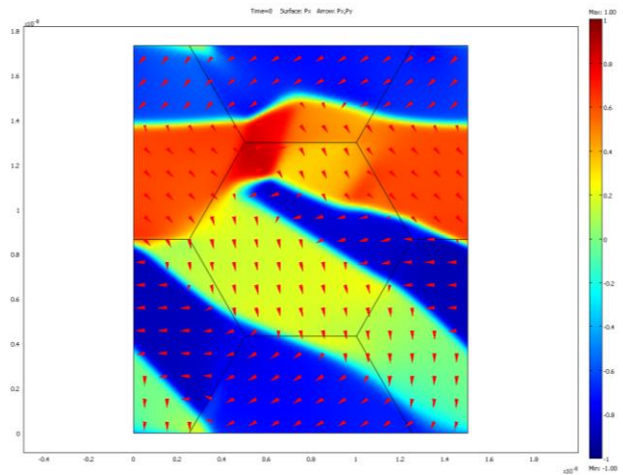
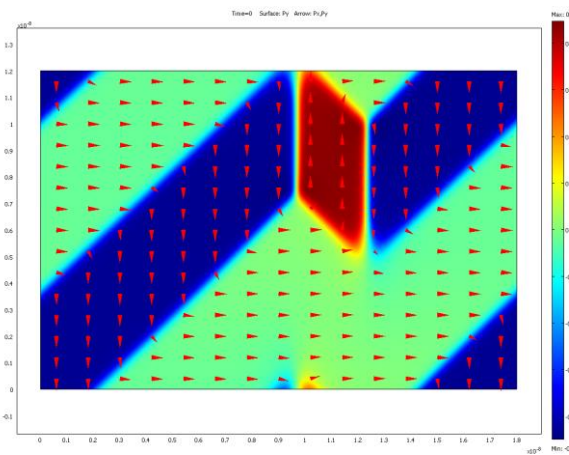
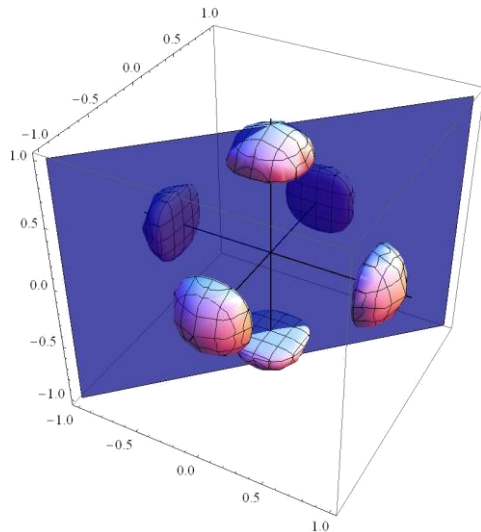


# Phase-field modeling of ferroelectric materials in the context of a multiscale simulation chain

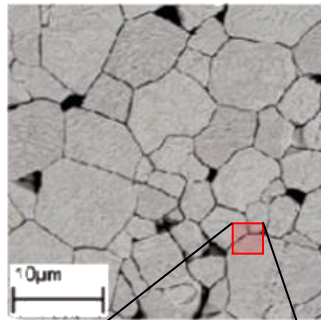
Benjamin Völker, Magalie Huttin and Marc Kamlah

SMASIS10, Sept. 29<sup>th</sup>, 2010  
Philadelphia, PA

Institute for Materials Research (IMF II)

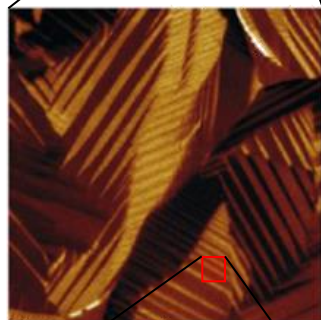


# Motivation: virtual material development for ferroelectrics



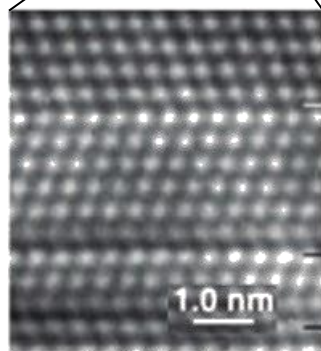
*Micromechanical modeling (RB/SAG)*

micro-scale:  
grain structure



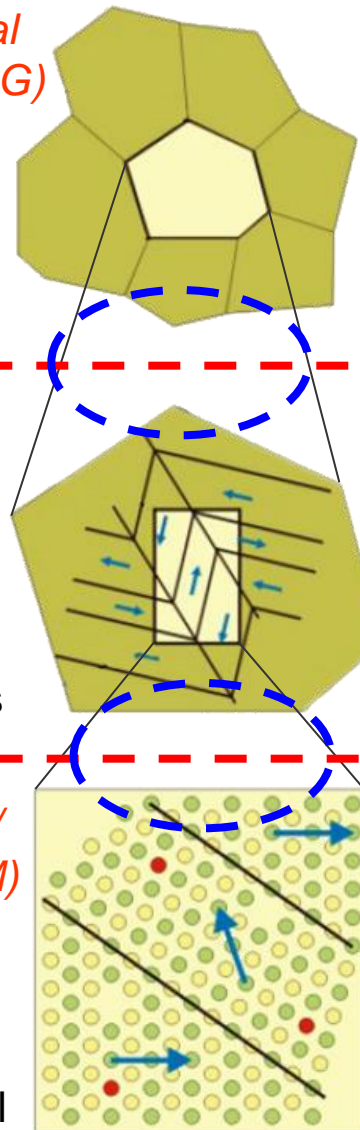
*Phase field modeling (KIT)*

meso-scale:  
ferroelectric  
domain patterns



*ab-initio / DFT / SMP (FhG-IWM)*

nano-scale:  
distorted unit cell



→ need for multiscale-approach

→ BMBF-Project COMFEM:

Computer based multiscale modeling for virtual development of polycrystalline ferroelectric materials (esp. PZT)

Project partners:

- Fraunhofer IWM Freiburg (FhG-IWM)
- Robert Bosch GmbH (RB)
- Siemens AG (SAG)
- PI Ceramic AG (PIC)
- CeramTec AG (CT)
- TU Hamburg-Harburg (TUHH)

Our aim:

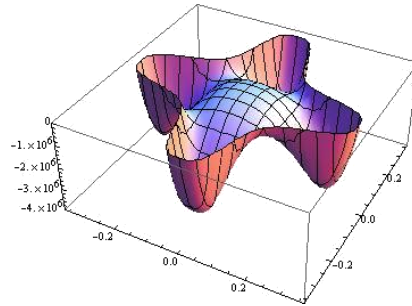
→ development of two interfaces  
in simulation chain

# Thermodynamically motivated phase-field theory

Aim: calculation of ferroelectric domain patterns on meso-scale

→ Helmholtz free energy function contains all crystallographic and boundary information

$$\psi(P_i, P_{i,j}, \epsilon_{ij}, D_i)$$



→ state variables: partial derivatives with respect to natural variables

$$\sigma_{ji} = \frac{\partial \psi}{\partial \epsilon_{ij}} \quad E_i = \frac{\partial \psi}{\partial D_i}$$

→ temporal and spatial evolution of polarization (order parameter):  
time-dependent Ginzburg-Landau-equation

→ domain switching caused by minimization of free energy

$$\left( \frac{\partial \psi}{\partial P_{i,j}} \right)_{,j} - \frac{\partial \psi}{\partial P_i} = \beta_{ij} \dot{P}_j$$

# Formulation of the phase-field model's free energy

6<sup>th</sup> order free energy

$$\psi = \frac{1}{2} G_{ijkl} P_{i,j} P_{k,l}$$

$$+ \frac{1}{2} \alpha_{ij} P_i P_j + \frac{1}{2} \alpha_{ijkl} P_i P_j P_k P_l + \frac{1}{6} \alpha_{ijklmn} P_i P_j P_k P_l P_m P_n$$

$$+ q_{ijkl} \epsilon_{ij} P_k P_l + \frac{1}{2} c_{ijkl} \epsilon_{ij} \epsilon_{kl}$$

$$+ \frac{1}{2\kappa_0} (D_i - P_i)(D_i - P_i)$$

Main parts of energy function:

- gradient term
- Landau energy
- electromechanical coupling term
- elastic energy term
- electric field energy

## Interface ab-initio / phase field modeling: Adjustment of parameters

→ ab-initio: piezoelectric coefficients  
(input) dielectric permittivity  
elastic stiffness  
spontaneous strain  
spontaneous polarization  
domain wall energy (90°/180°)  
domain wall thickness (90°/180°)

$d_{ijk}$

$K_{ij}$

$C_{ijkl}$

$\epsilon_S$

$P^S$

$\gamma_{90/180}$

$\xi_{90/180}$

Ginzburg-Landau-theory:  
15 parameters (6<sup>th</sup> order)

$\alpha_{ijklmn}$

$q_{ijkl}$

$c_{ijkl}$

$G_{ijklj}$

$$\begin{aligned} \psi = & \frac{1}{2} G_{ijkl} P_{i,j} P_{k,l} \\ & + \frac{1}{2} \alpha_{ij} P_i P_j + \frac{1}{2} \alpha_{ijkl} P_i P_j P_k P_l + \frac{1}{6} \alpha_{ijklmn} P_i P_j P_k P_l P_m P_n \\ & + q_{ijkl} \epsilon_{ij} P_k P_l + \frac{1}{2} c_{ijkl} \epsilon_{ij} \epsilon_{kl} \\ & + \frac{1}{2\kappa_0} (D_i - P_i)(D_i - P_i) \end{aligned}$$

- adjustment method has been developed
- applied to PTO and PZT

B. Völker, P. Marton, C. Elsässer, M. Kamlah: "Multiscale Modeling of ferroelectric materials: a transition from the atomic level to phase-field modeling". Continuum Mechanics and Thermodynamics, submitted on Sept. 3<sup>rd</sup>, 2010

# Adjustment of 6<sup>th</sup> order free energy: Results for PTO and PZT

|          |                           | PTO                              |                                 | PZT                              |                                 |                         |
|----------|---------------------------|----------------------------------|---------------------------------|----------------------------------|---------------------------------|-------------------------|
| unit     |                           | first-principles data<br>(input) | phase-field model<br>(adjusted) | first-principles data<br>(input) | phase-field model<br>(adjusted) |                         |
| DFT      | $P_0$                     | [C/m <sup>2</sup> ]              | 0.88                            | 0.88                             | 0.58                            | 0.58                    |
|          | $e_{  }$                  |                                  | 0.04209*                        | 0.04209                          | 0.012039*                       | 0.012039                |
|          | $e_{\perp}$               |                                  | -0.007388*                      | -0.007388                        | -0.0017946*                     | -0.0017946              |
|          | $\kappa_{33}$             |                                  | $17\kappa_0$                    | $17\kappa_0$                     | $18\kappa_0$                    | $18\kappa_0$            |
|          | $\kappa_{11}$             |                                  | $54\kappa_0$                    | $54\kappa_0$                     | $76\kappa_0$                    | $76\kappa_0$            |
|          | $C_{11}$                  | [Pa]                             | $342 \times 10^9$               | $342 \times 10^9$                | $361 \times 10^9$               | $361 \times 10^9$       |
|          | $C_{12}$                  | [Pa]                             | $131 \times 10^9$               | $131 \times 10^9$                | $115 \times 10^9$               | $115 \times 10^9$       |
|          | $C_{44}$                  | [Pa]                             | $108 \times 10^9$               | $108 \times 10^9$                | $91 \times 10^9$                | $91 \times 10^9$        |
|          | $d_{33}$                  | [C/m]                            | $2.46 \times 10^{-11}$          | $1.42 \times 10^{-11}$           | $1.57 \times 10^{-11}$          | $6.58 \times 10^{-12}$  |
|          | $d_{31}$                  | [C/m]                            | $-8.04 \times 10^{-12}$         | $-2.52 \times 10^{-12}$          | $-4.32 \times 10^{-12}$         | $-9.87 \times 10^{-13}$ |
| $d_{15}$ | [C/m]                     | $1.72 \times 10^{-11}$           | $1.72 \times 10^{-11}$          | $1.53 \times 10^{-12}$           | $1.53 \times 10^{-12}$          |                         |
| SMP      | $\gamma_{\text{DFT},180}$ | [mJ/m <sup>2</sup> ]             | 112                             | 173                              | 96                              | 96                      |
|          | $\gamma_{\text{DFT},90}$  | [mJ/m <sup>2</sup> ]             | 24                              | 71                               | -                               | -                       |
|          | $\xi_{\text{DFT},180}$    | [m]                              | $4.5 \times 10^{-10}$           | $4.5 \times 10^{-10}$            | $6.7 \times 10^{-10}$           | $6.7 \times 10^{-10}$   |
|          | $\xi_{\text{DFT},90}$     | [m]                              | $5.4 \times 10^{-10}$           | $5.4 \times 10^{-10}$            | -                               | -                       |
|          | $\gamma_{\text{SMP},180}$ | [mJ/m <sup>2</sup> ]             | 156                             | 156                              | -                               | -                       |
|          | $\gamma_{\text{SMP},90}$  | [mJ/m <sup>2</sup> ]             | 64                              | 64                               | 36                              | 36                      |
|          | $\xi_{\text{SMP},180}$    | [m]                              | $3.9 \times 10^{-10}$           | $3.9 \times 10^{-10}$            | -                               | -                       |
|          | $\xi_{\text{SMP},90}$     | [m]                              | $4.9 \times 10^{-10}$           | $4.9 \times 10^{-10}$            | $6.6 \times 10^{-10}$           | $6.6 \times 10^{-10}$   |

atomistic input:

DFT: density functional theory

SMP: shell-model potential

(P. Marton and C. Elsässer,  
IWM Freiburg)

generally good agreement, but:

- not enough degrees of freedom for piezoelectric coefficients
- only cubic elastic behavior taken into account

# Formulation of the phase-field model's free energy

6<sup>th</sup> order free energy

$$\psi = \frac{1}{2} G_{ijkl} P_{i,j} P_{k,l}$$

$$+ \frac{1}{2} \alpha_{ij} P_i P_j + \frac{1}{2} \alpha_{ijkl} P_i P_j P_k P_l + \frac{1}{6} \alpha_{ijklmn} P_i P_j P_k P_l P_m P_n$$

$$+ q_{ijkl} \epsilon_{ij} P_k P_l + \frac{1}{2} c_{ijkl} \epsilon_{ij} \epsilon_{kl}$$

$$+ \frac{1}{2\kappa_0} (D_i - P_i)(D_i - P_i)$$

Main parts of energy function:

- gradient term
- Landau energy
- electromechanical coupling term
- elastic energy term
- electric field energy

additional terms

$$+ f_{ijklmn} \epsilon_{ij} \epsilon_{kl} P_m P_n + g_{ijklmn} \epsilon_{ij} P_k P_l P_m P_n$$

[Su, Landis 2007], for BaTiO<sub>3</sub>

benefit of additional terms:

more degrees of freedom  
for adjustment process:

- ➔ f-term: tetragonal elastic behavior
- ➔ g-term: independent adjustment of  $d_{ijk}$

Y. Su, C. M. Landis: "Continuum thermodynamics of ferroelectric domain evolution: Theory, finite element implementation, and application to domain wall pinning". Journal of the Mechanics and Physics of Solids, 55 (2007), 280–305

# Improvement of adjustment process – additional energy terms

Additional elastic energy term

$$\psi_{\text{elast}}(\epsilon_{ij}, P_i) = c_{ijkl}\epsilon_{ij}\epsilon_{kl} + f_{ijklmn}\epsilon_{ij}\epsilon_{kl}P_mP_n$$

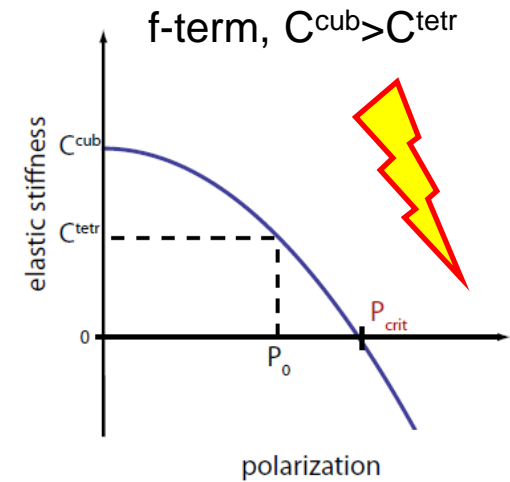
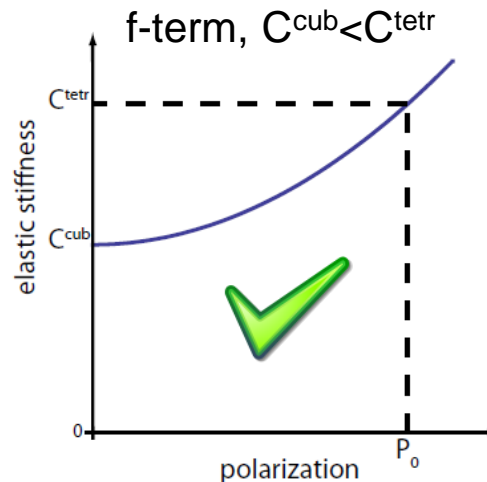
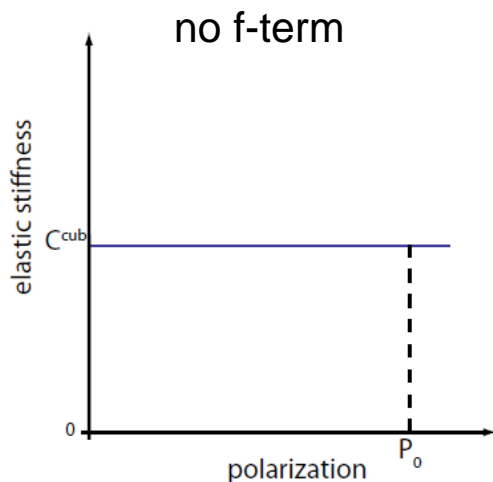
From [Su, Landis 2007], for BaTiO<sub>3</sub>

Idea: elastic stiffness depends on polarization  $C_{ijkl} = \frac{\partial}{\partial \epsilon_{kl}} \sigma_{ij} = \frac{\partial^2}{\partial \epsilon_{ij} \partial \epsilon_{kl}} \psi(P_i, \epsilon_{ij})$

$P=0$ : cubic elastic properties  $C^{\text{cub}}$   
 $P=P_0$ : tetragonal elastic properties  $C^{\text{tetr}}$

} can be adjusted independently

→ works fine for BaTiO<sub>3</sub>, but problematic for DFT predictions of PbTiO<sub>3</sub> and PZT





# Improvement of adjustment process – additional energy terms

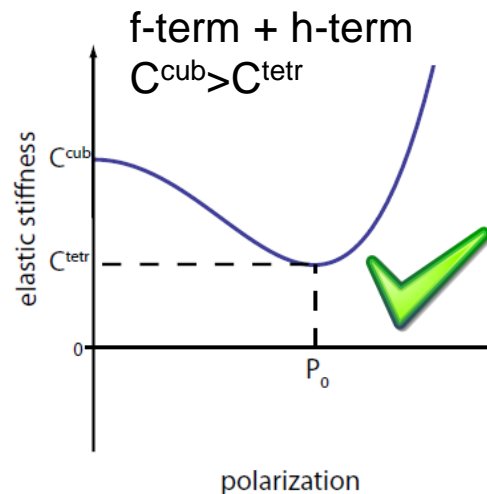
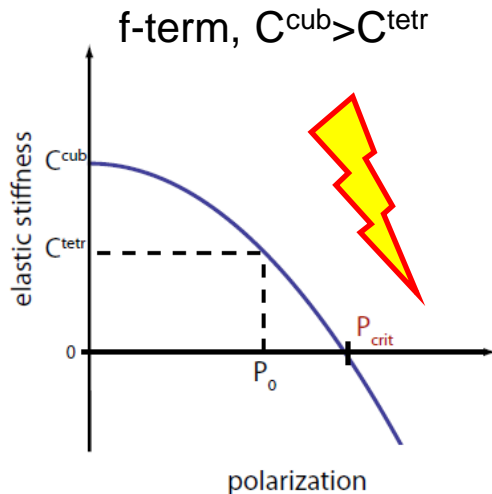
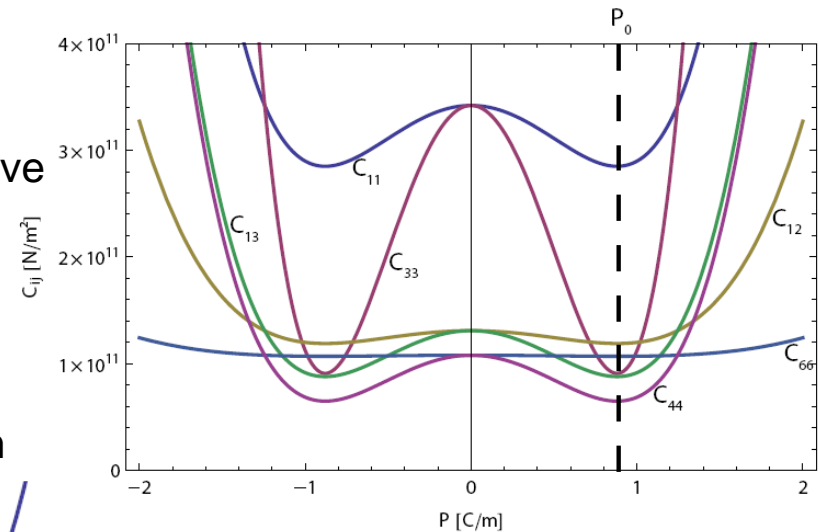
**Suggestion:** additional elastic energy term

$$\psi_{\text{elast}}(\epsilon_{ij}, P_i) = c_{ijkl}\epsilon_{ij}\epsilon_{kl} + f_{ijklmn}\epsilon_{ij}\epsilon_{kl}P_mP_n + h_{ijklmnr} \epsilon_{ij}\epsilon_{kl}P_mP_nP_rP_s$$

h-term necessary, when  $C^{\text{cub}} > C^{\text{tetr}}$

→ h-term: ensures elastic stiffness to remain positive

→ one possibility:  $C(P)$  has minimum at  $P=P_0$



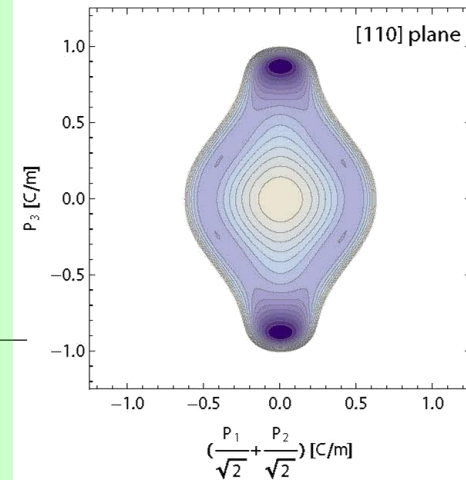
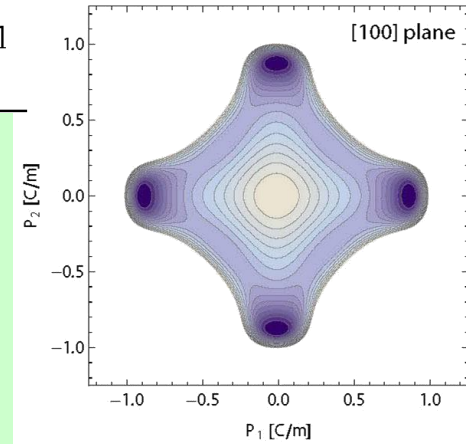
$$\begin{aligned}
 \psi = & \frac{1}{2} G_{ijkl} P_{i,j} P_{k,l} \\
 & + \frac{1}{2} \alpha_{ij} P_i P_j + \frac{1}{2} \alpha_{ijkl} P_i P_j P_k P_l + \frac{1}{6} \alpha_{ijklmn} P_i P_j P_k P_l P_m P_n + \frac{1}{8} \alpha_{ijklmnrs} P_i P_j P_k P_l P_m P_n P_r P_s \\
 & + q_{ijkl} \epsilon_{ij} P_k P_l + \frac{1}{2} c_{ijkl} \epsilon_{ij} \epsilon_{kl} + f_{ijklmn} \epsilon_{ij} \epsilon_{kl} P_m P_n + g_{ijklmn} \epsilon_{ij} P_k P_l P_m P_n \quad [\text{Su, Landis 2007}] \\
 & + \frac{1}{2\kappa_0} (D_i - P_i)(D_i - P_i) + h_{ijklmnrs} \epsilon_{ij} \epsilon_{kl} P_m P_n P_r P_s
 \end{aligned}$$

## Additional free energy terms:

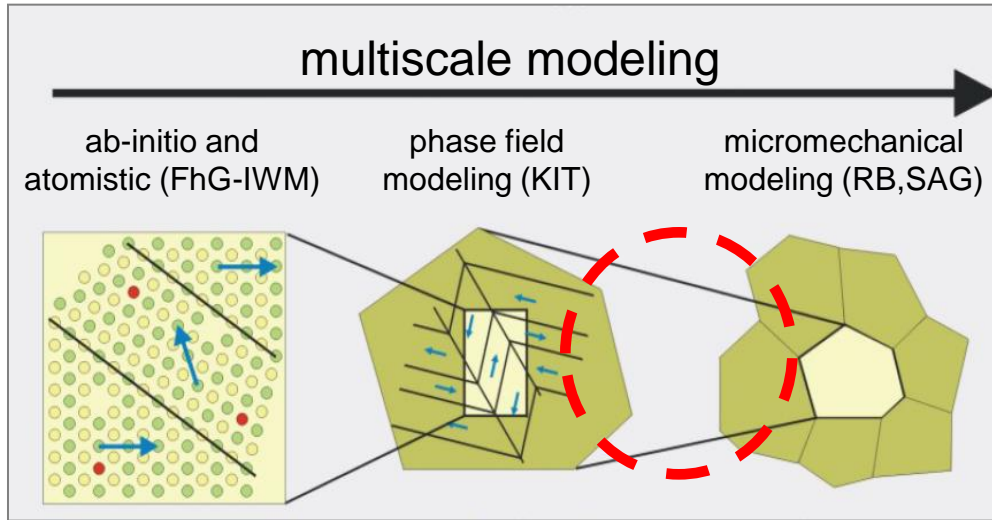
|                  |                                                                                       |                                                                                   |
|------------------|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| Landau energy:   | P <sup>8</sup> -term<br>P <sub>i</sub> <sup>4</sup> P <sub>j</sub> <sup>4</sup> -term | 180° domain wall adjustment<br>90° domain wall adjustment                         |
| Elastic energy:  | f-term<br>h-term                                                                      | tetragonal elastic behavior<br>necessary if C <sup>cube</sup> > C <sup>tetr</sup> |
| coupling energy: | g-term                                                                                | piezoelectric coefficients                                                        |

# Results: Adjustment of 8<sup>th</sup> order free energy + additional terms

| unit                  | PTO                              |                                 | PZT                              |                                   |                         |
|-----------------------|----------------------------------|---------------------------------|----------------------------------|-----------------------------------|-------------------------|
|                       | first-principles data<br>(input) | phase-field model<br>(adjusted) | first-principles data<br>(input) | phase-field model<br>(adjusted)   |                         |
| $P_0$                 | [C/m <sup>2</sup> ]              | 0.88                            | 0.88                             | 0.58                              | 0.58                    |
| $e_{  }$              |                                  | 0.04209                         | 0.04209                          | 0.012039                          | 0.012039                |
| $e_{\perp}$           |                                  | -0.007388                       | -0.007388                        | -0.0017946                        | -0.0017946              |
| $\kappa_{33}$         |                                  | $17\kappa_0$                    | $17\kappa_0$                     | $18\kappa_0$                      | $18\kappa_0$            |
| $\kappa_{11}$         |                                  | $54\kappa_0$                    | $54\kappa_0$                     | $76\kappa_0$                      | $76\kappa_0$            |
| $C_{11}^{\text{cub}}$ | [Pa]                             | $342 \times 10^9$               | $342 \times 10^9$                | $361 \times 10^9$                 | $361 \times 10^9$       |
| $C_{12}^{\text{cub}}$ | [Pa]                             | $131 \times 10^9$               | $131 \times 10^9$                | $115 \times 10^9$                 | $115 \times 10^9$       |
| $C_{44}^{\text{cub}}$ | [Pa]                             | $108 \times 10^9$               | $108 \times 10^9$                | $91 \times 10^9$                  | $91 \times 10^9$        |
| $C_{11}^{\text{tet}}$ | [Pa]                             | $285 \times 10^9$               | $285 \times 10^9$                | $327 \times 10^9$                 | $327 \times 10^9$       |
| $C_{33}^{\text{tet}}$ | [Pa]                             | $91 \times 10^9$                | $91 \times 10^9$                 | $178 \times 10^9$                 | $178 \times 10^9$       |
| $C_{12}^{\text{tet}}$ | [Pa]                             | $119 \times 10^9$               | $119 \times 10^9$                | $110 \times 10^9$                 | $110 \times 10^9$       |
| $C_{13}^{\text{tet}}$ | [Pa]                             | $88 \times 10^9$                | $88 \times 10^9$                 | $107 \times 10^9$                 | $107 \times 10^9$       |
| $C_{44}^{\text{tet}}$ | [Pa]                             | $65 \times 10^9$                | $65 \times 10^9$                 | $73 \times 10^9$                  | $73 \times 10^9$        |
| $C_{66}^{\text{tet}}$ | [Pa]                             | $108 \times 10^9$               | $108 \times 10^9$                | $92 \times 10^9$                  | $92 \times 10^9$        |
| $d_{33}$              | [C/m]                            | $2.46 \times 10^{-11}$          | $2.46 \times 10^{-11}$           | $1.57 \times 10^{-11}$            | $1.57 \times 10^{-11}$  |
| $d_{31}$              | [C/m]                            | $-8.04 \times 10^{-12}$         | $-8.04 \times 10^{-12}$          | $-4.32 \times 10^{-12}$           | $-4.32 \times 10^{-12}$ |
| $d_{15}$              | [C/m]                            | $1.72 \times 10^{-11}$          | $1.72 \times 10^{-11}$           | $1.53 \times 10^{-12}$            | $1.53 \times 10^{-12}$  |
| $\gamma_{180}$        | [mJ/m <sup>2</sup> ]             | 112                             | 208                              | 96                                | 96                      |
| $\gamma_{90}$         | [mJ/m <sup>2</sup> ]             | 24                              | 24                               | (36) <sup>†</sup>                 | 36                      |
| $\xi_{180}$           | [m]                              | $4.5 \times 10^{-10}$           | $4.5 \times 10^{-10}$            | $6.7 \times 10^{-10}$             | $6.7 \times 10^{-10}$   |
| $\xi_{90}$            | [m]                              | $5.4 \times 10^{-10}$           | $5.4 \times 10^{-10}$            | $(4.9 \times 10^{-10})^{\dagger}$ | $4.9 \times 10^{-10}$   |



PZT: complete agreement between atomistic input and adjusted phase-field model  
 PTO: only 180° domain wall energy too high, otherwise complete agreement



## Input for micromechanical model:

- domain effective material parameters:

$$d_{ijk}^{eff} \quad C_{ijkl}^{eff} \quad \kappa_{ij}^{eff}$$

- irreversible switching behavior

## FE-Implementation:

[Su, Landis 2007]

degrees of freedom per node:  $u_i, P_i, \phi \rightarrow$  independent variables  $\epsilon_{ij}, P_i, P_{i,j}, E_i$

Weak form:  $\int_V (\sigma_{ji} \delta \epsilon_{ij} - D_i \delta E_i + \eta_i \delta P_i + \xi_{ji} \delta P_{i,j}) dV = \int_S (t_i \delta u_i - \omega \delta \phi) dS$

subdomain (volume) terms

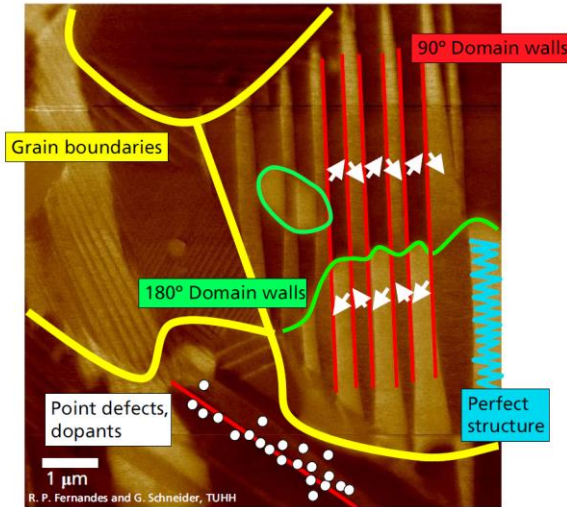
boundary terms

$\rightarrow$  direct implementation of weak form in COMSOL Multiphysics

**Aim: investigation of typical bulk domain structures**

# How to obtain typical domain configurations?

Simulation of a whole grain ( $\emptyset \sim \mu\text{m}$ ): not possible!



real domain structure: no knowledge about pinning, boundaries, ...

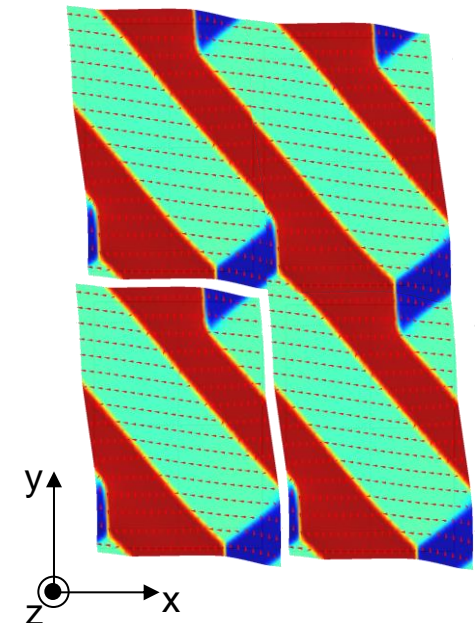
## FE-model:

- 2D
- DOF:  $P_x, P_y, P_z, u_x, u_y, u_z, \Phi$
- x/y: periodic boundary conditions ( $P_i, u_i, \Phi$ )
- z-direction: plain strain
- reasonable mesh density: 5-6 nodes / nm

- 1) bulk behavior: periodic boundary conditions required
- 2) stabilize configuration: apply global strain

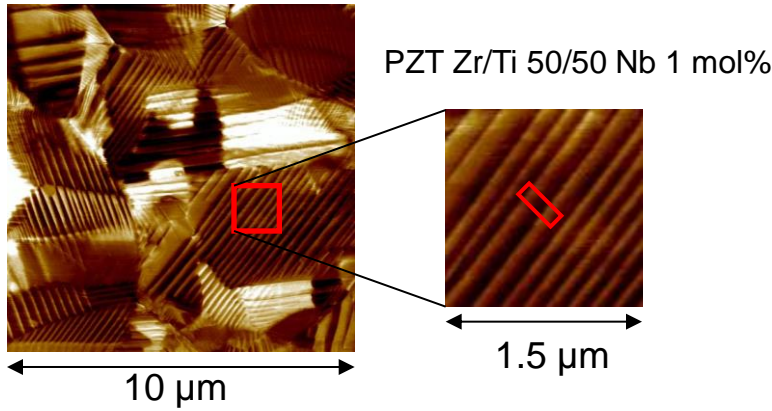
→ investigate “typical” domain structures:

- monodomain
- ideal 90° domain stack
- defect-free bulk domain structures
- influence of charge defects and grain boundaries



# Example 1: Investigation of 90° domain stacks

From PFM-experiments: typical domain width ~100-200 nm [Fernandéz/Schneider, TUHH]

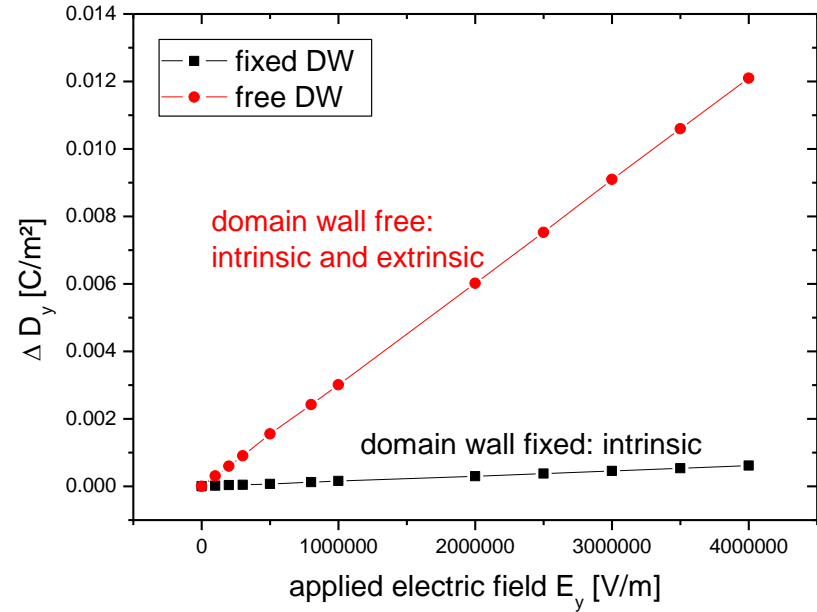


## Example: 90°- stack, electrical loading (Y-direction)

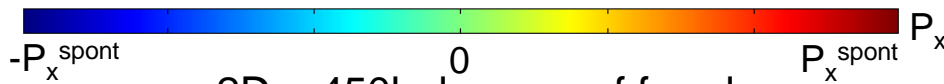
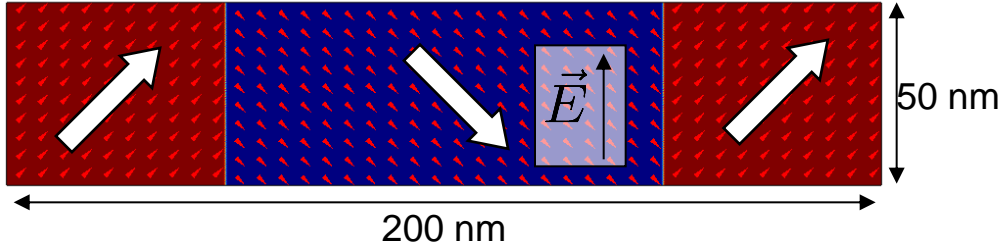
Domain wall (DW):

- 1) artificially completely fixed
- 2) free

slope: domain effective dielectric permittivity



## Phase field model: 90° domain stack



- 2D, ~450k degrees of freedom

- periodic boundary conditions

- electrical / mechanical loading

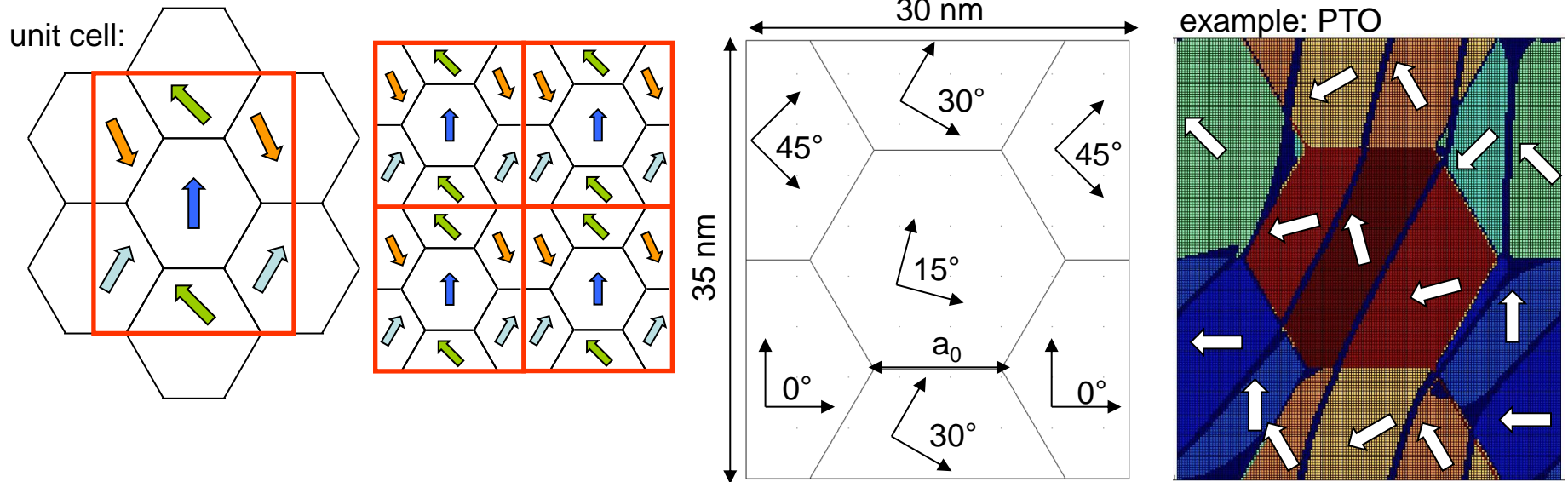
➔ intrinsic/ extrinsic piezoelectric effect

➔ (reversible) DW motion

small signal behavior: reversible domain wall motion identified as governing process

## Example 2: Influence of grain boundaries

**Motivation:** Influence of polarization orientation mismatch at grain boundaries on domain structure



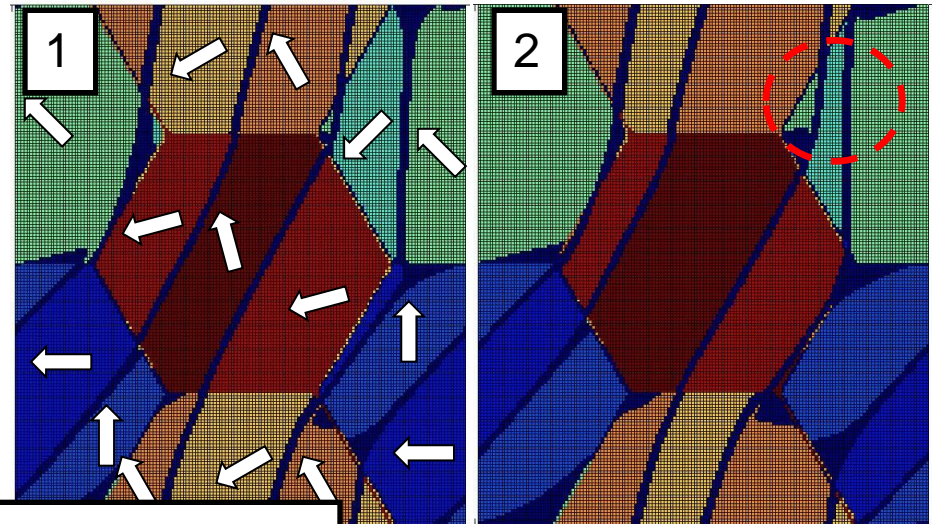
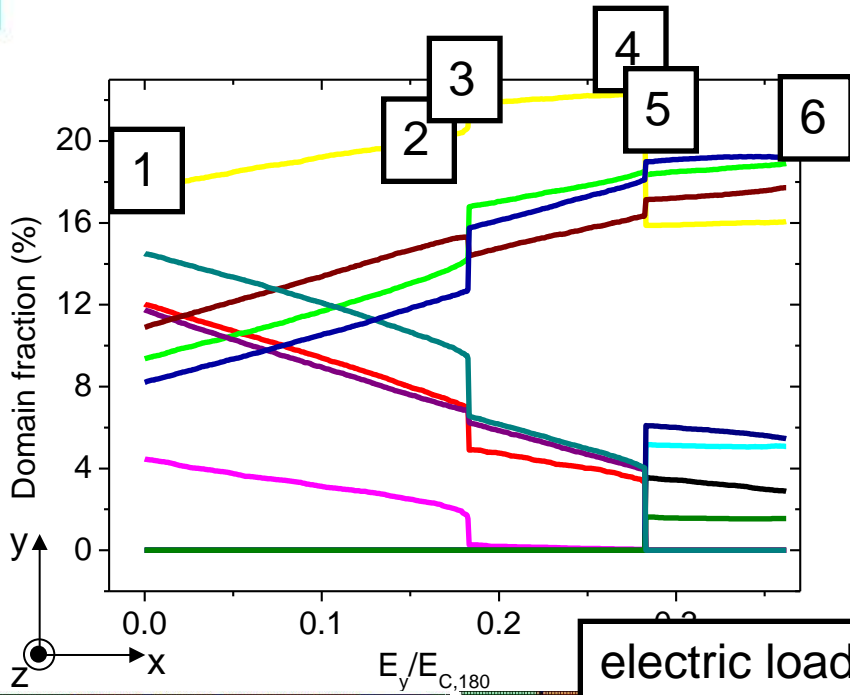
➔ simple model:

- allows for different polarization directions
- can be continued periodically

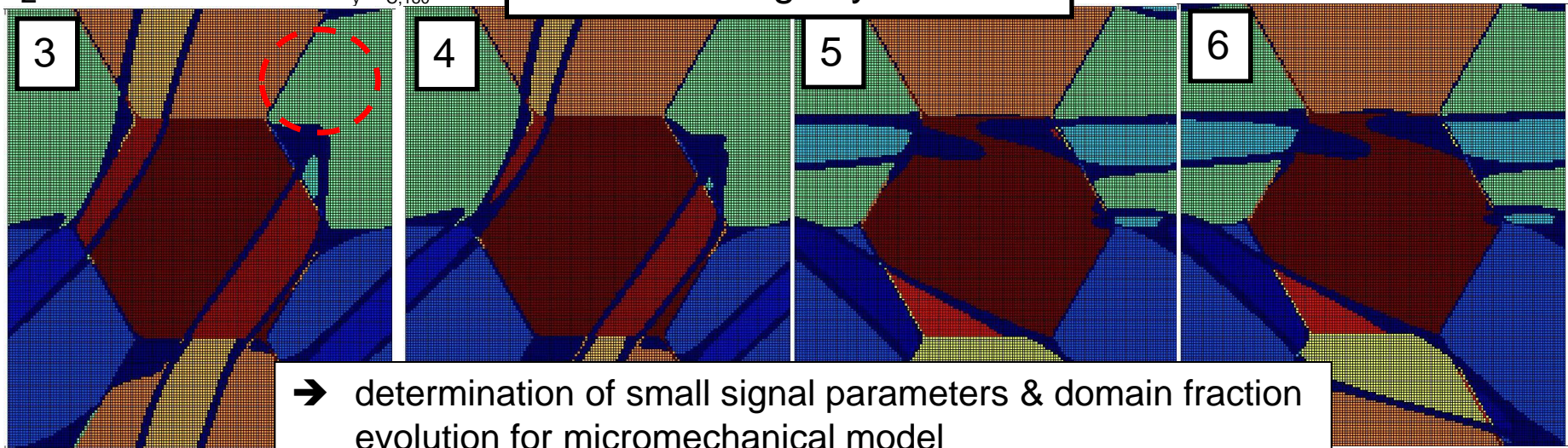
example:

- 4 “grains” rotated between 0° and 45° around (001)-axis
- $a_0 = 10\text{nm}$

# Reversible DW motion and irreversible switching

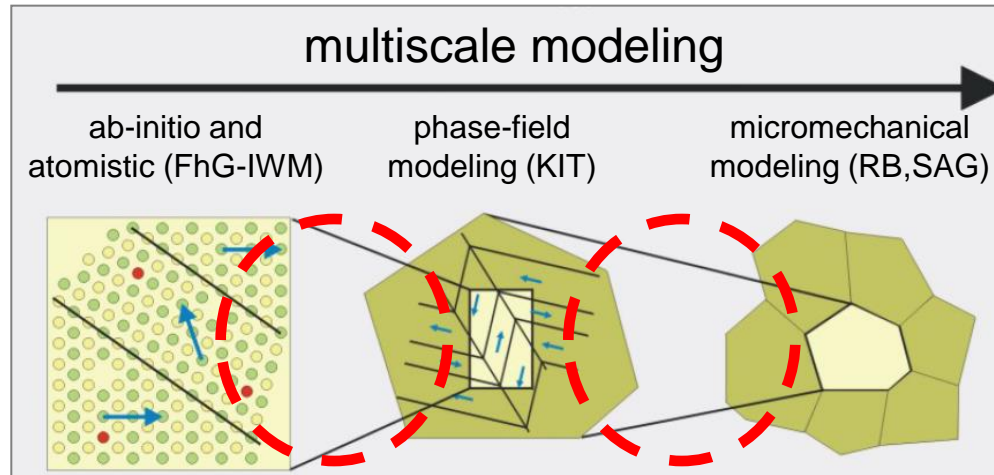


electric loading in y-direction:



→ determination of small signal parameters & domain fraction evolution for micromechanical model



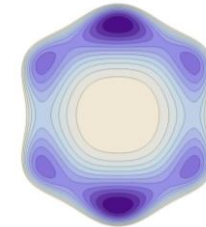
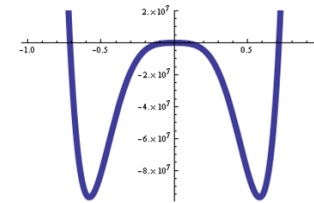
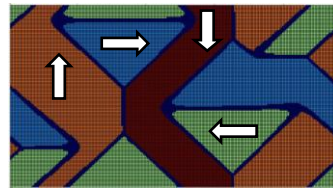


## Interface ab-initio / phase-field

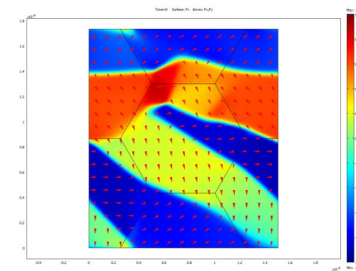
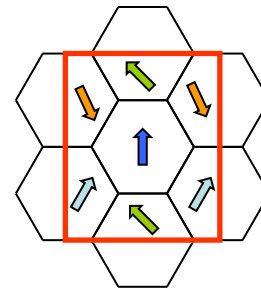
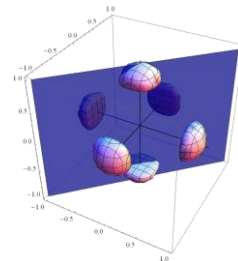
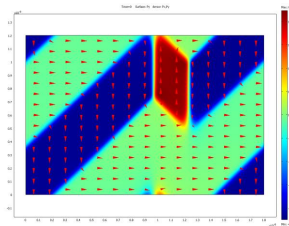
- ➔ new approach for adjustment of energy function parameters *solely* based on results of atomistic calculations
- ➔ additional energy term introduced enabling tetragonal elastic behavior in PTO and PZT
- ➔ successfully applied to PTO and PZT

## Interface phase-field / micromechanics

- ➔ FE-implementation in COMSOL Multiphysics, including periodic boundary conditions
- ➔ intensive investigation of typical bulk domain structures
- ➔ computation of small signal parameters, can be transferred to micromechanical model



# Thanks for your attention!



## Literature:

[Su,Landis2007]

Yu Su, Chad M. Landis: "Continuum thermodynamics of ferroelectric domain evolution: Theory, finite element implementation, and application to domain wall pinning". *Journal of the Mechanics and Physics of Solids*, 55 (2007), 280–305

[Devonshire1954]

A.F. Devonshire: "Theory of Barium Titanate". *Philos. Mag.* 40, 1040-1079 (1949)

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W. Cao, L.E. Cross: "Theory of tetragonal twin structures in ferroelectric perovskites with a first-order phase transition". *Physical Review B*, 44(1), 5-12 (1991)