## Neptunium redox speciation at the illite surface

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## Abstract

Neptunium (Np(V)) sorption onto a purified illite is investigated as a function of pH (3 10) and  $[Np^VO_2^+]_{tot}(3 \times 10^{-8} 3 \times 10^{-4} M)$  in 0.1 M NaCl under Ar atmosphere. After about one week reaction time, only insignificant variation of Np sorption is observed and the establishment of reaction equilibrium can be assumed. Surprisingly, solid liquid distribution ratios (R<sub>d</sub>) are clearly higher than those measured for Np(V) sorption onto illite under aerobic conditions. The observation that R<sub>d</sub> increases with decreasing pe (pe = log a<sub>e</sub>) suggests partial reduction to Np(IV), although measured redox poten tials (pe values) at a first glance suggest the predominance of Np(V). Reduction to Np(IV) at the illite surface could indeed be confirmed by X ray absorption near edge spectroscopy (XANES). Np speciation in presence of the purified Na illite under given conditions is consistently described by applying the 2 sites protolysis non electrostatic surface complexation and cation exchange model. Measured pe data are taken to calculate Np redox state and surface complexation constants for Np(IV) are derived by applying a data fitting procedure. Constants are very consistent with results obtained by applying an existing linear free energy relationship (LFER). Taking Np(IV) surface complexation constants into account shifts the calculated Np(V)/Np(IV) redox borderline in presence of illite surfaces by 3 5 pe units (0.2 0.3 V) towards redox neutral conditions. Our study suggests that Np(V) reduction in presence of a sorbing mineral phase is thermodynamically favored.

## **1. INTRODUCTION**

Neptunium (Np) is only a minor constituent of high level radioactive waste. Its environmental chemistry is still of considerable interest due to the long half life of its main isotope <sup>237</sup>Np ( $t_{1/2} = 2 \times 10^6$  a) and its high solubility and mobility as pentavalent Np(V)O<sub>2</sub><sup>+</sup> under oxidizing condi tions. In general, Np can exist in oxidation states between +III and +VII in aqueous solution, but penta and tetravalent Np are the most relevant oxidation states in

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the geosphere (Kim, 1986). NpO $_2^+$  only weakly sorbs to min eral surfaces and is therefore regarded as rather mobile (Geckeis et al., 2013). Under reducing conditions, Np(IV) prevails and is considered as rather immobile because of its strong sorption to minerals and due to its low solubility, as other tetravalent actinides (An; e.g. Th(IV)) or other tet ravalent elements (e.g. Sn(IV)) (Bradbury and Baeyens, 2009a,b).

Clay minerals are main components in sedimentary rocks, which are considered as potential host rocks for radioactive waste disposal (e.g. Callovo Oxfordian mud stone, France; Opalinus Clay) (Claret et al., 2005; Latrille et al., 2006; Wu et al., 2009). Their high sorption capacity for cations via ion exchange reactions as well as surface

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complexation to silanol or aluminol groups at the edge of clay particles have a major impact on radionuclide and metal ion retention. Np sorption onto purified clay minerals has mostly been studied under aerobic conditions, where the +V oxidation state is dominant or under inert atmo sphere but without monitoring or controlling the redox potential (Gorgeon, 1994; Turner et al., 1998; Amayri et al., 2011). As slightly reducing conditions are frequently found in soil or natural clay rocks, it is mandatory to assess the impact of redox conditions on the behavior of redox active metal ions such as Np. Partial reduction of Np(V)to Np(IV) when in contact with Opalinus Clay was sug gested by comparing sorption data obtained under aerobic and anaerobic conditions. Stronger Np sorption (Fröhlich et al., 2011) was found under anaerobic conditions and sig nificant reduction to Np(IV) was evidenced by applying synchrotron based spectroscopic techniques (Fröhlich et al., 2012). Np(IV) was found associated with pyrite as the main reductant in the natural clay rock.

The oxidation state of the early actinides (Pa, U, Np, Pu) is determined by the redox potential in solution, which in turn is controlled by the balance of redox pairs (see e.g. Altmaier et al., 2013). Establishment of redox equilibria in homogeneous solution may however be slow. For instance, Nakata et al. (2002) observed almost no Np(V) reduction by dissolved Fe(II) after one week. By contrast, reactions with redox active solid phases are usually found to proceed fast (Stumm, 1992; Buerge and Hug, 1999; Liger et al., 1999; Nakata et al., 2002; Charlet et al., 2007; Bach et al., 2014). Notably for reactions with Pu(V) with mineral sur faces rapid reduction to Pu(IV) is stated (Powell et al., 2004, 2005; Hixon et al., 2010; Kirsch et al., 2011; Zaravin et al., 2012). The time necessary for a complete Pu(V) reduction varies from few hours to few days depend ing on the mineral. Spectroscopic and microscopic exami nation very often reveal that reduced Pu(IV) species are predominantly found at the mineral surface, thus implying surface mediated reduction being the relevant reaction mechanism. Reduction is, however, also found at mineral surfaces where a specific reducing partner is absent (Hixon et al., 2013), suggesting that Pu(IV) under given conditions simply is the thermodynamically favored species. Zaravin et al. (2012) studied Np(V) sorption onto montmo rillonite under the same conditions as for Pu(V), but did not observe Np(V) reduction. This observation can be explained by the fact that the standard redox potential of the Np(V)/Np(IV) couple  $(E_{NpO_2^+/Np^{4+}}^0 = 0.604 \text{ V})$  is lower than that of the Pu(V)/Pu(IV) pair  $E_{PuO_2^+/Pu^{4+}} = 1.031 \text{ V}$ (Guillaumont et al., 2003). Therefore, reduction of Np(V) to Np(IV) in presence of montmorillonite surfaces under given conditions apparently is not thermodynamically favorable.

Only a few attempts are reported in the literature to describe actinide redox reactions in presence of minerals quantitatively by applying mechanistic surface complexa tion models. Such thermodynamic models are particularly relevant and required for a comprehensive prediction of actinide behavior in natural systems. Degueldre and Bolek (2009) discussed Pu sorption to  $Al_2O_3$ , FeOOH and SiO<sub>2</sub> colloids based on a simple  $R_d$  approach. Their

calculations included the effect of the redox potential, which was shown to have a major impact on calculated Pu uptake data. Schwantes and Santschi (2010) proposed a surface complexation model involving all Pu redox states (from +III to +VI) to minerals and a reversible surface mediated Pu(V) Pu(IV) reaction to explain the observed kinetics of Pu uptake. Experimentally deter mined redox potential (pe; Eh) or O2(g) partial pressures are essential for the application of surface complexation models to describe sorption behavior of redox sensitive elements. However, in most studies mentioned above, such data are not provided, very often due to difficulties with experimental associated their determination (Schüring et al., 2000; Altmaier et al., 2010).

The present study is dedicated to  $NpO_2^+$  sorption to a purified clay mineral (illite) under inert gas atmosphere (Argon) in order to exclude the influence of atmospheric CO<sub>2</sub>. Classical batch experiments at various pH, total Np concentrations and reaction times are performed and com pared with literature data for the uptake of various metal ions onto illite. Different to many other similar studies a special focus is dedicated to monitor redox conditions. X ray absorption near edge structure spectroscopy (XANES) is applied to determine the Np redox state at the illite surface. Finally, an attempt is made to consistently describe Np sorption and redox speciation using surface complexation modeling.

## 2. MATERIALS AND METHODS

All chemicals are of pro analytical quality or better and were obtained from Merck (Darmstadt, Germany) or Riedel de Haen (Seelze, Germany). All experiments were conducted with de ionized "MilliQ" water (specific resistiv ity, 18.2 M $\Omega\,\text{cm}^{-1}$ ). The aqueous concentration of  $^{237}\text{Np}$ was analyzed using LSC with a TriCarb 3100 (Beckman/ PerkinElmer) and the scintillation cocktail Ultima Gold XR (Packard Instruments Co., USA). To differentiate between the alpha decay of the <sup>237</sup>Np and the beta decay of its daughter <sup>233</sup>Pa, the measurements have been per formed in an alpha beta discrimination mode applying a Pulse Decay Analysis (PDA), a form of pulse shape analy sis, to perform differentiation of alpha and beta events. PDA uses a time based Pulse Decay Discriminator (PDD) to evaluate the pulse duration of scintillation events and categorize the events as either alpha or beta. A discrimi nator (146) setting was used to reduce the beta spillover to 1.50%. <sup>237</sup>Np counting efficiencies for each sample were determined using a <sup>237</sup>Np quenching curve. Each sample was counted for a total of 0.5 h. Since we used a constant background electrolyte (0.1 M NaCl), the Quench Indicating Parameter (QIP) tSIE fixed to 470

does not change significantly ( $\pm 10$ ) during the LSC measurements. Details about the LSC measurement are given in the supplementary information. In addition, the stock solution of <sup>237</sup>Np and aqueous concentration of <sup>237</sup>Np in the batch sorption experiment after phase separation were also checked at random by ICP MS measurements and the results are in good agreement with LSC measurements.

## 2.1. Neptunium stock solution

The initial <sup>237</sup>Np solution was evaporated to dryness and dissolved in 8 M HCl. The solution was purified via anion exchange (BIO RAD<sup>®</sup> AG 1 X8). After a washing step with several milliliters of 8 M HCl, Pu contaminations were removed with a fresh solution of 150 mg NH<sub>4</sub>I in 5 mL 8 M HCl. Subsequently, Np was eluted from the ion exchanger with 4 M HCl/0.05 M HF and evaporated to dryness in a PTFE beaker. For all sorption experiments, stock solutions of neptunium in the pentavalent oxidation state were to be used. To obtain a solution containing only  $NpO_2^+$ , the solution was fumed twice with 1 M HClO<sub>4</sub> and the residue was dissolved in deionized water. The pentava lent oxidation state of  $NpO_2^+$  was obtained by potentiostat ic electrolysis and its purity was verified by UV Vis/NIR spectroscopy (Sjoblom and Hindman, 1951). The final Np concentration was determined by LSC.

## 2.2. Illite preparation and characterization

Purified Na illite was used in this work and was pro vided within the EC project CP CatClay (www.catclay. org). The source material is derived from lacustrine conti nental sediments deposited at the Upper Eocene  $(\sim 35 \text{ Ma})$  in the basin of Le Puy en Velay (Massif Cen tral, France). The clay formation in this region is mainly composed of illite with small contributions of kaolinite, a 1:1 clay mineral. In addition to kaolinite, K feldspar, quartz and calcite are present in varying amounts (between 0% and 40%; Gabis, 1963). The <63 µm size fraction was obtained by grinding and sieving the raw material (Poinssot et al., 1999). The purification procedure aims at removing non clay (Ca rich) mineral phases and converting the illite to the Na form. The received illite material was equilibrated in a formate buffer solution (0.05 M formic acid and 0.05 M Na formate in 1 M NaCl, pH  $\sim$  3.5) at a mass to volume ratio (m/V) of 100 g/L for 4 h by gentle magnetic stirring. The solid phase was separated by centri fugation (500 g for 5 min), re suspended in 1 M NaCl and equilibrated overnight (gentle magnetic stirring). This washing procedure was applied three times. After removing 80 85% of the liquid phase, the settled suspension was transferred into dialysis tubes and dialyzed against MilliQ water. The external solution was replaced twice daily, until no NaCl was detectable in the external solution (checked by adding  $AgNO_3$ ). In the last step, the clay suspensions were freeze dried. The characterization of the illite material is given in the supporting information file. The illite contains 7 wt.% Fe<sub>2</sub>O<sub>3</sub>.

## 2.3. Determination of pH and Eh

The pH in the clay suspensions was measured by an Orion 525A pH meter with a Ross electrode calibrated with 4 standard buffers (pH 3, 5, 7 and 9; Merck). The error of pH measurements is  $\pm 0.05$ . The redox potentials in the clay suspensions were measured using an Orion 525A (Eh meter) and a Pt combined electrode with Ag/AgCl reference system (Metrohm) and converted into Eh versus standard

hydrogen electrode (SHE) by correcting for the potential of the reference electrode. A commercial redox buffer (220 mV, Schott instruments) was used for calibration. An equilibration time of 15 min was applied for all Eh mea surements. The suspension was stirred prior to the Eh mea surement. Uncertainties in Eh measurements lie in a range of  $\pm 50$  mV (Altmaier et al., 2010; Kirsch et al., 2011). Eh was converted to the apparent electron activity, pe = log a<sub>e-</sub> = 16.9 × Eh(V) at 25 °C, and the error of pe data is equal to around  $\pm 0.8$ .

## 2.4. Batch sorption experiments

All sorption experiments were performed as batch type experiments at initial Np(V) concentrations in the range of  $3 \times 10^{-8}$   $3 \times 10^{-4}$  M. Maximum Np concentration is below the solubility limit of relevant Np(V) bearing solids (Guillaumont et al., 2003). The batch experiments were car ried out in 40 mL polypropylene centrifuge tubes at room temperature in an argon glove box (<1 ppm O2, absence of CO<sub>2</sub>). The sample volume was 25 mL. At a solid to liquid ratio of 2 g/L, the suspensions were preconditioned in 0.1 M NaCl by shaking continuously for 4 5 days to achieve a given target pH value by adding 0.1 M HCl or 0.1 M NaOH. After mixing the  $NpO_2^+$  solution with the preconditioned illite suspension, pH was readjusted by add ing acid or base (HCl/NaOH). The samples were shaken end over end and Np sorption was followed with time after the addition of  $NpO_2^+$  to the illite suspension. After 7, 21, 35 and 63 days, pH and Eh were measured in the suspension and an aliquot of each sample was centrifuged in a Beck man L7 Ultracentrifuge at 90,000 rpm for 1 h. The superna tant was analyzed for dissolved Np by LSC. Results obtained in batch experiments will be expressed throughout as distribution coefficients (R<sub>d</sub> in L/kg), calculated by the following equation:

$$\mathbf{R}_{d} = \begin{pmatrix} \left[ \mathbf{N} \mathbf{p} \right]_{tot} / \left[ \mathbf{N} \mathbf{p} \right]_{aq} & 1 \end{pmatrix} \times \mathbf{V} / \mathbf{m} \tag{1}$$

where  $[Np]_{aq}$  and  $[Np]_{tot}$  (mol/L) are the dissolved (final) equilibrium and total (initial) concentrations of Np in solu tion, respectively. The term V/m corresponds to the aque ous solution volume to illite mass ratio (L/kg). An uncertainty of  $\pm 0.3$  is commonly associated with log R<sub>d</sub> determination for radionuclide sorption to clay minerals (Bradbury and Baeyens, 2009a), although for low  $[Np]_{tot}$ and high uptake, the uncertainty on log R<sub>d</sub> might be higher.

A test experiment was performed to determine the oxi dation state of Np(i) for a solution in contact with illite and (ii) for the illite surface via two liquid liquid extraction methods using either 1 phenyl 3 methyl 4 benzoylpyrazo lone 5 (PMBP)or phosphoric acid bis (2 ethyl hexyl) ester) (HDEHP) (Bertrand and Choppin, 1982; Nitsche et al., 1988, 1994) as extracting agents. For our experimental con ditions, both methods are equivalent: PMBP extracts Np(IV) whereas HDEHP extracts Np(V) in organic phase at pH = 0. Results obtained with both methods were in good agreement. The experiment was carried out under Ar atmosphere. One sample (pH = 7.0; [Np]<sub>kot</sub> =  $10^{-5}$  M; [NaCl] = 0.1 M; m/V = 2 g/L) was filtered using 10 kDa

filters (5000 rpm for 1 h) after one week contact time to sep arate solid from solution. To 0.6 mL portion of the filtrate solution 0.2 mL 2 M HCl and either 0.8 mL 0.025 M PMBP in Xylene or 0.5 M HDEHP in Toluene was added and then vigorously shaken for 10 min. The aqueous and organic phases were separated by centrifugation for 30 min (5000 rpm) and aliquots of each phase were taken for radio metric analysis. The solid (i.e. illite) was re suspended in 1 M HCl for 2 days. Then the phases were separated by ultrafiltration (10 kDa). The mass of solid that was recov ered from the filter was not determined so the faction of Np desorbed in 1 M HCl cannot be determined. Only the redox speciation of Np in the desorbed fraction is obtained and discussed.

## 2.5. X-ray absorption near-edge spectroscopy (XANES)

Two samples were prepared as described in the previous section for Np L<sub>3</sub> XANES measurements. Initial condi tions were  $[NpO_2^+]_{tot} = 3 \times 10^{-4} \text{ M}, \text{ m/V} = 20 \text{ g/L}.$ Although XANES analysis requires higher Np concentra tion than those studied in the sorption experiments, the spectroscopic study yields direct evidence as to whether Np redox speciation is affected in the presence of illite. The final pH values were 7.4 and 9.6, respectively, for the two samples, and Eh was  $0.40 \pm 0.05$  V (pe =  $6.8 \pm 0.8$ ) for both samples. An aliquot of each sample was centri fuged at 3000 g in a polyethylene vial (500  $\mu$ L). The super natant was discarded and an aliquot was taken for LSC. The wet illite paste was transferred into a vial, mounted inside an Ar flushed cell which acts as second containment, keeping sample vials in an anaerobic atmosphere (Brendebach et al., 2009). Measurements were performed at the 2.5 GeV synchrotron light source ANKA, KIT, Ger many, at the INE Beamline for actinide research (Rothe et al., 2006, 2012). A pair of Ge(422) crystals was used in the Lemonnier type double crystal monochromator (DCM). The monochromatic radiation is focused by a Rh coated to roidal mirror into a spot of  $<1 \text{ mm} \times 1 \text{ mm}$ at the sample position. Higher harmonic radiation in the incident beam was suppressed by detuning the parallel alignment of the DCM crystals to 70% of photon flux peak intensity in the middle of the spectral range at the beginning of each scan. The incident flux was measured by an Ar filled ionization chamber at ambient pressure and held constant by a digital MOSTAB feedback sys tem. The Np L<sub>3</sub> edge spectra (E(Np<sup>0</sup> 2p<sub>3/2</sub>) = 17.61 keV) were calibrated against the first derivative XANES spec trum of a Zr foil (energy of the first inflection point set to  $E(Zr^0 \ 1s) = 17.998 \text{ keV}$ ). All Np L<sub>3</sub> XANES spectra were recorded in fluorescence detection mode by register ing the Np  $L_{\alpha}$  fluorescence yield at ~13.95 keV as a func tion of the incident photon energy with a 5 pixel Ge solid state detector (Canberra LEGe). Up to 5 scans were col lected at room temperature and averaged for each sam ple. The illuminated area of the sample was changed between each scan to minimize potential Np redox reac tions induced by the beam. XANES spectra were isolated from XAFS scans following subtraction of a linear pre edge background function and normalization of the edge jump to unity using the Demeter Athena software (Ravel and Newville, 2005).

## 2.6. Thermodynamic modeling

pe pH diagrams for Np were obtained using PhreePlot (Kinniburgh and Cooper, 2009), which contains an embed ded version of the geochemical speciation program PHRE EQC (Parkhurst and Appelo, 1999). PhreePlot also includes a parameter optimization procedure, which automatically fits a model to experimental data by minimizing the weighted sum of squares of the residuals. A modified Mar quardt Levenberg procedure (Powell, 1965) was applied. With this method, PhreePlot provides also a statistical uncertainty of the estimated parameters. Thermodynamic constants for Np aqueous speciation were taken from the NEA thermodynamic database (Guillaumont et al., 2003). The 2 site protolysis non electrostatic surface complexation and cation exchange model (2 SPNE SC/CE model) was used to simulate Np sorption to illite (Bradbury and Baeyens, 2009b). The cation exchange capacity (CEC) of the illite was set equal to 0.225 eq/kg. Two types of proton active sites are necessary to describe the titration data, but only one type is assumed by the model approach to bind cations. Among these cation binding sites, weak sites ([ WOH] =  $4 \times 10^{-2}$  mol/kg) as well as less abundant strong sites ([ SOH] =  $2 \times 10^{-3}$  mol/kg) are defined in this model with the same acid base properties. Bradbury and Baeyens (2009b) determined a NpO<sub>2</sub><sup>+</sup> Na<sup>+</sup> selectivity coefficient as well as Np(V) surface complexation constants for illite within the 2 SPNE SC/CE model. Due to the weak Np(V) sorption to illite, leading to low surface coverage, only Np(V) surface complexation constants for the strong sites were determined. Bradbury and Baeyens (2009b) derived a linear free energy relationship (LFER) for the strong sites in the 2SPNE SC/CE model, which can be used to estimate surface complexation constants for cations, where experimental data are not available. LFER relates the hydrolysis constants of metal ions ( $^{OH}K_x$ , where x rep resents the number of  $OH^-$  in the complex, Eq. (2)) to their surface complexation constants ( ${}^{S}K_{x-1}$ , Eq. (3)):

$$M^{n+} + x H_2O = M(OH)_x^{(n-x)} + x H^+; {}^{OH}K_x$$
 (2)

$$SOH + M^{n+} + \begin{pmatrix} x & 1 \end{pmatrix} H_2O$$

$$= \text{SOM}(\text{OH})_{(x-1)}^{(n-x)} + x \text{ H}^+; {}^{\mathbf{S}}\text{K}_{(x-1)}$$
(3)

$$\log^{S} K_{(x-1)} = 7.9 \pm 0.4 + (0.83 \pm 0.02) \times \log^{OH} K_{x}$$
(4)

Eq. (4) represents the LFER where  $M^{n+}$  is a metal ion with a charge of +n. In the present study, the LFER is used to estimate surface complexation constants for Np(IV). These constants will be used as a starting point when fitted to the present experimental data using PhreePlot. A weight of 1 will be applied to all data. The error between experimental and modelled  $R_d$  values (with the fitted constant showing the best fit) is recalculated as the root mean squared deviation (RMSD). A summary of all parameters for the 2SPNE SC/CE model used in the present study is given in Table 1. Additional information provided in Table 1 will be discussed below. Table 1 Reactions and respective thermodynamic constants used in the present modeling section.

Reaction	$\log K (I = 0)$
$NpO_2^+ + 4H^+ + e = Np^{4+} + 2H_2O$	10.21
$N_{p}O_{2}^{+} + H_{2}O \equiv \equiv N_{p}O_{2}OH + H^{+}$	11.3
$NpO_2^+ + 2H_2O = \equiv NpO_2(OH)_2 + 2H^+$	23.6
$Np^{4+} + H_2O = NpOH^{3+} + H^+$	0.55
$Np^{4+} + 2H_2O = Np(OH)_2^{2+} + 2H^+$	0.35
$Np^{4+} + 3H_2O = Np(OH)_3^+ + 3H^+$	2.3 (Pu)
$Np^{4+} + 4H_2O = Np(OH)_4 + 4 H^+$	8.3
$NpO_{2(am)} + 2 H_2O = Np^{4+} + 4 OH$	56.7
$X Na + NpO_2^+ = X NpO_2$	$0^{\mathrm{f}}$
$\equiv$ SOH + H <sup>+</sup> = $\equiv$ SOH <sup>+</sup> <sub>2</sub>	4 <sup>f</sup>
$\equiv$ SOH = $\equiv$ SO + H <sup>+</sup>	$6.2^{\mathrm{f}}$
$\equiv SOH + NpO_2^+ = \equiv SO - NpO_2 + H^+$	$2.0^{\mathrm{f}}$
$\equiv SOH + NpO_2^+ + H_2O = \equiv SO-NpO_2OH + 2H^+$	10.3 <sup>f</sup>
$\equiv SOH + Np^{4+} = \equiv SO - Np^{3+} + H^+$	nd $(8.4 \pm 0.6)$
$\equiv SOH + Np^{4+} + H_2O = \equiv SO-NpOH^{2+} + 2H^+$	nd $(8.2 \pm 0.7)$
$\equiv SOH + Np^{4+} + 2H_2O = \equiv SO - Np(OH)_2^+ + 3H^+$	$6.4 \pm 1.2 \; (6.0 \pm 0.7)$
$\equiv SOH + Np^{4+} + 3H_2O = \equiv SO - Np(OH)_3 + 4H^+$	$0.7\pm1.3\;(1.0\pm1.1)$
$\equiv SOH + Np^{4+} + 4H_2O = \equiv SO - Np(OH)_4 + 5H^+$	$5.7 \pm 1.2$ (nd)

Aqueous reaction constants and the solubility product of  $NpO_{2(am)}$  are taken from Guillaumont et al. (2003). The missing  $Np(OH)_3^+$  formation constant is taken from the analogue  $Pu(OH)_3^+$ ."f" denotes fixed parameters during the fitting procedure, taken for Bradbury and Baeyens (2009a,b). Np(IV) surface complexation constants are fitted from the present experimental results associated with their uncertainty. Calculated values using a LFER are shown for comparison between parentheses. "nd" refers to values that could not be determined.

## 3. RESULTS AND DISCUSSION

## 3.1. Experimental Np-illite sorption data

Fig. 1 presents Np sorption to illite (R<sub>d</sub> in L/kg) as a function of pH (i.e. pH edge). Only the highest and the low est Np total concentrations investigated in the present study at various pH are presented here:  $[Np]_{tot} = 10^{-6} M$ (Fig. 1a) and  $3 \times 10^{-8}$  M (Fig. 1b). Results obtained for intermediate [Np]tot are consistent with those data. The complete dataset is provided within the supplementary file.  $R_d$  values determined after different reaction times (7, 21, 35) and 63 days) are presented. For comparable pH, no clear evolution of R<sub>d</sub> values with time for the two total Np con centrations presented here is observed after 7 days. For  $[Np]_{tot} = 10^{-6} M$  (Fig. 1a), most of the data being recorded for pH  $\leq$ 8 show only a small increase of R<sub>d</sub> with pH, which is consistent with the weak surface complexation of Np(V)at the edges of clay particles. For  $[Np]_{tot} = 3 \times 10^{-8} M$ (Fig. 1b) and pH  $\leq 6$ , R<sub>d</sub> values are relatively similar to those measured at higher metal ion concentration. How ever, a significant increase of  $R_d$  is observed for pH >6 with values being 1 2 orders of magnitude higher than for exper iments with  $[Np]_{tot} = 10^{-6} \text{ M}$ . Slightly more scattering  $R_d$ values are observed for  $[Np]_{tot} = 3 \times 10^{-8} \text{ M}$  but the sorption results are reproducible within an experimental uncertainty for  $\log R_d$  of about ±0.5. When increasing [Np]tot, pH edges are shifted to higher pH, i.e. a decrease of R<sub>d</sub> with increasing [Np]<sub>tot</sub> is observed at a given pH. This is consistent with non ideal sorption behavior of Np. Sorption data for  $pH = 7.2 \pm 0.2$  are plotted as log  $R_d$ versus log [Np]<sub>tot</sub> in Fig. 1c, which further illustrates the non ideal sorption behavior.

# **3.2.** Comparison with the sorption behavior of other metal ions

Sorption isotherms for various metal ions recorded at  $pH = 7.2 \pm 0.2$  are plotted in Fig. 2. In the logarithmic plot, our Np sorption data follow a linear relationship with a slope of approximately 0.4, which indicates non ideal sorption behavior (Freundlich, 1909). This means that either various surface sites are present or more than one sorption mechanism occurs. Our experimental results are compared with Sr(II), Ni(II) and Eu(III) illite sorption isotherms for pH = 7, in 0.1 M NaNO<sub>3</sub>, NaCl or NaClO<sub>4</sub>) (Poinssot et al., 1999; Bradbury and Baeyens, 2005) taken from the literature. We included a data set for U(VI) sorp tion onto montmorillonite (Marques Fernandes et al., 2012) at pH = 6.8 as data for illite at pH  $\approx$  7 are not avail able. The two clay minerals have similar sorption properties at pH = 7. Although the cations shown in Fig. 2 have very different chemical behavior, they all exhibit linear sorption isotherms (slope  $\sim 1$ ) up to a surface coverage of approxi mately  $10^{-3}$  mol/kg where the so called "strong sites" dom inate cation surface speciation (e.g. Bradbury and Baeyens, 2009a,b). The observed non ideal Np illite sorption iso therm down to  $10^{-5}$  mol/kg is therefore inconsistent with data reported for other cations and opposes the assumption of a pronounced site heterogeneity and rather suggests the existence of different sorption mechanisms.

Fig. 3 compares sorption data obtained within the pres ent study ([Np]<sub>tot</sub> =  $1.2 \times 10^{-7}$  M, m/V = 2 g/L; data are not shown in Fig. 1) with those reported by Gorgeon (1994) for illite obtained under aerobic conditions and [NaClO<sub>4</sub>] = 0.1 M ([Np]<sub>tot</sub> =  $10^{-6}$  M, m/V = 10 g/L). Additionally, a comparison with reported Np sorption data

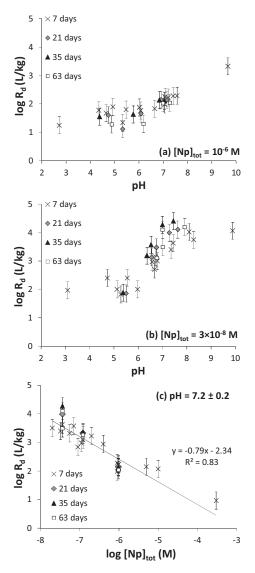


Fig. 1. Np sorption to illite (R<sub>d</sub>, in L/kg) versus pH measured for different reaction time (7, 21, 35, 63 days) and a Np total concentration of (a) 10  $^6$  M and (b)  $3\times10$   $^8$  M. (c) Results obtained for pH = 7.2  $\pm$  0.2 are plotted as log R<sub>d</sub> versus [Np]<sub>tot</sub>.

on montmorillonite is provided in Fig. S3 (data form Gorgeon, 1994; Turner et al., 1998; Bradbury and Baeyens, 2006), which corroborate the following interpreta tion. Gorgeon's R<sub>d</sub> values lie clearly below the data obtained in our study. A possible reason for the difference might be, that the influence of atmospheric  $CO_2$  and con comitant Np carbonate complexation cannot be excluded completely in the experiments of Gorgeon (1994), even though contact with atmospheric CO2 was limited by cap ping the sample after pH adjustment. Turner et al. (1998) observed that atmospheric CO<sub>2</sub> decreased Np(V) sorption to montmorillonite only at pH > 8 (Fig. S3). Therefore, the impact of  $CO_2$  on Gorgeon's data for Np(V) sorption onto illite should be of minor importance. Sorption to accessory minerals left over from incomplete purification appears very unlikely in our experiments. Such mineral

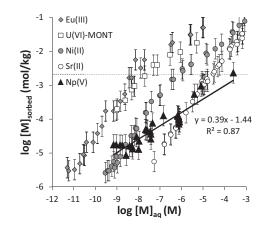


Fig. 2. The present Np illite sorption isotherm for  $pH = 7.2 \pm 0.2$ , fitted with a Freundlich equation, is compared with literature data for other cations (noted "M") sorption to illite (Eu(III), Ni(II), Sr(II); Poinssot et al., 1999; Bradbury and Baeyens, 2009a,b) and U(VI) sorption to montmorillonite (noted "U(VI) MONT"; Marques Fernandes et al., 2012). Dotted line shows the illite and montmorillonite strong binding sites surface density (2 × 10<sup>-3</sup>mol/kg) considered in the 2 SPNE SC/CE model.

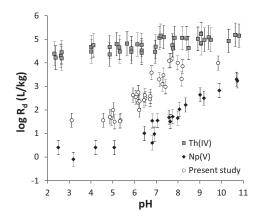


Fig. 3. Np sorption to illite ( $R_d$ , in L/kg) versus pH measured for a Np total concentration of  $1.2 \times 10^{-7}$  M and compared with literature Np(V) and Th(IV) illite sorption data (Gorgeon, 1994; Bradbury and Baeyens, 2009b). The present experimental conditions are comparable to the one of Gorgeon (1994), except that the results were obtained in ambient atmosphere in the latter study.

phases could not be identified by XRD. Also, by compari son with literature data for Np(V) sorption onto montmo rillonite (Fig. S3), which shows a higher CEC than illite, some of our  $R_d$  data are by one order of magnitude higher for pH <6. According to Bradbury and Baeyens (2009b) Np(V) sorption onto illite is controlled by cation exchange at pH <6. They simulated the results of Gorgeon (1994) using a selectivity coefficient for the exchange of Na<sup>+</sup> by NpO<sub>2</sub><sup>+</sup> equal to 1. Such a coefficient appears to be reason able for the 1:1 exchange of cations with a formal charge of +1. A similar treatment with our data would lead to NpO<sub>2</sub><sup>+</sup> Na<sup>+</sup> exchange constants in a range of 30 100.

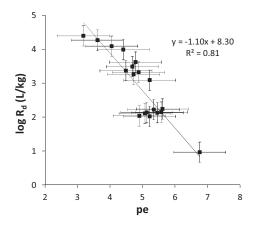


Fig. 4. Log  $R_d$  versus the apparent electron activity pe (= log  $a_e = 16.9 \text{ Eh(V)}$ ) for pH = 7.2 ± 0.2.

Neither the presence of CO<sub>2</sub> nor minor impurities can explain such observation. The major difference between Gorgeon's and our experiments is the different atmo spheres: Gorgeon kept the samples under air (oxidizing conditions) while the present results were obtained in an Ar glovebox. A comparison with sorption data obtained for Th(IV) (Bradbury and Baeyens, 2009b) (Fig. 3) shows that our R<sub>d</sub> values appear to fall in between those for Np(V) and Th(IV). This may hold as a first indication for the partial reduction of Np(V) to Np(IV) under our exper imental conditions. Another hint in this direction is given in Fig. 4 which contains log R<sub>d</sub> plotted versus pe for Np sorp tion at  $pH = 7.2 \pm 0.2$ . Despite the relatively large experi mental uncertainty for pe, there is a clear correlation of log R<sub>d</sub> increasing with decreasing pe. Lowest pe values are measured for those samples with lowest total Np con centrations. In other words, Np(V) reduction becomes pre dominant at low total metal concentrations and thus is responsible for the non ideal sorption isotherm (Fig. 1c or 2). Furthermore, the total Np concentration seems to influ ence the measured pe value. Interestingly, the slope of  $\log R_d$  versus pe approximates unity, which is consistent with the reduction of Np(V) to Np(IV) by a one electron transfer mechanism.

Finally, a redox state analysis applied to one of the sam ples (pH = 7.0;  $[Np]_{tot} = 10^{-5}$  M; [NaCl] = 0.1 M; m/ V = 2 g/L) also corroborates the partial reduction of Np(V) to Np(IV) on the illite surface. After one week con tact time, only Np(V) is found in solution (93  $\pm$  10%). After re suspending the illite in 1 M HCl for 2 days, a significant amount of the desorbed Np is found to be Np(IV)  $(41 \pm 10\%)$ . As stated before, the amount of Np that may have remained on the illite after the acid treatment was not determined. However, Np(V) sorbs weakly to minerals and should be more easily desorbed than the strongly adsorbing Np(IV) (Powell et al., 2004, 2005). If Np remained sorbed after the acid treatment, it would be very likely Np(IV). Therefore, this experiment is not able to pro vide quantitative information on surface Np redox specia tion, because it might underestimate the amount of Np(IV). It is, however, sufficient to demonstrate that a sig nificant amount of Np(IV) is present at the illite surface.

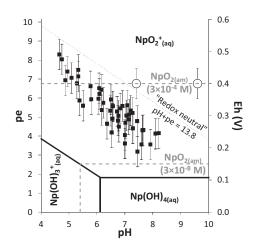


Fig. 5. pH Pe (or Eh on the secondary scale) diagram of Neptunium in 0.1 M NaCl solution. Experimental results are presented as black squares for the batch experiments and large white circles for the two samples analyzed by XANES. The formation of NpO<sub>2(am)</sub> is also included in the calculations for the lowest and the highest [Np]<sub>tot</sub> investigated ( $3 \times 10^{-8}$  and  $3 \times 10^{-4}$  M) and its predominance field is delimited by grey dotted lines.

## 3.3. Redox conditions

The pH pe(Eh) diagram for Np in 0.1 M NaCl is shown in Fig. 5. The measured pH pe values are presented for the batch experiments performed at relatively low [Np]tot (black squares) and two samples at relatively high [Np]tot  $(3 \times 10^{-4} \text{ M}, \text{ large white circles})$  prepared for analysis with spectroscopic techniques. Redox borderlines for all experi ments are included, as well, by considering the possible pre cipitation of Np(IV) (hydr)oxide (NpO<sub>2(am)</sub>) at total Np concentrations of  $3 \times 10^{-8}$  M and  $3 \times 10^{-4}$  M, respec tively. "Redox neutral" conditions are also shown for com parison (pH + pe = 13.8; see e.g. Neck et al. (2007) formore details). For low Np concentrations all experiments are clearly located in the predominance field of Np(V), as also observed by the redox state analysis of Np in the super natant. Those data at a first glance cannot justify the hypothesis of Np(V) reduction in presence of Na illite. At high Np concentrations, however, pe data hit the redox borderline, suggesting the establishment of an equilibrium with solid  $Np(IV)O_{2(am)}$  and Np(V) species in solution. Note that surface sorption equilibria are not taken into account for those scoping calculations.

## 3.4. Spectroscopic results

Np redox speciation at the illite surface is further inves tigated by XANES. The Np L<sub>3</sub> XANES measured at pH = 7.4, m/V = 20 g/L and  $3 \times 10^{-4}$  M of Np (initially introduced as NpO<sub>2</sub><sup>+</sup>) is presented on Fig. 6a. This sample contains the highest [Np]<sub>tot</sub> and exhibits as well the highest pe (pe =  $6.8 \pm 0.8$ ). Under those conditions 15% of the total Np is sorbed onto illite (i.e.  $2.3 \times 10^{-3}$  mol/kg). As explained before, phase separation was performed to remove the solution from the sample. Therefore, the

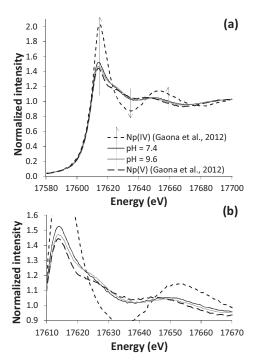


Fig. 6. (a) Np L3 XANES measured for a Np illite sample prepared at pH = 7.4 and  $9.6([Np]_{lot} = 3 \times 10^{-4} \text{ M}, \text{ m/V} = 20 \text{ g/} \text{ L}, \text{Eh} = 0.40 \pm 0.05 \text{ V})$ . Reference XANES of aqueous Np(IV) and Np(V) in 1 M HClO<sub>4</sub> from Gaona et al. (2012) are shown for comparison. Arrows highlight a Np(V) reduction to Np(IV) process in the present study. The area between 17,610 and 17,670 eV is enlarged in (b).

following analysis will refer only to the amount on Np pres ent in the solid phase (i.e. 15% of the initially introduced Np). The presence of a shoulder at 17,625 eV, indicating multiple scattering of the outgoing Np  $2p_{3/2}$  electron along the axis of the NpO $_2^+$ dioxo cation, shows the dominance of the +V redox state. However, the "white line" (WL) posi tion as well as the inflection point of Np redox states +IV and +V is relatively similar and cannot be used as a conclu sive probe for Np redox speciation. Nevertheless, Np(V) and Np(IV) XANES show significant differences in the intensity of the WL. Previously published XANES, measured at the same beam line, were selected for this purpose. XANES spectra of the  $NpO_2^+$  and  $Np^{4+}$  aquo ions in 0.1 M HClO<sub>4</sub> from Gaona et al. (2012) are used as references for Np(V)and Np(IV). When plotted together, the Np illite XANES for pH = 7.4 shows significant differences compared to a Np(V) reference, especially an increase in WL intensity (Fig. 6). The same energy range is considered for the pre edge and for the normalization of all XANES spectra (pre edge: 17.30 17.55 keV; post edge: 17.77 18.20 keV) and careful preliminary tests were made to ensure that the observed differences in the XANES are not due to the nor malization procedure. Additionally, a decrease at  $\sim 17,635$ and an increase at  $\sim 17,660 \text{ eV}$  are observed. These two observations are consistent with the presence of a mixture of Np(V) and Np(IV).

As pointed out by Denecke et al. (2005), the determina tion of Np redox speciation by XANES analysis can be

difficult under certain conditions. In their study, a sample containing Np(IV) was partially oxidized to Np(V), leading to a decrease in WL intensity. Because the white line height decreases substantially going from ions in solution to solids (condensed system), it could have been erroneously attrib uted to the formation of Np(IV) (hydr)oxides, if the com position of the sample had been unknown. In the present study, the situation is different. The WL of Np(V) sorbed to a mineral surface cannot be more intense than that of Np(V) aquo ion. Therefore, the present results can confi dently be attributed to the presence of Np(IV). By fitting the Np illite XANES with a linear combination of Np(V) and Np(IV) reference samples, 14% of the adsorbed Np is determined to be Np(IV). The result of the linear combina tion fit(LCF) is shown in Fig. S4. Although this value is small, it must be considered as a minimum value since the aquo ions exhibit the most intense WLs. The same exercise with either a Np(V) or a Np(IV) reference, where Np is in a more condensed state (i.e. with a less intense WL), would lead to a larger fraction of Np(IV). No further attempt was made to accurately determine the amount of Np(IV), and the spectroscopic result is taken to corroborate the redox state analysis by liquid liquid extraction showing that Np(V) reduction to Np(IV) can occur in the presence of illite.

An additional sample is analyzed by XANES under sim ilar initial conditions but at pH = 9.6. The measured pe does not significantly differ from that obtained at pH = 7.4 (pe = 6.8 ± 0.8). Invariant pe at higher pH implies more oxidizing conditions in this additional sample. Due to the higher pH, 90% of Np is sorbed to the illite sur face  $(1.3 \times 10^{-2} \text{ mol/kg})$ , which can be partly attributed to the stronger surface complexation of Np(V) to illite (Gorgeon, 1994) at this pH. The Np L<sub>3</sub> XANES of the sam ple for pH = 9.6 is also shown in Fig. 6. It shows a less intense WL compared to pH = 7.4. The LCF using the XANES spectra of NpO<sub>2</sub><sup>+</sup> and Np<sup>4+</sup>aquo ion shows that only 5% of the adsorbed Np at the illite surface is Np(IV) (Fig. S4). Given the uncertainty of the measured pe, the sta bility of a fraction of Np(IV) in the present samples is also qualitatively in agreement with thermodynamic calcula tions, considering the formation of  $NpO_{2(am)}$  (Fig. 5).

#### 3.5. Surface complexation modeling

The aim of the present section is to attempt a complete description of Np sorption and concomitant redox reac tions in presence of illite as a function of pH, pe and  $[Np]_{tot}$ . Assuming that the Np illite system in each sample is in equilibrium, the data might be simulated by taking into account constants for the respective redox, complexation and surface sorption reactions.

Surface complexation calculations are made with the 2 SPNE SC/CE model. We only consider strong sites at the illite surface and thus constrain the modeling exercise to experimental data obtained at total Np concentrations up to  $10^{-6}$  M. Only the sorption data with experimentally determined pe are considered because pe is necessary to cal culate Np redox speciation according to the first equation in Table 1. We furthermore take surface complexation

constants and selectivity coefficients (Bradbury and Baeyens, 2009b) derived from Gorgeon's data obtained under aerobic conditions to describe sorption of Np(V) spe cies. Cation exchange reactions are not considered for Np(IV) species as they are assumed irrelevant for tetrava lent metal ions (Bradbury and Baeyens, 2009b). Np(IV) illite surface complexation constants values estimated from the LFER (Eq. (4)) are taken as starting points and fitted to the experimental log R<sub>d</sub> data using PhreePlot. According to the 2 SPNE SC/CE model for the sorption of Th(IV) (Bradbury and Baeyens, 2009b), SO  $Np(OH)_4^-$  is expected to be the dominant Np(IV) surface species at least for pH > 7. An approximation for the respective surface complexation constant by LFER was not possible because it requires a complexation constant for  $Np(OH)_5^-$ , for which no value has been selected in the NEA database (Guillaumont et al., 2003). We, therefore, took literature data for the SO  $Th(OH)_4^-$  complex as an initial value for the fitting. Note that additional sensitivity tests showed

that the fitting procedure converged to very similar con stants independent of the initial values. As a result, we obtained values for surface complexation constants for Np(IV) as given in Table 1. Values estimated by applying the LFER are given in brackets and are very similar to the fit results. The uncertainties for respective log K values are composed of contributions arising from (i) the statistical uncertainty associated with the fitting procedure, calculated by PhreePlot (between 0.1 and 0.2 log units), (ii) the exper imental error in pe (i.e.  $\pm 0.8$ ) and (iii) the experimental error in log  $R_d$  (i.e.  $\pm 0.3$ ). The results are presented in Fig. 7 for the complete dataset used to fit the surface com plexation constants for Np(IV). Fig. 7a c shows  $\log R_d$  ver sus pH for the three series of experiments with  $[Np]_{tot} = 10^{-6}$ ,  $10^{-7}$  and  $3 \times 10^{-8}$  M, respectively. Experi mental log R<sub>d</sub> and the corresponding calculated values with the fitted constants are shown, respectively, as black and white symbols. Fig. 7c e shows the corresponding redox conditions for each series on a pH pe(Eh) diagram. In

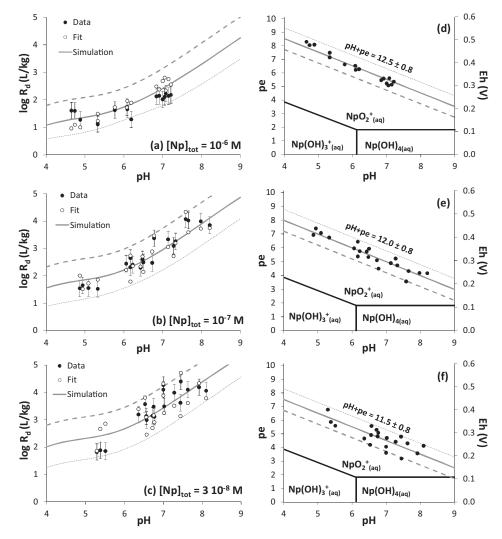


Fig. 7. Experimental log  $R_d$  (black circles) and the corresponding calculated values (white circles) with the fitted surface complexation constants for Np(IV) with illite versus pH for  $[Np]_{tot} = 10^{-6}$  (a),  $10^{-7}$  (b) and  $3 \times 10^{-8}$  M (c). The corresponding redox (pH pe/Eh plot) conditions are shown on the right side with the predominance diagram for aqueous Np species (d) (e) (f). Lines represent calculations made for constant pH + pe (bold line)  $\pm 0.8$  (lower limit: bold dashed line; upper limit: thin dashed line).

addition, calculations with constant redox conditions (i.e. constant pH + pe values) for each series in the range of the expected experimental uncertainty (i.e.  $\pm 0.8$  pe units/  $\pm 50$  mV) illustrate the coupled effect of pH and pe on Np sorption to illite. The increase of log R<sub>d</sub> with decreasing [Np]<sub>tot</sub> is well described. Actually, this behavior is directly related to the redox conditions: on average pH + pedecreases from 12.5 to 11.5 with [Np]tot decreasing from  $10^{-6}$  to  $3 \times 10^{-8}$  M. Calculated sorption of Np to illite is very sensitive to the pe. This can be seen (i) in the simula tions, where  $\pm 0.8$  pe units leads to important variations in  $\log R_d$  for a given pH value and (ii) in the results of the fit, where scattering in the experimentally determined pe also leads to scattering in the log R<sub>d</sub> values. The latter observation might explain why our Np sorption results seem to exhibit larger uncertainty than commonly assumed (i.e.  $\pm 0.3 \log R_d$  units). Additionally, the results of the fit are shown for  $pH = 7.2 \pm 0.2$  and as a plot of calculated versus experimental log R<sub>d</sub> for the complete dataset (i.e. for the various pH, pe, contact time, and [Np]tot) in Fig. S5. Average differences between calculated and exper imental log  $R_d$  data (based on the RMSD) is found equal to 0.36, which lies in the same range as experimental uncer tainties. Speciation calculations furthermore give no evi dence for the precipitation of NpO2(am) under any investigated condition. Due to the predominance of Np(V) in solution and the strong surface complexation of Np(IV) to illite, aqueous Np(IV) concentrations remain below solubility limits with regard to NpO<sub>2(am)</sub>.

In order to check the reliability of the fitted log K value for the SO  $Np(OH)_4^-$  surface complex, we performed predictive simulations of Np(IV) sorption to illite (log R<sub>d</sub> versus pH) using the constant in Table 1. Those calculated data are then compared with experimental data for Sn(IV) and Th(IV) taken from the literature (Bradbury and Baeyens, 2009a,b) (Fig. 8). Experimental R<sub>d</sub> values for both tetravalent metal ions are very similar and invariant in the pH range from 3 to 11. Taking the relatively large confi dence interval into account simulated log R<sub>d</sub> values for

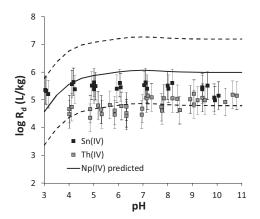


Fig. 8. The predicted Np(IV) illite pH edge (log  $R_d$  versus pH) with the presently determined constants is compared with the experimental pH edge of Th(IV) and Sn(IV). Dotted lines represent the uncertainty on the determined surface complexation constants for Np(IV) illite.

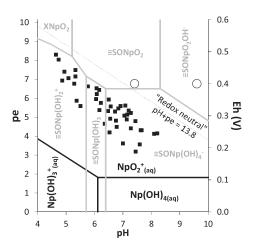


Fig. 9. On the pH pe (or Eh on the secondary scale) diagram of Neptunium in 0.1 M NaCl solution presented in Fig. 5, is superimposed Np predominance diagram calculated at the illite surface. It shows that the Np(V)/Np(IV) borderline at the illite surface is between 3 and 5 pe units (0.18 0.30 V), depending on the pH, higher than the corresponding one in solution. Experimental pH pe values are also plotted (without error bars for clarity), showing that in the present batch experiments, Np(V) prevails in solution whereas and Np(IV) prevails at the surface, explaining the stronger Np illite sorption observed compared with literature, under aerobic condition.

Np(IV) and Sn(IV), Th(IV) are quite consistent. Note that the surface complexation constants for Np(IV) (Table 1) are larger than previously reported for Th(IV) (Bradbury and Baeyens, 2009b). This observation is consistent with the stronger hydrolysis of Np(IV) than Th(IV) according to the LFER of Bradbury and Baeyens (2009b).

#### 3.6. Np(V)/Np(IV) redox speciation in presence of illite

Based on constants given in Table 1, PhreePlot was used to construct a predominance diagram for Np surface speciation for the range 4 < pH < 10 (Fig. 9; grey lines). The pe pH diagram is superimposed by the respective predominance diagram for aqueous species (Fig. 9; black lines). When Np(V) sorption is weak, being controlled either by cation exchange or weak surface complexation (i.e. for pH < 8), reduction to Np(IV) at the surface is ther modynamically highly favored due to the strong sorption of Np(IV) even at relatively high pe. The redox boundary for the Np(V)/Np(IV) couple for illite surface bound species is by approximately 4.6 5 pe units (0.27 0.30 V) higher than for the corresponding dissolved species at pH < 8. For pH > 8, Np(V) surface complexation to illite edge sites increases, via the formation of SO NpO<sub>2</sub>OH<sup>-</sup> species according to the 2 SPNE SC/CE model. Consequently, reduction to Np(IV) at the illite surface becomes less favor able and the redox boundary surface species is only approx imately 3 pe units (0.18 V) higher as compared to the respective solute species at pH = 10. Hixon et al. (2013) observed surface mediated reduction of Pu(V) to Pu(IV) in the presence of quartz. Based on the thermodynamic favorability of Pu(IV) at the quartz surface, the authors calculated that the redox potential of the Pu(V)/Pu(IV) couple would need to be raised by 0.28 V for pH = 7. Remarkably, although the study of Hixon et al. (2013) involves another actinide and another mineral than in this study, these independent estimations are in very good agreement.

pe pH data plotted for all experiments in Fig. 9 reveal that despite the fact that they all lie within the predomi nance field of aqueous Np(V) species, reduction to Np(IV) becomes thermodynamically favored in the presence of illite. In turn, we can explain the high  $R_d$  values measured under our experimental conditions due to strong sorption of Np(IV) species at the illite surface being in equilibrium with Np(V) species in solution.

The situation is somewhat different for the two samples prepared for spectroscopic studies and containing total Np concentrations as high as  $3 \times 10^{-4}$  M Np. Thus the amount of Np sorbed to illite exceeds the strong sites surface density  $(4 \times 10^{-5} \text{ mol/L at m/V} = 20 \text{ g/L})$ . Based on data given in Table 1, calculations indicate partial formation of NpO<sub>2(am)</sub>. However, sorption of Np(IV) to weak sites being present in high excess  $(8 \times 10^{-4} \text{ mol/L})$  is also very likely. Unfortunately, data for the sorption of Np(V) and Np(IV) to illite weak sites are not available from the literature and cannot be derived from our experiments. It should be noted that weak site complexation for actinide ions is not very rel evant for environmental purposes related to nuclear waste disposal. We, therefore, cannot decide at present based on available geochemical data, whether Np(IV) in those sam ples exists primarily as surface complex or at least partially as well as NpO<sub>2(am)</sub>.

As mentioned before, experiments have been performed under Ar atmosphere. In absence of oxidizing or reducing agents experimentally determined pe pH values should thus follow the "redox neutral" line where (pe + pH) = 13.8(dashed line in Fig. 9) (Neck et al., 2007). Apparently, our data lie below this line, i.e. a reductant must be active in the system. Fe(II) is known as an efficient redox partner, induc ing reduction of different elements in the presence of miner als within hours or days (Buerge and Hug, 1999; Liger et al., 1999; Nakata et al., 2002; Powell et al., 2004; Charlet et al., 2007; Chakraborty et al., 2010; Bach et al., 2014). It has been previously shown that both structural and adsorbed Fe(II) in clay systems can reduce U(VI) to U(IV) (Chakraborty et al., 2010; Latta et al., 2012). Although the illite sample investigated in our studies was purified under aerobic conditions, the presence of traces of structural Fe(II) or adsorbed Fe(II), possibly formed via partial dissolution of the clay under anaerobic condi tions, cannot be ruled out. Moreover, Np(V) reduction by dissolved Fe(II) in homogeneous solution was shown to be slow (Nakata et al., 2002): only 6% of Np(IV) was formed at pH = 4 or 6 after one week. Therefore, a surface mediated reduction of Np(V) to Np(IV) by Fe(II) contained in the illite certainly represents one possibility. In this case reduction could be achieved by electron transfer from the bulk to the illite surface (e.g. Schaefer et al., 2011). Another option is reduction by adsorbed Fe(II) (see e.g. Chakraborty et al., 2010). A spectroscopic identification of Fe(II) in our experimental system, however, appears to

be hardly possible. A maximum of  $6.8 \times 10^{-4}$  mol Np/kg illite was found to be reduced Np(IV). The corresponding amount of Fe(II) required for Np(V) reduction then corre sponds to about 0.08% of the total amount of Fe contained in the illite (7 wt.% as Fe<sub>2</sub>O<sub>3</sub> gives 0.88 mol of Fe per kg of illite). Such small fractions are very likely below the detec tion limit of available experimental techniques. The fact that experimental pe values are to some extent affected by the total amount of initially introduced  $NpO_2^+$  (see Sec tion 3.2) also suggests that the total reducing capacity in our system is low. Due to those uncertainties we are pres ently unable to determine precisely the nature of the redox reaction on a molecular scale. Nevertheless, the finding that sorption data can be consistently described using pe values as relevant master parameter clearly demonstrates the applicability of the thermodynamic equilibrium approach to simulate coupled redox sorption processes in clay min eral systems.

## 4. CONCLUSIONS

The unusually high Np(V) sorption to illite observed in the present study can be explained by partial reduction and concomitant formation of stable surface Np(IV) species. We found a clear relationship of measured pe with  $R_d$  val ues: Np sorption increases with decreasing redox potential. Our combined batch sorption, spectroscopic and geochem ical modeling study suggests that the predominance field of reduced Np(IV) species in a pe pH diagram expands signif icantly due to the thermodynamically favored formation of Np(IV) surface complexes in a wide pH range. The reten tion of redox sensitive Np species is thus much more effi cient under pe conditions only slightly below redox neutrality in presence of mineral surfaces than expected. Scoping geochemical estimations of radionuclide redox states at given pe and pH values but neglecting surface com plexation reactions can thus be misleading. The result that measured pe values can be taken as a master parameter to simulate complex redox processes including surface reac tions is encouraging, even though the determination of redox potentials is flawed by relatively high analytical uncertainties. Nevertheless, by using the measured pe as an input parameter, Np(IV) surface complexation constants for the 2 SPNE SC/CE model could be fitted to the exper imental data which are very comparable to those values estimated by applying an established LFER approach. The complete sorption dataset can be numerically described assuming surface mediated reduction of Np(V) to Np(IV) based on the strong affinity of Np(IV) for the illite surface.

The exact mechanism of Np(V) reduction, however, could not be clarified within the present study due to the apparently limited concentration of reductant species. The fact that redox and sorption equilibria establish relatively fast, points to a surface mediated process. Electron transfer from adsorbed or structurally bound Fe(II) to surface bound Np(V) is considered a plausible mechanism for the observed coupled redox/sorption reaction. The presented modeling approach, however, predicts reduction also in absence of an explicit reductant in the solid. The thermody namical stability of Np(IV) surface species alone is sufficient to shift the redox borderline and to induce partial Np(V) reduction.

The presented modeling concept might be applicable as well to describe the behavior of other redox sensitive ele ments in the geosphere.

## ACKNOWLEDGEMENTS

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