



Linear convective stability of a front superposition with unstable connecting state

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Abstract. We study linear convective stability of a two-front superposition in a reaction-diffusion system. Due to the instability of the connecting equilibrium, long-range semi-strong interaction is expected between the two waves. When restricting to the linear dynamics, we indeed identify that convective stability of superposed waves occurs for fewer propagation speeds than for the corresponding single waves. It reflects the interaction that monostable waves have over long distances. Our method relies on numerical range estimates, that imply time-uniform resolvent bounds.

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Keywords. Terrace, Monostable front, Semi-strong interaction, Long-range interactions, Reaction-diffusion, Linear stability.

Contents

1. Introduction
 - 1.1. Setting and main result
 - 1.2. Long range semi-strong interactions
 - 1.3. Single front dynamics
 - 1.4. Obstacles regarding the original system
 - 1.5. Future directions
 - 1.5.1. Incorporating residual terms
 - 1.5.2. Periodic equilibrium
 - 1.5.3. Critical speeds and selection
 - 1.6. Technical summary and outline

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- 1.7. [Data availability statement](#)
- 2. [Endstates and single fronts](#)
- 3. [Numerical range bounds](#)
 - 3.1. [Single scalar wave](#)
 - 3.2. [Two front superposition](#)
- 4. [Proof of the main result](#)
- [References](#)

1. Introduction

1.1. Setting and main result

We study a reaction-diffusion system that models interaction between two invasive species:

$$\begin{cases} \partial_t u_1 = d\partial_{xx}u_1 + ru_1(1 - u_1) + \alpha_1 u_1 u_2 \\ \partial_t u_2 = \partial_{xx}u_2 + u_2(1 - u_2) + \alpha_2 u_1 u_2 \end{cases} \tag{1.1}$$

$t > 0$, $x \in \mathbb{R}$, with positive parameters $(d, r, \alpha_1, \alpha_2)$. Denoting $D = \text{diag}(d, 1)$ and

$$g(u) = \begin{pmatrix} ru_1(1 - u_1) + \alpha_1 u_1 u_2 \\ u_2(1 - u_2) + \alpha_2 u_1 u_2 \end{pmatrix},$$

system (1.1) conveniently rewrites as

$$u_t = Du_{xx} + g(u).$$

This system admits four constant equilibria

$$\begin{aligned} e_1 &= \begin{pmatrix} \frac{r+\alpha_1}{r-\alpha_1\alpha_2} \\ \frac{r(1+\alpha_2)}{r-\alpha_1\alpha_2} \end{pmatrix}, & e_2 &= \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \\ e_3 &= \begin{pmatrix} 1 \\ 0 \end{pmatrix}, & e_4 &= \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \end{aligned} \tag{1.2}$$

that correspond (respectively) to the cohabitation of the two species, the species 2 only, the species 1 only, and an empty environment. We refer to [15] for similar systems involving more equilibria. We assume in the following that (1.1) is cooperative, and that the first species has a higher invasion speed than the second one.

Assumption 1. Parameters satisfy $d > 1$, $r > 1$, and the stability condition

$$r - \alpha_1\alpha_2 > 0.$$

In particular, e_1 is spectrally stable while e_2 , e_3 and e_4 are spectrally unstable.

In addition to constant solutions, (1.1) also admits families of invasion front solutions, as discussed in Section 2. These solutions have a fixed profile $p \in C^\infty(\mathbb{R}, \mathbb{R}^2)$ converging at $\pm\infty$ to equilibria (1.2), and propagate at constant speed $c \in \mathbb{R}$:

$$u(t, x) = p(x - ct). \tag{1.3}$$

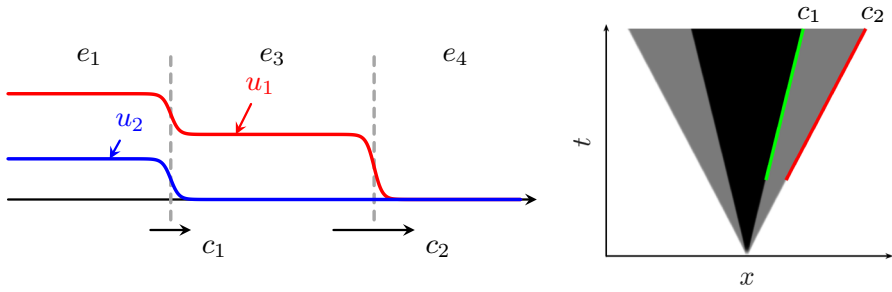


FIGURE 1. Left: Schematic representation of a two-front superposition $e_1 \rightarrow e_3 \rightarrow e_4$. Right: Schematic plot of u_1 in space-time domain. Higher values of u_1 are indicated by darker colours. The two fronts move with different speeds $c_1 < c_2$

We are interested in two-stage invasions, which are superpositions of two invasion fronts (p_1, c_1) and (p_2, c_2) that respectively connect $e_1 \rightarrow e_3$ and $e_3 \rightarrow e_4$, see Figure 1. While both waves are well described separately, the combined pattern $e_1 \rightarrow e_3 \rightarrow e_4$ is not fully understood when $c_1 < c_2$. Indeed, due to the different front velocities, it is time-dependent in any frame, and thus cannot be constructed as the solution to a time-independent ODE.

Instead, it is common to rely on a stability approach to describe such a time-dependent pattern. Compared to the stability analysis of a traveling wave, the linearization around the wave superposition is non-autonomous, and interaction terms have to be estimated.

Such a stability approach has been successfully used for superpositions of bistable fronts and pulses, and we refer to [18, 27] for recent examples. The main novelty in the present paper is that the connecting intermediate state between the two fronts is unstable.

In most settings, two waves with different propagation speeds interact weakly enough that they behave as if studied separately. A more detailed literature discussion can be found in subsection 1.2. In contrast, the works [6, 11, 14] on systems related to (1.1) indicate that two invasion fronts interact over large distances. In particular, the propagation speed of one front can be affected by the presence of another front, even though their distance grows linearly in time. See again Subsection 1.2.

Since monostable fronts usually come in speed-parameterized families, it is crucial to identify conditions on speed pairs (c_1, c_2) , which ensure stability of the superposed pattern, see (1.4). Since any superposition of two fronts with different speeds is time-dependent in any co-moving frame, even the linear analysis is challenging since we have to obtain decay estimates for a non-autonomous linear system. For this, we rely on estimates of the numerical range for the linearization, see (3.1), to establish resolvent bounds, which are uniform in time and allow us to apply evolution systems theory [23].

To formulate the main result, we first introduce a front superposition, by gluing two fronts (p_1, c_1) and (p_2, c_2) together. For this, let $\chi : \mathbb{R} \rightarrow [0, 1]$ be a C^∞ , monotone partition of \mathbb{R} :

$$\chi(x) = \begin{cases} 0 & x \leq -1, \\ 1 & x \geq 1. \end{cases}$$

Let $\psi_1 < 0 < \psi_2$ be two initial positions, and let $c_0 = \frac{c_1+c_2}{2}$. The resulting front superposition is defined as

$$\begin{aligned} \underline{u}(t, x) = & (1 - \chi(x - c_0t))p_1(x - c_1t - \psi_1) \\ & + \chi(x - c_0t)p_2(x - c_2t - \psi_2). \end{aligned} \tag{1.4}$$

Note that, in general \underline{u} is not a solution to (1.1), and we discuss the quality of this approximate solution in Section 1.4.

Assumption 2. The two fronts remain far apart: Speeds and positions are ordered as $c_1 < c_2$ and $\psi_2 - \psi_1 \gg 0$.

As discussed above, we focus on the linearization of (1.1) at \underline{u} , which is given by

$$v_t = Dv_{xx} + J_g(\underline{u}(t, x))v, \tag{1.5}$$

where J_g denotes the Jacobian matrix of g . The instability of e_3 and e_4 is expected to impact the long-time dynamics of (1.5). When studying a single monostable front, the invaded state instability has a well-understood impact. In particular, the stability of the wave in a co-moving frame can be recovered using spatial exponential weights [24]. This mechanism is known as convective stability [12]. We shall refer to c -convective stability to indicate the stability of a wave in a frame moving with speed $c \in \mathbb{R}$.

For a wave superposition, the relation between equilibria instability and full pattern stability through spatial localization has not been described yet. We investigate this connection by restricting to bounded weights. Our conclusion is that convective stability of individual equilibria is not enough for the wave to be stable and that more restrictive weights are necessary. More precisely, we make the following assumptions on the speeds c_1 and c_2 .

Assumption 3. The low speed c_1 is such that there exists a κ_1 satisfying

$$\begin{cases} \kappa_1^2 - c_1\kappa_1 + (1 + 2\alpha_2) < 0, \\ d\kappa_1^2 - c_1\kappa_1 - r < 0. \end{cases} \tag{1.6}$$

The high speed c_2 is such that there exists a κ_2 satisfying

$$d\kappa_2^2 - c_2\kappa_2 + r < 0. \tag{1.7}$$

Furthermore, c_1, c_2, κ_1 and κ_2 satisfy

$$d\kappa_2^2 - c_2\kappa_2 + r + \kappa_1(c_2 - c_1) < 0. \tag{1.8}$$

Conditions (1.6) and (1.7) are equivalent to c_1 -convective stability of e_3 and c_2 -convective stability of e_4 , respectively. The additional condition (1.8) is more restrictive than (1.7), and thus rules out some speed pairs (c_1, c_2) . As

noticed in Lemma 12, it is always possible to fulfill (1.8) by choosing a large enough c_2 . Thus, we understand the extra condition (1.8) as reflecting the two-front interactions.

The present paper proves that under these assumptions, solutions to the linearized system are exponentially damped with respect to time, if the initial data satisfy some localization criteria. The precise result states as follows.

Theorem 4. *Assume that Assumptions 1, 2 and 3 are satisfied. There exists positive constants α, C, η such that the following holds. For all $0 < \alpha_1, \alpha_2 < \alpha$, there exists a C^∞ weight $\omega : (0, +\infty) \times \mathbb{R} \rightarrow (0, 1]$, such that solutions to (1.5) with initial data v_0 satisfy*

$$\left\| \frac{v(t)}{\omega(t)} \right\|_{L^2(\mathbb{R})} \leq C e^{-\eta t} \left\| \frac{v_0}{\omega(0)} \right\|_{L^2(\mathbb{R})}.$$

The exact expression of the weight ω is given by (3.2) and (3.6)-(3.7).

Remark 5. Although the analysis in this paper is restricted to linear stability, numerical simulations indicate that the superposition front (1.4) is also nonlinearly stable in the sense that the solution to (1.1) with initial data (1.4) remains close to a superposition of two supercritical fronts with the same speeds c_1 and c_2 . See Figure 2 for a numerical solution. However, a rigorous nonlinear stability analysis is left for future work, see Section 1.4 for more details.

1.2. Long range semi-strong interactions

A two-wave interaction that modifies the wave shapes is qualified as semi-strong, see [25] and the references therein. In contrast, weakly interacting waves behave as if studied separately, while strong interaction refers to wave collision.

Other reaction-diffusion waves whose distance grows linearly have weak interactions. See [8, 18, 27] for pulses and bistable front superpositions without speed modification or position drifts.¹ Waves with identical speeds interact semi-strongly, and thus present richer interactions [25], including unbounded position drifts.

The situation is similar in non-parabolic equations, while constant equilibria are rarely exponentially stable in such settings. For Korteweg-de Vries, Schrödinger, wave, or Klein-Gordon equations, pulses traveling with distinct speeds interact weakly and pulses with equal speeds interact semi-strongly. See for example [3, 19–21] and [4, 22].

In contrast to the above studies, invasion fronts interact semi-strongly even though their distance is growing linearly with time [11]. We believe that this phenomenon is related to the instability of the connecting state (e_3 in the present setting). Indeed, the instability of other states does not seem to strengthen interactions, see [2, 18].

¹We believe that [27] system approach adapts to bistable front superpositions with very few modifications.

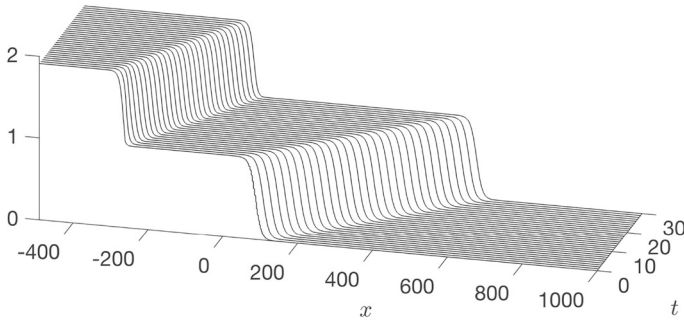


FIGURE 2. Space-time plot of a numerical simulation of (1.1) with initial data given by the front superposition (1.4) and parameter values $(d, r, \alpha_1, \alpha_2) = (4, 2, 0.75, 0.75)$. The initial profile is obtained from two single fronts p_2 and p_1 with supercritical speeds $c_1 = 7.8284 > c_{1,\text{lin}} = 2\sqrt{1 + \alpha_2} \approx 2.64575$ and $c_2 = 15.6569 > c_{2,\text{lin}} = 2\sqrt{dr} \approx 5.65685$, where $c_{1/2,\text{lin}}$ denote the linearly predicted spreading speeds obtained from a marginal stability analysis, see e.g. [1]. The numerically measured spreading speeds for the superposition front are $c_{1,\text{num}} = 7.8358$ and $c_{2,\text{num}} = 15.6841$ and thus, the spreading speeds remain close to the speeds of the single profiles. The two single fronts are obtained individually using a numerical boundary value solver for p_2 and a shooting method for p_1 . In addition, the exponential decay of the front profile towards the unstable equilibria is explicitly enforced using the decay rates predicted from the linear stability analysis.

In fact, the instability of the intermediate state is only repaired in weighted topology. When using optimal weighted norms, generic fronts lose all their spatial localization.² This lack of spatial localization makes interaction terms difficult to handle, leading to interaction between waves over large distances.

1.3. Single front dynamics

Let us stress that the front (p_2, c_2) is unstable when distance is measured with bounded weights. Indeed, behind the invasion, transport is directed towards the unstable infinity. In fact, numerical simulations suggest that initial data close to p_2 may converge to a front superposition similar to the one we study, see Figure 3.

²The weight spatial rate is given by the smallest root of the asymptotic dispersion relation. By solving the profile ODE in a neighborhood of the asymptotic unstable equilibrium, one sees that this rate corresponds to the spatial rate of generic trajectory of the stable manifold. Non-generic trajectories that belong to a strong stable manifold may stabilize for weaker weights.

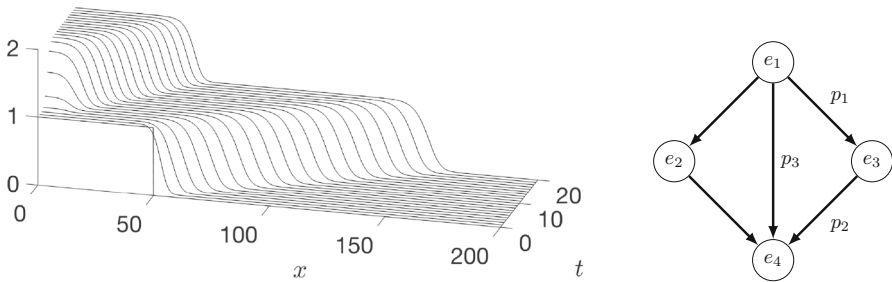


FIGURE 3. Left: Numerical simulation showcasing p_2 instability. Parameter values are $(d, r, \alpha_1, \alpha_2) = (4, 2, 0.75, 0.75)$, and the initial datum is a step function of e_3 for $x \in (-50, 50)$ and e_4 everywhere else, with an additional small perturbation in u_2 in a neighborhood of $x = 0$. Initially the solution forms a front with a profile close to p_2 . However, the perturbation in the wake then grows into a secondary slower front with a profile close to p_1 . Right: All known single fronts (edges) between pairs of equilibria (nodes)

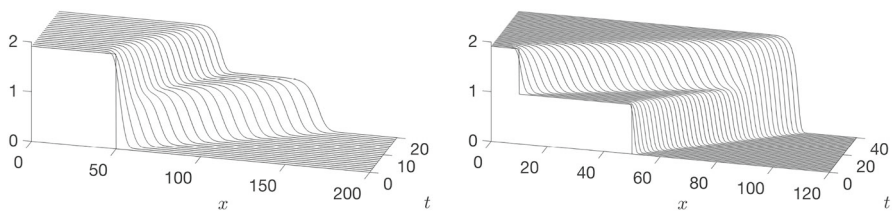


FIGURE 4. Numerical simulations showcasing p_3 (in)stability. Left: same parameters as in Figure 3 and initial step function with e_1 for $x \in (-50, 50)$ and e_4 everywhere else. The solution initially forms a front connecting e_1 and e_4 directly, which breaks up quickly into a superposition of p_1 and p_2 . Right: parameter values are $(d, r, \alpha_1, \alpha_2) = (0.2, 2, 0.75, 0.75)$, and initial step function with e_1 for $x \in (-10, 10)$, e_3 for $x \in (-40, 40) \setminus (-10, 10)$ and e_4 everywhere else. Initially a superposition front of p_1 and p_2 forms with $c_1 > c_2$. This superposition then merges into the single interface p_3

System (1.1) also admits an $e_1 \rightarrow e_4$ invasion front family $(p_3, c_3)_{c_3 \geq c_{3,*}}$. Interestingly enough, numerical simulations also suggest that nearby initial data quickly break into a two-stage invasion, see Figure 4. For different parameter values than those considered here, numerical simulations rather showcase locked fronts, where the p_1 - p_2 connection reduces to a p_3 wave. To relate p_3 convective stability to the existence of stable speed pairs (c_1, c_2) satisfying $c_1 < c_2$ seems an important question to solve, see Figure 4.

1.4. Obstacles regarding the original system

As of now, only linear dynamics can be handled. With very few efforts, we could incorporate quadratic terms in the argument. The main obstacle we are facing to apply the wave separation approach from [27] are residual terms.

Lemma 6. *Let \underline{u} be the front superposition (1.4), and ω be a scalar weight. Assume that the solution to (1.1) decomposes as*

$$u(t, x) = \underline{u}(t, x) + \omega(t, x)w(t, x).$$

Then the weighted correction w satisfies

$$w_t = \frac{1}{\omega} \mathcal{R}(\underline{u}) + \mathcal{L}w + \frac{1}{\omega} \mathcal{Q}(\omega w), \tag{1.9}$$

where residual, linear and quadratic terms are defined as

$$\begin{aligned} \mathcal{R}(\underline{u}) &:= -\underline{u}_t + D\underline{u}_{xx} + g(\underline{u}), \\ \mathcal{L}w &:= \frac{1}{\omega} D(\omega w)_{xx} + J_g(\underline{u})w - \frac{\omega_t}{\omega} w, \\ \mathcal{Q}(v) &:= g(\underline{u} + v) - g(\underline{u}) - J_g(\underline{u})v. \end{aligned}$$

Furthermore, the residual term expresses as

$$\mathcal{R}(\underline{u}) = [D\partial_{xx}, \chi](p_2 - p_1) + c_0\chi'(p_2 - p_1) - \chi(1 - \chi)\mathcal{Q}(p_2 - p_1).$$

Proof. Getting the claimed equation on w is direct. We now describe how to get the second expression for \mathcal{R} . For readability, we do not write the evaluation of functions at $x - c_0t$ or $x - c_jt - \psi_j$, $j \in \{1, 2\}$. Using the profile equations

$$-c_j p'_j = Dp''_j + g(p_j), \quad j \in \{1, 2\},$$

leads to

$$\begin{aligned} \mathcal{R}(\underline{u}) &= [D\partial_{xx}, \chi](p_2 - p_1) + c_0\chi'(p_2 - p_1) \\ &\quad + g\left((1 - \chi)p_1 + \chi p_2\right) - (1 - \chi)g(p_1) - \chi g(p_2). \end{aligned}$$

Since g is a sum of linear and bilinear terms, straightforward computations ensure that

$$g\left((1 - \chi)p_1 + \chi p_2\right) - (1 - \chi)g(p_1) - \chi g(p_2) = -\chi(1 - \chi)\mathcal{Q}(p_2 - p_1),$$

and the proof is complete. □

To prove temporal decay for solutions to (1.9) rather than (1.5), one may use the integral equation associated to (1.9), and close a bootstrap argument. Since the weight provided by Theorem 4 is bounded, $w \mapsto \frac{1}{\omega} \mathcal{Q}(\omega w)$ is well-defined as a map $H^1(\mathbb{R}) \rightarrow H^1(\mathbb{R})$. As a consequence, exponential temporal decay for solutions to (1.5) allows to easily deal with the integral term involving \mathcal{Q} .

On the other hand, the weighted residual is not time integrable in H^1 -norm. Indeed, it behaves to leading order as

$$\frac{1}{\omega(t, x)} \mathcal{R}(\underline{u}(t, x)) \sim \frac{\chi'(x - c_0 t)}{\omega(t, x)} \left(p_2(x - c_2 t) - p_1(x - c_1 t) \right), \tag{1.10}$$

such term arising from the commutator $[\partial_{xx}, \chi](p_2 - p_1)$. Although (1.10) has compact support, its L^∞ -norm exponentially grows in time. Indeed, the spectral gap (1.6) requires the weight to be more localized than $p_1 - e_3$.

Although our linear analysis would apply to a different superposition ansatz, to our knowledge no choice leads to better residual behavior than (1.4). A linear superposition

$$\underline{u}(t, x) := p_1(x - c_1 t) + p_2(x - c_2 t) - e_3,$$

does not create linear terms as (1.10), since the weighted residual behaves at leading order as

$$\frac{1}{\omega(t, x)} B \left(p_2(x - c_2 t) - e_3, p_1(x - c_1 t) - e_3 \right) \tag{1.11}$$

for some symmetric bilinear map $B : \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$. However, the absence of a cut-off function χ allows for much more communication between the two profiles. In the region $x \geq c_2 t$, (1.11) reduces to $\frac{p_1 - e_3}{\omega}$, and is thus insensitive to p_2 . At $x = c_2 t$, it exponentially grows with time because of the spectral gap condition (1.6).

1.5. Future directions

1.5.1. Incorporating residual terms. In view of the previous subsection, the more promising direction seems to saturate the spectral gap conditions, that is to work with critical weights. In such a setting, modulation of the front positions and speeds to account for the L^∞ residual as a forcing term seems an interesting scenario. A first step in this regard is to better understand the orbital stability of single invasion fronts [13].

1.5.2. Periodic equilibrium. In many biological and physical models, equilibria are spatially periodic. For example, the KPP equation with non-local interactions presents two-stage invasions with periodic equilibrium at the back. Such patterns can be described using the approach in [10] when the two invasive equilibria are close. Looking for their stability would be an interesting direction.

1.5.3. Critical speeds and selection. The current paper deals with the linear stability of a superposition of two invasion fronts with prescribed, supercritical speeds c_1 and c_2 , which is exploited in the proofs through the presence of a spectral gap. In contrast, invasion fronts with critical speeds are expected to be nonlinearly stable only with algebraic decay rates [1, 5, 9], or without decay rates [13], due to the lack of spectral gap even in optimally weighted spaces. Stability of two-stage invasions constructed from (at least) one critical wave seems out of reach with our current tools. Indeed, numerical range estimates for system are not sharp, forcing us to work in a setting with spectral gap.

1.6. Technical summary and outline

With the change of variable $v = \omega w$, equation (1.5) equivalently rewrites as

$$\begin{aligned}
 w_t &= \mathcal{L}(t)w, \\
 &= Dw_{xx} + 2D\frac{\omega_x}{\omega}w_x + \left(J_g(\underline{u}) - \frac{\omega_t}{\omega} + D\frac{\omega_{xx}}{\omega} \right) w,
 \end{aligned}
 \tag{1.12}$$

while Theorem 4 states temporal decay for w . To control the long-time dynamics of the linear equation (1.12), we rely on the evolution system theory [23, Chapter 5]. It requires spectral stability of the parabolic operator family $\mathcal{L}(t) : H^2(\mathbb{R}) \subset L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$.

To obtain time-independent resolvent bounds on $\mathcal{L}(t)$, we estimate its numerical range, see (3.1). This approach allows us to handle operators and weights that are space- and time-dependent. In addition, numerical range study appears convenient for scalar KPP equations [17], since it sharply controls their spectral gaps. However, numerical range estimates poorly handle systems with coupling coefficients. This results in the smallness assumption on α_1 and α_2 . The key point of the proof is to carefully design ω to recover stability. Condition (1.8) comes from this construction.

The structure of the paper is then as follows. In Section 2, we revisit the existence and (in)stability of the steady states and single fronts. We also establish that Assumption 3 can indeed be satisfied for any parameters $(d, r, \alpha_1, \alpha_2)$ and an open set of speeds (c_1, c_2) . In Section 3, we establish numerical range bounds for the time-dependent, weighted linear operator $\mathcal{L}(t)$. Finally, Section 4 provides the proof of the main Theorem 4.

1.7. Data availability statement

The numerical simulations displayed in Figures 2, 3 and 4 have been obtained using Matlab (Version 24.1.0, R2024a), and the code is available at <https://github.com/Bastian-Hilder/FrontCascade>.

2. Endstates and single fronts

Before proving Theorem 4, let us collect a few useful facts about constant equilibria and single fronts.

Lemma 7. *Under Assumption 1, e_1 is spectrally stable while e_2, e_3 and e_4 are spectrally unstable.*

Proof. It is direct to compute that

$$J_g(u) = \begin{pmatrix} r(1 - 2u_1) & 0 \\ 0 & 1 - 2u_2 \end{pmatrix} + \begin{pmatrix} \alpha_1 u_2 & \alpha_1 u_1 \\ \alpha_2 u_2 & \alpha_2 u_1 \end{pmatrix}. \tag{2.1}$$

Direct computations show that $J_g(e_1)$ has two stable eigenvalues, while $J_g(e_2)$, $J_g(e_3)$ and $J_g(e_4)$ all have at least one unstable eigenvalue. Using Fourier transform, spectral (in)stability of $J_g(e)$ is equivalent to $L^2(\mathbb{R})$ spectral (in)stability of $D\partial_{xx} + J_g(e)$. □

We now discuss the existence of invasion fronts. Insert the traveling wave ansatz (1.3) into (1.1) to obtain the profile equation

$$0 = Dp'' + cp' + g(p). \tag{2.2}$$

Lemma 8. *There exists $c_{1,*} > 0$ such that for all $c_1 \geq c_{1,*}$, there exists $p_1 : \mathbb{R} \rightarrow \mathbb{R}^2$ such that (2.2) is satisfied, and*

$$\lim_{-\infty} p_1 = e_1, \quad \lim_{+\infty} p_1 = e_3.$$

Furthermore, components of p_1 are monotone, and there exists $x_1 \in \mathbb{R}$ such that for all $x < x_1$

$$p_{1,1}(x) \geq 1, \quad p_{1,2}(x) \geq 1, \tag{2.3}$$

Proof. Following the definition from [26, §2.2], it is direct to see that system (1.1) is monotone. In particular, notice that $e_1 > e_3$ component-wise. Applying [26, Theorem 2.2] provides $c_{1,*} > 0$ and profiles p_1 for all speeds $c_1 \geq c_{1,*}$. Both components of p_1 are monotone, thus decreasing, and (2.3) follows from the component-wise inequality $e_1 > (1, 1)^T$. \square

Lemma 9. *There exists $c_{2,*} > 0$ such that for all $c_2 \geq c_{2,*}$, there exists $p_2 : \mathbb{R} \rightarrow \mathbb{R}^2$ such that (2.2) is satisfied, and*

$$\lim_{-\infty} p_2 = e_3, \quad \lim_{+\infty} p_2 = e_4.$$

Furthermore, for every $\varepsilon > 0$, there exists $x_2 \in \mathbb{R}$ such that for all $x < x_2$,

$$p_{2,1}(x) \geq 1 - \varepsilon. \tag{2.4}$$

Proof. Looking for a profile $p_2 = \begin{pmatrix} p_{2,1} \\ p_{2,2} \end{pmatrix}$ with $p_{2,2} = 0$, we see that the system (2.2) decouples, and is equivalent to the scalar KPP profile equation

$$0 = dp''_{2,1} + c_2 p'_{2,1} + r p_{2,1} (1 - p_{2,1}).$$

Applying [17], we obtain the slowest speed $c_{2,*} = 2\sqrt{dr}$, and existence of a decreasing profile connecting 1 to 0 for all speeds $c_2 \geq c_{2,*}$. \square

We conclude this section with a convective stability criterion for the unstable equilibria.

Lemma 10. *Let $c_1 \geq c_{1,*}$, and $\kappa_1 > 0$ such that (1.6) holds. Then diagonal coefficients of $J_g(e_3) - c_1 \kappa_1 + D\kappa_1^2$ are negative.*

Proof. Using expression (2.1), we find that diagonal coefficients of $J_g(e_3) - c_1 \kappa_1 + D\kappa_1^2$ are precisely the left-hand sides in (1.6). \square

Lemma 11. *Let $c_2 \geq c_{2,*}$, and $\kappa_2 > 0$ such that (1.7) holds. Then diagonal coefficients of $J_g(e_4) - c_2 \kappa_2 + D\kappa_2^2$ are negative.*

Proof. The proof is almost identical to the previous one. Due to Assumption 1, diagonal coefficients of $J_g(e_4) - c_2 \kappa_2 + D\kappa_2^2$ are less than $r - c_2 \kappa_2 + d\kappa_2^2$. This is precisely the left-hand side in (1.7). \square

Finally, we show that it is indeed possible to satisfy all conditions in Assumption 3. In particular, equilibria e_3 and e_4 are not remnantly unstable for large speeds, following [7] classification.

Lemma 12. *For any values of $(d, r, \alpha_1, \alpha_2)$, Assumption 3 is fulfilled by taking c_1 and c_2 large enough.*

Proof. We begin with a proof that (1.6) is fulfilled when c_1 is large enough. Given $c_1 > 2\sqrt{1 + \alpha_2}$ each condition in (1.6) have explicit solution sets

$$\left| \kappa_1 - \frac{c_1}{2} \right| < \frac{1}{2} \sqrt{c_1^2 - 4(1 + \alpha_2)},$$

and

$$\left| \kappa_1 - \frac{c_1}{2d} \right| < \frac{1}{2d} \sqrt{c_1^2 + 4dr}.$$

Since these two intervals are respectively centered at $\frac{c_1}{2} > \frac{c_1}{2d}$, both conditions in (1.6) can be fulfilled simultaneously precisely when

$$\frac{c_1}{2} - \frac{1}{2} \sqrt{c_1^2 - 4(1 + \alpha)} < \frac{c_1}{2d} + \frac{1}{2d} \sqrt{c_1^2 + 4dr}.$$

Dividing by $\frac{c_1}{2}$ and Taylor expanding when $c_1 \rightarrow +\infty$, this condition becomes

$$\frac{1 + \alpha - r}{c_1^2} + \mathcal{O}_{c_1 \rightarrow +\infty} \left(\frac{1}{c_1^4} \right) < \frac{1}{d},$$

which is satisfied for all large enough c_1 .

We now turn to (1.7). The set of admissible κ_2 for this inequality is non-empty as soon as $c_2 > 2\sqrt{dr}$. Furthermore, when $c_2 \rightarrow +\infty$ the lower and upper bounds expand as

$$\frac{r}{c_2} + \mathcal{O}_{c_2 \rightarrow +\infty} \left(\frac{1}{c_2^3} \right) < \kappa_2 < \frac{c_2}{d} - \frac{r}{c_2} + \mathcal{O}_{c_2 \rightarrow +\infty} \left(\frac{1}{c_2^3} \right). \tag{2.5}$$

To conclude, let us discuss (1.8). We see from (2.5) that $\kappa_2 > \kappa_1$ is always possible when c_2 is large enough. Increasing c_2 if necessary then ensures that (1.8) holds. The proof is complete. \square

3. Numerical range bounds

The goal of this section is to prove a time-uniform resolvent bound on $\mathcal{L}(t)$, see the later Proposition 17. It essentially reduces to locate the numerical range of $\mathcal{L}(t)$, a subset of the complex plane defined as

$$R_{\mathcal{L}(t)} := \{ \langle \mathcal{L}(t)u, u \rangle : u \in H^2(\mathbb{R}, \mathbb{C}^2), \|u\|_{L^2(\mathbb{R})} = 1 \}. \tag{3.1}$$

Since $\mathcal{L}(t)$ is parabolic, our goal is to include $R_{\mathcal{L}(t)}$ in a stable sector, see Lemma 14. To keep the presentation simple, we first showcase the estimate for a single scalar front, and then present the two-stage invasion case.

The simpler scalar case discussion is independent of Theorem 4 proof. While such a wave is usually handled with a co-moving frame approach to get rid of time dependence, we take the occasion to illustrate that our method also applies in the steady frame.

3.1. Single scalar wave

In this subsection only, we study the simpler scalar model

$$u_t = d\partial_{xx}u + ru(1 - u),$$

which is a KPP equation obtained from (1.1) by restricting to the invariant subspace $u_2 = 0$. This KPP equation admits a front $p(x - ct)$ with speed $c > 2\sqrt{rd}$. We linearize at its neighbourhood, and further use a weight in exponential form: $\omega(t, x) = e^{\phi(t, x)}$. The expression of $\mathcal{L}(t)$ then reduces to

$$\mathcal{L}^{\text{kpp}}(t) = d\partial_{xx} + 2d\phi_x\partial_x + r(1 - 2p(x - ct)) - \phi_t + d(\phi_{xx} + \phi_x^2).$$

From the standard identity $\text{Re} \langle \phi_x u_x, u \rangle = -\frac{1}{2} \langle \phi_{xx} u, u \rangle$, that is obtained with one integration by parts, we compute that

$$\begin{aligned} \text{Re} \langle \mathcal{L}^{\text{kpp}} u, u \rangle &= -d\|u_x\|_{L^2}^2 + \langle a_0 u, u \rangle, \\ \text{Im} \langle \mathcal{L}^{\text{kpp}} u, u \rangle &= 2d \text{Im} \langle \phi_x u_x, u \rangle, \end{aligned}$$

where

$$a_0 := r(1 - 2p) - \phi_t + d\phi_x^2.$$

Lemma 13. *There exists a positive constant η and a \mathcal{C}^∞ function $\phi : (0, +\infty) \times \mathbb{R} \rightarrow \mathbb{R}$ such that for all $(t, x) \in (0, +\infty) \times \mathbb{R}$*

$$a_0(t, x) \leq -\eta.$$

Proof. We can always assume that $\frac{3}{4} \leq p(x - ct) \leq 1$ when $x - ct \leq 1$. Indeed, p is monotonic, and the profile equation is translation invariant. Thus p can be replaced with $p(\cdot - x_0)$ for $x_0 \in \mathbb{R}$. From the condition on c , there exists an $\eta > 0$ such that

$$d\kappa^2 - c\kappa + r = -\eta$$

admits positive solutions when solved for κ . Let κ be one of these solutions and define

$$\phi(t, x) = \begin{cases} 0 & \text{if } x - ct \leq -1, \\ -\frac{\kappa}{4}(x - ct + 1)^2 & \text{if } x - ct \in (-1, 1), \\ -\kappa(x - ct) & \text{if } x - ct \geq 1. \end{cases}$$

It is direct to check that ϕ is \mathcal{C}^1 with respect to its variables.

When $x - ct \geq 1$, the choice of κ ensures that

$$a_0(t, x) = -2rp(x - ct) - \eta \leq -\eta.$$

When $x - ct \in (-1, 1)$, we compute that

$$a_0(t, x) = -2rp(x - ct) + P(x - ct),$$

where P is the convex second-order polynomial

$$P(y) := \left(r - \frac{c\kappa}{2} + \frac{d\kappa^2}{4} \right) + \left(\frac{d\kappa^2}{2} - \frac{c\kappa}{2} \right) y + \frac{d\kappa^2}{4} y^2.$$

In particular for all $y \in (-1, 1)$,

$$P(y) \leq \max(P(-1), P(1)) = \max(r, -\eta) = r.$$

Thus, when $x - ct \in (-1, 1)$,

$$a_0(t, x) \leq r(1 - 2p(x - ct)) \leq -\frac{r}{2}.$$

We remark that the last chain of inequalities is still valid when $x - ct \leq -1$. Shrinking η if necessary, we proved the claimed bound for all $(t, x) \in (0, +\infty) \times \mathbb{R}$.

To conclude the proof, we explain how to improve regularity from \mathcal{C}^1 up to \mathcal{C}^∞ . We set a partition of unity $\theta : \mathbb{R} \rightarrow [0, 1]$ such that

$$\theta(y) = \begin{cases} 0 & |y| > 2, \\ 1 & |y| < \frac{3}{2}. \end{cases}$$

First, notice that the restriction $\phi_{|[ct-2, ct+2]}$ is time-independent after a suitable space translation. We approximate it using density of smooth functions: For any $\delta > 0$, there exists a \mathcal{C}^∞ map $\tilde{\phi} : [-2, 2] \rightarrow \mathbb{R}$ such that $\|\phi(\cdot + ct) - \tilde{\phi}\|_{W^{1,\infty}(-2,2)} \leq \delta$. The convex combination

$$(t, x) \mapsto \theta(x - ct)\tilde{\phi}(x - ct) + (1 - \theta(x - ct))\phi(t, x)$$

is δ close to ϕ in $W^{1,\infty}$ -norm, so that the bound $a_0 \leq -\eta$ is still valid upon shrinking η . □

Assuming that $\|u\|_{L^2} = 1$, the previous lemma ensures that

$$\operatorname{Re} \langle \mathcal{L}^{\text{kpp}}u, u \rangle \leq -\|u_x\|_{L^2}^2 - \eta.$$

Turning to the imaginary part, we obtain

$$|\operatorname{Im} \langle \mathcal{L}^{\text{kpp}}u, u \rangle| \leq 2d\kappa\|u_x\|_{L^2}.$$

Thus, for all $u \in H^2(\mathbb{R})$ with $\|u\|_{L^2} = 1$, there exists $\xi \geq 0$ such that

$$\begin{aligned} \langle \mathcal{L}^{\text{kpp}}u, u \rangle &\in \{ \lambda \in \mathbb{C} : \operatorname{Re} \lambda \leq -\xi^2 - \eta \quad \text{and} \quad |\operatorname{Im} \lambda| \leq 2d\kappa\xi \}, \\ &\subset \{ \lambda \in \mathbb{C} : \operatorname{Re} \lambda \leq -C(1 + |\operatorname{Im} \lambda|^2) \} \end{aligned}$$

for some constant $C > 0$.

3.2. Two front superposition

Coming back to the actual notations, we proceed similarly as in the previous subsection. To lighten notations, we may again write the weight in exponential form:

$$\omega(t, x) =: e^{\phi(t,x)}. \tag{3.2}$$

Lemma 14. *Let ϕ as in (3.2). For each $t \geq 0$ and each $u \in H^2(\mathbb{R}, \mathbb{C}^2)$,*

$$\begin{aligned} \operatorname{Re} \langle \mathcal{L}(t)u, u \rangle &= -\langle Du_x, u_x \rangle + \operatorname{Re} \langle A_0u, u \rangle, \\ \operatorname{Im} \langle \mathcal{L}(t)u, u \rangle &= 2 \operatorname{Im} \langle D\phi_x u_x, u \rangle + \operatorname{Im} \langle J_g(\underline{u})u, u \rangle, \end{aligned}$$

where

$$A_0 := J_g(\underline{u}) - \phi_t + D(\phi_x)^2.$$

Proof. Since the coefficients of \mathcal{L} are real-valued, and most of them are diagonal, the expression of $\operatorname{Im} \langle \mathcal{L}u, u \rangle$ is as claimed. The expression for the real part follows from the identity $\operatorname{Re} \langle \phi_x u_x, u \rangle = -\frac{1}{2} \langle \phi_{xx}u, u \rangle$. □

The following lemma ensures that the system case reduces to the scalar case when coupling coefficients are small enough.

Lemma 15. *Let a, b, c, d in \mathbb{R} such that $a < 0, d < 0$ and $\frac{b+c}{2} < \sqrt{ad}$. Let*

$$A := \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

Then there exists $\eta > 0$ such that for any $Z = (z_1, z_2)^T \in \mathbb{C}^2$

$$\operatorname{Re} \langle AZ, Z \rangle \leq -\eta |Z|^2.$$

Proof. We compute that

$$\operatorname{Re} \langle AZ, Z \rangle = a|z_1|^2 + d|z_2|^2 + (b+c)(\operatorname{Re} z_1 \operatorname{Re} z_2 + \operatorname{Im} z_1 \operatorname{Im} z_2).$$

Using Young’s inequality $xy \leq \frac{\varepsilon}{2}x^2 + \frac{1}{2\varepsilon}y^2$, to bound the right-hand side, we obtain the estimate

$$\operatorname{Re} \langle AZ, Z \rangle \leq \left(a + \varepsilon \frac{b+c}{2} \right) |z_1|^2 + \left(d + \frac{b+c}{2\varepsilon} \right) |z_2|^2.$$

Setting $\varepsilon = \sqrt{\frac{a}{d}}$, it rewrites as

$$\operatorname{Re} \langle AZ, Z \rangle \leq \left(\frac{b+c}{2} - \sqrt{ad} \right) \left(\varepsilon |z_1|^2 + \frac{1}{\varepsilon} |z_2|^2 \right),$$

which completes the proof. □

Lemma 16. *There exist positive constants η, α and $\phi : (0, +\infty) \times \mathbb{R} \rightarrow (-\infty, 0]$ such that for all $\alpha_1, \alpha_2 \in (0, \alpha)$ and $(t, x) \in (0, +\infty) \times \mathbb{R}$, the diagonal coefficients of A_0 are less than $-\eta$.*

Proof. To keep formulas readable, we drop the initial shifts in this proof. That is we assume $\psi_1 = \psi_2 = 0$. The proof adapts to non-zero shifts by replacing each occurrence of $x - c_j t$ with $x - c_j t - \psi_j$.

We decompose the half plane into five regions: $(0, +\infty) \times \mathbb{R} = I_1 \cup \dots \cup I_5$. The first three correspond to \underline{u} being close to constants:

$$\begin{aligned} I_1 &= \{(t, x) : x - c_1 t \leq -1\}, \\ I_3 &= \{(t, x) : x - c_1 t \geq 1 \text{ and } x - c_2 t \leq -1\}, \\ I_5 &= \{(t, x) : x - c_2 t \geq 1\}. \end{aligned}$$

The remaining two correspond to transition regions of \underline{u} :

$$\begin{aligned} I_2 &= \{(t, x) : x - c_1 t \in (-1, 1)\}, \\ I_4 &= \{(t, x) : x - c_2 t \in (-1, 1)\}. \end{aligned}$$

Let us collect some useful bounds on the profile \underline{u} . Upon taking a smaller α , we can assume that

$$(\underline{u}(t, x))_1, (\underline{u}(t, x))_2 \leq 2. \tag{3.3}$$

As for a single front, we can always translate both p_1 and p_2 . More precisely, let $\varepsilon > 0$ be chosen in a few lines. Using (2.3)-(2.4), we can assume that

$$(t, x) \in I_1 \cup I_2 \implies (\underline{u}(t, x))_1, (\underline{u}(t, x))_2 \geq 1, \tag{3.4.a}$$

$$(t, x) \in I_3 \cup I_4 \implies (\underline{u}(t, x))_1 \geq 1 - \varepsilon, (\underline{u}(t, x))_2 \geq 0. \tag{3.4.b}$$

In the region where \underline{u} is close to unstable equilibria, we need exponential decay. Let κ_1 and κ_2 which satisfy Assumption 3. From (1.7), we can choose ε so small that

$$d\kappa_1^2 - c_1\kappa_1 - r + 2r\varepsilon < 0. \tag{3.5}$$

We then define

$$\phi(t, x) = \begin{cases} 0 & (t, x) \in I_1, \\ -\kappa_1(x - c_1t) & (t, x) \in I_3, \\ -\kappa_1(c_2 - c_1)t - \kappa_2(x - c_2t) & (t, x) \in I_5. \end{cases} \tag{3.6}$$

On the transition regions, we further impose

$$\phi(t, x) = \begin{cases} -\frac{\kappa_1}{4}(x - c_1t + 1)^2 & (t, x) \in I_2, \\ -\kappa_1(x - c_1t) - \frac{\kappa_2 - \kappa_1}{4}(x - c_2t + 1)^2 & (t, x) \in I_4. \end{cases} \tag{3.7}$$

It is direct to check that ϕ is \mathcal{C}^1 with respect to its variables. From (3.6), we compute:

$$A_0 = \begin{cases} J_g(\underline{u}) & (t, x) \in I_1, \\ J_g(\underline{u}) - \kappa_1c_1 + D\kappa_1^2 & (t, x) \in I_3, \\ J_g(\underline{u}) - \kappa_2c_2 + D\kappa_2^2 + \kappa_1(c_2 - c_1) & (t, x) \in I_5, \end{cases}$$

while (3.7) leads to:

$$A_0 = \begin{cases} J_g(\underline{u}) + P_2(x - c_1t) & (t, x) \in I_2, \\ J_g(\underline{u}) + P_4(x - c_2t) & (t, x) \in I_4, \end{cases}$$

with second-order polynomials

$$\begin{aligned} P_2(y) &= \frac{\kappa_1^2}{4}D - \frac{c_1\kappa_1}{2} + \frac{y}{2}(\kappa_1^2D - c_1\kappa_1) + \frac{y^2}{4}\kappa_1^2D, \\ P_4(y) &= \frac{(\kappa_2 + \kappa_1)^2}{4}D - \frac{c_2(\kappa_2 - \kappa_1)}{2} - \kappa_1c_1 \\ &\quad + \frac{y}{2}((\kappa_2^2 - \kappa_1^2)D - c_2(\kappa_2 - \kappa_1)) + \frac{y^2}{4}(\kappa_2 - \kappa_1)^2D. \end{aligned}$$

We now successively bound diagonal coefficients of A_0 in the different regions.

- ◊ I_1 : Using the expression (2.1) for $J_g(u)$ and (3.4.a), we get that diagonal coefficients are less than $-r + 2\alpha_1$ and $-1 + 2\alpha_2$ respectively. Both are negative when α is small.
- ◊ I_2 : We first compute P_2 on the boundary:

$$P_2(-1) = 0, \quad P_2(1) = D\kappa_1^2 - c_1\kappa_1.$$

Then, we handle P_2 using convexity of its coefficients, and $J_g(\underline{u})$ using the same bound as in I_1 to obtain that diagonal coefficients of A_0 are less

than (respectively)

$$2\alpha + \max(-r, d\kappa_1^2 - c_1\kappa_1 - r),$$

$$2\alpha + \max(-1, \kappa_1^2 - c_1\kappa_1 - 1).$$

Using Assumption 3 and taking α small enough, both are negative.

◊ I_3 : From (3.4.b), diagonal coefficients are less than (respectively)

$$2\alpha + d\kappa_1^2 - c_1\kappa_1 - r + 2\varepsilon r,$$

$$2\alpha + \kappa_1^2 - c_1\kappa_1 - 1.$$

Using (3.5) for the first coefficient and the first line of (1.6) for the second, and taking α small enough, both are negative.

◊ I_4 : We compute P_4 on the boundary:

$$P_4(-1) = \kappa_1^2 D - c_1\kappa_1,$$

$$P_4(1) = \kappa_2^2 D - c_2\kappa_2 + \kappa_1(c_2 - c_1).$$

Using (3.4.b) to control $J_g(\underline{u})$, and convexity of P_4 , we get that diagonal coefficients of A_0 are less than (respectively)

$$2(r\varepsilon + \alpha) + \max\left(d\kappa_1^2 - c_1\kappa_1 - r, \right.$$

$$\left. d\kappa_2^2 - c_2\kappa_2 - r + \kappa_1(c_2 - c_1)\right),$$

and

$$2\alpha + \max\left(\kappa_1^2 - c_1\kappa_1 + 1, \kappa_2^2 - c_2\kappa_2 + 1 + \kappa_1(c_2 - c_1)\right).$$

Using (3.5) and (1.8), the first coefficient is negative. The second one follows using (1.6), (1.8), $r > 1$ and again choosing α sufficiently small.

◊ I_5 : Using Assumption 1 and $(\underline{u})_1, (\underline{u})_2 \geq 0$, we obtain that diagonal coefficients of A_0 are less than

$$2\alpha + d\kappa_2^2 + c_2\kappa_2 + r + \kappa_1(c_2 - c_1).$$

Equation (1.8) precisely ensures that this quantity is negative when α is sufficiently small.

To conclude the proof, we can improve the regularity of ϕ in a very similar way as for the single front case. We notice that close to a regularity defect, ϕ only depends on $x - c_1 t$ or $x - c_2 t$, and use the approximation by smooth functions twice. □

Proposition 17. *Fix $d > 1, r > 1$ and let Assumptions 1, 2, and 3 be satisfied. Then, there exist positive constants η and α such that for all $\alpha_1, \alpha_2 \in (0, \alpha)$ and $t \geq 0$, the spectrum of $\mathcal{L}(t)$ is included in the sector*

$$S := \{\lambda \in \mathbb{C} : \operatorname{Re} \lambda \leq -\eta(1 + |\operatorname{Im} \lambda|)\}. \tag{3.8}$$

Furthermore, for each $t \geq 0$ the following resolvent estimate holds. For each $\lambda \notin S$ and each $f \in L^2(\mathbb{R})$,

$$\|(\lambda - \mathcal{L}(t))^{-1} f\|_{L^2(\mathbb{R})} \leq \frac{\|f\|_{L^2(\mathbb{R})}}{\text{dist}(\lambda, S)}. \tag{3.9}$$

Proof. We show that the numerical range of $\mathcal{L}(t)$ is included in S . It implies the claim, as shown by [16, Lemma 4.1.9].

Let $u \in H^2(\mathbb{R}, \mathbb{C}^2)$ such that $\|u\|_{L^2} = 1$. Using Lemma 14, we can bound the real and imaginary part of $\langle \mathcal{L}u, u \rangle$. Since ϕ_x and the coefficients of $J_g(\underline{u})$ are bounded,

$$\begin{aligned} |\text{Im} \langle \mathcal{L}u, u \rangle| &\leq C \|u_x\|_{L^2} \|u\|_{L^2} + C \|u\|_{L^2}^2, \\ &\leq C (1 + \|u_x\|_{L^2}). \end{aligned} \tag{3.10}$$

To estimate the real part, we rely on Lemma 15. From Lemma 16, diagonal coefficients are negative. Off-diagonal coefficients read $\alpha_1 \underline{u}_1$ and $\alpha_2 \underline{u}_2$, thus Lemma 15 applies when α is small enough. This yields a $C > 0$ such that

$$\text{Re} \langle \mathcal{L}u, u \rangle \leq -C(1 + \|u_x\|_{L^2}^2). \tag{3.11}$$

Combining (3.10) and (3.11), we see that there exists $\xi \in \mathbb{R}$ such that $\langle \mathcal{L}u, u \rangle$ belongs to the set

$$\{\lambda \in \mathbb{C} : \text{Re} \lambda \leq -C(1 + \xi^2) \text{ and } |\text{Im} \lambda| \leq C(1 + |\xi|)\},$$

which is itself a subset of (3.8) for a small enough η . □

4. Proof of the main result

Proof: Theorem 4. To control solutions to (1.12), we rely on the evolution system theory [23, §5.2, §5.6]. The family of operators $\mathcal{L}(t) : H^2(\mathbb{R}) \subset L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$ is stable with constant $(1, -\eta)$, due to the resolvent bound (3.9). It is direct to check that $\tilde{\mathcal{L}}(t) := \mathcal{L}(t) + \eta$ satisfies

$$\left\| \left(\tilde{\mathcal{L}}(t_1) - \tilde{\mathcal{L}}(t_2) \right) \tilde{\mathcal{L}}(t_3)^{-1} f \right\|_{L^2} \leq C |t_1 - t_2| \|f\|_{L^2},$$

since \underline{u} and ω are continuous. Applying [23, Theorem 6.1] to $\tilde{\mathcal{L}}$, we recover that $z(t) = e^{\eta t} w(t)$ satisfies

$$\|z(t)\|_{L^2} \leq C \|z(0)\|_{L^2}.$$

Unfolding the change of variable concludes the proof. □

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Data Availability Statement The numerical simulations displayed in Figures 2, 3 and 4 have been obtained using Matlab (Version 24.1.0, R2024a), and the code is available at <https://github.com/Bastian-Hilder/FrontCascade>.

Declarations

Competing interests The authors declare no competing interests.

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