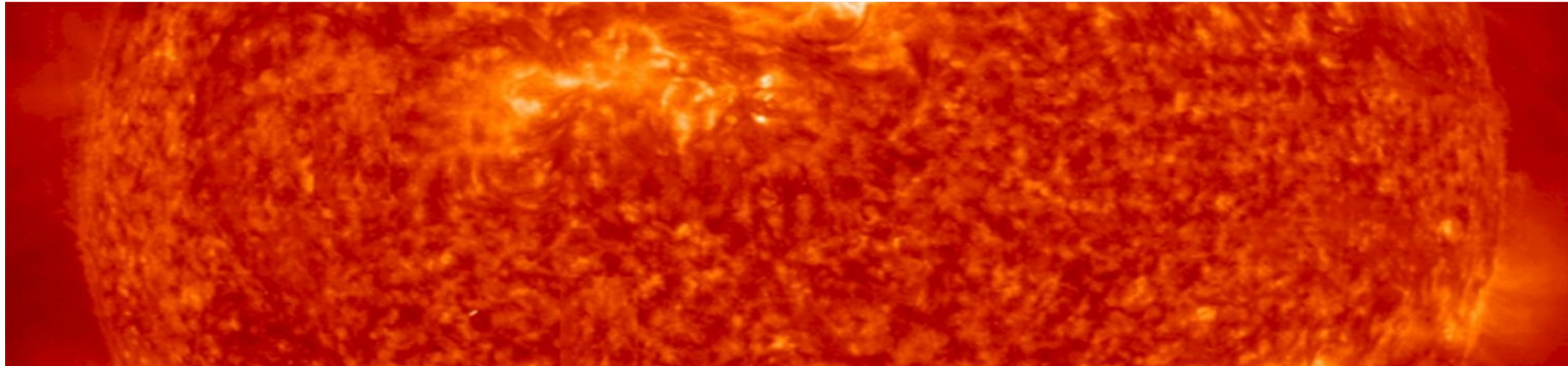


Numerical analyses of the W7-X ECRH gyrotron diamond output window and overview on the residual stress analysis of MPA CVD diamond disks

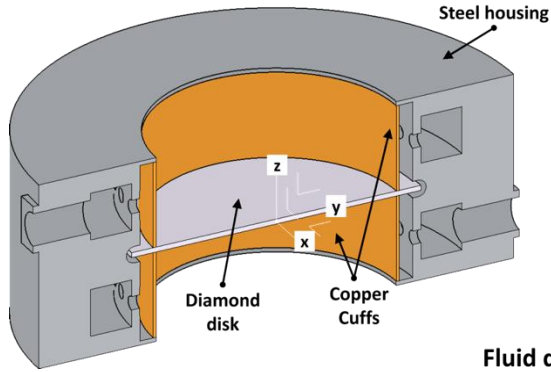
G. Aiello, H.P. Laqua, F. Legrand, A. Meier, T. Scherer, S. Schreck, D. Strauss



Outline

- W7-X gyrotron diamond output window
 - Performance at 1.5 MW operation
 - Performance at 2 MW operation
- Initial intrinsic stress analysis in MPA CVD diamond
 - Polarimeter
 - Raman
 - XRD
- Summary and outlook

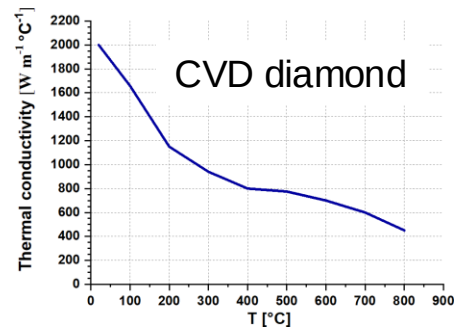
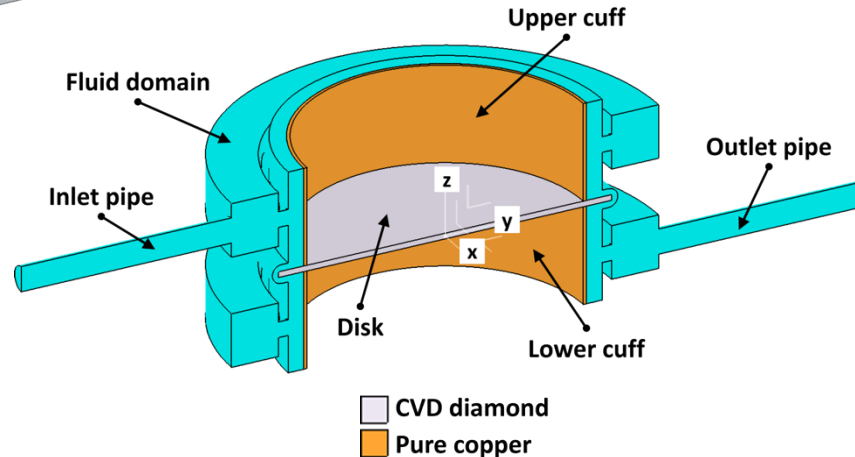
Previous window design and analyses at 1.5 MW



$$q'''(r) = \frac{2 P_{abs}}{\pi w_0^2 t} e^{-2 \frac{r^2}{w_0^2}}$$

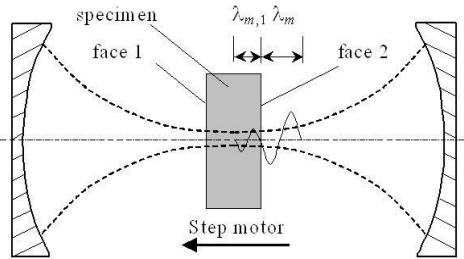
CFD and structural analyses

- Gaussian mm-wave beam with 20 mm radius
- Symmetry
- Temperature dependent material properties
- 0.2 kg s^{-1} at inlet (25°C) at inlet and 0 Pa at outlet
- Runs with different absorbed power values
- Runs with water and silicon oil DC 200(R) 5cSt

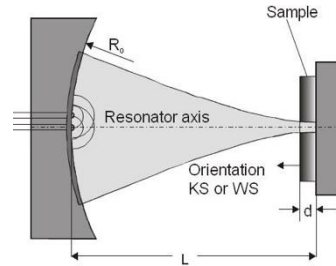


Loss tangent measurements

Spherical setup



Hemispherical setup



$$P_{abs} = \frac{P_{beam} \pi f t \tan \delta (1 + \epsilon_r)}{c_0}$$

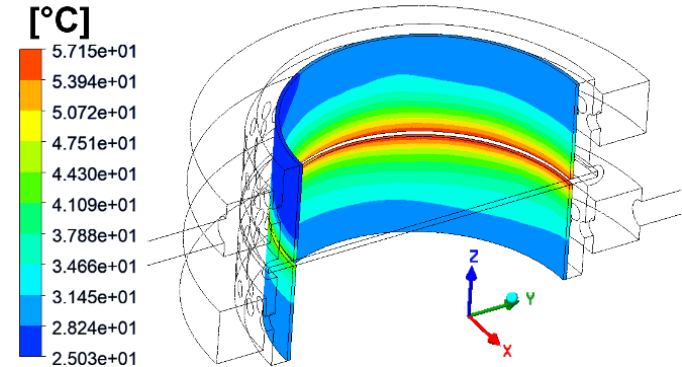
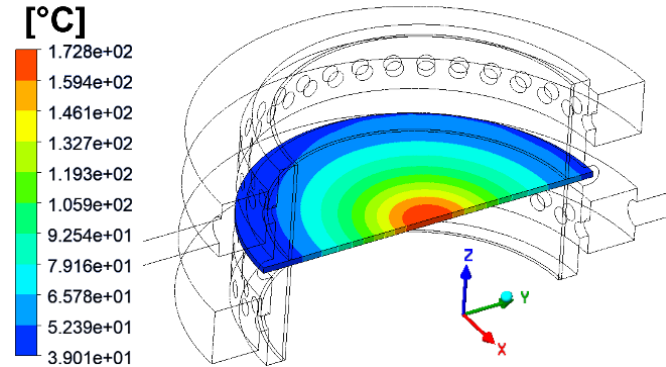
	$\tan \delta$ [x 10 ⁻⁵]	absorbed power [W]
<i>Conservative assumption</i>	5.68	1500
<i>Disk 89DB1</i>	4.55	1201
<i>D90, average on 25 bare disks</i>	3.49	921
<i>D50, average on 25 bare disks</i>	2.10	555

- $P_{beam} = 1.5$ MW
- $f = 140$ GHz
- $\epsilon_r = 5.67$
- $t = 1.8$ mm

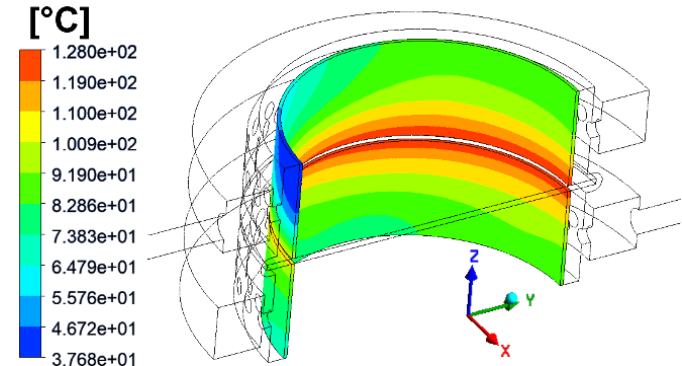
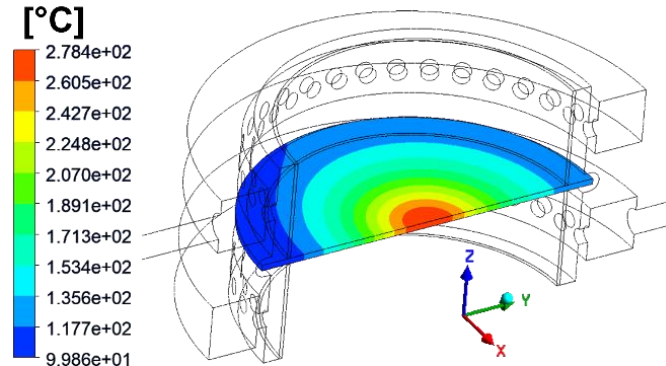
- Fabry-Perot resonators at KIT to measure the $\tan \delta$ of the diamond disks
- Input to numerical analyses to model power absorption in disks
- Margin against potential degradation factors of the disk quality

CFD analysis results at 1.5 kW absorption

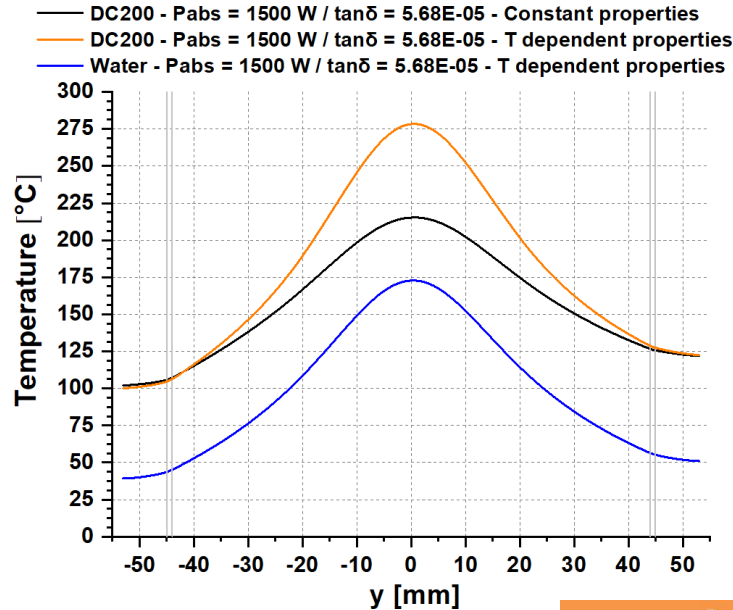
Water



Oil (DC200)



CFD analysis results at 1.5 kW absorption

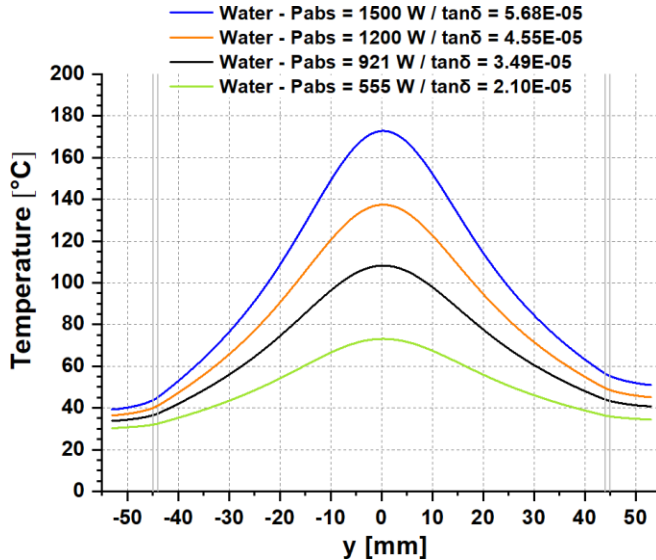


- 250°C is the limit assumed for diamond
- **Oil-cooling** is not an option for the window at 1.5 kW absorption
- **Water-cooling** shall be used at high power operations
- **Temperature dependent properties** shall be used

	density [kg m ⁻³]	dynamic viscosity [Pa s]	specific heat [J kg ⁻¹ K ⁻¹]	thermal conductivity [W m ⁻¹ K ⁻¹]
<i>Water</i>	997	8.90 x 10 ⁻⁴	4182	0.607
<i>DC200</i>	915	45.8 x 10 ⁻⁴	1639	0.142

Coolant	Pressure drop [bar]	ΔT fluid inlet-outlet [°C]	Max T in disk [°C]	Max T in cuffs [°C]	ΔT disk edges [°C]	Power fraction removed by diamond [-]	Power fraction removed by copper [-]
<i>Water</i>	0.15	1.75	172.8	57.2	11.8	58%	42%
<i>Oil</i>	0.20	4.47	278.4	128.0	22.4	48%	52%

Parametric study for loss tangent

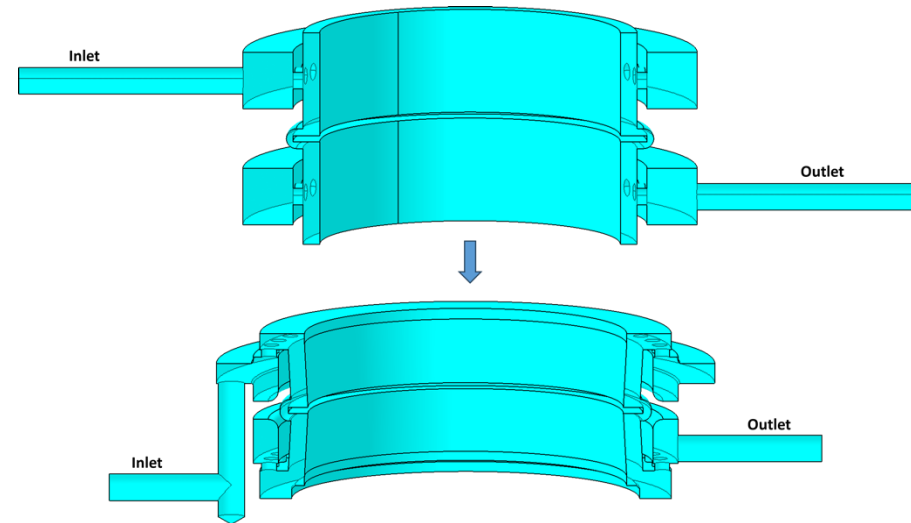
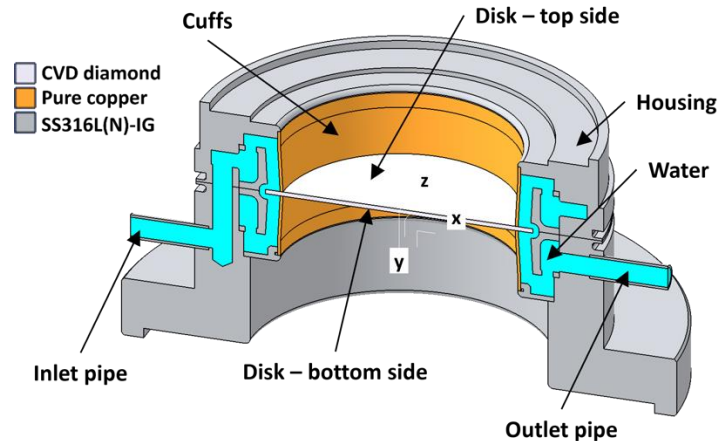
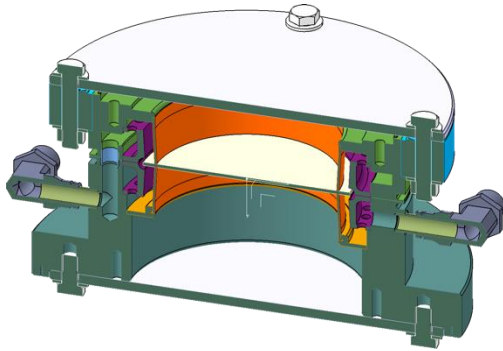


- **Water-cooling** provides by far significant temperature reserve margins, even at higher values of absorbed power
- **High reserve margins** are important to counteract potential factors leading to a degradation of the microwave transmission capability of the diamond disk

Absorbed power in disk [W]	Water		DC200	
	Max T in disk [°C]	Reserve margin [°C]	Max T in disk [°C]	Reserve margin [°C]
1500	172.8	77.2	278.4	-
1200	137.4	112.6	213.1	36.9
921.4	108.2	141.8	159.3	90.7
554.5	73.11	176.9	100.7	149.3

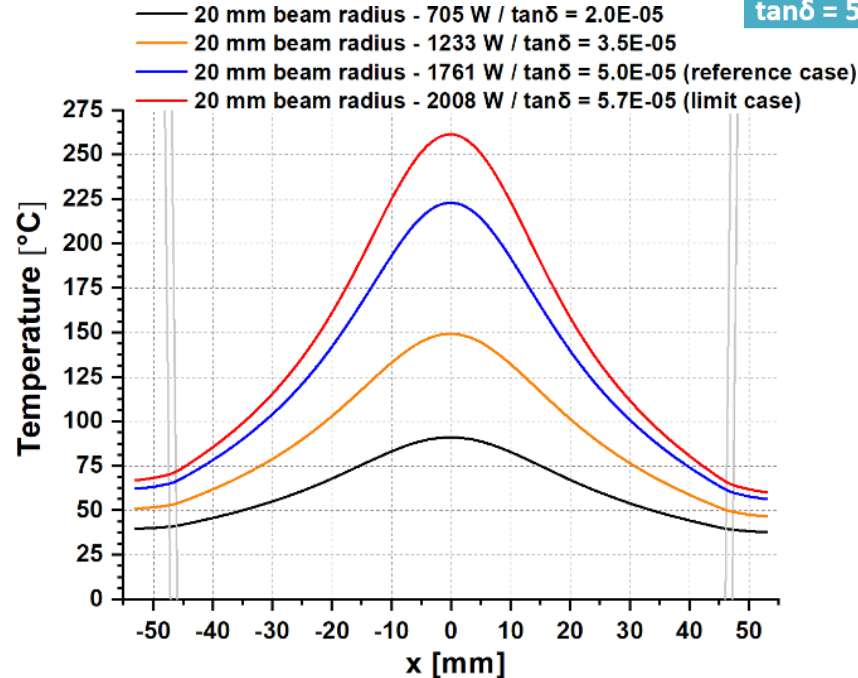
Current window design and analyses at 2 MW

- CFD with different combinations of $\tan \delta$ and beam radius
- Operational limits of the window
- Structural with the complete window assembly and both thermal and other operational loads



CFD analysis results

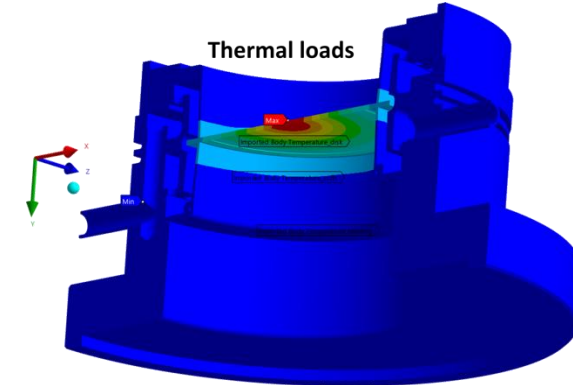
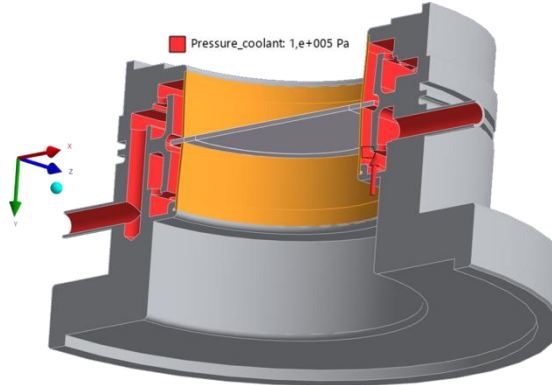
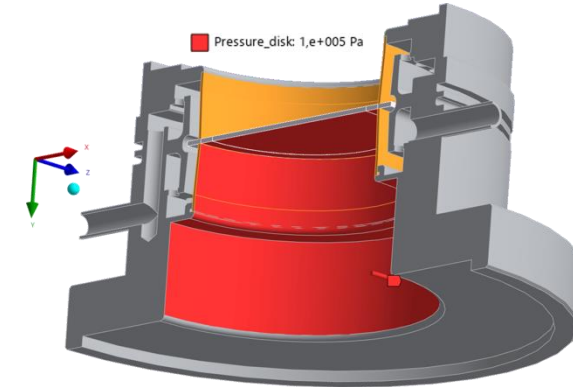
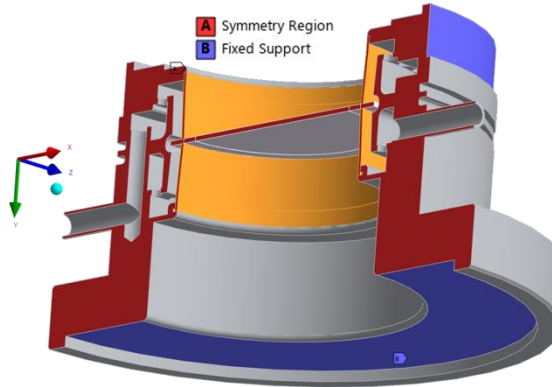
	$r_{\text{beam}} = 17 \text{ mm}$	$r_{\text{beam}} = 20 \text{ mm}$	$r_{\text{beam}} = 23 \text{ mm}$
$\tan\delta = 2.0\text{e-}5 / P_{\text{abs}} = 705 \text{ W}$	97.2	91.1	85.9
$\tan\delta = 3.5\text{e-}5 / P_{\text{abs}} = 1233 \text{ W}$	162.3	149.3	138.6
$\tan\delta = 5.0\text{e-}5 / P_{\text{abs}} = 1761 \text{ W}$	245.6	223.0	203.0



- Major symmetry in temperature distribution
- Going to higher values of absorbed powers, a greater beam radius may play a significant role in keeping low the maximum temperatures
- Maximum value of $\tan\delta$ that might be still tolerated at 2 MW operation is $5.7\text{E-}5$

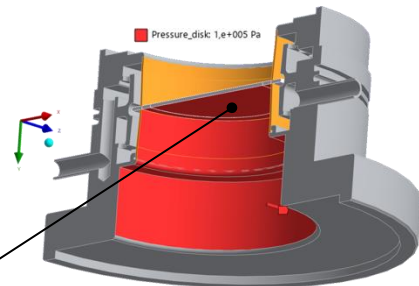
Structural analysis - setup

- Reference case
($r_{\text{beam}}=20$ mm and $\tan\delta=5.0E-5$)
- Metallic housing is included
- Plastic steady-state analysis
- Thermal loads
- Coolant pressure of 1 bar
- Atmospheric pressure acting on the bottom side of the disk

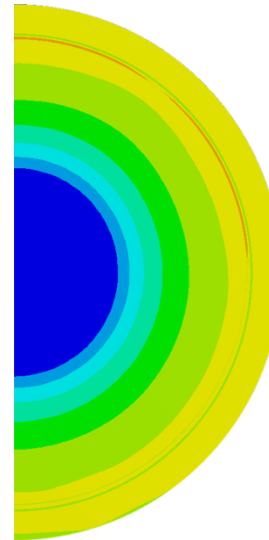
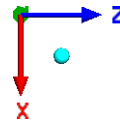
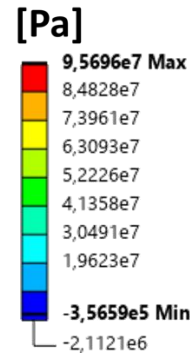


Structural analysis - results

- Maximum principal stresses are shown (tensile component)
- Thermal loads lead to a compressive stress of ~ 120 MPa
- Pressure loads lead to a tensile stress of ~ 47 MPa
- Thermal loads rule the total stress distribution



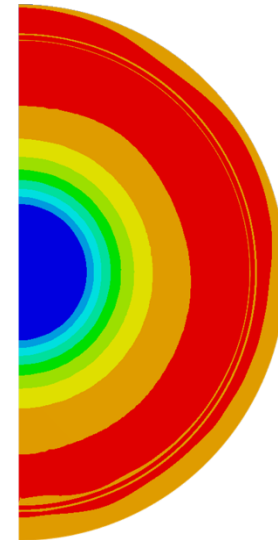
Disk bottom side



Stresses due to thermal loads



Stresses due to pressure loads

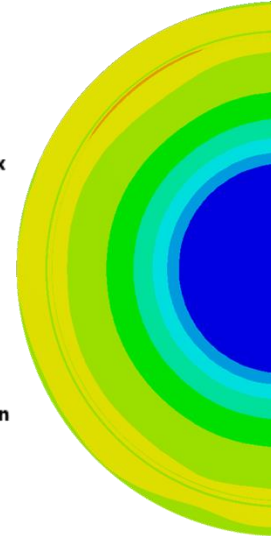
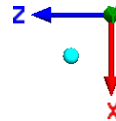
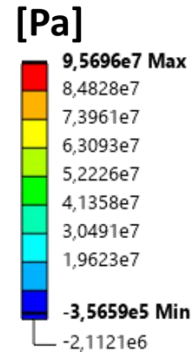
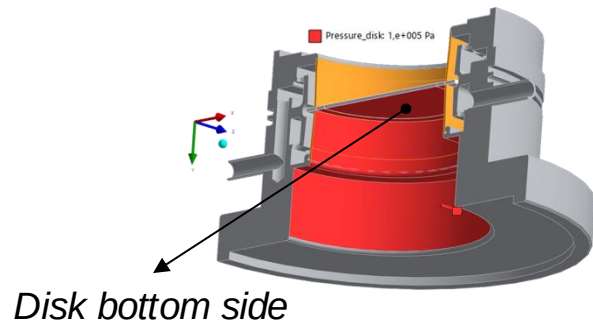


Stresses due to total loads

Top side

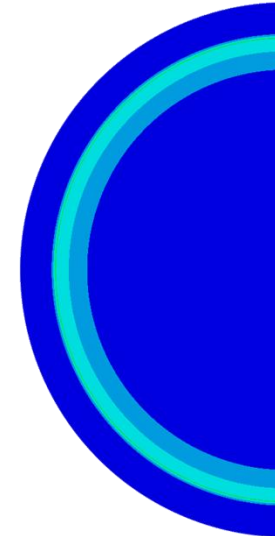
Structural analysis - results

- On the bottom side, stresses relax when pressure loads are added to the thermal ones
- Stresses can be safely accepted with respect to the 150 MPa allowable limit
- Bow of the disk is $\sim 45 \mu\text{m}$

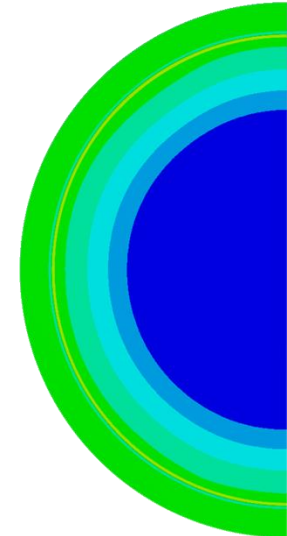


Stresses due to thermal loads

Bottom side



Stresses due to pressure loads

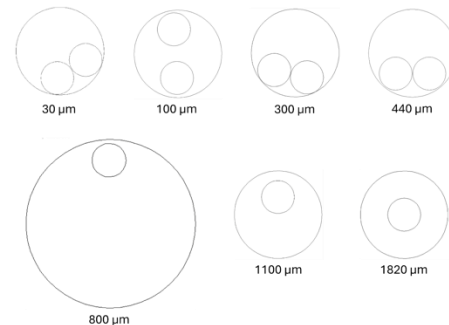


Stresses due to total loads

Intrinsic stress analysis in diamond



Procurement of a 1.11 mm, Ø 80 mm optical quality CVD diamond disk and Ø 30 mm optical quality samples at various thickness



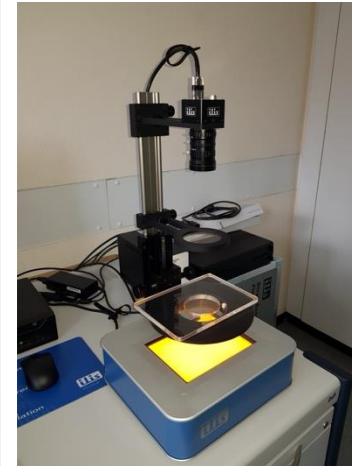
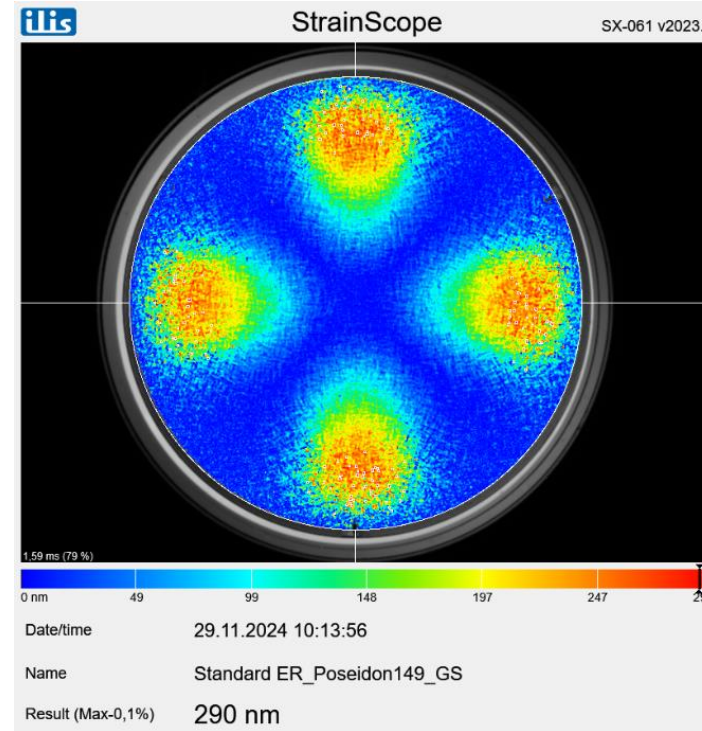
Measurements by

- Polarimeter
- Raman
- X-ray diffraction (XRD)

Nominal thickness (µm)	30	100	300	440	800	1100	1820
Sample identification number	SN-1214 SN-1215	SN-1191 SN-1192	SN-1195 SN-1196	SN-1212 SN-1213	SN-1153	SN-1157	SN-1151
Central thickness (µm)	29.6 (SN-1214) 30.4 (SN-1215)	107.4 (SN-1191) 107.5 (SN-1192)	302.0 (SN-1195) 302.1 (SN-1196)	450.5 (SN-1212) 450.6 (SN-1213)	817.2	1118.3	1811.7
Total thickness variation (µm)	3 (SN-1214) 1.5 (SN-1215)	0.39 (SN-1191) 0.52 (SN-1192)	6 (SN-1195) 6.3 (SN-1196)	4.5 (SN-1212) 2.4 (SN-1213)	3.0	3.6	2.7

Measurements by polarimeter

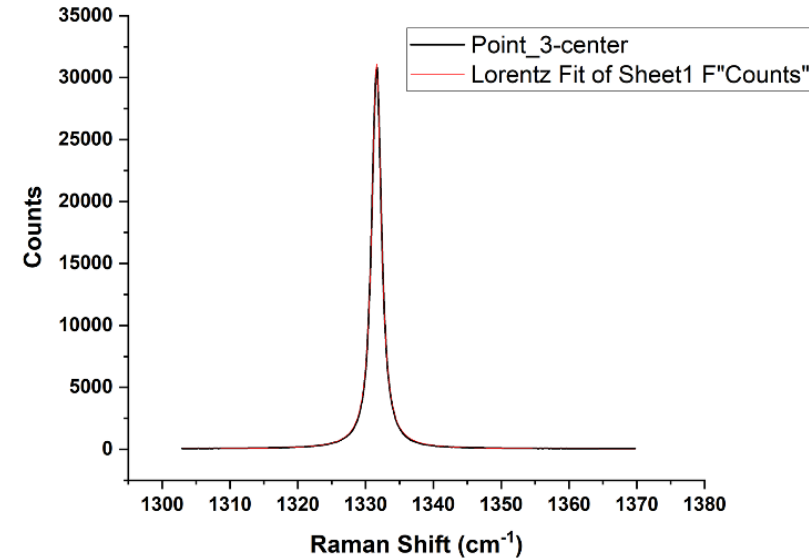
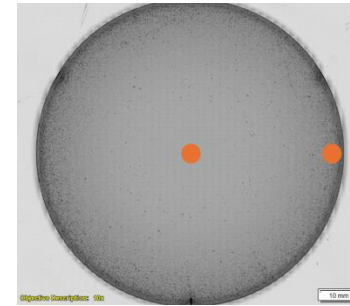
- $\sigma_1 - \sigma_2 = \delta / t \cdot C$
 - σ_1, σ_2 principal stresses (MPa)
 - δ , retardation (nm)
 - t , thickness (mm)
 - C , stress-optical coefficient (TPa^{-1})
- Output in terms of retardation
- Measurements with a specific linear polarization (angular shift of the visible cross)
 - max stress difference in outer region
 - axial-symmetry, stress dependence on disk radius



StrainScope® Flex

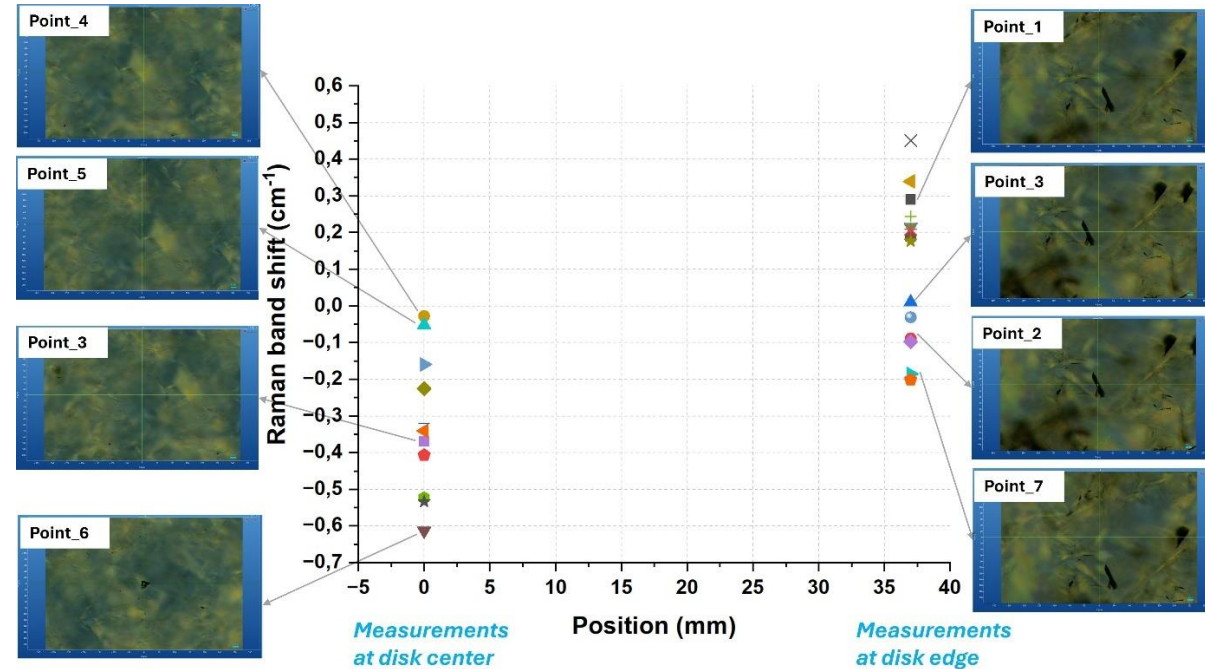
Measurements by Raman

- LabRam HR Evolution Raman Spectrometer
- Diamond line is at 1332 cm^{-1}
- Residual stresses cause shift of Raman peak
- $\nu_s - \nu_r = \alpha \sigma$
 - ν_s , measured frequency of Raman peak (cm^{-1})
 - ν_r , frequency of stress-free material (cm^{-1})
 - α , coeff. of stress-induced frequency shift ($\text{cm}^{-1} \text{ GPa}^{-1}$)
 - σ , stress (GPa)
- Output in terms of shift of the Raman band position
- Initial measurements:
 - two specific regions at center and outer on GS
 - 532 nm, 10% power, 2400 g/mm, x10, 50 μm aperture
 - 5 times acquisition of 3s each, Lorentz fitting



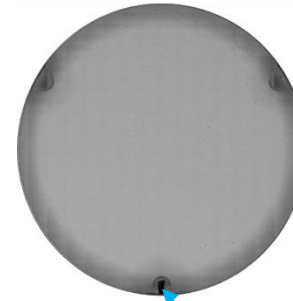
Measurements by Raman

- Image for each measurement point (using a polarizer)
- Shift to lower (upper) frequencies relates to tensile (compressive) stresses
- Tensile stress appears at center while tensile and compressive stress in the outer region
- Large variation of the shift, indicating a large variation of stress among the points

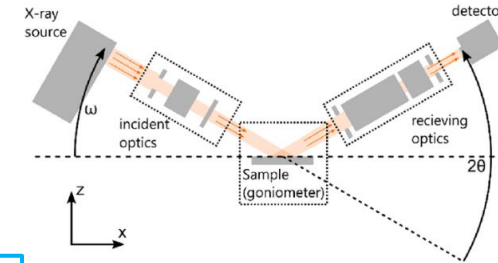
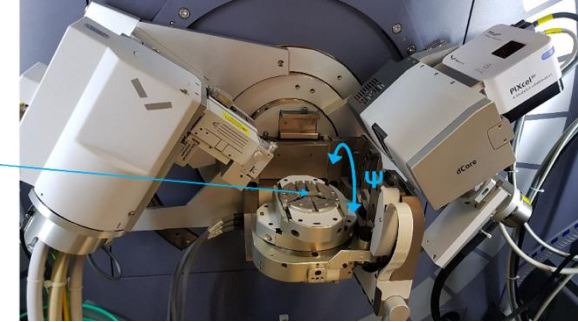


XRD residual stress analysis

- XRD $\sin^2\psi$ method on GS and NS of 300, 440, 800, 1100 and 1820 μm samples
- Empyrean diffractometer (Panalytical)
- Cu $K\alpha$ radiation
- (331) diffraction peak with $2\theta = 140.6^\circ$
- Sampling central area of 3 mm x 3 mm
- ϕ - 1 direction of measurements around surface normal (black mark at bottom, $\phi = 0^\circ$)
- ψ - 36 tilt angles from -67° to 67°
- Modified Lorentzian shape function to determine peak position
- A plot $d - \sin^2\psi$ is generated
- Stress is obtained from the straight-line slope (least squares regression) and material elastic constants



Black mark

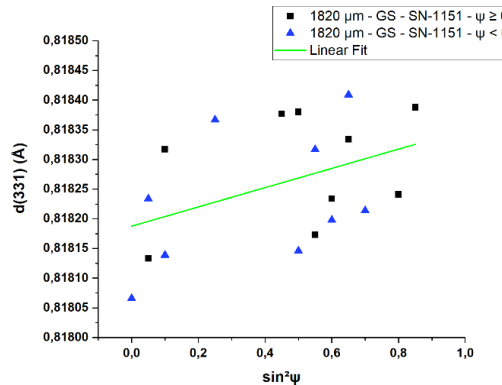
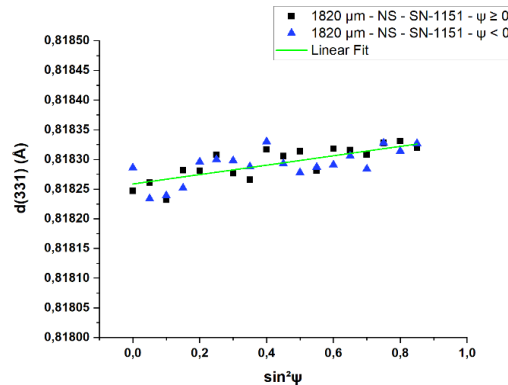
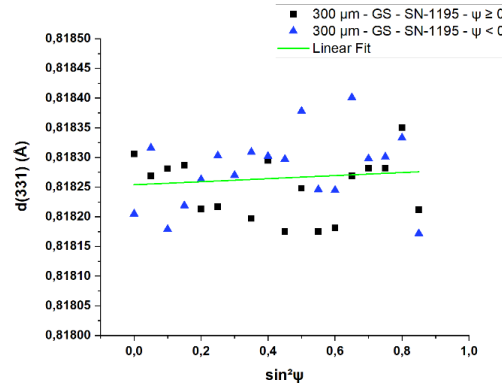
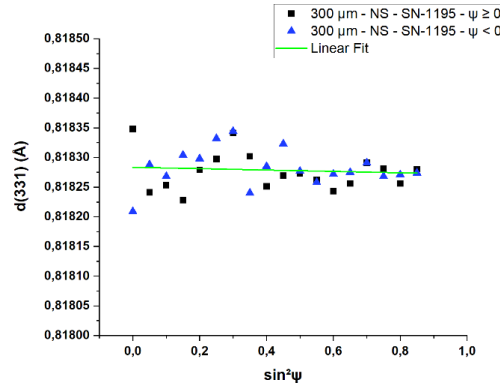


$$\sigma_\phi = \left(\frac{E}{1+\nu} \right)_{(hkl)} \frac{1}{d_{\phi 0}} \left(\frac{\partial d_{\phi\psi}}{\partial \sin^2 \psi} \right)$$

ϕ rotation angle about surface normal

ψ inclination angle of the sample

XRD residual stress analysis

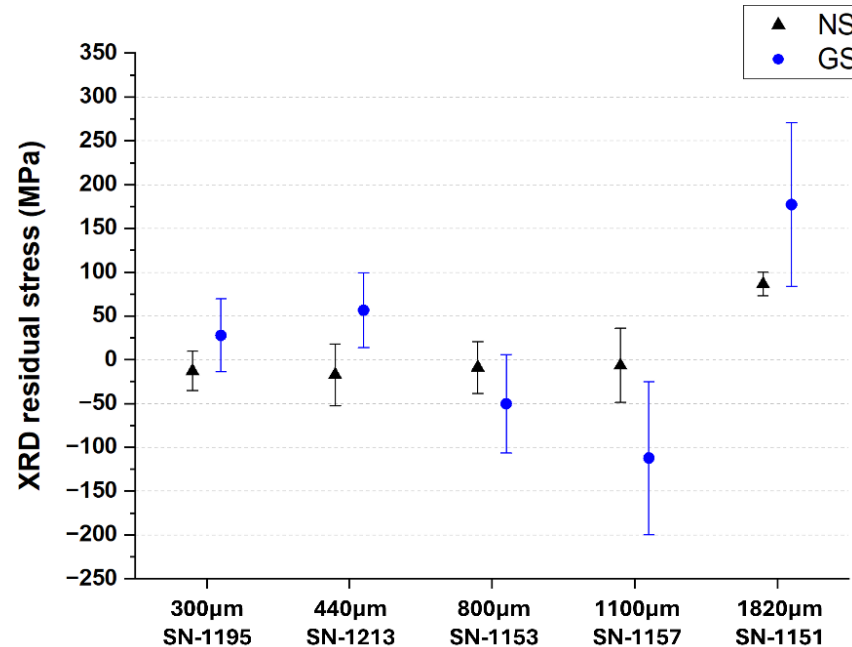


- Larger scatter on the GS with respect to NS
- At 1100 and 1820 μm on the GS, only about half of the measuring points was possible to use
- Causes shall relate to the columnar growth and presence of large grains
- $\sin^2\Psi$ method might be applicable only to NS
- However, some techniques might improve the measurements by increasing the number of contributing grains (e.g. slightly oscillating the sample)

→ feasible at KIT Campus South

XRD residual stress analysis

- No defined trend as a function of thickness and also between NS and GS
- Large value of standard deviation (reported also in literature – maybe due to texture and columnar growth)



Sample	Sample side	Stress (MPa)	Standard deviation (MPa)
300 μm - SN-1195	NS	-12,8	22,5
	GS	27,8	41,5
440 μm - SN-1213	NS	-17,1	35,3
	GS	56,5	42,6
800 μm - SN-1153	NS	-8,8	29,3
	GS	-50,3	56,4
1100 μm - SN-1157	NS	-6,5	42,3
	GS	-112,2	87,5
1820 μm - SN-1151	NS	86,4	13,3
	GS	177,1	93,3

Summary & outlook

- Numerical analyses verified the performance of the W7-X gyrotron diamond output window at 2 MW operation by water cooling
- Temperature dependent properties shall be used to obtain a real picture of the window performance at these power levels
- Initial measurements of residual stress in CVD diamond by polarimeter, Raman and XRD show that useful information can be obtained on the distribution and nature of the stresses
- Systematic investigation of the stresses over the area of the diamond samples