V8 HCFC-22 mixing ratios are generally lower than V5, particularly in the tropical upper troposphere. The major part of this difference is due to the new spectroscopic data used (Harrison, 2016). The differences are largest on an absolute scale with  $-25$  pptv in the tropopause region, and decrease to  $-5$  pptv at the lower and upper ends of the profiles ( $-3$  % in the middle troposphere to  $-12$  % in the tropopause region, and  $-13$  % in the upper stratosphere). Changes are even

**Table 12.** Horizontal information distribution, HCFC-22.

Altitude (km)	FR smearing (km)	FR displacement (km)	RR smearing (km)	RR displacement (km)	MA smearing	MA displacement
66	525	−37	528	−19	521	69
60	525	−37	528	−19	521	69
54	525	−36	531	−18	522	69
50	525	−35	530	−18	523	70
44	525	−31	531	−16	526	73
40	524	−26	533	−13	529	77
35	523	−11	537	−8	534	83
30	489	19	515	5	493	93
25	387	55	407	26	386	104
20	341	89	341	55	334	114
15	314	118	317	82		
11	308	138	302	99		

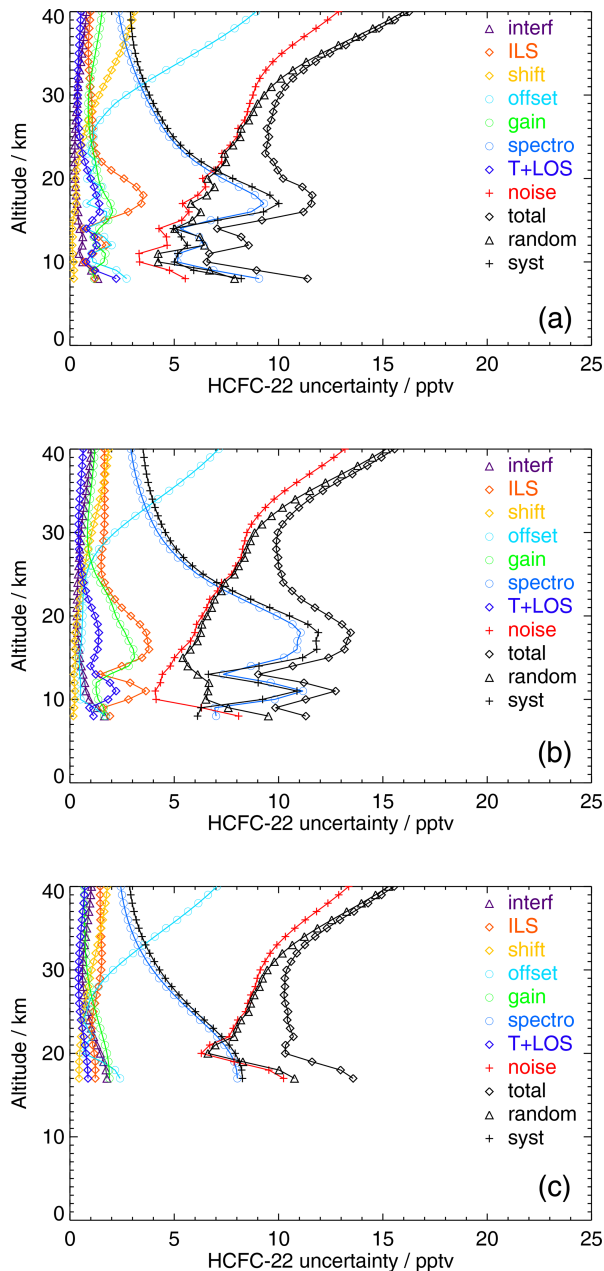


**Figure 11.** CFC-11 distribution from orbit 36319, recorded on 9 February 2009. Panel (a) shows the regular retrieval, while panel (b) shows the results after transformation to the coarse grid. For more details, see Fig. 7.

larger for the FR phase of the mission, with up to  $-20\%$  in the polar regions (See Fig. 14, lower panel). These changes do not agree with v3.5 ACE-FTS measurements but they improve the comparison with cryosampler measurements (see Chirkov et al., 2016, their Figs. 8 and 9). Very recently, how-

ever, the ACE-FTS v5.2 data version became available, for which the HCFC-22 retrievals had also been performed with the spectroscopic data provided by Harrison (2016). Comparison between ACE-FTS v5.2 and MIPAS V8 HCFC-22 revealed good agreement (Kolonjari et al., 2023). For six  $30^\circ$  latitude bands, differences between ACE-FTS and MIPAS were within  $\pm 5\%$  (relative to ACE-FTS data) between 10 and 22 km. Above and below this range, MIPAS was between 0% and 10% lower than ACE-FTS. The vertical resolution and noise error were both slightly improved (however, by less than 0.5 km and less than 1 pptv, respectively) by using more spectral grid points in the retrieval as described in Sect. 5.1. Regarding the two mission phases of MIPAS, the V8 data set seems to not be fully homogeneous but reveals steps of about 5 pptv from the V8H\_F-22\_61 (FR) to the V8R\_F-22\_261 (RR) data.

The isolated HCFC-22 maximum in the upper troposphere is largely reduced on a global scale compared to V5 data (see Fig. 14). We conclude that this maximum was a retrieval artefact due to the old cross-section data that did not cover tropopause temperatures well. However, in HCFC-22 profiles retrieved in the Asian monsoon period and location, this isolated maximum is still present (see Fig. 4 and Fig. 5, last rows). This maximum, that at first glance looks like a retrieval artefact, has been reproduced by model calculations by Vogel et al. (2016, 2019). These authors attribute it to transport of HCFC-22 rich air masses from emissions in East Asia – which are known to have risen strongly during the MIPAS mission period (Saikawa et al., 2012) – followed by fast uplift inside the monsoon to the Asian monsoon anticyclone in the upper troposphere–lower stratosphere. The time series in Fig. 5 demonstrate that such a monsoon-related upper tropospheric maximum is not present in CFC-11 (Fig. 5, middle row) and CFC-12 data (Fig. 5, top row). This is in accordance with the fact that no strong or increasing emissions of CFC-11 and CFC-12, specifically in East Asia, during the

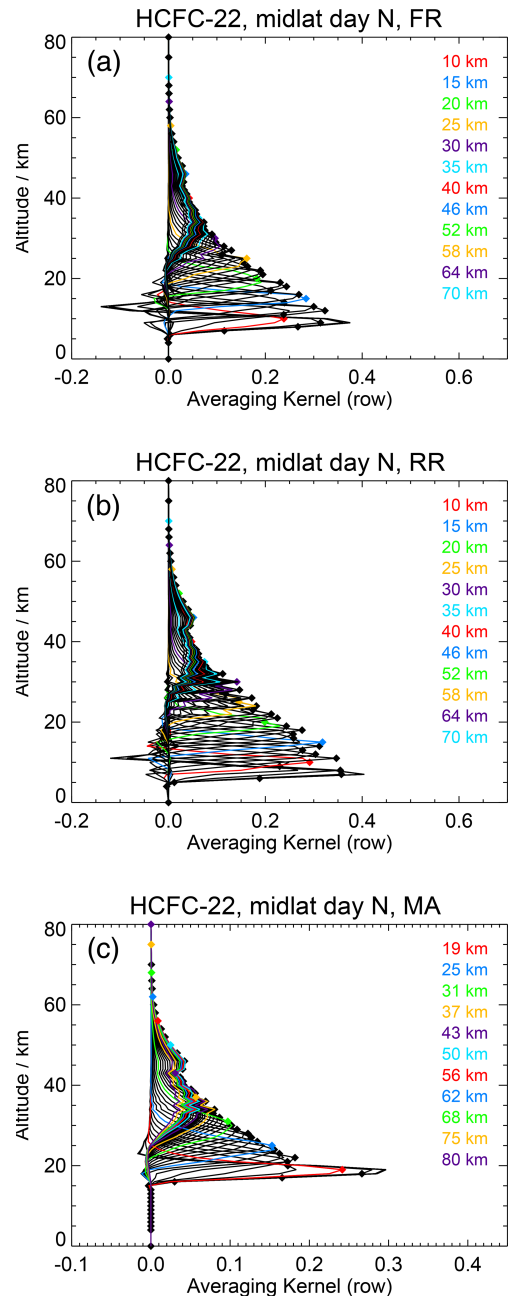


**Figure 12.** Uncertainties of HCFC-22 mixing ratios retrieved from MIPAS FR (a), RR (b), and MA (c) measurements for northern mid-latitude summer conditions.

MIPAS mission period have been observed (e.g. World Meteorological Organization, 2022).

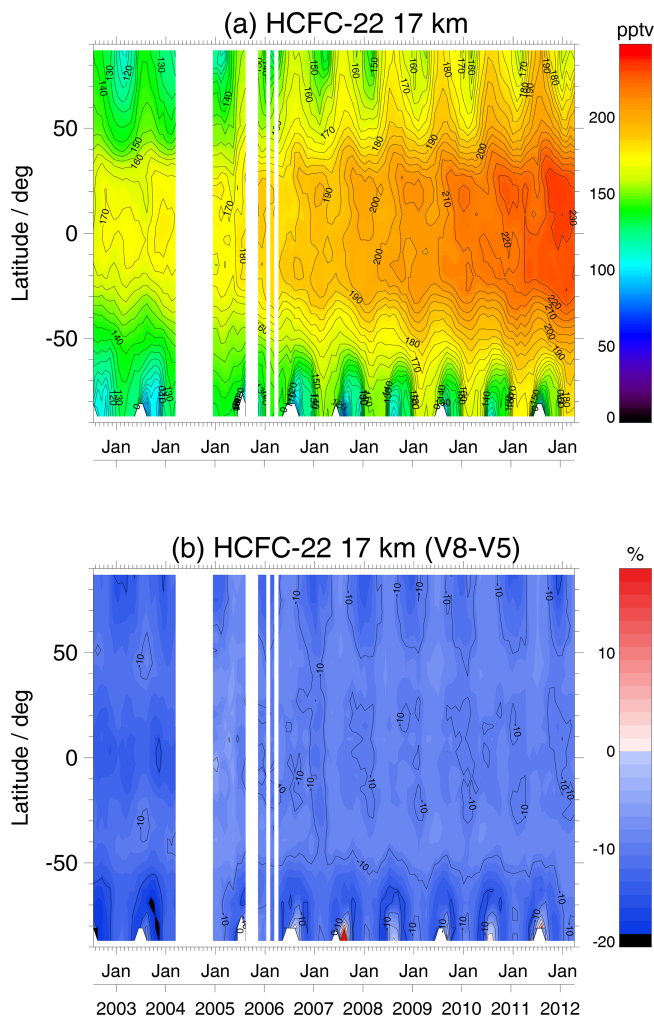
### 5.6 HCFC-22 coarse grid representation

As for CFC-12 and CFC-11, we also offer for HCFC-22 FR and RR NOM measurements an alternative representation of the data where all information on regularization and smoothing is contained in the grid used for the representation. For this purpose we use the following grid of nominal pressures:



**Figure 13.** Averaging kernels of northern mid-latitude day HCFC-22 FR (a), RR (b), and MA (c) measurements. These averaging kernels refer to limb sequences recorded at 46.1° N, 155.1° W on 14 January 2003 (a); 48.4° N, 116.4° W on 4 January 2009 (b); and 48.0° N, 179.65° E on 11 January 2009 (c). Every fifth averaging kernel row is marked by colour, and the diamonds mark the altitude of the retrieval grid point.

400, 200, 100, 50, 20, 5, and 1 hPa (layer boundaries are 600, 275, 160, 75, 40, 12.5, 2.5, and 0.6 hPa). This grid is somewhat coarser than that of the more abundant species discussed before. As mentioned in Sect. 3.6, the coarse grid chosen is somewhat overly pessimistic in the sense that it

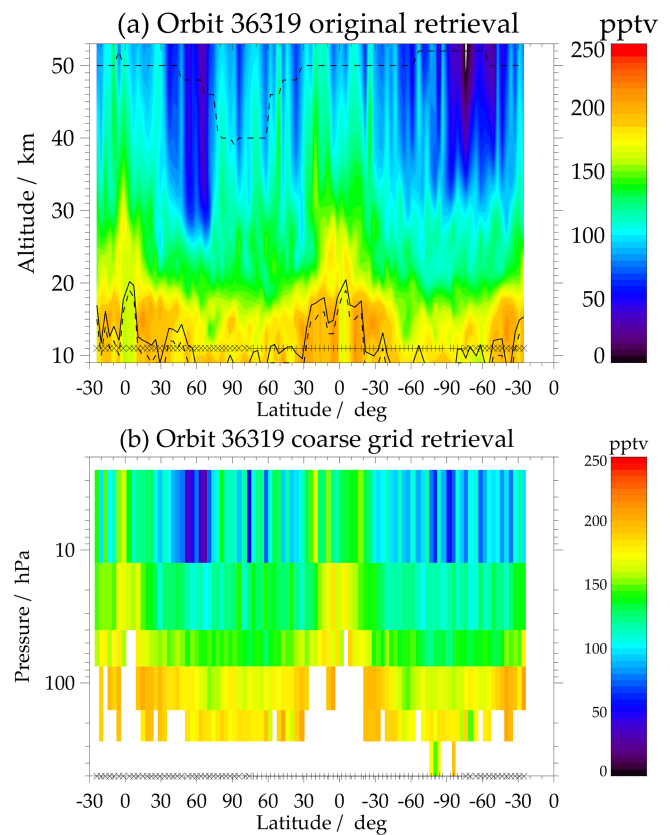


**Figure 14.** Latitude–time cross-sections of the previous version, V5, of HCFC-22 at 17 km altitude (a) and the relative difference between V8 and V5 of HCFC-22 at 17 km (in terms of  $100 \cdot (V8 - V5) / V5$ ) (b). For details, see Fig. 5.

is coarse enough to allow an un-regularized retrieval even for the least favourable conditions represented by the data set. The contrast between the regular retrieval and the coarse grid retrieval of HCFC-22 (Fig. 15) is large compared to the coarse grid representations of CFC-12 and CFC-11. This is consistent with the wider averaging kernels of the regular HCFC-22 retrieval in comparison with those of CFC-12 or CFC-11.

## 6 Conclusions

The MIPAS CFC-11, CFC-12, and HCFC-22 data record extends over 10 years, covering the upper troposphere and stratosphere with a vertical resolution of about 3 km and a dense daily global coverage including dark polar winter conditions. We have presented the retrieval and the data char-



**Figure 15.** HCFC-22 distribution from orbit 36319, recorded on 9 February 2009. Panel (a) shows the regular retrieval, while panel (b) shows the results after transformation to the coarse grid. For more details, see Fig. 7.

acteristics (error budget, vertical and horizontal resolution) for the V8 data version retrieved with the IMK-IAA MIPAS data processor, which is most likely the final data version. We consider the V8 data of CFC-12, CFC-11, and HCFC-22 as superior over V5 for several reasons.

- The V8 calibration including the correction for the detector ageing is superior over V5 calibration, reducing instrumental drifts in the data.
- The new spectroscopic data used better cover the temperature–pressure range of the atmosphere.
- In all three cases, the V8 data set is corrected with respect to V5 in the direction that is given by the V5 validations.
- Several implausible features in the V5 CFC-12 data, which could not be explained by any known atmospheric process, have disappeared in V8 or are at least largely reduced. These are as follows: the CFC-12 V5 distributions over latitude in the middle troposphere (below 10 km) showed a minimum in the tropics and largest values near the poles, while the V8 distributions



now look as expected (larger values in the tropics and the Northern Hemisphere); an implausible maximum of CFC-12 in the uppermost tropical troposphere in the V5 data version is reduced; areas in the upper stratosphere with negative CFC-12 VMR are reduced due to an adjusted regularization; in some prominent cases, the bias between the FR and the RR phase of the data set was reduced, but, in general, steps between the two phases could not be avoided.

- For CFC-11, suspiciously high abundances in polar spring in V5 data are no longer present in the V8 data; the supposedly artificial maxima of the CFC-11 profiles in the tropical upper troposphere–lower stratosphere are less pronounced in V8.
- For HCFC-22, the high bias of 10 % (RR) to 20 % (FR) versus cryosampler data detected for V5 HCFC-22 has disappeared; the isolated HCFC-22 maximum in the upper troposphere is largely reduced on a global scale compared to V5 data. In the Asian monsoon, however, this maximum remains and can be explained by transport and uplift of HCFC-22-rich air from the industrial production sites in SE Asia (Vogel et al., 2016, 2019).

Beyond trend studies in the context of ozone recovery, these data carry important information on stratospheric circulation, as analysed, e.g. with a scheme as proposed by von Clarmann and Grabowski (2016), or on transport processes in the upper troposphere, as analysed by Vogel et al. (2016, 2019).

**Data availability.** CFC-11, CFC-12, and HCFC-22 data can be downloaded after registration from <https://www.imk-asf.kit.edu/english/308.php> (last access: 22 February 2024). Additionally, they are publicly available at the KITopen repository under <https://doi.org/10.35097/1835> (Stiller et al., 2023a) (CFC-11), <https://doi.org/10.35097/1834> (Stiller et al., 2023b) (CFC-12), and <https://doi.org/10.35097/1833> (Stiller et al., 2023c) (HCFC-22).

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**Author contributions.** GPS has the final editorial responsibility for this paper. GPS was engaged in spectroscopy issues and quality control, evaluated deficiencies in earlier data versions, suggested necessary improvements and took the responsibility for some key decisions related to the retrieval setup. All authors contributed to the development of the retrieval setup, discussed the results, and contributed to the final text. NG performed the test calculations needed to improve the retrieval setup, using suggestions from the entire team. NG was also responsible for spectroscopy issues and coded and maintained parts of the error estimation software. MK coded and maintained parts of the error estimation software, performed the error estimation, and provided the horizontal averaging kernels.

TvC wrote large parts of the manuscript, organized related discussions, and took care of the TUNER compliance of error estimates. BF, MGC, and MLP took care that the retrieval setup was developed in a way that inter-consistency with the retrieval setups of middle atmospheric measurement modes was maintained. UG provided and maintained the retrieval software and coded and maintained parts of the error estimation software. SK and ALi ran the retrievals. SK provided figures on the trace gas distributions and their temporal evolution. ALa contributed to quality control.

**Competing interests.** At least one of the (co-)authors is a member of the editorial board of *Atmospheric Measurement Techniques*. The peer-review process was guided by an independent editor, and the authors also have no other competing interests to declare.

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