



# Investigating the formation of soot in CH<sub>4</sub> pyrolysis reactor: A numerical, experimental, and characterization study

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## ABSTRACT

Methane pyrolysis is a promising method for eco-friendly hydrogen production, but soot formation and carbon interaction pose challenges for scaling up. Therefore, understanding the dynamics of soot formation and carbon deposition is crucial. This study delves into the intricacies of soot formation in methane pyrolysis under industrially relevant conditions, namely operations at atmospheric pressure, employing a H<sub>2</sub>:CH<sub>4</sub> ratio of 2 and exploring a range of hot zone temperatures (1473 K, 1573 K, 1673 K, and 1773 K) with a 5 s residence time. Utilizing a detailed gas-phase kinetic model with direct carbon deposition reactions, the research adopts the method of moments coupled with a one-dimensional plug flow reactor model to simulate soot formation. The model is validated by characterizing soot particles that were produced in a pyrolysis reactor by means of transmission electron microscopy, Dynamic light scattering (DLS), and Raman spectroscopy. Results show that lower temperatures lead to nucleation-dominated growth, whereas higher temperatures significantly restrain particle growth due to carbon deposition. DLS data indicate a complex balance between particle growth and deposition processes. These findings provide insights into operational parameters that can enhance reactor performance and sustainability in hydrogen production processes by mitigating soot and carbon deposition.

## 1. Introduction

As environmental concerns become increasingly urgent, the world is turning to sustainable energy solutions to reduce the impact of traditional fossil fuels [1–3]. While these fuels have been the primary energy source for decades, they contribute heavily to carbon dioxide (CO<sub>2</sub>) emissions, making CO<sub>2</sub> capture and storage crucial in combating global warming [4]. Currently, standard methods for hydrogen (H<sub>2</sub>) production, such as steam methane (CH<sub>4</sub>) reforming (SMR) [5,6], dry methane reforming (DMR) [7–9], auto thermal reforming of methane (ATR) [7], and catalytic partial oxidation of methane (CPOX) [10–13], emit more CO<sub>2</sub> and aggravate the problem [14–16]. On the other hand, CH<sub>4</sub> pyrolysis offers a CO<sub>2</sub>-free alternative for H<sub>2</sub> production by breaking down CH<sub>4</sub> into “turquoise H<sub>2</sub>” and solid carbon [17–23]. Moreover, the solid carbon can be used for various industrial applications without environmental harm [17,24–26]. Sequestration, or preferentially the use of accruing carbon for material production, significantly reduces the carbon footprint of H<sub>2</sub> gained by means of pyrolysis [27,28]. Renewable H<sub>2</sub>

production technologies, such as water splitting through green electrolysis, are now gaining traction as a viable alternative to fossil fuels [29,30]. However, it is worth noting that these technologies are still under development and can be expensive to operate on a large scale [31, 32]. These advancements are critical not only in addressing environmental concerns but also in shaping a carbon-neutral future.

The flow reactor system has become a prevalent choice for CH<sub>4</sub> pyrolysis, with research accounting for both H<sub>2</sub> and solid carbon production [22,23,33,34]. In addition, methane pyrolysis is performed using other reactor configurations such as packed-bed, fluidized bed, bubble column, and empty reactors [17,18,21,23,35,36,37]. However, a closer examination of CH<sub>4</sub> pyrolysis reveals intricate challenges, particularly concerning the formation and deposition of soot and carbon [23,38]. During the pyrolysis process, at elevated temperatures, the decomposition of CH<sub>4</sub> can lead to the generation of distinct carbon structures, including perfectly crystalline graphite-like deposits and non-graphitic structures [39–44]. Their formation can introduce operational complexities, such as reactor fouling, catalyst deactivation if heterogeneous

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catalysts are applied for CH<sub>4</sub> activation, and potential reactor blockages [23,26,45,46]. The nature, structure, and reactivity of the carbon formed can vary based on several factors, including operating conditions like CH<sub>4</sub> and H<sub>2</sub> concentration in the feed, pressure, temperature, etc. [22,43,47–49]. Given the potential implications of these factors on the efficiency and reliability of CH<sub>4</sub> pyrolysis, it becomes paramount to understand the underlying mechanisms of soot and carbon formation.

Several kinetic mechanisms have been proposed to describe soot and carbon deposit formation, reflecting the complexity of these processes. Traditionally, soot formation was attributed to take place via polycyclic aromatic hydrocarbons (PAHs) through the hydrogen-abstraction/acetylene-addition (HACA) mechanism [44,50,51]. This pathway involves the sequential growth of PAHs, leading to soot inception. However, recent studies [38,52–55] suggest alternative pathways, such as the clustering of hydrocarbons by radical-chain reactions (CHRCR) [52], where resonance-stabilized hydrocarbon radicals form carbon clusters. These clusters grow through low-barrier hydrogen-abstraction and hydrogen-ejection reactions, providing a more reactive and thermodynamically favorable route for soot formation [52,53,56–58]. This mechanism explains the formation of particles in conditions unsuitable for classical nucleation or simple van der Waals dimerization, addressing the limitations of older mechanisms that did not account for reactive intermediates or larger molecular structures. Moreover, studies also suggest that carbon deposition on reactor walls occurs through surface reactions [59,60] of light hydrocarbons like CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>2</sub>, which decompose at high temperatures to form graphite-like carbon deposits [22,23,61–63]. Both gas-phase mechanisms (HACA and CHRCR) and surface deposition reactions highlight the diverse pathways in soot and carbon formation, necessitating comprehensive modeling and simulation to better understand these phenomena.

Soot formation during gas-phase reactions is a multifaceted process involving nucleation, coagulation, sintering, surface growth, and condensation. Over time, scientific understanding of the origins of soot has evolved. While various theories have been proposed regarding soot formation pathways, it is now widely accepted that PAHs are key intermediates in soot formation [38]. The nucleation of soot particles is a complex process with multiple potential precursors. Although older studies suggested that the pyrene (C<sub>16</sub>H<sub>10</sub>) dimer could act as a primary soot nucleating species [51,64], more recent research [52,54,65–67] indicates that C<sub>16</sub>H<sub>10</sub> dimerization is not thermodynamically feasible at typical soot-formation temperatures and atmospheric pressure. Instead, for simplification, C<sub>16</sub>H<sub>10</sub> is often used as a proxy for soot inception in empirical models [51,68,69]. Moreover, recent studies have highlighted the roles of both lighter and heavier PAHs [70,71], as well as resonance-stabilized radicals and radical-chain reactions [52,55], which contribute to more reactive clustering mechanisms under these conditions. Following the particle inception phase, soot formation progresses through several stages, including coagulation, surface growth, and aggregation. The process begins with the transition from gas-phase soot precursors to liquid-like incipient particles, which then grow rapidly through both coagulation and gas-to-particle conversion [72]. Coagulation involves smaller particles colliding and sticking together to form larger particles, a process particularly prominent at higher temperatures where increased thermal energy enhances particle collision frequency [73–75]. During this stage, particles may undergo coalescence, merging into a single larger particle, or form aggregates that maintain their individual particle boundaries but are held together by van der Waals forces or chemical bonds. The shape and size of these aggregates significantly influence their coagulation dynamics and growth rates [73, 76,77]. Surface growth follows, involving the adsorption and reaction of gas-phase species, such as acetylene, on particle surfaces, which leads to further soot particle growth. This stage is often governed by mechanisms such as HACA [44,50,51] and CHRCR [52].

To simulate and understand the complexities of soot particle formation and growth, various modeling approaches have been developed. These include the monodisperse model [78–80], the method of moment

[44,81,82], the sectional method [34,83], the stochastic model [84], and the Galerkin model [85]. Among others, Frenklach et al. [50,51,86] used the method of moments and applied detailed chemical kinetics to study the formation and growth of soot particles via PAHs. Researchers have also employed various modeling approaches, including 1D, 2D, and 3D models, to simulate soot formation and growth in flow reactors [69,87–92]. One-dimensional (1D) plug flow reactor models are commonly employed due to their simplicity and reduced computational cost, but they often fail to capture the full scope of real-world reactor conditions, where significant radial gradients in temperature, species concentration, and particle sizes exist [69,92]. Recent studies [69,91] have implemented two-dimensional (2D) models that incorporate radial effects, providing a more accurate representation of soot and nanoparticle formation dynamics within laminar flow reactors. Additionally, three-dimensional (3D) computational fluid dynamics (CFD) models offer a comprehensive analysis by capturing interactions between flow fields, chemical reactions, and particle dynamics, improving soot formation predictions under diverse conditions [89,93]. Despite significant advancements in understanding the kinetics of soot formation during CH<sub>4</sub> pyrolysis, primarily through PAHs, there remains a gap in the comprehensive study of combined kinetics. Specifically, the interplay between soot formation via PAHs and direct carbon deposition reactions on reactor wall driven by light hydrocarbons has yet to be thoroughly explored.

This paper presents a comprehensive study of soot formation considering carbon deposition reaction in a CH<sub>4</sub> pyrolysis in an empty reactor using the method of moments, which comprises simultaneous chemical reactions, coagulation, and surface growth (see Fig. 1). A one-dimensional plug flow reactor (PFR) model was combined with the method of moments for a more holistic simulation of CH<sub>4</sub> pyrolysis. This integrated model incorporates the detailed gas-phase chemical kinetics of Appel, Bockhorn, and Frenklach (ABF) [51], along with direct surface deposition reactions of light hydrocarbons. Additionally, CH<sub>4</sub> pyrolysis experiments in the tubular reactor (without catalyst) under industrially relevant conditions were conducted to validate the model, and characterization techniques, including transmission electron microscopy (TEM), dynamic light scattering (DLS), and Raman spectroscopy, were employed to analyze the collected soot samples. Through this multifaceted approach, the study deepens the understanding of the intricate processes governing simultaneous carbon deposits on reactor wall and soot formation and paves the way for optimizing CH<sub>4</sub> pyrolysis reactors for cleaner and more efficient H<sub>2</sub> and carbon production.

## 2. Experimental methodology

### 2.1. Experiment

CH<sub>4</sub> pyrolysis experiments were conducted using a high-temperature-pressure reactor designed for kinetic measurements at temperatures up to 2073 K and pressures up to 10 bar and described in detail in previous publications [22,23,94]. The gas supply of the setup is realized by means of mass flow controllers (Bronkhorst). The reaction takes place in an  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> tubular plug flow reactor of 1 m length and 0.2 m inner diameter within a stainless-steel vessel, heated electrically to create a 0.4 m hot zone spanning from 0.35 m to 0.75 m, and the experiments were conducted with a residence time of 5 s in the hot zone. The effluent gas was analyzed using an HPR-20 mass spectrometer (Hiden Analytical), and soot was collected at the reactor bottom for further analysis. A 2:1 molar ratio of H<sub>2</sub>:CH<sub>4</sub> was used as the inlet reaction gas mixture and the content of H<sub>2</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, and C<sub>6</sub>H<sub>6</sub> was analyzed in the outlet gas stream. The addition of H<sub>2</sub> in the feed enabled steady-state operation by reducing the rate of solid carbon formation on reactor wall, allowing for reactor operation over extended periods. The experiments were performed under atmospheric pressure, with hot zone temperature varied at 1473 K, 1573 K, 1673 K, and 1773 K.

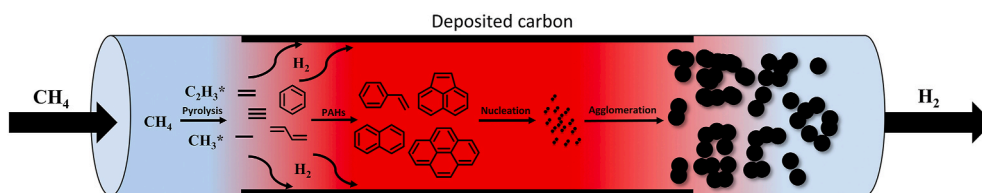


Fig. 1. Schematic overview of the soot formation and carbon deposition on reactor wall in empty tube CH<sub>4</sub> pyrolysis reactor. (A colour version of this figure can be viewed online.)

## 2.2. Characterization

In order to comprehensively understand the properties of the soot samples collected at the bottom of the reactor, Raman spectroscopy, TEM, and DLS analysis were applied. Raman spectroscopy, which is sensitive to both crystal and molecular structures, was used to determine the different carbon allotropes and disordered varieties present in the samples [95–97]. TEM was used to investigate the aggregate shape and the size of primary particles by providing high-resolution images of the soot samples. DLS analysis was performed to measure the hydrodynamic diameter of particles by analyzing their Brownian motion and correlating it to their size. More detailed information on the characterization is provided in Ref. [22]. The characterization results were used to improve and validate the soot formation model by comparing the model predictions with the experimental results, hereby providing a better understanding of the soot formation process in the CH<sub>4</sub> pyrolysis reactor.

## 3. Modeling approach and numerical simulation

### 3.1. Chemical kinetics

The Appel et al. [51] (ABF) reaction mechanism is used to simulate CH<sub>4</sub> pyrolysis. The original mechanism comprises 544 reactions and 101 species in the gas-phase. After adaption for CH<sub>4</sub> pyrolysis, i.e. by removing all the oxygen-containing reactions, the modified version contains 247 reactions and 76 species [98]. It primarily focuses on C1 and C2 hydrocarbons, incorporating carbon-carbon coupling reactions to form linear hydrocarbons and benzene (C<sub>6</sub>H<sub>6</sub>). In ABF mechanism, the pathway for C<sub>16</sub>H<sub>10</sub> formation involves several steps, beginning with the formation of C<sub>6</sub>H<sub>6</sub> through propargyl radical, C<sub>4</sub>H<sub>x</sub>, and C<sub>6</sub>H<sub>x</sub> pathways that include cyclization reactions. The growth of PAHs up to C<sub>16</sub>H<sub>10</sub> occurs via HACA mechanism, progressing through intermediates such as naphthalene and phenanthrene. Sequential additions of C<sub>2</sub>H<sub>2</sub> or C<sub>4</sub>H<sub>4</sub> facilitate aromatic ring growth, with key radicals like phenyl, benzyne, phenylacetylene, and biphenyl playing crucial roles in this process, ultimately leading to pyrene formation (see Ref. [98] for more details). As mentioned earlier, recent studies [65,99–102] suggest that, in addition to HACA, mechanisms like CHRRCR [52] are also significant in the growth of PAHs, including C<sub>16</sub>H<sub>10</sub>. The CHRRCR mechanism involves the formation of larger hydrocarbon clusters from smaller radicals through low-barrier hydrogen-abstraction and hydrogen-ejection reactions, providing a more reactive route for soot inception and growth. In addition to ABF, with recent advancements in the field of soot modeling, more detailed mechanisms like Pejpichestakul et al. (CRECK) [103] and Blanquart et al. (Caltech) [104] could be explored in future studies. However, the ABF mechanism offers a computational advantage over these more complex mechanisms, making it attractive for scale-up studies. Although pyrene dimerization is not thermodynamically favorable and does not lead to nucleation under typical soot-formation conditions, the present study uses it as an empirical proxy to represent the physical mechanism of soot inception. In the current model, the chemical source term used is the net production rate of the C<sub>16</sub>H<sub>10</sub> dimers as a proxy for soot inception. The nucleation rate is assumed to be the collision rate of two A4 (C<sub>16</sub>H<sub>10</sub>) molecules in the free-molecular

region, with a van der Waals enhancement factor of 2.2 considered [44,105–107]. More information on the kinetics is provided in Refs. [22, 98].

Soot surface growth in CH<sub>4</sub> pyrolysis is governed by several key mechanisms, including HACA [51,86], PAHs adsorption [86,108–110], and CHRRCR [38,52–55]. The HACA mechanism, developed by Frenklach et al. [51,86], proposes that soot particles grow through the addition of carbon atoms to their surfaces via hydrogen abstraction from gas-phase precursor molecules, followed by acetylene addition. In addition to the HACA mechanism, the adsorption of larger hydrocarbons, particularly PAHs, onto soot surfaces contributes significantly to soot growth. PAH adsorption involves the collision and adherence of PAH molecules onto soot particles, enhancing mass growth, especially under conditions favorable for the condensation of larger aromatic species. For our present study, the HACA surface growth mechanism was modified by eliminating the oxygen-related reactions (summarized in Table S1). It should be noted that this study assumes soot surface growth occurs primarily on primary particles rather than on aggregates [86]. Additionally, the fraction of surface site ( $\alpha$ ) parameter (Equation S1) was tuned to 0.2 to achieve a better fit with the experimental results, particularly when considering the effects of direct carbon deposition reactions.

As mentioned in the introduction, direct carbon deposition on the reactor wall is a significant factor, alongside soot formation, during CH<sub>4</sub> pyrolysis. This deposition is caused by surface reactions involving light hydrocarbons from gas-phase, namely CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>4</sub>H<sub>6</sub> and C<sub>6</sub>H<sub>6</sub> [35,62,63]. These small hydrocarbons are assumed to deposit on the reactor wall surfaces due to their high reactivity and abundance under pyrolysis conditions [59,20,111,112]. Unlike larger PAHs, which are generally associated with the inception of soot particles, the deposition on reactor walls is primarily driven by smaller hydrocarbons that can readily undergo surface reactions, particularly on the hot surfaces of the reactor. The deposition mechanism involves the adsorption of these small hydrocarbons onto the reactor walls, followed by surface reactions such as HACA mechanism, which integrate them into the growing carbon structure [59,61,62,63,111,43,112,113]. This process is effective because smaller hydrocarbons can easily chemisorb and react on the surface, leading to the formation of solid carbon, while the role of PAHs in direct surface deposition remains minimal [59,111,20]. These surface reactions are also prone to H<sub>2</sub> inhibition [114]. The reaction mechanism was initially proposed by Becker et al. [115] and later refined by Li and Deutschmann [63]. The kinetic mechanism employed for this study can be found in Table S2. More detailed information on the kinetic mechanism of carbon deposition on reactor wall is provided in Ref. [35].

### 3.2. Plug flow reactor

The CH<sub>4</sub> pyrolysis experiments in the tubular reactor were simulated using a one-dimension PFR model, which was implemented as FORTRAN code and coupled with the DETCHEM software package [22, 116,117]. The model simulates the chemically reacting flow of a gas mixture under steady-state conditions, and it solves continuity equations, species conservation equations, and equations of state using a differential-algebraic solver (LIMEX) [118]. The model assumes no changes in radial properties and plug flow behavior, which is supported

by the Peclet number for heat and mass ( $Pe^* \frac{R}{z} > 1$ ) values used in this study [69,117,119,120].

The model allows for axial changes in gas properties while assuming no change in radial properties.

The equation of continuity, represented by Equation (1), is used to calculate mass flux in a system.

$$\frac{d(\rho u)}{dz} = P \sum_{i \in S_g} M_i \dot{s}_i \quad (1)$$

Where,  $\rho$  is the gas-phase density,  $M_i$  is the molar mass of species  $i$ ,  $z$  is the axial coordinate,  $S_g$  is the set of gas-phase species,  $\dot{s}_i$  is the surface reaction rate at the reactor wall for species  $i$ ,  $u$  is the flow velocity, and  $P$  is the chemically active perimeter per unit length. Herein,  $\dot{s}_i$  is the molar production rate of species  $i$  by the surface reaction (carbon deposition reactions) at the reactor wall is given by (2).

$$\dot{s}_i = \sum_{k \in R_s} \nu_{ik} k_k \prod_{j \in S_g \cup S_s} c_j^{\nu_{jk}} \quad (2)$$

Herein,  $R_s$  represents the set of the reactor involving reactor wall surface carbon deposition, and  $S_s$  denotes the sets of surface species. The stoichiometric coefficients are represented by  $\nu_{ik}$ , while  $\nu_{jk}$  indicates the reaction orders (equal to the stoichiometric coefficient of the reactants). The concentration of species  $i$  is denoted by  $c_i$  given as  $\text{mol m}^{-2}$  for deposited surface species and  $\text{mol m}^{-3}$  for gas-phase species. Additionally,  $k_k$  represent the rate constant of reaction  $k$ . Along with Equation (1), the species conservation Equation (3) is solved.

$$\rho u \frac{dY_i}{dz} + Y_i P \sum_{i \in S_g} M_i \dot{s}_i = M_i (P \dot{s}_i + \dot{\omega}_i) \quad (3)$$

where,  $Y_i$  is the mass fraction. The rate of gas-phase reaction ( $\dot{\omega}_i$ ) of the species  $i$  is calculated as shown in (4).

$$\dot{\omega}_i = \sum_{k \in R_g} (\nu_{ik}^r - \nu_{ik}^f) k_k \prod_{j \in S_g} c_j^{\nu_{jk}} \quad (4)$$

where,  $\nu_{ik}^f$  and  $\nu_{ik}^r$  are the stoichiometric coefficients of the forward and reverse reactions. Furthermore, for present study, the energy balance equation is not solved. Instead, the experimentally measured temperature profile within the reactor is used as input.

### 3.3. Method of moments

The technical optimization of the  $\text{CH}_4$  pyrolysis process critically depends on effectively modeling the overall process to control soot formation. Focusing on soot suppression in the gas phase is crucial as it ensures the high purity of the resulting  $\text{H}_2$  by reducing particulate contamination, while promoting carbon deposition on the reactor walls allows for controlled carbon formation that is easier to manage. The method of moments (MOM) is a prominent model that tracks the dynamics of soot particle size and number density [38,44,74,75,121–125]. These equations are derived from the kinetic theory of gases, and take into account the effects of nucleation, growth, and coagulation of soot particles. In the context of  $\text{CH}_4$  pyrolysis, the MOM model provides insights into soot formation mechanisms and process optimization by considering variables such as temperature, pressure, and reactant concentration.

Instead of solving for the entire particle size distribution (PSD), the present study focuses on solving the first three moments ( $M_0$ ,  $M_1$ , and  $M_2$ ) that capture the most important aspects of the process (see SI, Equations (S2)–(S4)). Here,  $M_0$  represents the total number concentration,  $M_1$  represents the total volume concentration, and  $M_2$  represents the total surface area concentration of the soot particles. The governing equations for the log-normal moment model were written in a

dimensionless form in terms of moment change rates. The zeroth moment ( $M_0$ ) represents the total particle number concentration ( $N$ ) and is affected by nucleation and coagulation and its rate of change shown in Equation (5).

$$\frac{dN}{d\theta} = I - \xi N^2 \quad (5)$$

where  $N$  is the dimensionless particle number concentration, which is the ratio of  $M_0$  (total particle number concentration) to the soot monomer concentration ( $n_m$ ). The variable  $\theta$  represents the dimensionless residence time, which is a ratio of the residence time ( $t$ ) to a characteristic time for particle growth ( $\tau$ ). For the present MOM model, the  $\tau$  for particle growth is defined via Equation (6).

$$\tau = \left( n_m a_m \sqrt{\frac{k_B T}{2\pi m_m}} \right)^{-1} \quad (6)$$

Herein,  $a_m$  and  $m_m$  are the surface area and mass of the soot monomer. The  $I$  term in Equation (5) represents the dimensionless nucleation rate. It is calculated as shown in Equation (7), where the soot nucleation rate ( $I_{\text{nucl}}$ ) is derived from the net production rate of pyrene dimer (a proxy for soot inception).

$$I = \frac{I_{\text{nucl}}}{(n_m / \tau)} \quad (7)$$

Furthermore,  $\xi$  (second term in Equation (5)) stands for dimensionless coagulation coefficient. Primarily, for conditions applied in this study, the  $\text{Kn} = \lambda / d_p$  is in the range of 10–40 which corresponds to the transition regime, where  $\lambda$  is mean free path and  $d_p$  is characteristic length scale of the particle [126,127]. Therefore, coagulation coefficients are calculated as the harmonic average of coefficients in the free molecular ( $\xi_{\text{FM}}$ ) and continuum regimes ( $\xi_{\text{C}}$ ) (see SI, Equations (S5)–(S9)). The model can dynamically determine the appropriate regime based on the calculated  $\text{Kn}$ :  $\text{Kn} < 1$  corresponds to the continuum regime,  $\text{Kn} > 50$  corresponds to the free molecular regime, and  $1 < \text{Kn} < 50$  indicates the transitional regime.

The first moment ( $M_1$ ) represents the particle volume concentration ( $V_1$ ) and is affected by nucleation and surface growth, and its rate of change is shown in Equation (8).

$$\frac{dV_1}{d\theta} = I + W \quad (8)$$

where  $V_1$  is the ratio of  $M_1$  to  $n_{\text{sat}}$  times  $v_m$  (volume of soot monomer) and  $W$  is dimensionless soot surface growth rate. The second moment ( $M_2$ ) represents the total particle surface area concentration ( $V_2$ ) and is affected by nucleation, surface growth, and coagulation, as shown in Equation (9).

$$\frac{dV_2}{d\theta} = I + 2\zeta V_1^2 + W \quad (9)$$

where  $\zeta$  is the dimensionless coagulation coefficient and is calculated as the harmonic average of coefficients in the free molecular ( $\zeta_{\text{FM}}$ ) and continuum regimes ( $\zeta_{\text{C}}$ ) (see SI, Equations (S10)–(S13)). Assuming spherical particles, the mean particle diameter ( $d_{\text{p,soot}}$ ) can be calculated based on  $M_0$ ,  $M_1$  and  $\rho_{\text{soot}}$  (density of the soot particles) using Equation (10).

$$d_{\text{p,soot}} = \left( \frac{6m_m M_1}{\pi \rho_{\text{soot}} N_A M_0} \right) \quad (10)$$

The PSD is assumed to follow a log-normal distribution, which allows the geometric mean volume ( $v_g$ , Equation (11)) and geometric standard deviation ( $\sigma_g$ , Equation (12)) to be expressed in terms of the first three moments ( $M_0$ ,  $M_1$  and  $M_2$ ) of the PSD.

$$v_g = \frac{M_1^2}{M_0^{3/2} M_2^{1/2}} \quad (11)$$

and,

$$\ln^2 \sigma_g = \frac{1}{9} \ln \left( \frac{M_0 M_2}{M_1^2} \right) \quad (12)$$

## 4. Results and discussion

### 4.1. Gas-phase species profile

The experiments were conducted in the above-mentioned high-temperature setup under atmospheric conditions using a H<sub>2</sub>:CH<sub>4</sub> feed gas ratio of 2 with a residence time of 5 s in the hot zone. Hot zone temperatures of 1473 K, 1573 K, 1673 K, and 1773 K were set, resulting in temperature profiles shown in Fig. 2.

Fig. 3 illustrates the experimentally measured and numerically simulated mole fraction of gas-phase species (H<sub>2</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, and C<sub>6</sub>H<sub>6</sub>) as a function of hot zone temperature. It is important to note that the mole fractions of experimentally measured major gas-phase species are subject to error due to measurement uncertainties [49]. The simulations were conducted using a 1D plug flow reactor model with detailed chemical kinetics (ABF), with or without consideration of direct carbon deposition reactions. The CH<sub>4</sub> pyrolysis experiments demonstrate the impact of temperature on CH<sub>4</sub> conversion and product formation. The comparison between two scenarios highlights the significant role that direct wall-carbon deposition reactions from light hydrocarbons [35,59–61] play in CH<sub>4</sub> conversion: Especially as the hot zone temperature increases, the simulations that neglect wall-carbon deposition deviate from the experimental data. This deviation is due to the increasing relevance of heterogeneous reaction pathway [35], specifically direct wall-carbon deposition reactions (Table S2). Additionally, there is the potential contribution of the CHRCR (Clustering of Hydrocarbons by Radical-Chain Reactions) mechanism [52], which involves radical-chain reactions of hydrocarbons like C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>2</sub> and offers another plausible pathway for carbon formation [52,53]. Both mechanisms emphasize the significant influence of light hydrocarbons in CH<sub>4</sub> pyrolysis, underscoring the need for further investigation into their precise roles. The experimental result (Fig. 3(a)) shows that H<sub>2</sub> yield correlates closely with CH<sub>4</sub> conversion. As the temperature rose from 1473 K to 1773 K, CH<sub>4</sub> conversion increased significantly from 56

% (1.21 mol-%) to 96 % (0.11 mol-%), hereby underscoring that elevated temperatures favor the endothermic pyrolysis reaction. Similarly, the yield of H<sub>2</sub>, the primary gas-phase product, increased from 54 % (87.11 mol-%) at 1473 K to 95 % (98.63 mol-%) at 1773 K. The simulations (without carbon deposition reactions) predict an initial increase in H<sub>2</sub> production (84 mol-%) only up to 1573 K, followed by a decrease in H<sub>2</sub> mole fractions (80 mol-% at 1773 K) (Fig. 3(a)). This decrease is primarily caused by reversible reactions of light hydrocarbons taking place in the post-hot zone of the reactor, where the negative temperature gradient (~1000 K) triggers reverse gas-phase reactions (hydrogenation) of hydrocarbons like C<sub>2</sub>H<sub>2</sub>, that consume H<sub>2</sub> and forms CH<sub>4</sub> [98]. In contrast, the numerical prediction matches well with the experimental data for major species (H<sub>2</sub> and CH<sub>4</sub>) once the deposition reactions are incorporated into the model (Fig. 3(a)). Intriguingly, no clear trend is observed for minor gas-phase species (Fig. 3(b) and (c)). At 1473 K, Fig. 3(b), C<sub>2</sub>H<sub>2</sub> was found to be 0.2 mol-%, which then decreased to an almost negligible value at 1573 K, before increasing again to 0.1 mol-% at 1673 K and 0.15 mol-% at 1773 K. Furthermore, C<sub>2</sub>H<sub>4</sub> with a 0.6 mol-% peaks at 1673 K, but decreases substantially by 1773 K, indicating further decomposition at higher temperatures. In contrast, Fig. 3(c), C<sub>2</sub>H<sub>6</sub> remains present across all temperatures but decreases as the temperature rises. Except at 1473 K, C<sub>6</sub>H<sub>6</sub> is found only in trace amounts. Additionally, it is important to note that the concentrations of these gaseous by-products are close to the calibration limits of the analytical instruments, with an estimated measurement error of up to ±5 %, limiting the precision to general trends [49].

Overall, simulations that include carbon deposition reactions from light hydrocarbons on the reactor wall show better agreement with the experimental results. The significant influence of light hydrocarbon species in CH<sub>4</sub> pyrolysis is highlighted, suggesting the necessity for further investigation into their specific roles and interactions to refine the understanding of carbon formation pathways.

### 4.2. Spatially-resolved species profile

Fig. 4 depicts the numerically simulated axially resolved gas-phase species profiles within the CH<sub>4</sub> pyrolysis reactor. The full reactor simulations were performed at hot zone temperatures ranging from 1473 K to 1773 K (including preheat and post-hot zone, c. f. Fig. 2), either without or with incorporation of carbon deposition reactions (Table S2).

Numerically simulated, Fig. 4(a)–(d), profiles of H<sub>2</sub> and CH<sub>4</sub> mole fractions change immediately once the feed gas enters the hot zone entrance of the reactor: CH<sub>4</sub> is decomposed, which results in H<sub>2</sub> formation, with increased H<sub>2</sub> production at higher temperatures. Notably, simulations without carbon deposition show a post-hot zone decline in CH<sub>4</sub> conversion, which can be attributed to reversible reactions, specifically the hydrogenation reaction of gas-phase species like C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>6</sub>, at temperatures around 1000 K [98]. In contrast, simulations that take carbon deposition into account predict only minor decreases in CH<sub>4</sub> conversion, which suggest the competitive rate between direct deposition and reversible gas-phase reactions in post-hot zone of the reactor.

Fig. 4(e)–(h) focuses on the minor gas-phase species C<sub>2</sub>H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub>. The simulations are consistent with previous studies [21,23,98,128] and emphasize the critical role of C<sub>2</sub>H<sub>2</sub> as an intermediate. In both scenarios (with or without carbon deposition reactions), C<sub>2</sub>H<sub>2</sub> concentrations peak within 1–3 mm of the hot zone with up to 11 mol-% within hot zone of the reactor before declining due to forward reactions that lead to PAHs formation and soot surface growth. The decline is more pronounced in simulations that take carbon deposition reactions into account, particularly underscoring its increased consumption at higher temperatures. Conversely, C<sub>2</sub>H<sub>4</sub>, the second most significant by-product after C<sub>2</sub>H<sub>2</sub>, is formed in smaller quantities. However, its formation is delayed with respect to position in the reactor due to its involvement in carbon deposition reactions. Furthermore, under both conditions, it can be observed that as the temperature increases the formation C<sub>2</sub>H<sub>4</sub> shifts

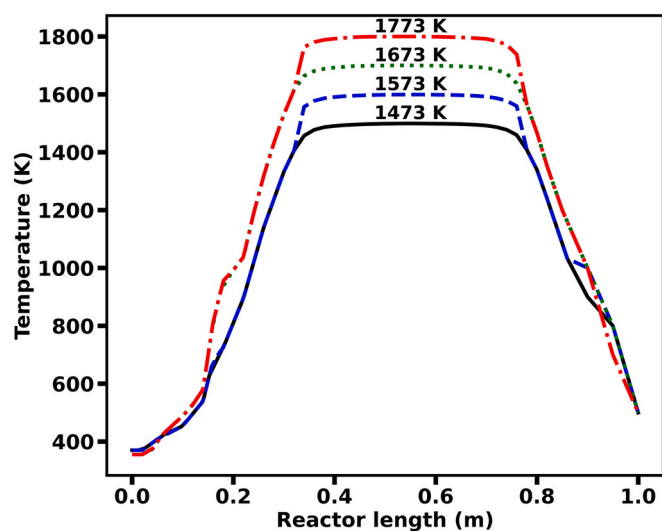


Fig. 2. Experimentally measured temperature at inlet gas feed composition of H<sub>2</sub>:CH<sub>4</sub> = 2:1 and hot zone temperature of 1473 K, 1573 K, 1673 K, and 1773 K. (A colour version of this figure can be viewed online.)

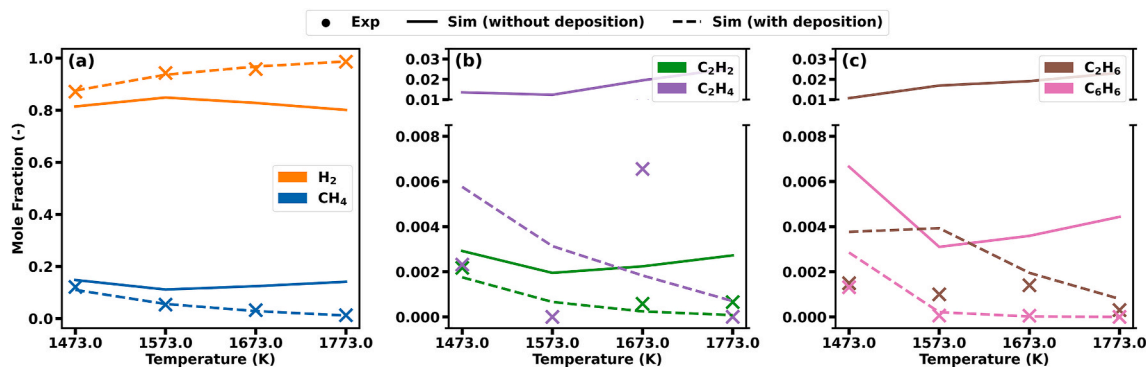


Fig. 3. Comparison of experimentally measured end-of-pipe and numerical results obtained either under consideration of wall-carbon deposition (dashed line) or without direct carbon deposition reactions (solid line) of (a)  $\text{H}_2$  and  $\text{CH}_4$ , (b)  $\text{C}_2\text{H}_2$  and  $\text{C}_2\text{H}_4$  and (c)  $\text{C}_2\text{H}_6$  and  $\text{C}_6\text{H}_6$  gas-phase species as a function of temperatures; inlet gas feed composition of  $\text{H}_2:\text{CH}_4 = 2:1$  and residence time of 5 s. (A colour version of this figure can be viewed online.)

close to the entrance of the hot zone of the reactor. In the hot zone of the reactor,  $\text{C}_2\text{H}_4$  is formed and subsequently consumed to form  $\text{C}_2\text{H}_2$  species via the  $\text{C}_2\text{H}_3$  intermediate. However, simulations incorporating direct carbon deposition reactions indicate a higher consumption rate of  $\text{C}_2\text{H}_4$ , as it also contributes to the formation of solid carbon. In the post-hot zone of the reactor, there is a transient increase and subsequent decrease in  $\text{C}_2\text{H}_4$  as it is consumed to reform  $\text{CH}_4$ . The  $\text{C}_2$  species ( $\text{C}_2\text{H}_4$  and  $\text{C}_2\text{H}_2$ ) are partly consumed via reversible reactions to form intermediates,  $\text{C}_2\text{H}_3$ ,  $\text{C}_2\text{H}_6$ , and  $\text{CH}_3$  radical, which lead to  $\text{CH}_4$  formation [98].

Lastly, Fig. 4(i)–(l) presents the mole fractions of  $\text{C}_6\text{H}_6$  and  $\text{C}_{16}\text{H}_{10}$ . The simulation results show that the absolute peak mole fractions of  $\text{C}_{16}\text{H}_{10}$  formed is comparatively lower by orders of magnitude compared to other light hydrocarbons and  $\text{C}_6\text{H}_6$ . It should be noted that, due to experimental limitations, the concentration of  $\text{C}_{16}\text{H}_{10}$  could not be measured. Nevertheless, they are of high relevance as soot precursors. As the gas feed enters the hot zone of the reactor,  $\text{C}_6\text{H}_6$  is produced via the  $\text{C}_3\text{H}_3$  recombination reaction among others [98]. Within the hot zone of the reactor,  $\text{C}_6\text{H}_6$  is consumed to form the PAHs (up to  $\text{C}_{16}\text{H}_{10}$ ), and its consumption increases with an increase in temperature. Following this,  $\text{C}_6\text{H}_6$  reformation is observed in the post-hot zone. In addition to the  $\text{C}_2$  and  $\text{C}_4$  species, the formation of  $\text{C}_6\text{H}_6$  can be attributed to reversible breakdown of larger hydrocarbons or PAHs in post-hot zone, driven by negative temperature gradients [98]. In contrast, the simulation performed taking carbon deposition reactions,  $\text{C}_6\text{H}_6$  consumed at even higher rate via direct carbon deposition reaction (Table S2) which also increases with increasing in temperature. Analogous to simulation without direct carbon deposition reaction,  $\text{C}_6\text{H}_6$  formation take place in the post-hot zone of the reactor; however, it is three order of magnitude lower. Furthermore, the mole fraction of  $\text{C}_{16}\text{H}_{10}$  (Fig. 4(i)–(l)), which acts as a primary soot precursor (a proxy for soot inception), increases in the hot zone at first, but then diminishes due to the formation of  $\text{C}_{16}\text{H}_{10}$  dimers and subsequent soot growth. Notably, Fig. S1 shows that with an increase in temperature, the formation of gas-phase  $\text{C}_{16}\text{H}_{10}$  initiates earlier and becomes more pronounced. It can also be observed that the amount of  $\text{C}_{16}\text{H}_{10}$  formed within the hot zone is higher in simulations excluding carbon deposition. This can be attributed to the availability of precursors ( $\text{CH}_4$ ,  $\text{C}_2\text{H}_6$ ,  $\text{C}_2\text{H}_4$ ,  $\text{C}_2\text{H}_2$ ,  $\text{C}_4\text{H}_6$ , and  $\text{C}_6\text{H}_6$ ) that are otherwise consumed during carbon deposition. Followed to this, the mole fraction of  $\text{C}_{16}\text{H}_{10}$  begins to decrease as soot precursors start to form. Furthermore, in the post-hot zone of the reactor, reversible reactions induced by negative temperature gradients lead to the breakdown of  $\text{C}_{16}\text{H}_{10}$  smaller PAHs and  $\text{C}_6\text{H}_6$  (Fig. 4(i)–(l)). The significant increase of  $\text{C}_{16}\text{H}_{10}$  at higher temperatures indicates the complex reaction networks, HACA, that contribute to soot precursor formation. Alternatively, the CHRCR mechanism [52] offers another pathway for carbon growth. In this mechanism, hydrocarbons like  $\text{C}_2\text{H}_4$  participate in radical-chain reactions involving

resonance-stabilized radicals, such as  $\text{C}_2\text{H}_4$  and  $\text{C}_2\text{H}_2$ , leading to the formation of solid carbon clusters. This indicates that under certain conditions, hydrocarbons like  $\text{C}_2\text{H}_4$  do not merely decompose but contribute to the growth of solid carbon through radical-chain reactions. Further study is needed to determine which mechanism predominates under the specific conditions of  $\text{CH}_4$  pyrolysis. While this study shows that incorporating carbon deposition reactions improves agreement with experimental data, further research is essential to clarify the dominant mechanisms under different  $\text{CH}_4$  pyrolysis conditions.

#### 4.3. Effect of wall-carbon deposition reactions on soot formation

Expanding upon the knowledge gained from the behavior of gas-phase species, attention shifts to the intricate processes involved in soot formation. While PAHs have traditionally been considered critical intermediates in soot formation [50,51,124], recent studies suggest that closed-shell PAH species may not be the primary drivers. Instead, resonance-stabilized radicals (RSRs) and radical-chain reactions, such as CHRCR, are increasingly recognized as playing crucial roles in carbonaceous particle growth [38,56–58,129–132]. These radicals are involved in reactive clustering mechanisms (CHRCR), where they react with other hydrocarbons to form covalently bound clusters. These clusters undergo further growth through low-barrier hydrogen-abstraction and hydrogen-ejection reactions [52,54], contributing significantly to soot formation. Despite this, considering pyrene as a proxy for soot inception still provides a good approximate estimation of the onset of soot formation, as it captures the transition from gas-phase chemistry to the formation of nascent soot particles under typical combustion conditions [55,133]. For the present study the method of moments is utilized to analyze the formation and aggregation of soot, employing ABF chemical kinetics with  $\text{C}_{16}\text{H}_{10}$  dimers as a proxy for soot inception. As discussed above, the direct carbon deposition reactions play a significant role during  $\text{CH}_4$  pyrolysis, making it crucial to investigate their impact on the soot formation process.

Fig. 5 demonstrates the effect of wall-carbon deposition reactions on soot nucleation rates at various hot zone temperatures. Furthermore, the arrow between two curves shows the percentage change calculated based on the area under the curve method. In both scenarios, with and without carbon deposition reactions, an increase in temperature within the hot zone leads to an increase in soot nucleation rates (Fig. 5). Initially, there is a sharp rise in soot nucleation rate as the gas enters the hot zone, which then declines due to the reduced availability of  $\text{C}_{16}\text{H}_{10}$  (Fig. 4(i)–(l)). The numerical results accounting for carbon deposition reactions show a substantially lower nucleation rate when compared to the scenario without deposition, irrespective of the reactor temperature. This suggests that the formation of  $\text{C}_{16}\text{H}_{10}$  is hindered as the precursor species, specifically  $\text{C}_2$  and  $\text{C}_4$  hydrocarbons, are consumed in direct carbon deposition reactions, as shown in Fig. 4. Additionally, the rate of

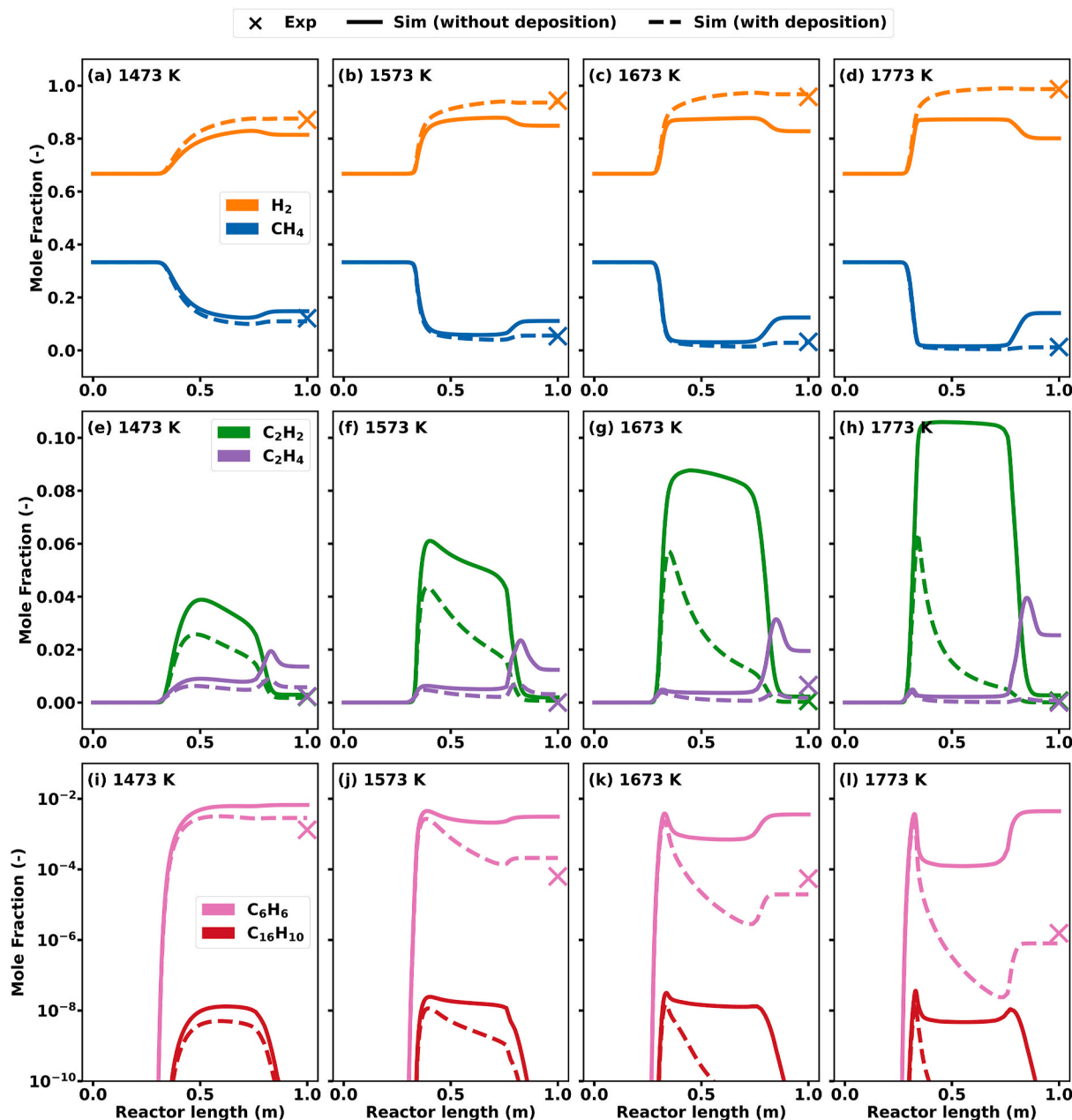


Fig. 4. Numerically predicted axially resolved profiles of gas-phase species mole fractions (with and without carbon deposition reactions) at different hot zone temperatures as well as experimental end-of-pipe data. Panels (a)–(d) display the profiles for  $\text{H}_2$  and  $\text{CH}_4$ , panels (e)–(h) for  $\text{C}_2\text{H}_2$  and  $\text{C}_2\text{H}_4$ , and panels (i)–(l) for  $\text{C}_6\text{H}_6$  and  $\text{C}_{16}\text{H}_{10}$ , each set corresponding to the reactor temperatures of 1473 K, 1573 K, 1673 K, and 1773 K, respectively. (A colour version of this figure can be viewed online.)

carbon deposition reactions intensifies with increasing temperature, resulting in a further decrease in the nucleation rate of soot. Furthermore, in the post-hot zone of the reactor, the simulation taking carbon deposition reactions into account indicates that the reversible reactions have no considerable effect on the soot nucleation rate, as evidenced by an increased nucleation rate in the post-hot zone (Fig. 5(c) and (d)) when compared with the simulation without carbon deposition reactions. Overall, incorporating direct carbon deposition reaction leads to an approximately 90 % decrease in nucleation rate. This considerable reduction underscores the importance of including carbon deposition reactions in studies of soot formation in  $\text{CH}_4$  pyrolysis systems.

Examining the rates of soot nucleation with and without carbon deposition reactions lays the foundation for exploring the initial and subsequent phases of soot evolution, specifically the concentration of

particle numbers and the rate of coagulation, which are key information for predicting the formation and growth of soot particles. It is observed that, upon entry into the hot zone, the particle concentration increases sharply, indicating robust nucleation influenced by increased thermal conditions, as shown in Fig. 6(a)–(d). After reaching its peak, the aggregate particle number concentration begins to decrease, signaling a transition from nucleation to coagulation. Furthermore, the peak particle concentration shifts closer to the hot zone entrance with an increase in hot zone temperatures, as shown in Fig. 6(b)–(d). This shift is attributed to an acceleration of nucleation kinetics (dimer formation, Fig. 5), influenced by harsher thermal conditions.

Fig. 6 demonstrates that initially, both particle (aggregate) concentration and coagulation rates increase as the gas enters the hot zone. However, the total aggregate particle number concentration (after

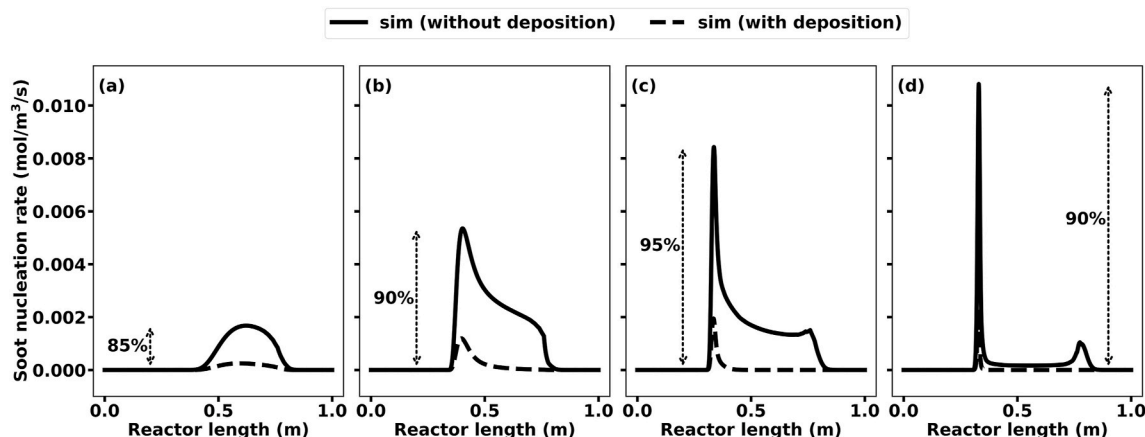


Fig. 5. Effect of direct carbon deposition reactions (reactor wall) on axially resolved soot nucleation profile (net production rate of  $C_{16}H_{10}$  dimer) at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. Vertical arrow shows percentage change in soot nucleation rate calculated based on area under the curve. (A colour version of this figure can be viewed online.)

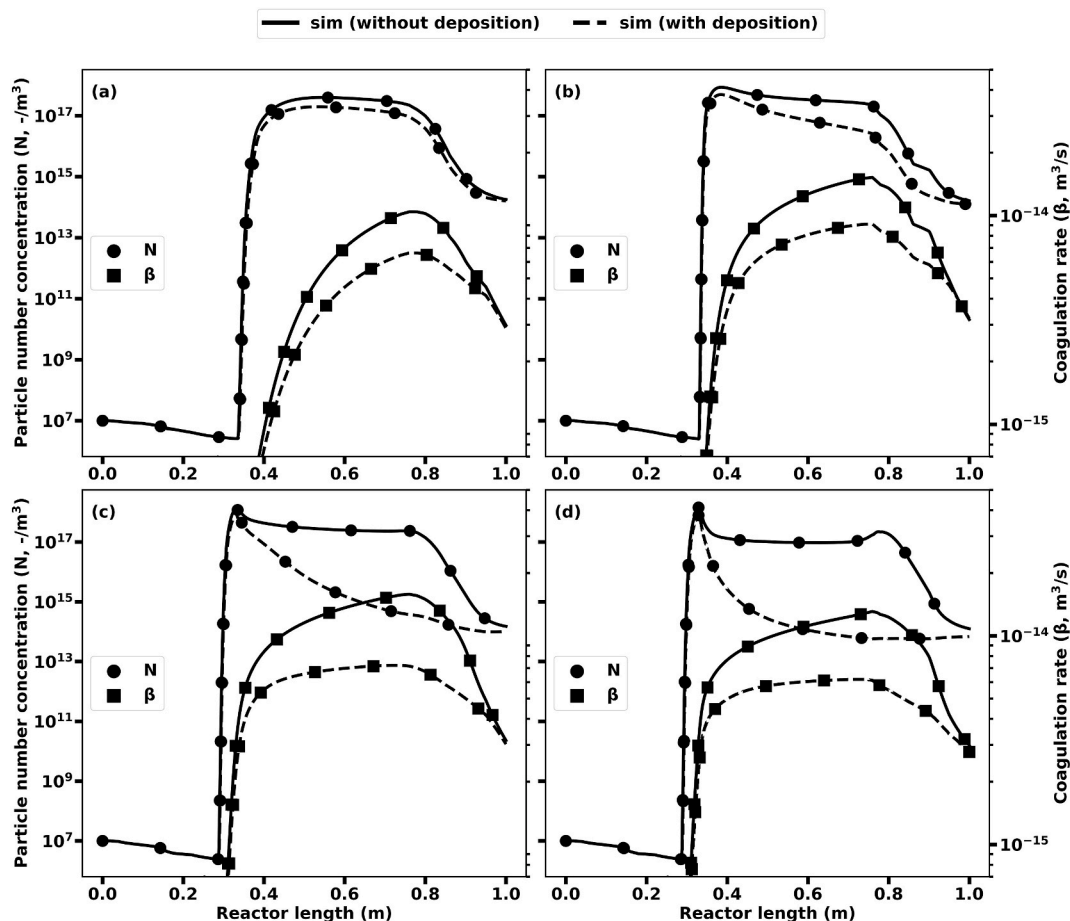


Fig. 6. Total particle (aggregate) number concentration (primary y-axis) and coagulation rate (secondary y-axis) along the reactor axis at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. (A colour version of this figure can be viewed online.)

reaching its peak within 1–3 mm of the hot zone) begins to decrease due to a decrease in  $C_{16}H_{10}$  dimer formation rate. This subsequently leads to an increase in the coagulation rate, demonstrating an inverse relationship between particle number concentration and coagulation rate. In the post-hot zone of the reactor, after coagulation rate reaches its peak, both aggregate particle number concentration and coagulation rate starts to decrease, suggesting a complex interdependence rather than a straightforward inverse relationship. The coagulation process is

particularly prominent at higher temperatures (Fig. 6(c) and (d)), underscoring the role of thermal energy in facilitating particle collisions and subsequent growth. While the coagulation rates in Fig. 6 may seem relatively constant across varying temperatures, a closer examination reveals that the peak coagulation rates shift closer to the entrance of the hot zone as the temperature increases. This shift indicates enhanced coagulation early in the reactor length at higher temperatures. Additionally, the coagulation rate within the hot zone increases with



temperature, demonstrating the effect of enhanced thermal conditions on particle interactions and growth. However, by the end of the reactor, the coagulation rates at all temperatures converge, reflecting the depletion of available precursors and the saturation of coagulation processes.

On the other hand, simulations incorporating carbon deposition reactions consistently demonstrate a lower particle (aggregate) number concentration and coagulation rate across the entire temperature range. This suggests that surface reactions consume significant gas-phase species, reducing their availability for particle formation and growth and ultimately affecting the dynamics of soot formation. At higher hot zone temperatures, notably at 1673 K (Fig. 6(c)) and 1773 K (Fig. 6(d)), the aggregate particle number concentration profile exhibits a broader peak along the reactor length, and the decline after the peak is more gradual. This axial profile indicates a balance between nucleation and coagulation processes due to the increased thermal motion of particles that counteracts coagulation. The corresponding coagulation rate at this high temperature rises less sharply, suggesting a reduced rate of particle aggregation. As the gas progresses along the reactor length to the post-hot zone, both total aggregate particle number concentration and coagulation rate decline, illustrating the depletion of reactive gas-phase species (Fig. 4) and a lesser chance of soot particle aggregation.

It is important to note that while the current model provides valuable insights into the trends and behaviors of soot formation, it is limited in its scope. Using  $C_{16}H_{10}$  dimers as a proxy for soot inception simplifies the complex nucleation process and does not account for the potential contributions of reactive intermediates or detailed molecular interactions affecting particle size distribution and growth kinetics.

In addition to the mere particle (aggregate) number concentration,

the evolution of the primary particle diameter along the reactor length, is a critical aspect of soot formation and growth as well. Fig. 7 presents the change in the primary particle diameter for the different hot zone temperatures subject to this study. The data (Fig. 7) illustrate the effects of thermal conditions and carbon deposition reactions on particle growth. In both scenarios, at the lower hot zone temperature of 1473 K (Fig. 7(a)), the growth of the primary particle diameter is gradual: it begins to increase partway through the reactor. This suggests that initially nucleation is the dominant process in the first part of the reactor, whereas particle growth through coagulation and surface growth become more significant further along the reactor length (Fig. 6). As the hot zone temperature rises to 1573 K (Fig. 7(b)) and beyond (Fig. 7(c) and (d)), the primary particle diameter experiences a more significant increase at the entrance of the hot zone. This indicates that higher temperatures accelerate the processes contributing to particle growth (coagulation and surface reactions). The impact of wall-carbon deposition reactions on primary particle growth varies with temperature. At lower temperatures, such as 1473 K (Fig. 7(a)) and 1573 K (Fig. 7(b)), the growth of primary particles is similar in both scenarios (with and without carbon deposition) up to a certain point (end of hot zone). However, towards the end of the reactor (post-hot zone), the simulation without carbon deposition shows higher growth of soot particles because gas-phase precursors remain available, allowing particles to continue growing. In contrast, at higher temperatures (1673 K and 1773 K; Fig. 7(c) and (d)), simulations with carbon deposition reactions on reactor wall show a higher initial growth in the hot zone due to rapid surface growth facilitated by deposition reactions. Nevertheless, in the post-hot zone region, the growth rate in simulations without carbon deposition overtakes that with deposition, as more

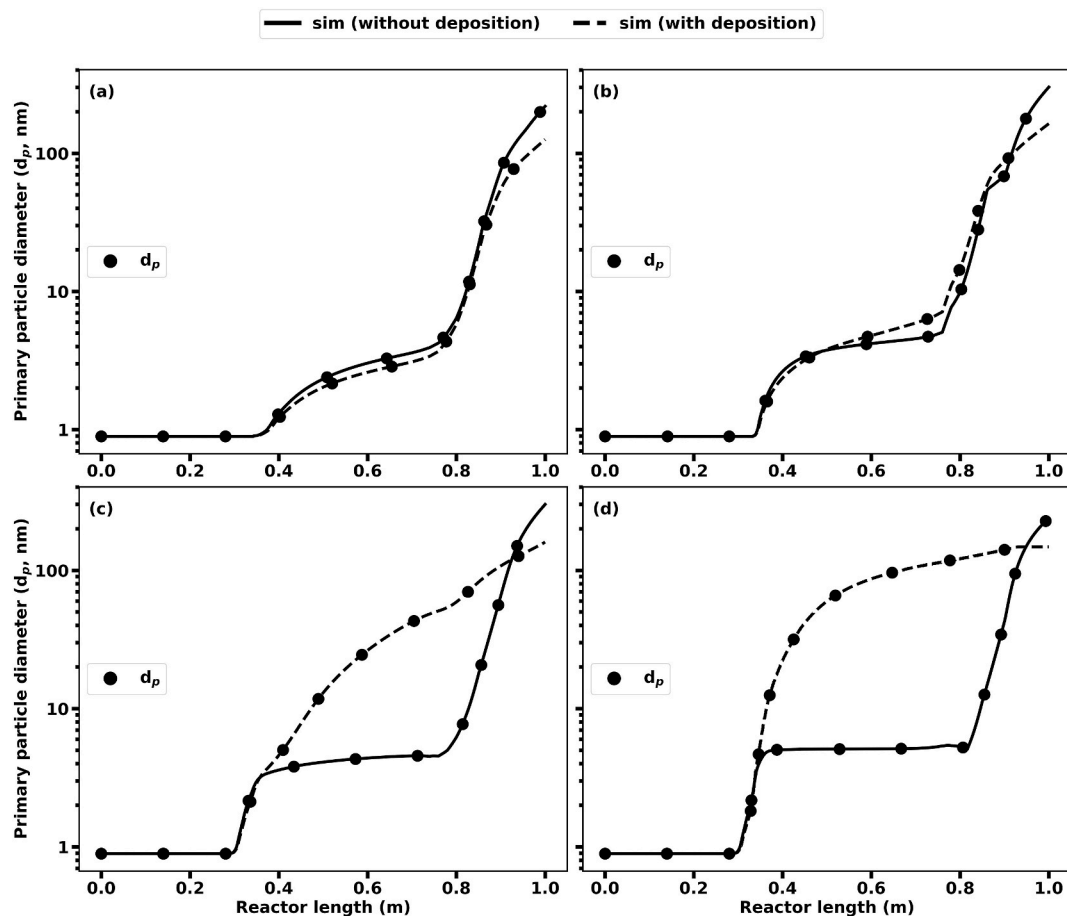


Fig. 7. Evolution of primary particle diameter along the reactor axis at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. (A colour version of this figure can be viewed online.)

precursors are available in the gas phase for continued particle growth. This trend aligns with the observation that carbon deposition reactions (on reactor wall) consume gas-phase precursor species (Fig. 4), reducing the number of species available for particle growth in the gas phase. Furthermore, this behavior can be attributed to the dynamic equilibrium between the different processes of soot formation (nucleation, coagulation, and surface growth) at such high temperatures. It also suggests that the particles are reaching a size where growth rates may be limited by diffusion and other mass transport processes. Throughout the reactor length, the primary particle diameter increases with the progression of the gas through the hot zone. This observation aligns with the notion that as the gas-phase reactants are consumed and transformed into particulate matter, the particles will increase in size through coagulation and surface accretion processes. Additionally, the carbon deposition reactions notably limit the growth of primary particle diameters in CH<sub>4</sub> pyrolysis. This reduction in particle size due to carbon deposition suggests a significant consumption of reactive hydrocarbons that would otherwise contribute to particle growth and underscores the intricate interplay of kinetic processes that govern the formation and evolution of soot in high-temperature environments.

Correlating the quantitative data from simulations (Fig. 8) with TEM analysis (Fig. 9) of soot samples obtained during experimental measurement allows a detailed comparison of primary particle diameters across hot zone temperatures in CH<sub>4</sub> pyrolysis. To ensure statistical reliability, at least 50 particles were measured at each temperature using ImageJ software to determine the average particle diameters presented in Fig. 8. At 1473 K, Fig. 8, in absence of carbon deposition reaction, simulated particle diameter of 219 nm shows good agreement with the diameter of 205 nm determined by the TEM (Fig. 9(a)). This indicates that carbon deposition may not significantly impede particle growth at a lower temperature (1473 K), as also suggested by the comparable simulated primary particle diameter of 126 nm that is predicted by simulations that take carbon deposition into account. However, as the hot zone temperature increases to 1573 K (Fig. 9(b)), 1673 K (Fig. 9(c)), and 1773 K (Fig. 9(d)), simulations that incorporate carbon deposition reactions show better agreement with TEM results, as shown in Fig. 8. The simulation with deposition reactions yields primary diameters of 164 nm, 160 nm, and 148 nm, respectively, while TEM shows particles at 160 nm, 145 nm, and 130 nm. The simulated values fall well within the experimental error margins, particularly at the higher temperature, underscores that the simulation is capable of accounting for carbon

deposition factor in CH<sub>4</sub> pyrolysis process.

The trend of decreasing primary particle diameters with increasing temperature highlights the enhancing effect of higher temperatures on the rate of carbon deposition reactions (Fig. 8). This, in turn, more effectively inhibits particle growth. The measured and predicted data underscores that such deposition reactions are a significant factor in soot particle growth inhibition as temperatures rise, a factor that simulations without consideration of direct carbon deposition reactions fail to capture. Moreover, an agglomeration of the soot particles can be observed in the TEM images (Figs. S2–S5). At 1473 K (Fig. S2), particles appear more isolated, which suggest less prevalent conditions for agglomeration, potentially due to the lower surface mobility of the carbonaceous material. As the temperature increases, particles are in closer proximity and form larger agglomerates (Figs. S3 and S4), indicating enhanced surface activity and interaction between particles. At 1773 K (Fig. S5), the prevalence of agglomerated structures is significantly higher, corroborating the simulation (Fig. 6) data that higher temperatures facilitate more rapid and more extensive agglomeration processes. Simulations closely match TEM measurements for primary particle diameters at higher temperatures, though discrepancies at lower temperatures suggest gaps in capturing all soot inception mechanisms [54]. Thus, predictions for primary particle size should be viewed as indicative of general trends rather than exact values.

The simulated log-normal particle size distributions (PSD), presented in Fig. 10, illustrate the evolution of soot particle sizes as a function of the hot zone temperatures. Without taking wall-carbon deposition reactions into account (Fig. 10(a)), the PSD peaks suggest a temperature-dependent growth of soot particles. This is demonstrated by the shift towards larger diameters from 1473 K to 1673 K, indicating temperature-enhanced nucleation and aggregation. However, at 1773 K, the peak diameter decreases significantly due to a reduced formation of C<sub>16</sub>H<sub>10</sub> dimers, which limits available soot precursors due to a predominant conversion of CH<sub>4</sub> to H<sub>2</sub>. The narrowing of the PSD at 1473 K (Fig. 10(a)) compared to broader distribution at higher temperatures can be attributed to the dominance of nucleation over coagulation and surface growth at lower temperature. At 1473 K, the thermal energy is insufficient to drive significant coagulation, leading to the formation of smaller, more uniform particles, hence the narrower distribution. As the temperature increases to 1573 K, the coagulation and surface growth mechanism broaden the distribution. With further increase in temperature, the rapid consumption of soot precursors limits the formation of soot dimer, resulting in a narrower distribution, though not as narrow as 1473 K, as larger particles still dominate the PSD due to enhance growth of existing particles. In contrast, simulations incorporating carbon deposition (Fig. 10(b)) predict a different trend, with initial PSD peaks at 1473 K that indicate underestimated particle sizes. This underestimation is attributed to the higher-than-observed consumption rate of C<sub>2</sub>H<sub>2</sub> impacting the surface growth of particles. As the temperature rises to 1573 K, the peak shifts to larger diameters, indicating better alignment with the TEM data. At 1673 K, there is a slight shift towards smaller particle sizes. At 1773 K, the PSD peak moves to smaller diameters, highlighting the intensified effect of carbon deposition reactions on reactor wall at high temperatures that limit particle growth due to the competitive consumption of hydrocarbons (C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>4</sub>H<sub>6</sub>, and C<sub>6</sub>H<sub>6</sub>) on surfaces, which otherwise contribute to the growth of soot particles in the gas phase.

This study uses a one-dimensional plug flow reactor model combined with the method of moments to analyze soot formation in CH<sub>4</sub> pyrolysis, acknowledging its limitations in capturing complex dynamics, particularly near reactor walls where radial effects and carbon deposition occur [69]. As a result, the model may not fully capture all the dynamics involved in particle formation and growth. While the model effectively tracks soot particle size and number density, it does not explicitly address particle maturity, which involves the chemical and structural evolution of soot particles over time [134,135]. Future studies should consider more sophisticated 2D [69,87,90,91] or 3D [89,93] models to

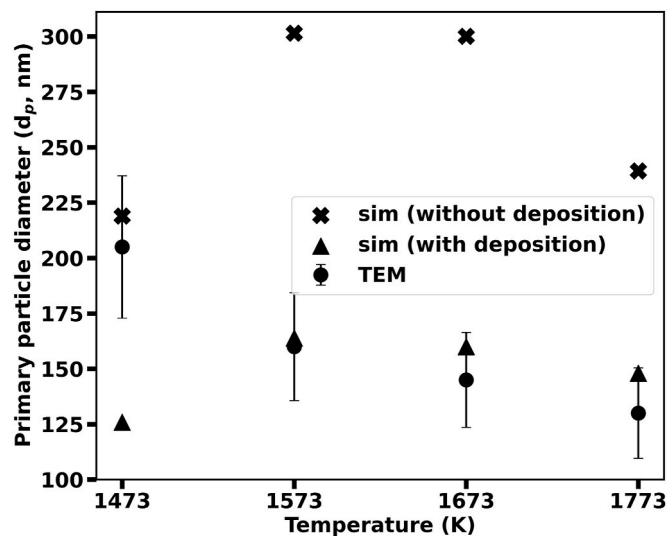


Fig. 8. Comparison of simulated primary particle diameter (with and without wall-carbon deposition reactions) with TEM at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. (A colour version of this figure can be viewed online.)

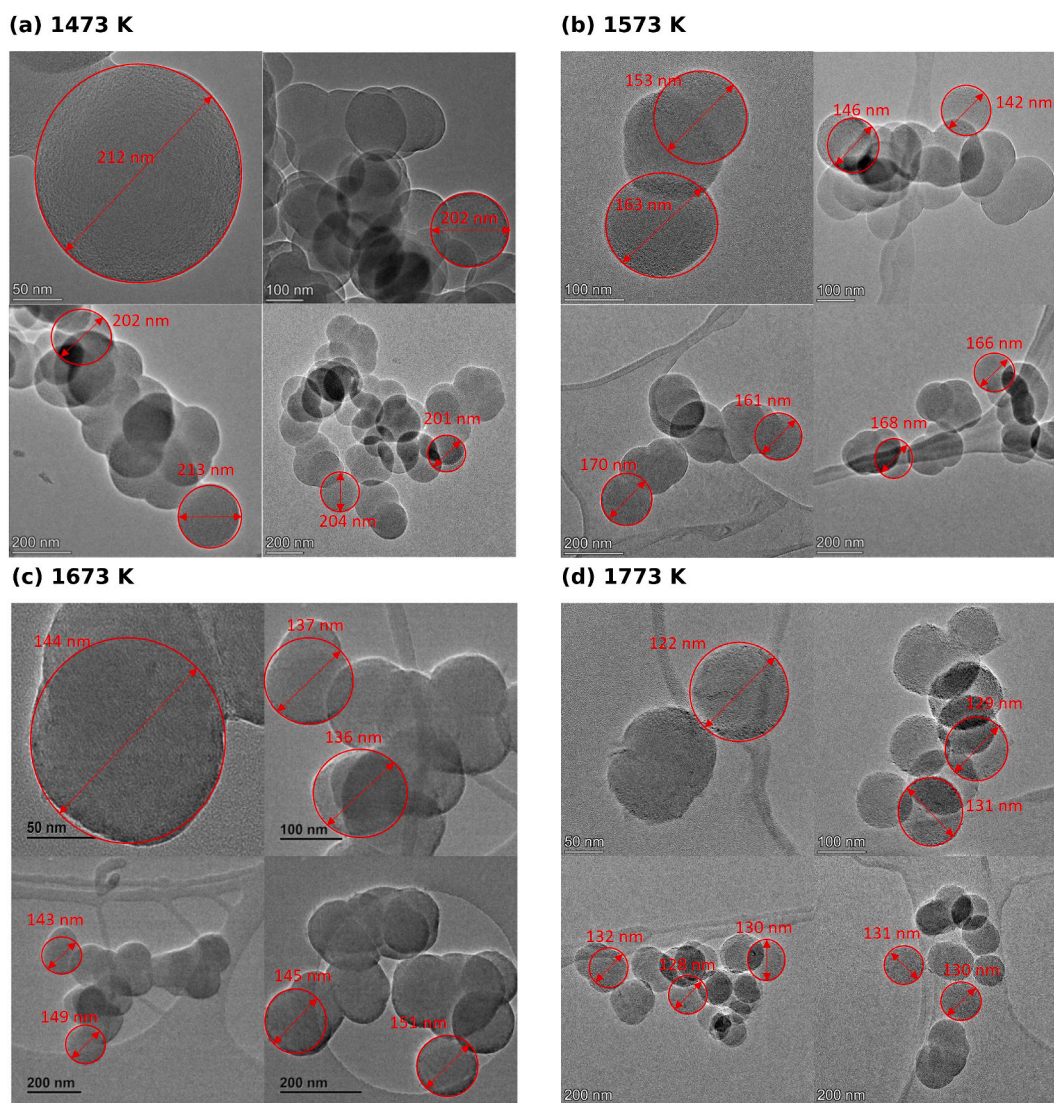


Fig. 9. TEM image analysis of soot samples (primary particle diameter) at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. (A colour version of this figure can be viewed online.)

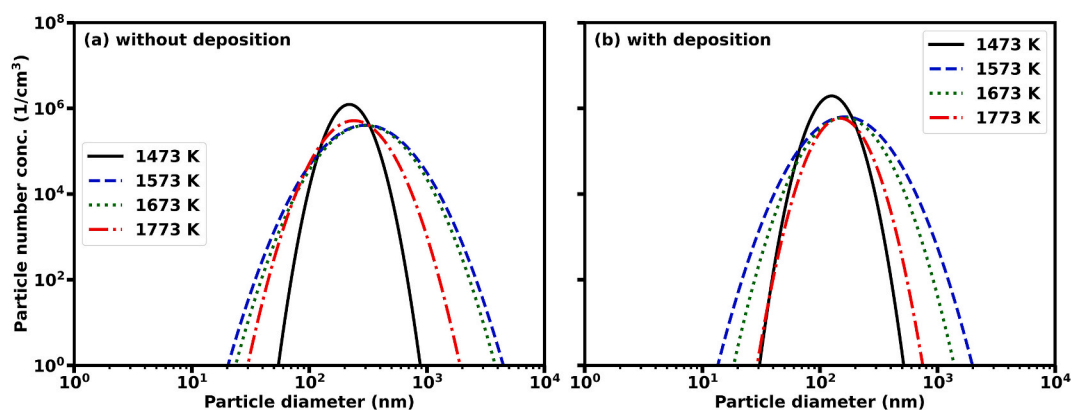


Fig. 10. Log-normal particle size distribution at hot zone temperature of (a) 1473 K, (b) 1573 K, (c) 1673 K, and (d) 1773 K. (A colour version of this figure can be viewed online.)

account for these effects and provide a more accurate representation of soot formation dynamics in methane pyrolysis reactors. While the model incorporates HACA and direct carbon deposition reactions, recent

studies [38,52,54,129] indicate additional pathways, such as CHRCR [52], may also play crucial roles in soot formation through resonance-stabilized radical clusters. The relative importance of these

pathways in CH<sub>4</sub> pyrolysis remains uncertain, underscoring the need for further experimental and theoretical studies. Future research should also aim to refine the model by incorporating the dynamics of particle maturity and distinguishing between different soot formation pathways to better evaluate their contributions. Although this study offers an initial understanding of the impact of carbon deposition reactions on soot formation, further investigation is needed for a more comprehensive understanding.

#### 4.4. Characterization results

In addition to the TEM analysis of the soot samples produced at different hot zone temperature were comprehensively analyzed by means of Raman spectroscopy and DLS.

Raman spectroscopy provides information on the structural characteristics of carbonaceous materials. Specifically, the D and G bands shed light on the deviations from perfect graphite structures and the nature of carbon bonding. Fig. 11 depicts the Raman spectra for soot samples produced at varying temperatures (1473 K, 1573 K, 1673 K and 1773 K) and deconvoluted Raman spectra for the soot produced at 1773 K. In Raman analysis, soot signatures typically include the D<sub>3</sub> and D<sub>4</sub> bands, which have been associated with amorphous components and sp<sup>3</sup> bonds, respectively [96]. The diminishing intensity of these “soot” bands (D<sub>3</sub> and D<sub>4</sub>) as the production temperature increases indicates an improvement in the structural order properties of carbon particles formed in the higher-temperature regime (Fig. 11(a) and (b)).

The D and G bands are central to soot analysis. The G band corresponds to the stretching modes of all sp<sup>2</sup> bond pairs and is prominent feature in the first-order region for perfect graphite, which is due to an ideal graphite lattice. In contrast, the D band arises from the motion of sp<sup>2</sup>-bonded carbon in 6-member rings and is observed in lattices that deviate from perfect graphite structures [136]. A notable observation in this study is the broader full width at half maximum (FWHM) for the D peak compared to the G peak as observed for soot samples produced at lower temperatures. As reaction temperature increases, the D peak narrows, indicating enhanced structural order in the soot sample (Fig. 11(a)). A similar trend was observed for soot formed at flame temperatures above 1950 K [137].

Amorphous carbon and most soot samples, on the other hand, results in broad signals within approximately 2300 cm<sup>-1</sup> to 3300 cm<sup>-1</sup> (the second-order region) [96]. Cuesta et al. [138] attribute these signals to second-order bands, overtones, and combinations of graphitic lattice vibration modes. In Fig. 11(b), peaks at 2700 cm<sup>-1</sup> and 2900 cm<sup>-1</sup> are ascribed to the (2\**D*) overtone and (G+D) combination, respectively. In this study, as temperatures surpassed 1573 K, these secondary peaks became sharper, indicating enhanced structural order (Fig. 11(a)). For ideal graphitic material, the D+G peak dominates, with the 2\**D* band as

a shoulder. In contrast, disordered graphite (sp<sup>2</sup> carbon material) showcases a stronger 2\**D* band than the D+G band. This observed evolution of the Raman spectroscopic signature with temperature underscores the transition of carbon from typical soot to a low-defect sp<sup>2</sup> carbon structure.

These results align with previous studies [135,139–141] on soot formation and graphitization, where increasing temperatures lead to reduced defects, enhanced structural order, and increased graphitic content [142]. This transformation is captured by the decreasing intensity ratio of the D band to the G band (*I<sub>D</sub>/I<sub>G</sub>*), a key metric for assessing soot maturity [139,143]. The sharpening of second-order bands, along with the narrowing of the D band, further supports the transition to a more graphitic structure. Raman spectroscopy helps in assessing soot maturity by providing insights into the structural evolution from amorphous to graphitic carbon with rising temperatures. The *I<sub>D</sub>/I<sub>G</sub>* ratio, combined with the analysis of second-order peaks, offers both quantitative and qualitative measures of the soot’s structural order and defect density. The results observed in this study are consistent with the current understanding of soot maturation [38,142] and highlight the utility of Raman spectroscopy in elucidating the complex dynamics of carbon structure development at elevated temperatures.

DLS was employed to analyze the size distribution of soot samples produced at different temperatures, as illustrated in Fig. 12. The analysis reveals a distinct pattern: Soot generated at a lower temperature (1473 K) exhibits a more uniform size distribution, devoid of larger aggregate

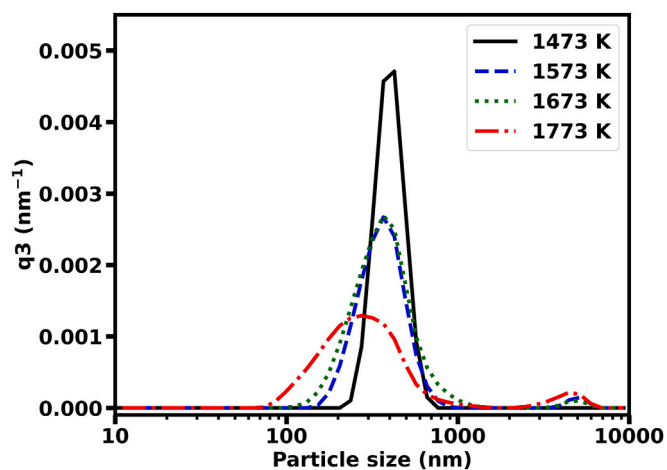


Fig. 12. Density size distribution by intensity of soot samples at hot zone temperature of 1473 K, 1573 K, 1673 K, and 1773 K. (A colour version of this figure can be viewed online.)

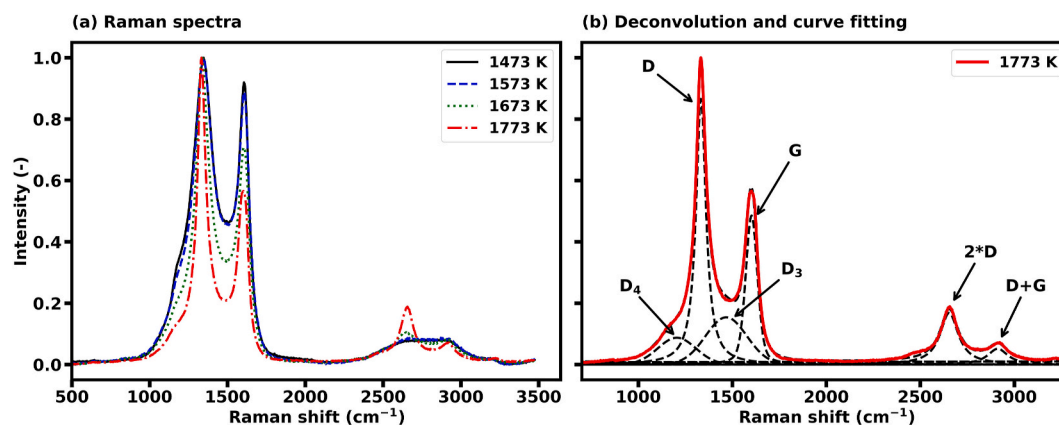


Fig. 11. Raman spectra of soot samples at hot zone temperatures of (a) 1473 K, 1573 K, 1673 K, and 1773 K and (b) detailed deconvolution and curve fitting of Raman spectra at 1773 K. (A colour version of this figure can be viewed online.)

particles. However, as the hot zone temperature increases, the particle size distribution curve broadens as both smaller and larger aggregates are formed. For instance, the soot at 1773 K (represented by the red curve in Fig. 12) exhibits particles ranging from a mere 100 nm to a size of 4000 nm. Detailed data regarding the density distribution depicted in Fig. 12 can be found in Table S3. It can be observed that the aggregate size does not vary significantly if the process temperatures are low. However, at higher temperatures, aggregate size growth is non-uniform, with some aggregates becoming larger while others remain small. Notably, for samples produced at temperatures exceeding 1473 K, a second peak emerges in the density size distribution, whose intensity increases with rising temperature. The median aggregate diameters, as inferred from the cumulative size distribution, for the respective temperatures are 386 nm (1473 K), 410 nm (1573 K), 395 nm (1673 K), and 772 nm (1773 K). This underscores a consistent increase in the median aggregate diameter as the hot zone temperature ascends. Furthermore, with rising temperatures, a broadening of the PSDs is observed in both simulations (Fig. 10) and DLS measurements (Fig. 12). This broadening indicates a diversification in particle sizes due to intensified coagulation and agglomeration processes (Figs. S2–S5). Such broadening is especially pronounced in the simulations that consider wall-carbon deposition. The DLS data support this observation as they reflect a more significant variability in particle sizes and validate the competitive dynamics between particle growth and wall-carbon deposition mechanisms at higher temperatures. Thus, the DLS data not only validate the trend observed in the simulations but also reinforces the accuracy of the simulated PSDs in capturing the complex interplay of factors that regulate particle size distributions in soot formation.

While the DLS data provides valuable insights into the size distribution and broadening of soot particles at different temperatures, it is important to note the limitations of the current experimental setup. The setup is designed primarily for high-temperature/high-pressure conditions and only allows for soot particle collection at the reactor exit. As a result, this study does not include soot mass concentration or in situ particle size distribution measurements, which could provide additional insights into the soot formation dynamics [88,144]. Future experimental studies incorporating these measurements would help to further validate the current model and provide a more comprehensive understanding of the processes governing soot formation and growth in methane pyrolysis reactors.

## 5. Conclusions

The present study intricately combined a one-dimensional plug flow reactor model coupled with the method of moments and experimental investigations to elucidate the mechanisms of soot particle formation and growth in CH<sub>4</sub> pyrolysis. The simulations, incorporating detailed gas-phase chemical kinetics and direct surface deposition reactions, demonstrated consistency with experiments conducted at various hot zone temperatures. While the characterization of soot particles through TEM, DLS, and Raman spectroscopy supported the general trends observed in the simulations, the model primarily serves as an empirical proxy rather than providing comprehensive insight into the underlying physical mechanisms. The results underscore the need for further experimental data and model refinements to enhance the understanding of soot formation dynamics and to ensure the model's reliability under different conditions.

The study demonstrates the significant impact of hot zone temperatures on morphological and structural attributes of soot particles. Raman spectroscopy analyses have revealed a shift from amorphous to more graphitic structures in soot particles as the pyrolysis reactor operation temperature increases, consistent with previous studies that demonstrate the maturation of the soot carbon structure at elevated temperatures. Furthermore, TEM analysis has shown a decrease in primary particle diameters (205 nm–130 nm) as temperature increases (1473 K–1773 K), which suggests that carbon deposition reactions on

reactor wall play a more significant role in particle growth than typical nucleation, surface growth, and agglomeration mechanisms. Simulations performed taking direct carbon deposition reactions into account, used to estimate soot particle sizes, match fairly well with TEM data for soot samples produced at 1573 K, 1673 K, and 1773 K but under-predicted the particle size at 1473 K. Conversely, simulations without carbon deposition reactions slightly overestimated particle size at 1473 K, indicating a higher rate of carbon deposition reactions on reactor wall at this temperature, which leads to increased consumption of growth-facilitating hydrocarbons and thus the underprediction of the particle size at 1473 K in simulations with carbon deposition reactions. Additionally, the broadening of the PSD curves with temperature, observed in both simulations and DLS data, supports the hypothesis that higher temperatures induce greater diversity in particle sizes due to intensified coagulation and agglomeration processes.

The implications of this research extend beyond the immediate findings, providing a valuable model for future studies aimed at optimizing soot production and mitigation in CH<sub>4</sub> pyrolysis. The insights provided by the model, in particular axially resolved information on soot and wall-carbon formation and deposition, lead to potential improvements in reactor design, process optimization, and the development of new strategies for managing soot particles. The consistent alignment of simulated PSDs with DLS observations across a range of temperatures underscores the potential for simulations to accurately predict the reactor behavior accurately. Given the limitations of the current soot inception model, further studies are necessary to refine these predictions and incorporate a broader range of chemical pathways and reactive intermediates. Experimental validation, particularly with spatially-resolved in-situ measurements, would greatly enhance the model's accuracy and reliability in predicting soot formation dynamics. Additionally, further refinement of wall-carbon deposition reaction mechanisms is necessary, offering a valuable direction for future studies to improve the predictive capabilities of soot formation models. This research thus opens the door to novel advancements in understanding soot dynamics during CH<sub>4</sub> pyrolysis in flow reactors under industrially relevant conditions.

## Supporting information available

The supporting information for the article is available. The supporting information contains: chemical kinetics, correlations, DLS and TEM results.

## Abbreviations

ABF	Appel, Bockhorn, and Frenklach	
DLS	Dynamic light scattering	
HACA	Hydrogen abstraction carbon addition	
MOM	Method of moment	
PAHs	Polycyclic aromatic hydrocarbons	
CHRCR	Clustering of hydrocarbons by radical-chain reactions	
PSD	Particle size distribution	
TEM	Transmission electron microscopy	
List of symbols		
Latin symbols		
A	Pre-exponential factor	$\text{m}^3 \text{mol}^{-1} \text{s}^{-1}$
$A_{s0}$	Monomer surface area	$\text{m}^2$
$d_d$	Effective diameter of dimer	m
$d_{p,\text{soot}}$	Soot particle diameter	m
$E_A$	Activation energy	$\text{kJ mol}^{-1}$
$E_d$	Enhancement factor	–
$I$	Dimensionless nucleation rate	–
$I_{\text{nuel}}$	Soot nucleation rate	$\text{mol m}^{-3} \text{s}^{-1}$
$K_c$	Dimensionless coagulation coefficient	–
$k$	Rate constant	$\text{m}^3 \text{s}^{-1}$
$k_B$	Boltzmann constant	$\text{m}^2 \text{kg s}^{-2} \text{K}^{-1}$
M	Molar mass	$\text{kg mol}^{-1}$

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(continued)

$M_d$	Reduced mass of the dimer	kg
$M_0$	Zeroth moment	$m^{-3}$
$M_1$	First moment	$m^3 m^{-3}$
$M_2$	Second moment	$m^3 m^3 m^{-3}$
$m_m$	Initial monomer mass	kg
$N$	Dimensionless particle (aggregate) number concentration	–
$n_{sat}$	Monomer concentration at saturation	$m^{-3}$
$P$	Chemically active perimeter per unit length	m
$R$	Radius of the reactor	m
$r_g$	Geometric particle radius	m
$r_m$	Monomer radius	m
$\dot{s}$	Rate of surface phase reaction	$mol m^{-2} s^{-1}$
$S_g$	Set of gas-phase species	–
$T$	Gas phase temperature	K
$u$	Gas velocity	$m s^{-1}$
$V_1$	Dimensionless particle volume concentration	–
$V_2$	Dimensionless second aerosol volume moment	–
$v_g$	Geometric mean volume	$m^3$
$W$	Dimensionless soot surface growth rate	–
$Y$	Mass fraction of species	–
$z$	Reactor axial coordinate	m
Greek symbols		
$\alpha$	Fraction of surface site available	–
$\zeta$	Dimensionless coagulation coefficient	–
$\zeta_{FM}$	Dimensionless coagulation coefficient in the free molecular	–
$\zeta_C$	Dimensionless coagulation coefficient in the continuum regimes	–
$\theta$	Dimensionless residence time	–
$\lambda$	Gas mean free path	m
$\xi$	Dimensionless coagulation coefficient	–
$\xi_{FM}$	Dimensionless coagulation coefficient in the free molecular	–
$\xi_C$	Dimensionless coagulation coefficient in the continuum regimes	–
$\rho$	Gas phase density	$kg m^{-3}$
$\rho_{soot}$	Soot density	$kg m^{-3}$
$\sigma_g$	Geometric standard deviation	–
$\tau$	Characteristic time for particle growth	s
$\chi_{Co_{ox}H}$	Sites per unit surface area of the particles	site $m^{-2}$
$\dot{\omega}$	Rate of gas phase reaction mol	$m^{-3} s^{-1}$

### CRediT authorship contribution statement

**Akash Bhimrao Shirsath:** Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Manas Mokashi:** Writing – review & editing, Investigation, Formal analysis. **Reihaneh Pashminehazar:** Writing – review & editing, Investigation, Formal analysis. **Ahmet Çelik:** Investigation, Formal analysis. **Patrick Lott:** Writing – review & editing, Supervision, Project administration, Formal analysis, Data curation. **Steffen Tischer:** Writing – review & editing, Supervision, Software, Formal analysis. **Jan-Dierk Grunwaldt:** Writing – review & editing, Supervision, Formal analysis, Conceptualization. **Olaf Deutschmann:** Writing – review & editing, Supervision, Resources, Project administration, Funding acquisition, Data curation, Conceptualization.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.carbon.2024.119689>.

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